

TABLE 1.2 Initials

											<u> </u>			
MANNER OF ARTICULATION PLACE OF ARTICULATION	Unaspirated Aspirated Stops Stops		Unaspirated Aspirated Affricates Affricates		Nasals		Fricatives		Voiced Continuants					
	IPA	Pinyin	IPA	Pinyin	IPA	Pinyin	IPA	Pinyin	IPA	Pinyin	IPA	Pinyin	IPA	Pinyin
Bilabials	р	b	p <sup>h</sup>	р					m	m				
Labio-dentals											f	f		
Dental-alveolars	t	d	th	t	ts	z	tsh	с	n	n	s	s	l	1
Retroflexes					tş	zh	tş <sup>h</sup>	ch			ş	sh	I	r
Palatals					t(j	j	tộ <sup>h</sup>	q			þ	x		
Velars	k	g	k <sup>h</sup>	k							x	h		

INTRODUCTION

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### **CHAPTER 6**

# Aspect

The verbal category presented in this chapter expresses what linguists call *aspect*, that is, different ways of viewing a situation. The category of aspect is very different from that of tense: a marker of *tense* relates the time of the occurrence of the situation to the time that situation is brought up in speech. In English, for example, we have past tense, as in

#### (1) I proposed a toast.

where the suffix -ed signals that the act of proposing took place before the time of speaking. Mandarin has no markers of tense. The language does not use verb affixes to signal the relation between the time of the occurrence of the situation and the time that situation is brought up in speech.

Aspect, on the other hand, refers, not to the time relation between a situation and the moment of its being mentioned in speech, but, rather, to how the situation itself is being viewed with respect to its own internal makeup.<sup>1</sup> To take an example, first let's look at an English sentence:

(2) Rosco was reading when I came in.

Here, two events are expressed in past tense. The first verbal complex, was reading, however, differs strikingly from the second verbal complex, came in, in terms of the way the two situations are viewed. The second verbal complex presents the totality of the situation referred to (the speaker's coming in) without reference to its internal temporal constituency; the entire situation is viewed as a single, unanalyzable whole. When a language has special verbal forms to indicate

this viewing of an event in its entirety, we say that that form expresses *perfective* aspect. In Mandarin, the marker for perfective aspect is *-le*, but perfective aspect can also be expressed by a "perfectivizing expression" (see section 6.1).

The first verbal complex in (2), was reading, on the other hand, does not present the situation of Rosco's reading in its entirety, but instead makes explicit reference to the internal makeup of "reading", presenting it as ongoing, referring neither to its beginning nor its end, but to its duration. Verbal markers signaling this ongoing-duration aspect constitute one type of the aspect, referred to as *imperfective*, which we might call *durative*. In Mandarin, the imperfective durative markers are  $z\dot{a}i$  and -zhe (see section 6.2).

In addition to *-le*,  $z\dot{a}i$ , and *-zhe*, there is a fourth verbal aspect marker in Mandarin, *-guo*, which is an *experiential* aspect, indicating that a situation has been experienced (see section 6.3).

Finally, there is a *delimitative aspect* category that is expressed, not by a particular morpheme, but by the reduplication of the verb (see section 6.4).

The verbal aspects in Mandarin, then, are:

- 1. Perfective: -le and perfectivizing expressions
- 2. Imperfective (durative): zài, -zhe
- 3. Experiential: -guo
- 4. Delimitative: reduplication of verb

Let's look at each of these verbal aspects in more detail.

### 6.1 The Perfective Aspect

Any description of the verbal aspect marker -*le* must begin with the caveat that it is important to keep the perfective aspect distinct from the sentence-final particle *le* (which is written without the hyphen in this book). The sentence-final particle *le* and sentences containing both -*le* and *le* are described in chapter 7.

### 6.1.1 Where to Use -le: A Bounded Event

We have said that the verbal aspect suffix *-le* expresses perfectivity, that is, it indicates that an event is being viewed in its entirety or as a whole. An event is viewed in its entirety if it is *bounded* temporally, spatially, or conceptually. There are essentially four ways in which an event can be bounded:

- A. By being a quantified event
- B. By being a definite or specific event

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C. By being inherently bounded because of the meaning of the verb	
<ul> <li>D. By being the first event in a sequence</li> </ul>	
We will discuss each of these in turn.	
A. A Quantified Event	
An event can be viewed as bounded when temporal, spatial, or conceptual limits are placed on it. What this means grammatically is that a verb typically will occur with <i>-le</i> if the event signaled by the verb is limited by overt phrases naming the extent to which that event occurred, the amount of time it took, or the number of times it happened. For example:	
(3) tả shuì – <u>le sẵn – ge zhôngtóu</u>	
3sg sleep – PFV three – CL hour	÷
S/He slept for three hours.	-
(4) wŏ zài nàli zhù – <u>le</u> I at there <sup>•</sup> live – PFV	
liǎng – ge yuè two – CL month	
I lived there for two months.	
(5) yijing rén – <u>le zhème duō</u> already endure – PFV that many	
nián, wŏ huì zài rĕn — xiàqu year I likely more endure — continue	,
I have already tolerated it for so many years, I can go on tolerating it.	
(6) diàn – dēng liàng – <u>le hěn duố</u> electric – light bright – PFV very much	i.
The electric light got a lot brighter.	

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(7)	wŏ I	bă BA	gõu dog	dă hit	-	PFV	yi one	dùn time
	I gave th	ie dog a be	ating.					
(8)	wð I	bà BA	mén door	tī kick	-	PFV	sānthree	jiăo foot
	I gave th	e door thre	e kicks.					
(9)	dírén enemy	ç	hòu back	chèt retre	uì - at -	- <u>le</u> - PFV	r	
		èr two	_	shí ten	lĭ nile	•		
	The ene	my fell bac	k twenty	miles.				
(10)	nĭ you	gāo tall	P	<u>le y</u> FV a	idiăn ;little			
	You've	gotten talle	er.					
(11)	tā 3sg	zuótiān yesterday		de NO		wán – late –	PFV	<u>yidiăn</u> a:little
	Yesterd	ay s/he car	ne a little	late.				
(12)	jīntiā toda	in gŭpià y stocl				PFV	yidiăr a:little	
	The sto	ck market i	ell slight	ly today				

Sometimes the quantified event is a state whose limits are set by a phrase expressing the extent to which the subject is in that state. Sentences (13) and (14) illustrate these bounded states:

(13)	zhèi this	_	ge CL	dìfang place	bu not	cuò , bad	
		jiùs jus		hảo oisv	-	PFV	yidiăn a:little
-	This plac	,		it's just a l	ittle noi:		aintie

(14)	tā	niánji	bĭ	wŏ	dà		le
	3sg	age	COMP	I	great	-	PFV
				. /			
		jĭ		shí	suì		
		several	-	ten	years		

S/He is older than I by a few decades.

The following two examples can each have two interpretations, depending on whether the adjective describes a process or a state:

#### (15) a. (discussing how a friend has changed since his/her last visit)

tā	pàng	_	le	yidiàn	
3sg	fat	_	PFV	a:little	

S/He's gotten a little fatter.

b. (talking about candidates for a volleyball team)

tā	pàng	-	le	yidiǎn
3sg	fat	-	PFV	a:little

S/He's a little (too) fat.

(16) a. (talking about a laundry mishap)

chènshān	xiǎo	-	le	sān	cùn
shirt	small	-	PFV	three	inch

The shirt got smaller (i.e., shrank) by three inches.

b. 1	(trying	on c	lothes	e) –
$\nu$ , $\cdot$	(uying	one	ioune.	.,

chènshān xiǎo – <u>le</u> sān cùn shirt small – PFV three inch

The shirt is (too) small by three inches.

Sentence (17) provides a good illustration of the contrast between just naming an event and presenting it as a unified whole by quantifying it:

(17)	Zhāngsān Zhangsan	zài at	bówùg muse	uăn mé um doc		kŏu mouth	děng wait	Lisì , Lisi
	děng wait	_	<u>le</u> PFV	sān three	-	shí ten	fenzhong minute	2

Zhangsan waited for Lisi at the entrance to the museum for thirty minutes.

The first mention of deng 'wait' is not presented as an event viewed in its entirety but simply names the event; it cannot take *-le*. The second mention of the verb, however, is bounded by a phrase stating the amount of time the 'waiting' took; here *-le* is required.

Similarly, a verb with a specified quantity of the direct object will also typically occur with -le because the quantified direct object serves to bound the event signaled by the verb. For illustration, consider sentences (18)–(25):

(18)	tā 3sg	shuō say	zuìjìn recently		làxué versity	gài build	-
		<u>le</u> PFV	bù not	shǎo few	de NOM	xīn new	sùshè dormitory

S/He said that the university had recently built many new dormitories.

(19)	nèi that	_	ge CL	jingchá police:officer		duì to	wŏ I	xíng perform
		-	le PFV	yi one		ge CL	<u>lĭ</u> salute	

That police officer saluted me.

(20)	tā 3sg	jīntiān today	māi buy	-	<u>le</u> PFV	hěn very	duō many	shū book
:	S/He bou	ght a lot o	f books tod	ay.				
(21)	tā 3sg	zài at	miànbâo bread	-	shang on	mŏ sprea	- nd -	PFV
		yidiăn a:little	niú cattle	-	yóu oil			
	S/He spre	ead a little	butter on th	ne brea	ıd.			

(22)	wŏ	fá	-	le	tā	wŭ	kuài	qián
<b>、</b>	I	fine	-	PFV	3sg	five	dollar	money

I fined him/her five dollars.

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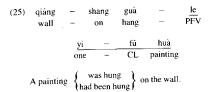
le wŭ shí (23) tāmen fā PFV five ten they issue

> qĭngtiē CL invitation

They sent out fifty invitations.

kăoshì wð zhèi huí (24)PFV I obtain this time exam

I got eighty points on this exam.



Sentence (26) is an interesting and typical example with -le in which the amount					
of time spent is expressed grammatically by a quantification of the object com-					
ponent of the verb-object compound tán-tiān 'discuss-universe = chat' (see					
section 3.2.5 of chapter 3 on verb-object compounds):					

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(26)	wŏmen	tán	_	le	yi	yè
	we	discuss	; –	PFV	one	night
		— d	e	tiān		
		– ASS	OC	universe		

### We talked all night.

What these examples show is that it is perfectly normal to use -le where the message being communicated has to do with bounding an event by naming a specific quantity of the direct object. It is crucial, however, to notice that speakers can differ in their judgment about how much a quantified direct object serves to bound an event. For example, take a sentence such as (27):

(27)	tā 3sg	jiā home		yí one	ie L
		hěn very	 	 de NOM	 mão cat

His/Her family had a very lovable little cat.

Some native speakers feel that -le is not necessary; in other words, they don't feel strongly that the quantified direct object, vi-ge hen ke-ài de xião mão 'a very lovable little cat', renders the event bounded. Some native speakers feel that when -le is used, (27) represents the beginning of a sequence of utterances about the small cat; in other words, they view the event as bounded, not because of the quantified direct object, but because it is the first in a sequence. Of course, many native speakers feel that sentence (27) is fine as it stands; they view the event as bounded simply because of the presence of the quantified direct object.

A recent experiment makes this point nicely.<sup>2</sup> A story containing sentence (28) was presented to sixty-two native Mandarin speakers. The author of the story had

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written the sentence with the -le, but only one-third of the subjects thought the -le was necessary:

(28)zŭfù hūrán хü le kŏu qì vi suddenly grandfather heave PFV air one mouth

Suddenly, grandpa heaved a sigh.

What this example shows is that speakers can have different views about how bounded an event is, and this will determine whether they decide to use -le in certain situations. Those who would use the -le in sentence (28) feel that it is important to the message conveyed by the sentence that what grandpa gave was one sigh, while those who wouldn't use -le here feel that the fact that he sighed is more important than the sigh itself.

B. Definite or Specific Event

An event will also often qualify as bounded if the direct object is understood as a definite noun phrase (see section 4.2.5 of chapter 4 for a discussion of definiteness). Once again, the decision to use -le depends on the extent to which the event is judged by the individual speaker to be bounded. Here is an example showing various types of definite direct objects:

### (i) Name:

(29)	wŏ	pèng	-	dào	-	le	Lín	Huì
	I	bump	-	arrive	_	PFV	Lin	Hui

I ran into Lin Hui (where the important information in the context is whom I ran into).

### (ii) Pronoun:

(30)	nĭ	hui	-	le	nĭ	zìji
	you	ruin	-	PFV	you	self

You destroyed yourself.

			/1	of Let I				193
(iii) Ger	nitive m	odifier:						
(31)	tā 2	ráo	-	le	tā		de	
	3sg	spare	—	PFV	3sg	-	GEN	
		dírén	le					
		enemy	CRS					
S	/He spa	ared his/he	r enemy.					
(iv) Dem	onstrat	ive Modifi	er:					
(32)	wŏ	xiăng	_	chu	_	lai	_	la
	I	think	-	exit	_	come	_	PFV
		nèi	-	ge	zì			
		that	-	CL	charact	er		
1	rememt	pered that o	haracter.					
(v) Relati	ve clau	se modifie	г:					
(33) A	: nĭ	zěnm	e zhīdào	) Shài	nghǎi	yŏu	• •	
	you				-	• .	yi one	
		- ai	ān —		wàn	rén	°	
			sand -	ten	thousan			
н	ow do y	ou know !	Shanghai	has ten	million J	people?		
						•		
B:	yīnw becau		kàn see	-	le		-	
	occau	50 I	366	-	PFV	/ new	/	
		chūbăn	de	zīli				
		publish	NOM	mate	nal			

Because I looked at the newly published figures.

(vi) Noun phrase with bă:

(34)tā chē mài bă ΒA sell PFV 3sg car

S/He sold the car.

Here is an example from Spanos (1977:45), which shows another way in which -*le* signals the specificity of an event.

(35)	tā	wèn	wŏ	zuótiān	wànshang	zuò	( <u>-le</u> )	shénme ?
	3sg	ask	I	yesterday	evening	do	$-\overline{PFV}$	what

S/He asked me what I did last night.

In this sentence, out of thirty-nine speakers asked, only seven thought the *-le* should be there, while thirty-two felt it should not. Once again, though, speakers' judgments on this question depend crucially on the nature of the message they imagine the sentence is conveying. With *-le* the event is viewed as bounded and thus as specific; the subject of the sentence,  $t\bar{a}$  's/he', was asking for a specific list of activities in which the speaker of the sentence engaged, as if  $t\bar{a}$  were a nurse in charge of making sure the speaker didn't do too much. Since this is a rather unusual speech context, it is no wonder that only seven people out of 39 thought *-le* should be used. Without *-le*, on the other hand, the sentence is quite neutral and implies that  $t\bar{a}$  was just making casual conversation. Since this latter case corresponds to a very natural situation, it is reasonable that the majority of speakers would think of this as the most natural context for the sentence and would judge that it should have no *-le*.

As another illustration of the same point, we might contrast (36) a and b:

(36) <i>a</i> .	tā 3sg	xiĕ write	-	cuò wrong	_	PFV	nèi that
		_	ge CL	zì character			

S/He wrote that character wrong.

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b

S/He has written that character wrong (as I thought s/he would).

Sentence (36) *a*, with *-le*, would be used in a context in which  $n\dot{e}i$ -ge  $\dot{z}i$  'that character' was being singled out, for example, because it is being contrasted with another character that s/he wrote correctly. Sentence (36) *b*, without the perfective *-le*, on the other hand, would be used in a context in which what is important is not  $n\dot{e}i$ -ge  $\dot{z}i$  'that character' as opposed to some other character, but the current relevance of the fact that s/he wrote the character wrong. Our translation suggests one of the possible ways in which this state of affairs might be currently relevant (see chapter 7 for more discussion on current relevance and the sentence-final particle *le*).

The fact that speakers do not agree on matters like this is often frustrating to people trying to learn Mandarin and to linguists trying to analyze Mandarin, who wish that a hard-and-fast "rule" could be stated. It is important to realize, however, that there *is* a rule, but that this rule depends on what the speaker judges to be the significant information the sentence is conveying in the context in which it is used. The reason that speakers disagree when they are presented with sentences in isolation is because they have to imagine what the real conversational situation might be, and they might come to different conclusions on this point. The rule that they actually use in talking to each other is simply this: When the overall conversation makes it important to emphasize the information in the definite direct object, either because one wants to go on to talk about it or because it contrasts with some other possible item that could have been mentioned, -*le* must be used.

### C. Verbs with Inherent Bounded Meaning

Some verbs represent specific, bounded events by virtue of their meaning. One such verb is si 'die', which has its end point built into its meaning. Another such verb is wàng 'forget':

(37)	tā	qù	-	nián	sĩ	-	le
	3sg	last	-	year	die	-	PFV

S/He died last year.

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I forgot his/her address.

We should notice that the inclusion of the end point in the meaning of such verbs as si 'die' and wàng 'forget' is an idiosyncrasy of Mandarin Chinese, not a universal feature of all languages of the world. For example, the English verb 'die' does not have the end point of dying included in its meaning, and therefore it is possible to use the verb in a durative aspect, as shown in (39):

#### (39) S/He is dying.

Because of the inclusion of the end point of dying in the meaning of the Mandarin verb  $s\bar{s}$  'die', however, it cannot occur in the durative aspect; thus sentence (40), the Mandarin counterpart of sentence (39), is unacceptable:

(40)	*tá	sĭ	-	zhe
	3sg	die	-	DUR

For the same reasons, the English verb 'forget' may, but its Mandarin counterpart, *wàng*, may not occur in the durative aspect, as shown by the acceptable English sentence (41) and the unacceptable Mandarin sentence (42):

(41) S/He is forgetting his/her French.

(42)	*tā	wàng	-	zhe	tā	-	de	Fǎwén
	3sg	forget	_	DUR	3sg	-	GEN	French

Because they are inherently bounded, then, verbs such as  $s\bar{s}$  'die' and  $w \partial ng$  'forget' generally occur with the perfective aspect marker *le*. An exception to this generalization is the use of such verbs to describe a situation that is not part of reality, called the *irrealis mode*. Irrealis mode in English is typically conveyed by the infinitive verb phrase following such verbs as *want*, *like*, *prefer*, *hope*, *expect*, and so forth; (43) is an example:

(43)	S/He	(wanted)	to die
( /		wants 1	

ASPECT

The Mandarin counterpart of (43) is this:

(44)	tā 3sg	yào want	sĭ³ die	
	S/He	{wa w	anted }	to die

In (44) si is in the irrealis mode. Irrealis verbs in general do not occur with the perfective aspect marker *-le* because they are not describing events viewed in their entirety.

Further examples in which the inherent meaning of the verb specifies its own end point are given in sentences (45)–(49). The verbs in these sentences generally occur with -le, except when they are used in the irrealis mode.

### Did s/he fall asleep?

(46)	huŏ	miè	-	le	
	fire	gọ:out	-	PFV	

The fire went out.

The lid fell off.

(48) zhèi – ge yīzi <u>huài</u> – <u>le</u> this – CL chair broken – PFV

This chair broke.

(49) zhàdàn <u>zhà</u> – <u>le</u> bomb explode – PFV

The bomb exploded.

### D. First Event in a Sequence

Sometimes an event is bounded by being the first event in a sequence, where what is important is that after one event has taken place, another one happens or a new state materializes. In such cases, the first event is of interest as an unanalyzed whole; the speaker signals that its occurrence is *bounded* by the subsequent event. In these instances *-le* is used, and the sentence can often be translated with 'after', 'when', or 'now that' in English.

(50)	wð	chī		wán	-	le	nĭ	chĩ
	I	eat	-	finish		PFV	you	eat

After I have finished eating, then you eat.

(51)	wŏ	kàn	-	wán	-	le	bào ,
	Ι	read	—	finish		PFV	paper

jiu shuì then sleep

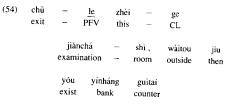
When I finish reading the paper, I will go to sleep.

(52)	tā 3sg	shuö say	de CSC	hěn very	qiǎomiào , skillful
		ràng let	rén person	tīng hear	_
		PFV	bu not	huì likely	shēngqì angry

S/He talks very skillfully so that when people hear him/her they don't get angry.

(53) zěnme pèng – le bēizi yě bu hē? how bump – PFV glasses also not drink

How come after you have touched glasses, you still don't drink?



When you go out of this customs room, just outside there is a bank counter.

(55)	yŏu exist	_	<u>le</u> PFV	nèi that	_	ge CL		
		rìgu sun:l	āng ight	-	dêng , lamp	chúfáng kitchen		
		jiu then	liàng bright	duà muc		s		
ľ	Now that	(they) h	ave that f	luoresc	ent light,	the kitche	n is much bri	ghter.
(56)	tā 3sg	käi open	_	<u>le</u> PFV	mén , door	nĭ you	jiu then	
		jìn	-	qu				

enter – go

When s/he opens the door, you go in.

(57) wǒ pào – <u>le</u> chá hē I brew – PFV tea drink

I made some tea to drink.

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(58)	wŏ I	-	de GEN	yănjīng eye	yõu exist	máobìng , trouble	kàn see
		_	duõ much	– le – PFV	shū , book		
		jiu then	bu not	shūfu comfortable	e		

I'm having trouble with my eyes; after I've read a lot, they don't feel good.

Sentence (50) nicely illustrates the independence of aspect from tense: both of the actions in (50) may be in the future at the time the sentence is spoken. Sentences (51), (53), and (56) show that the direct object doesn't need to be quantified in order for *-le* to appear if the event is the first in a sequence. Now, however, this raises an interesting point: there is often something strange and "unfinished" about a sentence containing *-le* and a simple unquantified direct object noun. Thus, by themselves, sentences like the following seem incomplete and odd:

(59)	?wŏ	lĭ		le	fă							
	I cu		-	PFV	hair							
I	I had a haircut.											
(60)	?wŏ	hē	_	le	chá							
(00)	T	drink	_	PFV	tea							
	-	mik										

I drank tea.

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The reason for this is not hard to understand: a simple unquantified direct object noun is usually indefinite and even nonreferential, and normally a simple verb phrase with such a direct object is *not* bounded. That is why such sentences need to be bounded by the addition of either a following clause or a sentence final particle *le* indicating current relevance (see chapter 7 for a discussion of *le*). Thus, for example, (59) becomes perfectly acceptable in contexts where it is followed by another clause, as in (61), or where it occurs with *le*, signaling "currently relevant state", as in (62):

(61)	wŏ	lĭ		le	få	jiu	qù	sànbù
	I	cut	-	PFV	hair	then	go	take:walk

I will take a walk as soon as I finish my haircut.

(62) wǒ lǐ – le fǎ le 1 cut – PFV hair CRS

### I (have) had a haircut.

Sometimes, in the right context, an adverbial expression can serve the function of bounding the event. For example, in a situation in which the issue is *when s*/he got a haircut, sentence (63) could be used; similarly, if it is known that s/he got rich, but the issue is *where*, then sentence (64) would be appropriate:

(63)	tā	zăoshang	lĭ	-	le	fă
	3sg	morning	cut	_	PFV	hair

S/He got a haircut in the morning.

(64)	tã	zài	Jiāzhôu	fā	-	le	cái
	3sg	at	California	issue	-	PFV	wealth

#### S/He got rich in California.

The important point to be drawn from this discussion is that understanding the grammar of a sentence always involves understanding how that sentence relates to the context in which it occurs. In this case, it is clear that a sentence describing an event never occurs in a vacuum, but is always embedded in some larger conversation or discourse context. Whether a sentence expresses a bounded event depends to a great extent on the nature of the conversation of which that sentence is a part.

So far we have seen that the conditions for the use of -le are quite straightforward: -le is used when the event described by a sentence is perfective, which means that the event is bounded, and an event is bounded (1) if its temporal or spatial limits are specified, (2) if it signals a specific event and its direct object is definite, (3) if boundedness is inherent in the meaning of the verb of the sentence, or (4) if it is followed by another event.

For a clear understanding of the function of le, it is equally important that we be aware of where le cannot be used. The following section is devoted to this issue.

### 6.1.2 Where Not to Use -le

A. Semantic Conditions for -le Not Fulfilled

First, -le is never used with verbs expressing states that do not represent bounded events:

(65)	wō	xĭhuān	(* <u>-le</u> )	mùguā
	I	like	-PFV	papaya
	I like pap	aya.		
(66)	tā	xìng	(* <u>-le)</u>	Wú
	3sg	surname	-PFV	Wu

S/He is named Wu.

(67) nèi – ge dìfang hén ānjìng (\*<u>-le</u>) that – CL place very quiet -PFV

That place is very quiet.

(68)	wŏ	shì	(*-le)	nĭ	-	de	gēge
	I	be	-PFV	you	_	GEN	older:brother

I am your older brother.

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For the same reason, -le does not occur with verbs denoting ongoing actions:

(69)	tā 3sg	shŏu hand	-	lĩ in	ná hold	_	zhe DUR
		(*-le) -PFV	shū book				

S/He is holding a book in his hand.

(70) tā zài liú (\*-le) húzi 3sg DUR keep -PFV beard

He is growing a beard.

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In other words, perfective -*le* is incompatible with the durative aspect markers  $z\dot{a}i$  and -zhe (see section 6.2 of this chapter) because the meanings of perfective (bounded) and durative (unbounded) aspect are incompatible.

Perfective -le is also incompatible with habitual or repeated events, since these are not bounded events viewed as a whole. For example, (71) and (72), signaling habitual events, are not acceptable with -le:

(71)	tā 3sg	tīan day	-	tian day	huí return	-	qu go	(* <u>-le</u> ) -PFV
:	S/He g	oes back eve	ry day	-				
(72)	tā 3sg	píngcháng usually	măi buy	` <u> </u>		èn ery	duō many	shū book

S/He usually bought a lot of books.

Nor do we find *-le* with "potential" forms of resultative verb compounds (see section 3.2.3 of chapter 3 for discussion of these compounds). Because these forms refer to general states of ability or inability rather than to events viewed in their entirety, *-le* is not compatible with the potential forms. Thus, sentences (73)–(76), which contain resultative verb compounds with a potential infix, are unacceptable with *-le*:

(73)	tā	yā	-	bu	-	zhù	(*-le)	xuéshēng
	3sg	press	-	can't	_	hold:on	-PFV	student

S/He can't suppress the students.

(74)	wŏ	lā	-	bu	-	kāi	(*-le)	mén
	I	pull	-	can't	-	open	-PFV	door

I can't pull the door open.

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	(75)	nĭ you	kàn see	-	de can	-	jiàn perceive	(* <u>-le)</u> e -PFV	
			tā 3sg	-	de GEN	liăn face	ma ? Q	,	
		Can you	see his/h	er face	e?				
	(76)	wŏ I	jiǎng talk	_	de can	_	guò pass	(* <u>-le</u> ) -PFV	tā 3sg

I can outtalk him/her.

Perfective -le is also incompatible with the experiential aspect suffix -guo (see section 6.3 of this chapter for discussion of this point), as the following sentences show:<sup>4</sup>

(77)	wð I	chī eat	-	guo EXP	_	(* <u>-le</u> ) -PFV	bălà guava
	[ have ea	ten guava	a before	<b>.</b>			
		_ 2.		<i>a</i> 110	(* la)	Viânggăn	o

(78)	tā	qù	-	guo	(*-le)	Alanggang
( /	3sg	go	-	EXP	-PFV	Hong Kong

S/He has been to Hong Kong.

Finally, -le in general does not occur in negative sentences.<sup>5</sup> Compare the *a* and *b* forms of the following pairs:

(79) a.	zhi paper	mài sell	_	guāng gone	_	<u>le</u> PFV
	The pape	r was so	ld out.			

b.	zhĭ	méi	mài		guāng	(* <u>-le</u> )
	paper	not	sell	-	gone	-PFV

The paper wasn't all sold out.

(80) a	. tā	bō	-	cuò	-	le hàomă
	3sg	dial		wrong	– P	FV number
	S/He di	aled the v	wrong nu	mber.		
b	, tā	méi	bō	_	cuò	(*-le) hàomă
	3sg	not				-PFV number
	S/He di	dn't dial	the wron	g numbe	er.	
(81) a	. tă 3sg	mài	_	le	nèi	
	3sg	sell	-	PFV	' that	three
		-	zhī	-		
		-	CL c	hicken		
	S/He so	old those t	three chic	kens.		
b	. tā	bu	mài	(*-le	) nèi	sān
	3sg	not	sell	-PFV	/ that	three
			zhī	jī		•

CL chicken

S/He wouldn't sell those three chickens.

It is easy to see why -*le* does not occur in negative sentences: the meaning of negative sentences—that some event does not take place or that some state of affairs does not obtain—is incompatible with the meaning of -*le*, which is to signal a bounded event. An event that does not occur, of course, cannot in general be bounded (but see section 6.1.3 of this chapter for -*le* in negative imperatives; also see chapter 12 for further discussion of negation and aspect.)

B. A Perfectivizing Expression Takes the Place of -le

Often the conditions for the use of perfective *-le* would appear to be satisfied, and yet no *-le* appears. For example, (82)-(85) are four sentences expressing

(82)	tā 3sg	cóng from	fángzi house	 lĭ in	zóu walk
		dào to	Zhāngsa Zhangsa	 nàr there	

S/He walked from his/her house over to Zhangsan's place.

(83)	wŏ I		ubiăo atch	fàng put	zài at	chōuti drawer	-	lĭ in
	I put t	he watch in	the drav	wer.				
(84)	wŏ I	jì mail	<u>gĕi</u> to	tā 3sg	yi one	-	fēng CL	xìn letter
	I sent	him/her a l	etter.					
(85)	wč I	xiào laugh	de CSC	zhàn stand		bu can't		
			qi rise	- lá - co	ii me			

I laughed so hard that I couldn't stand up.

Why do these sentences have no *-le*? The answer is that each contains *another* element that does the job of "perfectivizing" the verb. That is, each of the underlined morphemes or phrases in the above sentences serves to perform the same function that *-le* does, namely, to signal that the event is to be viewed as a complete whole. In (82)-(84), the perfectivizing expressions are the directional phrase  $dao Zh\bar{a}ngs\bar{a}n nar$  to Zhangsan's place', the locative phrase  $z\dot{a}i chouti-l\bar{i}$  'in the drawer', and the indirect object phrase  $g\bar{e}i-t\bar{a}$  'to him/her', which put boundaries on the events of walking, putting, and sending by specifying their spatial limits. In (85) the perfectivizing expression is the complex stative phrase *de* 

*zhàn-bu-qī-lái* 'so much that I couldn't stand up' (see chapter 22), which bounds the event of laughing by naming the extent to which it happened.

## 6.1.3 -le in Imperatives

Most of the time, imperatives do not have *-le*. The following examples of imperatives, for instance, do not have *-le*:

(86)	ná take	nĭ you	_	de GEN	wàiyī coat		
	Get your	coat!					
(87)	nĭ you	shāo cook	zhèi this	dùn time	fàn food		
	You mak	e the mea	1!				
(88)	dì hanđ	gěi to	wŏ 1	nèi that	-	ge CL	tiáogēng spoon
	Hand me	that spoo	on!				

-Le can, however, be used in imperatives when there is some urgency about the action taking place, especially when something is to be disposed of or gotten rid of; (89)-(90) are examples:

(89)	yàn swallow	_	<u>le</u> PFV	nèi that	_	ge CL	yào medicine	_
		wánzi pill						
	Swallow	that pill!				-		
(90)	hē drink	_	<u>le</u> PFV	nèi that	bēi cup	yào medicine	•	

Drink that cup of medicine!

Sometimes -le contrasts with the resultative verb ending -diao 'off' in an imperative, where -le expresses more urgency. For example:

(91) a. (neutral)

guān — <u>diào</u> tā turn:off — off 3sg

Turn it off (e.g., the radio).

b. (very irritated)

guān	-	le	tā
turn:off	-	PFV	3sg

Get rid of that noise (e.g., on the radio)!

(92)  $a_1$  (neutral)

cā	-	diào	tā
erase	-	off	3sg

Erase it.

b. (with urgency)

cā	-	le	tā
erase	—	PFV	3sg

Get rid of it (e.g., what's on the blackboard)!

(93) a. (neutral)

 $tu\bar{o} - diao t\bar{a}$ take:off - off 3sg

Take it off (e.g., your ring—I want to try it on).

*b*. (with intensity)

 $tu\bar{o}$  – le  $t\bar{a}$ take:off – PFV 3sg

Take it off (e.g., your ring—I believe that you should never wear it again)!

(94) a. (neutral)

dào – <u>diào</u> tā pour – off 3sg

Pour it out.

b. (with intensity)

 $dao - le t\bar{a}$ pour - PFV 3sg

Pour it out (once and for all and be done with it)!

The *-le* in these examples always correlates with a message in which it is the end point of an action that is important. When an action is to go on for a while, then no *-le* is used, and the verb may be reduplicated (see section 6.4 below):

(95) (taking a picture)

xiào – yi – xiào (\*-le) smile – one – smile -PFV

Smile a little!

Here is a pair of examples which illustrates this point. If someone wants you to open the door and leave it open, s/he could say:

(96) kāi — <u>kāi</u> mén open — open door

Open the door a little!

If, however, the speaker wants you to get a bottle of soda open once and for all, then s/he might say:

Open it!

In negative imperatives, with bie 'don't', it is also normal not to find -le, as (98)-(100) show:

(98) bié guãn mén don't close door

Don't close the door.

 (99) bié jiā jiàngyóu don't add soy:sauce
 Don't add {the any} soy sauce.
 (100) bié dào chá

pour

tea

Don't pour tea.

don't

There is one type of situation in which *-le* must be used in a negative imperative, though, and that is when the imperative is a *warning* to the listener. Sentences (101)-(103) are examples:

(101)	bié don't	pèng — touch —		<u>le</u> PFV	lúzi stove
]	Don't tou	ich the stov	re!		
(102)	bié don't	zhuàng run:into	_	<u>le</u> PFV	gŏu dog
]	Don't rui	n into the d	og!		

(103) bié tūn – le gútou don't swallow – PFV bone

Don't swallow the bone!

The contrast can be seen clearly if we look at pairs of negative imperatives with and without *-le*:

(104) a. bié qiān – míng don't sign – name

(You) don't (need to) sign your name.

b. bié qiān – <u>le</u> míng don't sign – PFV name

Don't sign your name (I'm warning you)!

Sentence (104) b means 'Watch it, something bad will happen if you sign your name', but (104) a isn't a warning. The case is similar in this pair:

(105) a.	bié	xuăn	nèi	—	táng	kè
	don't	select	that	_	CL	course

Don't take that course (I wouldn't bother if I were you).

<b>b</b> .	bié	xuǎn	-	le	nèi	—	táng	kè
	don't	select	_	PFV	that	_	CL	course

Don't take that course (you'll be sorry if you do).

Now, why is it that *-le* has the effect of making the negative imperative into a warning? The reason has to do with the sequencing function of *-le*, which we discussed above in section D of 6.1. An event in a negative imperative by itself is not a likely candidate for a bounded, or *perfective*, event, since the speaker is actually urging that it *not* happen. Therefore, we should expect never to find *-le* in negative imperatives. When *-le* does occur, however, we know that because the event can't be bounded in and of itself, it must be bounded by a following event,

which may or may not be expressed. Thus, to take (105) b, for example, a possible following clause, which could either be expressed or assumed, is provided in (106):

(106)	bié	xuǎn	_	le	nèi	-	táng	kè,
	don't	select	—	PFV	that	-	CL	course
		nĭ	yòu	gēn		bu	_	shàng
		you	again	keep		can't	—	ascend

Don't take that course; you won't be able to keep up again.

The same is true for all the other examples of *bié* imperatives with *-le*: they are always incomplete and must be understood in terms of a following clause, either assumed or actually present, giving the adverse consequences if the warning in the *bié* clause is not heeded. In many cases, the negative consequences are obvious enough that they don't need to be mentioned, as in the earlier example (101):

(101)	bié	pèng	-	le	lúzi
	don't	touch	-	PFV	stove

Don't touch the stove!

Here, since the natural setting would be one in which the stove is too hot to touch, it would generally be unnecessary to add in a following clause the information that the hearer would be burned otherwise. The implication is still 'or else  $\ldots$ ', but the hearer can fill in the rest. When it is not as clear why the warning is being given, then the following clause becomes more necessary. For example, if the warning is not to answer the phone, the reasons might not be clear. In such a case, the following clause specifying the consequence is more likely to occur:

(107)	bié	jiē	-	le	diànhuà ,	burán	nĭ
	don't	answer	-	PFV	telephone	otherwise	you
		yòu	yào	shēngqì			
		again	will	angry			

Don't answer the phone; otherwise you'll get angry again.

We see, then, that the use of *-le* in warnings follows naturally from its use to signal the first event in a sequence. The second event that serves to bound the first

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one is often understood and therefore not explicitly stated in a natural speech context.

# 6.1.4 -le Does Not Mean Past Tense

By now we have seen a number of examples showing that -le does not signal past tense. To recapitulate, we find -le in such non-past perfective sentences as imperatives:

(108)	hē drink	_	<u>le</u> PFV	tā 3sg			
	Drink it.						
(109)	bié don't	dă hit	-	pò broken	_	<u>le</u> PFV	bēizi glass
	Don't breal	k the gla	SS.				
in sentenc	es indicating	g simple	futures	:			
(110)	míngtiān tomorrow	wŏ I	jiu then	kāichú expel	_	<u>le</u> PFV	tā 3sg
	I'll expel hi	m/her to	morrow	<u>/!</u>			

and in future or conditional sequence-of-action sentences:

(111)	wŏ	chī	-	le	fàn	zài	zŏu
	Ι	eat	_	PFV	rice	then	go

I'll go after I eat.

(112)	tā	kāi	-	le	mén ,	nĭ	jiu
	3sg	open	_	PFV	door	you	then
		jìn	_	qu			
		enter	_	go			

{When} If s/he opens the door, you go in.

Furthermore, we know that many sentences expressing past events need not have any *-le*. For example, bounded events with perfectivizing expressions don't take *-le*:

(113)	zuótiān	tā	tiào	zài	chuáng	_	shang
	yesterday	3sg	jump	at	bed	—	on

Yesterday s/he jumped onto the bed.

(114)	tā	bă	ròu	qiē	-	chéng	xiăo	kuài
()	3sg	BA	meat	cut	-	become	small	piece

S/He cut the meat into small pieces.

Events that are not explicitly bounded, however, also do not occur with *-le*, even if they refer to past time:

(115) tāmen qiántiān jiào wǒ zài zhèli děng they day:before:yesterday tell I at here wait

The day before yesterday, they told me to wait here.

(116) zuótiān yè – lǐ wǒ mèng yesterday night – in I dream

jiàn wǒ mǔqīn
perceive I mother

Last night I dreamed about my mother.

(117) nèi – běn shū shì wǒ xiẻ de that – CL book be I write NOM

That book was written by me.

(118)	wŏ	zăo	zhīdào	yǒu	yidiăn	bu	duì
	Ι	early	know	exist	a:little	not	right

I knew a long time ago that something was wrong.

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(119)	wŏmen	dào	băihuògōngsī	qù	mǎi	dōngxi
	we	to	department:store	go	buy	thing

We went to the department store to buy some things.

(120)	tā	wèn	wŏ	nĭ	niánqīng	de	shíhòu
	3sg	ask	Ι	you	young	NOM	time
		zài	năli	niàn	_	shū	
		at	where	study	_	book	

S/He asked me where you went to school when you were young.

Why is it, then, that sentences with *-le* so often seem to be referring to past time? The answer is simple: even though *-le* doesn't *mean* past tense, many perfective events reported in speech are events that occurred prior to the time of speaking. This means that there is a correlation between events in the past and the appearance of *-le*: ordinarily, unless the context makes it clear that a different time is being referred to, a perfective sentence with *-le* will be understood to refer to past time. On the other hand, it does not follow from this that past-time events must be perfective; only those past-time events that are bounded will occur with *-le*.

## 6.1.5 -le Does Not Mean Completion

It is equally important to recognize that *-le* cannot be characterized as expressing completion. Typically, of course, an action that is bounded is also complete, but *-le* need not necessarily signal completed action. For instance, consider sentence (121):

(121)	qiáng	-	shang	guà	_	le	yi	-
•	wall	-	on	hang	_	PFV	one	-
		fu CL	huà painting					

# On the wall hangs a painting.

As it is used in (121), the verb gua 'hang' does not signal an action. Rather, it describes a stative event concerning the painting. The English translation accurately depicts this stative usage of the verb gua 'hang' in (121). The event described by (121) is bounded by the quantifying phrase *yi-fu hua* 'one painting', and *-le* is

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present in (121). There is, however, no sense of completion being conveyed by the sentence.

Let us consider another example clearly showing that -le does not mean completion:

(122)	tā	păo	-	le	liǎng	_
	3sg	run	-	PFV	two	-
		ge	zhōngtóu	le		
		ĊL	hour	CRS		

S/He has run for two hours.

In (122), both the perfective -le and the sentence final le (see chapter 7) occur. A sentence such as (122), with both the perfective -le and the sentence final le, conveys the message that the event is bounded (in this case, the time phrase also serves to bound the event), and the starting point of an action, in this case,  $p\tilde{a}o$  'run', occurs before the time of speech, but the end point of the action is left open. In other words, in (122), the action of running might have ended before the time of speech, or it might end at the time of speech, or it might end at some time after the time of speech. Only the total context in which (122) occurs can determine what is the precise end point of the action in time. It is obvious that if -le were to signal completed action, sentences such as (122) could not be indeterminate with regard to the end point of the action denoted by the verb.

# 6.1.6 Summary

We have seen that the function and the use of -le are not mysterious once it is understood as a perfective marker and once the notion of perfectivity is made clear. The perfective marker -le is used for events that are viewed as bounded because (1) the events are quantified, (2) the events are specific, (3) the verbs have inherently bounded meanings, or (4) there are following events. We have also seen that -le can be omitted in the presence of another perfectivizing expression and that in certain instances speakers may be expected to make different decisions as to whether an event is sufficiently bounded to require -le.

Learning to control -le is one of the most difficult tasks facing a European-language speaker attempting to master Mandarin, partly because European languages have no feature quite like it. This task is further complicated by an equally elusive sentence-final le 'CRS' (discussed in chapter 7). If we begin, however, by abandoning any attempt to equate -le with a grammatical category such as tense in fectivity and boundedness, we will be making a good head start in this challenging task.

Let's turn now to the other aspect markers of Mandarin.

## 6.2 The Durative Aspect

In the introduction to this chapter, we said that durative markers signal the ongoing, or durative, nature of an event.<sup>6</sup> English uses the verb ending *-ing* together with the copula to express ongoing events, as in (123) and (124):

(123) She is explaining the grammar.

(124) He was holding the baby.

In Mandarin, there are two aspect markers that signal the durative nature of an event: the word *zài* and the suffix *-zhe*. The usage of the durative markers in a sentence depends on the meaning of the verb. In the following discussion we will correlate the occurrence of the durative markers with various semantic types of verbs.

# 6.2.1 Semantic Types of Verbs and the Durative Aspect Markers -zhe, zài

## A. Activity Verbs.

As the name suggests, these verbs signal activity. The most apparent activity is, of course, an action, such as  $p\dot{a}o$  'run',  $d\ddot{a}$  'hit'. Action verbs constitute only a subset of activity verbs, however. There are other verbs, such as  $x\bar{n}sh\check{a}ng$  'appreciate',  $k\dot{a}n$  'read, look at',  $y\dot{a}nji\bar{u}$  'research', and  $xu\dot{e}$  'learn', which do not name actions but nevertheless represent activities. One way to describe activity verbs is that they generally signal the active participation and involvement of an animate subject in an event. Thus, such verbs as  $p\dot{a}ng$  'fat',  $y\dot{o}u qian$  'have money = rich',  $sh\bar{o}ud\check{a}o$  'receive',  $zh\bar{i}d\dot{a}o$  'know', and  $t\bar{i}ng-shu\bar{o}$  'hear-say = hear (about some information)' are not activity verbs because they do not signal the active participation of an animate subject. For example, consider sentence (125):

(125)	Zhāngsān	shōuđǎo		le	yi	_	fēng	xìn
	Zhangsan	receive	—	PFV	one	_	CL	letter

# Zhangsan received a letter.

Although Zhangsan is an animate subject of the verb *shoudǎo* 'receive', the

some sort of activity. In fact, Zhāngsān in (125) is simply the passive receiver of a letter. Similarly, in (126):

(126) Zhāngsān hěn pàng fat Zhangsan very

Zhangsan is very fat.

the subject Zhāngsān is merely in a state that is described as "fat"; he is not actively participating in any activity.

Given "activity" as a semantic characterization of a class of verbs, we can state the first rule concerning the use of the durative markers:

(i) Only activity verbs can take zài to indicate the durative aspect.

The following sentences illustrate the rule stated in (i). Sentences (127)-(130)contain activity verbs and are well formed: but sentences (131)-(135), with nonactivity verbs, are unacceptable:

(127)	Zhāngsān	zài	dă	Lĭsì <sup>7</sup>
	Zhangsan	DUR	hit	Lisi

Zhangsan is hitting Lisi.

xīnshăng Bèiduofēn yīnyuè (128)wŏ zài ---de Ι DUR appreciate Beethoven - ASSOC music

I am appreciating the music of Beethoven.

(129) Zhāngsān zài liàn păo Zhangsan DUR practice run

Zhangsan is practicing running.

(130)Lĭsì jiěshi wénfă zài Lisi DUR explain grammar

Lisi is explaining the grammar.

(131)\*tā zài pàng DUR fat 3sg

(132)	*wŏ I	<u>zài</u> DUR	zhīdào know	nèi that	_	jiàn CL	shì matter	
(133)	*Zhāngs Zhangsa	ān <u>zài</u> n DUI	•	qián money				
(134)	*píngzi bottle	<u>zài</u> DUR	pò broken					
(135)	*tā 3sg	<u>zài</u> DUR	pèngjian run:into	péngyo frienc				
			f Mandari urative asp					
(136)	a. Zhān Zhan	-	dă – hit –	- <u>zhe</u> - DU	-	ăsì isi	<u>ne</u> REx	
	Zhang	san is hit	ting Lisi.					
	b. Zhān Zhan		zài d DUR h		_	······	Lĭsì Lisi	( <u>ne</u> ) REx

Zhangsan is hitting Lisi.

## B. Verbs of Posture

-zhe

In Mandarin there is a class of verbs that denote postures or physical dispositions of an entity at a location, including zuò 'sit', zhàn 'stand', dūn 'squat', xiē 'rest', guì 'kneel', tăng 'lie', tíng 'stop', and shuì 'sleep'. These verbs may occur with the durative aspect marker -zhe to signal the ongoing posture or physical disposition of an entity at a location. Sentences (137)-(141) will illustrate:

(137)	tā	zài	fángzi	—	lĭ	zuò	—	zhe
	3sg	at	house	-	in	sit		DUR
S	S/He is s	itting in	the house.					
(138)	wŏ I	zài at	qiáng wall	_	shang on	zhàn stand	-	<u>zhe</u> DUR
I	am stan	ding on	the wall.					

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(139)	Lĭsì Lisi	zài at	kètīng living:room	_	lĭ in	shuì sleep	_	<u>zhe</u> DUR
	Lisi is sle	eping in	the living roo	om.				
(140)	chēzi car	zài at	wàimian outside	tíng stop		zhe DUF	-	
	The car is	parked	outside.					
(141)	tā 3sg	zài at	chuáng bed	_	shàng on	tăng lie	_	zhe DUR
	S/He is ly	ing on tl	he bed.					

C. Activity Verbs Signaling States Associated with Their Activity Meanings.

Consider the verb ná 'take'. It names an activity as it occurs in (142), and, as predicted by rule (i), it takes *zài* to express durativity:

(142)	tā	zài	ná	bàozhĭ
	3sg	DUR	take	newspaper
	S/He is	{taking {picking	g up}	newspapers.

On the other hand, ná could mean a state associated with the activity of 'taking', namely 'holding', as in (143); here durativity is expressed by the suffix -zhe:

(143)	tā	ná	-	zhe	liăng		běn	shū
	3sg	take	_	DUR	two	-	CL	book

S/He is holding two books.

Consider another example, guà 'hang', which may be an activity verb, as shown in the imperative sentence (144):

(144)	nĭ	bă	nèi	_	ge	zhàopiàn	guà	zài	zhèr
	you	BA	that	_	CL	photograph	hang	at	here

Hang that photograph here.

The same verb, however, can also be used to name a state associated with the activity of hanging, as in (145):

(145) qiáng wall	_	shang on	guà hang	_ _	<u>zhe</u> DUR	yi one	_
	ge CL	zhàopià photogra					

# There is a photograph hanging on the wall.

A further example is the verb chuan, which can mean either 'put on' or 'be wearing'. With the former meaning, the verb is an activity verb, but with the latter meaning, the verb signals a state associated with the action 'put on'. The pair of sentences in (146) illustrates this semantic contrast:

(146) <i>a</i> .	tā	zài	chuān	pí		xié
	3sg	DUR	put:on	leather	-	shoe

S/He is putting on his/her leather shoes.

b.	tā	chuān	-	zhe	pí	_	xié
	3sg	wear	—	DUR	leather	-	shoe

S/He is wearing his/her leather shoes.

In (146) a, the verb chuan, as an activity verb, takes zài as the durative aspect marker; in (146) b, chuān denotes a state and takes -zhe as the durative aspect marker.

We can now express a rule with regard to an activity verb that denotes a state associated with its activity meaning:

(ii) An activity verb that signals a state associated with its activity meaning takes -*zhe* as the durative aspect marker.

Here are some further examples of this stative usage of activity verbs:

(147)	zài	mén	_	k	ŏu	—	d	le	bōli	-
	at	door	-	mc	outh	-	ASS	SOC	glass	-
		shang	xiě	-	zhe		sì	_	ge	zì
		on	write	_	DUR	f	our	_	CL	character

On the glass in the doorway are written four characters.

(148)	wŏ	wèn	tā	qián	dōu	zài	năli	gē	_	zhe
	Ι	ask	3sg	money	all	at	where	put	_	DUR

I asked him/her where all his/her money had been put.

With regard to the rule stated in (ii), it should be pointed out that not all activity verbs can be used to denote a state. For example, *tiào* 'jump' is an action and is, therefore, an activity verb, but it cannot be used to describe a state. Thus (149) a is acceptable, but (149) b is not:

(149) <i>a</i> .	Zhāngsān	zài	tiào
	Zhangsan	DUR	jump

Zhangsan is jumping.

b.	*Zhāngsān	tiào	_	zhe
	Zhangsan	jump		DUR

As is clear from the description in 6.2.1.B and the rule stated in (ii), the verbs that take *-zhe* as the durative aspect marker do not signal activity. On the other hand, not all nonactivity verbs can take the durative aspect marker *-zhe*. In fact, most of the nonactivity verbs cannot take any durative aspect marker. Thus examples (131)-(135) would be equally unacceptable if *zài* were replaced with the verbal suffix *-zhe*, as we can show by replacing *zài* with *-zhe* in (131):

(150)	*tā	pàng	-	zhe
	3sg	fat	_	DUR

D. -zhe . . . ne as an Intensifier

There is another usage of *-zhe* which is distinct from the durative function of *-zhe* discussed here: it may function as an intensifier together with the sentence-final particle *ne*. For example,

(151)	nèi	_	ge	fángjiān	hēi	-	zhe	ne
	that	_	CL	room	black	-	INT	REx

That room is pretty dark.

The meaning of (151) makes it clear that *-zhe* in such a context does not signal duration. Sentences like (151), however, appear only in certain northern dialects of

Mandarin. In those dialects in which it occurs, *-zhe* as an intensifier may be suffixed to any adjectival verb.

# 6.2.2 Complex Sentences with the Durative Aspect Marker -zhe

Finally, the durative aspect marker *-zhe* can also be used in the first of two clauses to signal that one event provides a durative background for another event. For example, in sentence (152),

(152)	xiǎo	gŏu	yáo	_	zhe	wěiba	pǎo	le
	small	dog	shake	_	DUR	tail	run	CRS

The small dog ran away wagging its tail.

the wagging of the tail is presented as the ongoing background to the running away. The same can be said about these additional examples:

(153)	tā	guāng	_	zhe	jiǎo	shàng	-	kè
	3sg	bare		DUR	foot	ascend	_	class

S/He goes to class barefooted.

(154)	tā	kū	-	zhe	pǎo	huí	jiā	qu	le
	3sg	cry	_	DUR	run	return	home	go	CRS

S/He ran home crying.

(	155)	nèi	—	zhāng	huà	děi	dēng	-	zhe
		that	-	CL	painting	must	step	_	DUR
			yĭzi chair	guà hang					

That painting, you have to stand on a chair to hang.

(156)	tā	nào	-	zhe	yào	măi	dàyī
	3sg	fuss	_	DUR	want	buy	coat

S/He made a fuss about wanting to buy a coat.

(157)	tā	xié	_	zhe	yǎn	xiào	-	zhe
	3sg	slant	-	DUR	eye	smile		DUR
		kàn	wŏ					
		look	I					

Smiling, s/he looked at me out of the corner of his/her eye.

(158) tā <u>tăng – zhe</u> kàn bào 3sg lie – DUR look paper

S/He was lying down reading the newspaper.

In this construction *-zhe* can be used with many different types of verbs, not just those that take it in simple sentences. For example, the verb  $t\bar{tng}$  'listen' would normally take  $z\dot{a}i$  as its durative marker, since it is an activity verb:

(159) tā <u>zài</u> tīng shōuyīnjī 3sg DUR listen radio

S/He is listening to the radio.

When *ting* provides the ongoing background for another event, though, it can occur with *-zhe*, as in:

(160)	tā	tīng	—	zhe	shōuyīnjī	shuì	—
	3sg	listen	-	DUR	radio	sleep	—
		zháo	LE				
		achieve	PFV/CRS				

S/He fell asleep listening to the radio.

In order for an event to be durative, however, it must extend over a certain period of time. Thus, verbs that describe instantaneous, nonrepeatable activities cannot occur as the durative-background verb:

(161)	*tā	sĭ	—	zhe	fā	—	shão
	3sg	die	_	DUR	put:forth	—	fever
(162)	*Xìnměi	diào	_	zhe	qián	shēngqì	
	Xinmei	lose	_	DUR	money	angry	

ASPECT

Since there are two verbs in complex sentences with *-zhe*, we might expect that each could be negated, with the scope properties differing according to which verb the negative occurs with (see section 12.1 of chapter 12 for a discussion of the scope of negation). Indeed, sentences (163) and (164) show that this expectation is justified:

(163)	tā	bu	tǎng	_	zhe	kàn	_	bào
	3sg	not	lie	-	DUR	read	_	paper

S/He doesn't read the paper lying down.

(164)	tā	bì	-	zhe	yăn	bu	shuō	_	huà
	3sg	close	-	DUR	eye	not	say	_	speech

S/He had his/her eyes closed, and s/he was not saying a word.

In (163), since the negative particle *bu* precedes the entire verb phrase, that entire verb phrase is what is being negated: the whole activity of reading the paper while lying down is what s/he doesn't do. In (164), on the other hand, it is '*not* saying a word' that is stated against the background of his/her eyes being closed. Here is a further example of each type of negation:

(165)	wŏ I	yixiàng always	bu not	guāng bare	_	zhe DUR	jiăo foot	pǎo run	
]	l nevei	run barefo	oted.						
(166)	tā 3sg	kū cry	_	zhe DUR		bu not	chī eat		fàn food

S/He was crying and not eating.

Auxiliaries, on the other hand, normally occur before the *-zhe* verb in this construction, since it is generally the entire activity with its background which the speaker is claiming that the subject must, should, or is able to do. For example:

(167)	tā	néng	qí	-	zhe	mă	shè	-	jiàn
	3sg	can	ride	-	DUR	horse	shoot	_	arrow

S/He can shoot an arrow while riding a horse.



S/He should type sitting down.

# 6.3 The Experiential Aspect

The aspect suffix -guo means that an event has been *experienced* with respect to some reference time.<sup>8</sup> When the reference time is left unspecified, then -guo signals that the event has been experienced at least once at some indefinite time, which is usually the indefinite past:

(169)	wŏ	chī		guo	Rìběn	fàn
	Ι	eat	—	EXP	Japan	food

I've eaten Japanese food (before).

Negating a sentence with -*guo* denies that such an event has ever been experienced, and questioning it asks whether the event has ever been experienced:

(170)	wỏ	méi	chī	—	guo	Rìběn	fàn
	Ι	not	eat		EXP	Japan	food

I have never eaten Japanese food (before).

(171)	nĭ	chī	-	guo	Rìběn	fàn	méiyou ?	
	you	eat	_	EXP	Japan	food	not	

Have you ever eaten Japanese food (before)?

Here are some further examples:

(172)	wŏ	-	de	yá	yě	téng	_	guo
	I	_	GEN	tooth	also	hurt	—	EXP

My teeth have hurt before, too.

(173) Zhāngsān jié – <u>guo</u> hūn méiyou? Zhangsan marry – EXP marriage not

Has Zhangsan ever been married?

ASPECT

(174)	wŏ	shuāi	_	duàn	_	guo	tuĭ
	I	fall	_	break	_	EXP	leg

I fell and broke my leg once.

In other words, the focus of a sentence with -guo is not that an event has taken place, but that it has taken place at least once. The contrast between -le and -guo makes this distinction quite clear: the perfective -le signaling a bounded event typically conveys the message that the event took place, while -guo signals that an event has been experienced at least once. Consider the following examples as illustrations of this contrast:

(175) <i>a</i> .	tā	dédào	_	le	yi	_	ge	hépíng	jiǎngjīn
	3sg	obtain	—	PFV	one	-	CL	peace	prize

S/He won a peace prize.

<b>b</b> .	tā	dédào	_	guo	yi	_	ge	hépíng	jiǎngjīn
	3sg	obtain		EXP	one	-	CL	peace	prize

S/He has had the experience of winning a peace prize.

(176) <i>a</i> .	nĭ	kàn	_	jian	-	le	wŏ	-
	you	see	-	perceive	-	PFV	Ι	_
		de	yǎnjìng	ma?				
		GEN	glasses	Q				
	Have y	ou seen r	ny glasses (	recently, aro	und he	ere?Ican	't find th	em)?

b. nǐ kàn – jian – <u>guo</u> wǒ – you see – perceive – EXP I –

> de yănjîng ma? GEN glasses Q

Have you ever seen my glasses?

17) a.	tā 3sg	zài at	Rìběn Japan	zhù live	_	le PFV	sì four
		ge CL	yuè month				
	S/He live	d in Jap	an for four	months	•		
b.	tā 3sg	zài at	Rìběn Japan	zhù live	-	guo EXP	sì four
		ge	yuè				

S/He has had the experience of living in Japan for four months.

In the sentences with -le, the focus is on the event being viewed as a whole, which often leads to the inference that the event has already occurred, while in those with -guo, the focus is on whether the event has ever been experienced.

All the examples of -guo we have looked at so far have involved sentences with no reference time specified, and the translation of these sentences indicated that the event had been experienced at least once in the past, that is, prior to the time of speech. When a reference time is provided, then the focus of the sentence is on the event's having been experienced at least once with respect to that time. If there is no reference time specified or if the specified reference time is in the past, then the focus of the sentence with -guo is on the event's having been experienced at least once and being over now. The following two sentences convey similar messages, but the focus is different:

(178) <i>a</i> .	tā	qùnián	dào	Zhōngguó	qù	-	le
	3sg	last:year	to	China	go	_	PFV

S/He went to China last year.

CL

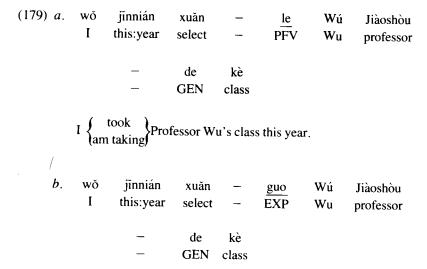
month

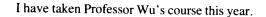
b. tā qùnián dào Zhōngguó qù – <u>guo</u> 3sg last:year to China go – EXP

S/He went to China last year.

The focus of sentence (178) a is simply on the fact that this event happened. Nothing is said about whether s/he is still there. Sentence (178) b, on the other hand, assumes that s/he went to China and claims that this took place at least once during last year and is now over; this is why b, but not a, implies that s/he is now back from China. The subject's return is not part of the *meaning* of *-guo*, but it is part of the *message* of the *-guo* sentence because we can infer it from the meaning of *-guo*: if something has been experienced, it is over.

The basic distinction helps in understanding a number of similar pairs. For example, consider (179):





The *a* sentence in this pair provides the news that the speaker enrolled in Professor Wu's class, which might still be going on. The *b* sentence, with -guo, assumes that the speaker was enrolled in the course and claims that the experience is now over.

Finally, we can see why sentence (180) expresses the message that he no longer loves Miss Huang:

(180)	tā	ài	_	guo	Huáng	Xiăojiě
	3sg	love	-	EXP	Huang	Miss

He once loved Miss Huang.

Once more we infer that if something has been experienced, it is over.

(17

Now that the experiential meaning of *-guo* and the normal inferences that follow from it are clear, we can easily understand certain restrictions on its use. First, *-guo* makes no sense with verbs naming events that are not repeatable:

181)	*tā	sĭ	—	guo
	3sg	die		EXP

(\*S/He has died before.)

(182)	*tā	lǎo	—	guo
	3sg	old	_	EXP

(\*S/He has been old before.)

Comparing (181) and (182) to (183), we can see that (181) and (182) are unacceptable because 'death' and 'being old' are not repeatable, while (183) is acceptable because 'being fat' is repeatable.

(183)	tā	pàng	_	guo
	3sg	fat	_	EXP

S/He has been fat before.

Second, because a person cannot be ordered to "experience" something (though s/he can certainly be ordered to *do* something), imperatives with *-guo* typically make no sense:

(184)	*hē	-	guo	chá !
	drink		EXP	tea

It is conceivable, however, that someone might comment that an event must be experienced *again*, so that we might hear an imperative sentence like:

(185)	zhèi	_	ge	děi	cóng	—	xīn	zuò	-	guo
	this	-	CL	must	from	-	new	do	—	EXP

This has to be done once again.

Third, -guo is not used in a context in which the focus is on the simple fact that an event or a series of events occurred. These are contexts that call for a perfective

marker, such as *-le*, or a perfectivizing expression. For example:

(186)	zuótiān yesterday	Zhāngsān Zhangsan	lái come	shuō say	tā 3sg	xĭhuan like	gŏu dog
		sŭoyĭ	wŏ	jīntiān	sòng	$\left\{ \begin{array}{c} -le \\ *-guo \end{array} \right\}$	tā
		sŭoyĭ therefore	Ι	today	give	{-PFV {-PFV {*-EXP}	3sg
		yi	_	tiáo	gǒu		
		one	-	CL	dog		
	Yesterday Z log.	hangsan cam	e to say t	hat he like	es dogs,	so today I g	ave him a

(187) wŏ jiějie qùnián jiéhūn jīn nián Ι elder:sister last:year marry this year shēng -le yi ge háizi \*-guo∮ -PFV give:birth one CL child \*-EXP

ŧ

My elder sister got married last year, and this year she gave birth to a child.

then

go

sleep

(188)	wŏ I	zuótiān yesterday	wänshàng evening	kàn watch	{-le {*-guo} {-PFV	diànshì	, féng sew	$ \begin{cases} -le \\ *-guo \end{cases} $	
		liăng	_		(*-EXP wàzi				

– pair sock jiào

sleep

two

Last night I watched TV, sewed two pairs of socks, and went to bed.

(

To sum up, we can say that the aspect marker -*guo* serves to signal that an event has been experienced at least once. Because of this basic meaning, it is not used for events that cannot in principle happen more than once, it is not found in imperatives, nor does it occur in sentences whose focus is the simple fact that an event happened.

## 6.4 The Delimitative Aspect

The *delimitative aspect* means doing an action "a little bit," or for a short period of time.<sup>9</sup> This aspect is structurally represented by the reduplication of the verb (see section 3.1.1, A of chapter 3); this reduplication may optionally involve the morpheme *yi* 'one' between the verb and the reduplicated syllable, as shown in (189)–(195):

(189)	nĭ	shì	-	(yi-)	shi	kàn	
	you	try	-	(one-)	try	see	

Try it a little and see.

(190)	zhèi	_	ge	huā	děi	yǎng	—	(yi-)
	this	-	CL	flower	must	cultivate	—	(one-)
		yang	cái	huì	kāi			
		cultivate	only:then	will	open			

This flower must be cultivated a little before it will bloom.

(191)	nĭ	xĭhuān	chàng	_	gē,	nà	nĭ	jiu
	you	like	sing	-	song	then	you	just
		chàng	_	(yi-)	chang	ba !		
		sing	-	(one-)	sing	SA		

You like to sing, so go ahead and sing a little!

(192)	wèishenme why				 	zhèi this	
	_	ge	wènti	ne?			
		CL	problem	REx			

Why don't you first discuss this problem a little?

(193)	tā	shuì	_	(yi-)	shui	jiu	hăo	
	3sg	sleep	_	(one-)	sleep	then	well	

S/He will be well after sleeping a little.

(194) tāmen <u>tīng — (yi-) ting</u> Bèiduōfēn — de they listen — (one-) listen Beethoven — ASSOC

yīnyuè jiù xǐhuān music then like

After they listen to the music of Beethoven a little, they'll like it.

(195)	wŏ	wèn	_	(yi-)	wen	zài	juéding
	I	ask	-	(one-)	ask	then	decide

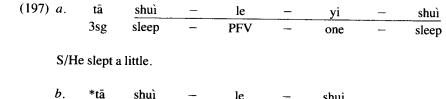
I'll decide after I inquire a little.

When yi 'one' is used in the reduplication, the yi plus the reduplicated syllable functions like a quantity adverbial of the type discussed in section 8.5 of chapter 8 on adverbs, <sup>10</sup> as in:

(196)	zhèi	-	běn	xiǎoshuõ	wŏ kàn		_	le	sān	cì
	this	-	CL	novel	Ι	see		PFV	three	time

This novel I've read three times.

One intriguing piece of evidence suggesting that yi plus the reduplicated syllable does indeed function grammatically as a quantity adverbial is the fact that the perfective aspect marker -le may appear after the first verb in reduplication with yi, but not in reduplication without yi; for example:





This difference between (197) a and (197) b exists because, as we observed in section 6.1.1, the perfective *-le* can occur with a verb whose meaning is bounded by quantified phrase, but does not occur with a verb whose meaning is not bounded at all.

Another piece of evidence in favor of viewing the yi plus the reduplicated syllable as an adverbial is that without the yi, the reduplicated syllable is normally destressed and receives a neutral tone, but with yi, though not shown in (189)–(195), the reduplicated syllable retains its normal stress and its full tone, as seen in (197) a. Since quantity adverbials are generally stressed and have their normal tones, this too suggests that the combination of yi plus reduplicated syllable is grammatically a quantity adverbial.

If the verb being reduplicated is one signaling an activity leading to a natural end point, such as  $c\bar{a}i$  'guess' or  $m\bar{a}i$  'buy', the delimitative aspect may suggest 'trying to (verb)', as in the following example:

(198)	nĭ	cāi	_	yi	-	cāi
	you	guess	-	one		guess

## You try to guess.

Since the meaning of the delimitative aspect involves doing something "a little bit," several constraints on the types of verbs that may be reduplicated to indicate this aspect follow. First, the verb must be an activity verb. An activity verb may denote an action, as in  $d\check{a}$  'hit',  $z\check{o}u$  'walk',  $k\grave{a}n$  'look',  $ti\grave{a}o$  'jump', or it may imply activity of some sort, as in  $xi\check{a}oxin$  'be careful'. Thus, nonactivity verbs, such as  $p\grave{a}ng$  'fat' and  $y\check{o}u$  'exist', cannot be reduplicated to show delimitative aspect:

(199)	*nĭ	pàn	g	_	pang					
	you	fat		-	fat					
	-									
(200)	*wūzi	_	li	yŏu	_	you	yi	_	ge ha	óuzi
	house	-	in	exist	-	exist	one		CL mo	nkey

Second, those activity verbs that can undergo reduplication for the delimitative aspect must be volitional verbs. We will define *volitional verbs* as those that under normal circumstances imply volition on the part of the subject. For example, consider the English verb *hit*. It implies volition under normal circumstances, although one can say, "I didn't intend to hit him; it was an accident," where the lack of volition is made clear. On the other hand, the verbs *forget* and *fall* are not

volitional, because under normal circumstances they imply a lack of volition. In other words, a volitional verb normally implies volition if the lack of volition is not explicitly stated, and a nonvolitional verb normally implies the lack of volition if there is no explicit statement to the contrary. Since the delimitative aspect means that the subject does something a little bit, it follows that only volitional verbs, that is, those expressing events over which one has some control, can be reduplicated to show delimitative aspect. Thus (201) is unacceptable because the verb is non-volitional, whereas (202) is acceptable because the verb is volitional.

(201)	*nĭ you	wàng forget		C	tā he	
(202)	nĭ you		wen smell			huā flower

Smell this flower a little.

Third, a resultative verb compound (see section 3.2.3 of chapter 3) cannot be reduplicated for delimitative aspect. This is because the function of a resultative verb compound is to signal that a given event leads to a certain result. The focus on the result of the event with these compounds is incompatible with the delimitative aspect meaning of doing something for a little while. Hence, the resultative verb compound cannot be reduplicated in the delimitative aspect. Sentence (203) is an illustration:

(203)	*nĭ	dă		kai	—	dă	_	kai
	you	hit	-	open	-	hit	_	open
		nèi	_	ge	mén			
		that	-	CL	door			

Finally, the delimitative aspect is particularly likely to occur in requests, as in:

(204)	qĭng	nĭ	bǎ	mén	kāi	-	(yi-)	kai
	please	you	BA	door	open	_	(one-)	open

Please open the door.

(205)	nĭ	yào	kàn	-	(yi-) kan	
	you	want	read	_	(one-)	read
		zhèi	– pian		wénzhāng	
		this	_	CL	article	

You should read this article.

When one wishes to soften a request so that it will not appear harsh, the delimitative aspect is a perfect device to use, since it reduces the "weight" of the request on the hearer by saying that the action can be done "just a little."

# 6.5 Summary

The functions of -le,  $z\dot{a}i$ , -guo, and reduplication have been presented here in terms of the concept of aspect, which signals how an event or situation is to be viewed. We can summarize our findings this way:

- 1. -Le: a bounded event viewed in its entirety.
- 2. Zài: an ongoing activity.
- 3. -Zhe: an ongoing posture or state resulting from an activity.
- 4. -Guo: an event viewed as having been experienced at least once.
- 5. Reduplication: an event viewed as happening a little bit.

# Notes

- This discussion is adapted from the introduction to Comrie (1976), to which the reader is referred for further discussion of aspect in a number of languages. In writing this chapter, we have also taken examples and descriptions from the following sources: Baron (1970), Teng (1975a), Spanos (1977, 1979), Rohsenow (1978), G.-T. Chen (1979), Kwan-Terry (1979), and Chao (1968), except that we do not agree with Chao's statement (p. 246) that *-le* expresses "completed action." "Perfective," as we will see, is not the same as "completed." We have also benefited from discussion with R. McMillan Thompson, Paul Hopper, and Bernard Comrie.
- 2. See Spanos (1977, 1979) for extensive discussion of speakers' variation in the use of *-le*. Example (28) is taken up in Spanos (1977:61-64).
- 3. If 'le' occurs at the end of this sentence, we have

(i)	tā	yào	sĭ	le
	3sg	want	die	CRS

S/He wants to die.

in which the sentence-final le signals the current relevance of the sentence in the discourse context. It should be clear that the verb  $s\ddot{i}$  'die' in (i) is still in the irrealis mode, which is not affected by the presence of le.

4. Combinations of -guo and "le" do occur in sentence-final position, but these instances of "le" represent the use of the sentence-final le, not the perfective aspect -le. Here is a typical example of -guo together with the sentence-final le:

 (i) zhèi – piān wénzhāng wǒ kàn this – CL article I read
 – guo le – EXP CRS

## I've read this article.

We have two ways of knowing that this "le" is the sentence-final CRS le and not the perfective aspect -le. One is that the sentence becomes unacceptable if the direct object is positioned after the verb:

(ii)	*wŏ I	kàn read	-	guo EXP	le CRS	zhèi this
	1		piān	wénzhāng		uns
	-		CL	artic	U	

If the "le" in (i) were the aspect marker -le, then sentence (ii) would be just as acceptable as (i). The second piece of evidence is that the le in (i) adds precisely the meaning of current relevance to sentence (i) which we expect of the sentence-final le (again, see chapter 7 for a full discussion of the meaning of le).

5. There is one exception: *-le* can occur in negative imperatives; see section 6.1.3 of this chapter. Note also that, as with *-guo*, *bu* can occur with the sentence-final *le*, as in:

(i)	nà	wŏ	<u>bu</u>	qù	le
in:that:case		Ι	not	go	CRS

In that case I'm not going to go.

Again, see chapter 7 for discussion.

- 6. This section contains a number of ideas inspired by the work of G.-T. Chen (1979), Marney (1977:38-52), Chu (1978), and Teng (1979b).
- 7. In this section, some example sentences are translated into English in the past tense and some in the present tense. It is crucial to remember that Mandarin makes no tense distinction and that any of these examples could be understood either way. For the sake of readability, we will arbitrarily choose either an English present or English past translation and not give both each time.
- 8. This section has benefited from comments and examples in Ma (1977).
- 9. This section contains ideas from Chao (1968), from Wang (1947), and from unpublished work of Chui Lim Tsang, to whom the term *delimitative aspect* is also due.
- 10. As pointed out by Chao (1968:312).