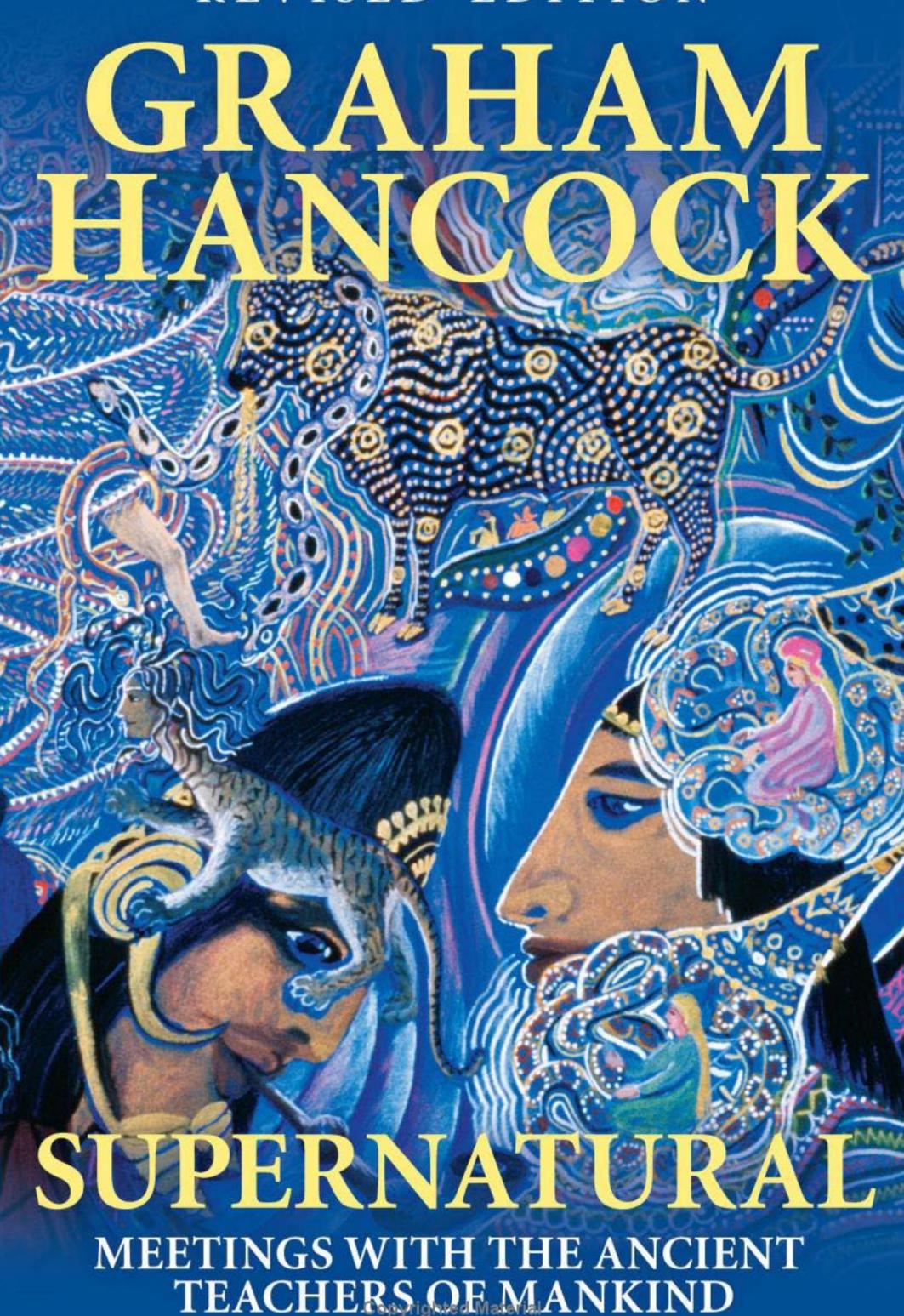
REVISED EDITION



# Supernatural

"The modern world was not alive to the tremendous Reality that encompassed it. We were surrounded by an immeasurable abyss of darkness and splendor. We built our empires on a pellet of dust revolving round a ball of fire in unfathomable space. Life, that Sphinx, with the human face and the body of a brute, asked us new riddles every hour. Matter itself was dissolving under the scrutiny of Science; and yet, in our daily lives, we were becoming a race of somnambulists, whose very breathing, in train and bus and car, was timed to the movement of the wheels; and the more perfectly, and even alertly, we clicked through our automatic affairs on the surface of things, the more complete was our insensibility to the utterly inscrutable mystery that anything should be in existence at all."

Alfred Noyes, *The Unknown God*, Sheed and Ward, London, 1934, pp. 176-177

For my father, Donald M. Hancock, December 7, 1924 – September 16, 2003.

Ride in green pastures.

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# Supernatural

Meetings with the Ancient Teachers of Mankind

Graham Hancock

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provenance of the hallucinogenic mushroom *Psilocybe semilanceata*. Thanks to the late Professor John Mack for his pioneering work at the borders of consensual reality and for his tremendous intellectual courage. Thanks to Hattie Wells for seeing me safely through my first ibogaine session. One of the great untold stories of this book is the remarkable success that Hattie and other healers all around the world have achieved administering ibogaine to drug addicts as a cure for heroin, cocaine and alcohol addiction. In many cases two to three ibogaine sessions have been sufficient to produce virtually symptom-free withdrawal, a strong determination not to relapse, and a transformed outlook on life on the part of the individuals concerned.

Thanks and appreciation to Simon Macara for his kind and sincere help on several occasions in making difficult things easy, to Helen Vinckier for looking after us in Belgium, to Zoe Kenway for her excellent work under intense time-pressure on so many of the blackand-white illustrations in this book. Thanks to Shanti Faiia for her specialized research into the human-rights implications of current drug laws, and to Damian Walter for his excellent and professional bibliographic research. Thanks to my literary agents Bill Hamilton and Sara Fisher for wise advice and good practical encouragement at all times. Thanks to Tim Andrews at Century in London for working so professionally with me on the final stages of the editing and production of Supernatural. Last but not least, particular thanks and appreciation to Mark Booth, my editor at Century. As well as having had the guts to take on such a controversial subject in the first place, he was a real friend who read the chapters and gave me his reactions over the course of a year as the writing progressed.

> Graham Hancock Bath, England, October 2005 www.grahamhancock.com

#### AUTHOR'S NOTE

The first editions of *Supernatural* (UK: Century, 2005 and 2006; US: The Disinformation Company, 2006) contained an extensive and overly academic section on prehistoric rock and cave art around the world. This section, consisting of seven chapters, focussed on the groundbreaking work of Professor David Lewis-Williams of the University of Witwatersrand and his neuropsychological theory of cave art, setting out the details of the theory at great length and critiquing – again extensively – the relatively few scholarly arguments that have been put forward to oppose it. All this material has been deleted from the current edition and replaced by a new chapter (Chapter Four) which summarizes the essential elements of the theory and places them properly in context of the overall argument of *Supernatural*.

Graham Hancock Bath, England, September 2007 Black-and-white illustrations by Zoe Kenway: 6; 16; 17; 22; 23; 24; 28; 41; 42; 44; 70; 74; 77; 78; 81; 82; 87; 91; 93; 140; 172; 282; 290; 292; 293; 295; 298; 314; 315; 351; 358; 361; 362; 363; 365; 366; 367. All other illustrations are as credited on the pages on which they appear. Cover design: Greg Stadnyk.

# The Visions and the Caves

#### CHAPTER ONE

# The Plant that Enables Men to See the Dead

I lay on a couch in the darkened drawing room of a 200-year-old townhouse in the English city of Bath. The streets outside were deserted and offered few clues to remind me of the familiar world. It was reassuring to find that I could still read the luminous dial of my wristwatch if I held it in front of my eyes. Ten minutes passed, then 20, then 35. I began to feel bored, restless, even a little blasé. After 45 minutes I closed my eyes and directed my thoughts inwards towards contemplation, still noticing nothing unusual. But at the end of the first hour of my vigil, when I tried to stand up and walk around, I was amazed to discover that my legs would not work. Out of nowhere, an enervating feebleness had ambushed my limbs, the slightest physical effort set off uncontrollable tremors and stumbling, and I had completely lost my sense of balance.

A wave of dizziness and nausea washed over me, and I fell back exhausted on the couch, drenched in cold sweat. I remembered with a shudder of finality that I could not change my mind, because there was no antidote. Once it was underway, the process I was going through could not be stopped and would simply have to be endured.

My hearing was the next faculty affected. At intervals, there would be a tremendous ringing and buzzing in my ears, blotting out all other sounds. My eyesight also rapidly deteriorated, soon becoming so obstructed at the edges with strange black lines, like fence-posts or gratings, that I could no longer see my watch and had to abandon all control of time. For what felt like a very long while, the poison remorselessly tightened its grip, and I fell prey to indescribable sensations of physical and psychic unease. There was a great deal of pain, weakness, and discomfort. It was as if my body were being slowly and systematically smashed and dismembered, and I began to fear that I might never be able to put it back together again.

In a moment of stillness when my eyes were closed, a vision popped up — a vivid moving tapestry of intertwining branches and leaves, elaborate arabesques, and Celtic knotwork. I blinked my eyes open. Instantly, the writhing patterns vanished and the darkened drawing room returned. But as soon as I closed my eyes the patterns came back.

More unmeasured time passed while the patterns continued to expand and multiply. Then another great gust of dizziness hit me, and I winced at the terrifying new sensation it brought of balancing on a swaying tightrope over a bottomless abyss. I found that if I lay on my back, looked straight up at the ceiling, and stayed absolutely still, I could minimize these uncomfortable effects. But all it took was the slightest movement of my head to left or right to bring on another spectacular surge of vertigo.

When at last I closed my eyes again, the sinuous intertwined patterns reappeared with renewed intensity, and then were abruptly overwritten by a profile view of a heavily built blond young man with his eyes turned towards me in a glare of reproach. He appeared right at my side, startlingly close. His skin was pallid and his brow blotched with patches of green mold.

## Shamanic portals

In the Central African countries of Gabon, Cameroon, and Zaire, certain age-old ancestor cults still flourish in the twenty-first century. Their members share a common belief, based, they say, on direct experience, in the existence of a supernatural realm where the spirits of the dead may be contacted. Like some hypothetical dimension of quantum physics, this otherworld interpenetrates our own and yet cannot ordinarily be seen or verified by empirical tests. It is therefore a matter of great interest, with highly suggestive research implications,

that tribal shamans claim to have mastered a means, through the consumption of a poisonous shrub known locally as eboka or iboga, by which humans may reach the otherworld and return alive. How they mastered this skill is told in the origin myth of the indigenous secret society known as the Bwiti:

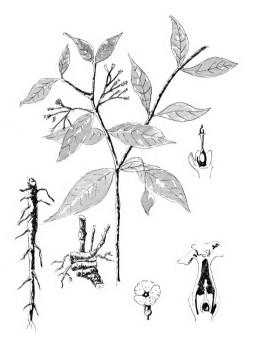
Zame ye Mebege [the last of the creator gods] gave us *Eboka*. One day . . . he saw . . . the Pygmy Bitamu, high in an Atanga tree, gathering its fruit. He made him fall. He died, and Zame brought his spirit to him. Zame cut off the little fingers and little toes of the cadaver of the Pygmy and planted them in various parts of the forest. They grew into the *Eboka* bush.<sup>1</sup>

The pygmy's wife was named Atanga. When she heard of the death of her husband she went in search of his body. Eventually, after many adventures, she came to a cave in the heart of the forest in which she saw a pile of human bones:

As she entered the cave she suddenly heard a voice – as of the voice of her husband – asking who she was, where she came from, and whom she wished to speak with. The voice told her to look to the left at the mouth of the cave. There was the *Eboka* plant. The voice told her to eat its roots . . . She ate and felt very tired . . . Then she was told to turn around in the cave. The bones were gone and in their place stood her husband and other dead relatives. They talked to her and gave her a [new] name, *Disoumba*, and told her that she had found the plant that would enable men to see the dead. This was the first baptism into Bwiti and that was how men got the power to know the dead and have their counsel.<sup>2</sup>

Today several million people distributed across Gabon, Cameroon, and Zaire have no difficulty resisting well-financed efforts at conversion aimed at them by Christian and Islamic missionaries. Their allegiance instead is to the Bwiti, into which they have been initiated by consuming huge amounts of eboka root-bark shavings and experiencing a journey into supernatural realms.

Eboka, also known as iboga (the spelling that I will use from now on), is classified scientifically as *Tabernanthe iboga* and is a member of the *Apocynacae* (Dogbane) family. Its root bark turns out to be very special, as the myth of the pygmy asserts, and contains more than a dozen unusual chemicals belonging to a class known as the indole alkaloids. One of them, ibogaine, is the potent hallucinogen responsible for the convincing and life-changing visions experienced by Bwiti initiates, notably "encounters with supernatural beings" and "encounters with the spirits of the dead." Many report meeting their deceased fathers or grandfathers, who act as guides for them in the spirit world. However, the bark must be eaten in toxic quantities if the visionary state is to be attained, and initiates confront an ever-present risk of fatal overdose as they seek out their ancestors.<sup>3</sup>



Tabernanthe iboga. Its root bark is the source of the powerful hallucinogen ibogaine.

Iboga root bark and ibogaine hydrochloride (the pure extract of the psychoactive alkaloid) are both illegal drugs in the United States; they are classed under Schedule I alongside other major hallucinogens, such

as LSD, and addictive narcotics and stimulants like heroin and crack cocaine. By contrast, in Britain and several other European countries, where there is growing scientific recognition of a number of startling therapeutic effects claimed for ibogaine, the drug has not been banned. It may be purchased legally and openly from specialized botanical suppliers and consumed freely in any private place.

#### Research

Even without the barbaric threat of a jail sentence, ibogaine is a very serious business, so I had not gone lightly into the decisions that had led me to this couch, this night, and this state of helpless prostration to whatever was coming next.

My primary motive, unabashedly, was research. I had deliberately submitted myself to this ordeal as part of a wider, longer-term investigation into a mysterious "before and after moment" that took place in human prehistory, perhaps as recently as 40,000 years ago. Before it, other than a very few widely scattered and isolated examples, there is nothing in the archaeological record left by our ancestors that we would instantly recognize as modern human behavior. After it, the signs that creatures exactly like us have arrived are everywhere, most notably in the first definite evidence for beliefs in supernatural realms and beings – evidence, in other words, for the birth of religion.

The clearest possible illustration of this is in south-west Europe, where sophisticated religious art – the oldest so far discovered in the world – appears suddenly between 40,000 and 30,000 years ago and then endures until approximately 12,000 years ago. This is the art of the great painted caves such as Chauvet, Lascaux, Pech Merle and Altamira – surely amongst the most beautiful and enigmatic of all human creations. The cave paintings are rightly famous for their realistic images of Ice Age mammals. Much less well known is the fact that numerous supernatural and chimerical beings, often half human, half animal, are also depicted.

An ingenious explanation for the bizarre appearance of these beings, as well as for other intriguing features of the caves which we'll explore in later chapters, has been put forward by a prestigious international

group of anthropologists and archaeologists. The essence of their argument is that the cave art expresses mankind's first and oldest notions of the supernatural, of the "soul," and of realms of existence beyond death - notions that took shape in "altered states of consciousness" most likely brought on by the consumption of psychoactive plants. Although not to the liking of some scholars, this has been the most widely accepted theory of cave art since the mid-1990s. It is therefore an embarrassment that none of the experts currently advocating it have ever actually consumed any psychoactive plants themselves; nor do they have any first-hand idea of what an "altered state of consciousness" is, or any desire to experience one. To give fair consideration to their arguments, and to the views of their critics, I felt I needed to be able to judge on the basis of personal experience whether plantinduced visions could be made of strong enough stuff to have convinced early humans of the existence of supernatural realms and of the survival after death of some essence of deceased ancestors.

This, in a nutshell, was why I had taken ibogaine – for sound, solid, common-sense research reasons. But I have to acknowledge that there was another, much more personal motive as well. It had to do with my own father's painful death from bone cancer the previous autumn and my inexcusable failure to be at his bedside during the last few days of his life. Part of the appeal of this slightly risky experiment with ibogaine was undoubtedly its promise of "encounters with the ancestors," and – however tenuous – the possibility of closure and quietus that it seemed to offer.

# Long night of iboga

I've probably given the impression up until now that I was alone during my vigil in Bath, but this wasn't the case. The psychoactive dose of ibogaine that I consumed was administered by an experienced and reputable healer who remained on hand the whole night, my wife Santha was also in the room, and we had a medical doctor present as well. At first I'd been acutely aware of all three, but as the malaise and paralysis deepened they faded into insignificance and I seemed to see them – if at all – through panels of thick glass. The same odd

disconnection applied to the bowl I'd been given to vomit in. I was able to hold onto it and retch over it, but I was in one place and it was in another.

As the night wore on, I could feel my couch undergoing an insidious process of transformation, until eventually it had become a stone sarcophagus within which I was laid out. I experienced a strong sense of constriction and immobility, as though a great weight were pressing down on my chest, and wondered: Is this death? At the same instant the room became very full of people – not the healer, my wife, and the doctor, who might as well have been locked away in a soundproof capsule, but a large and somehow threatening crowd of uninvited guests. They did not vanish when I opened my eyes, as my earlier visions had done, but stayed firmly in view, for the most part anonymous and shadowy, shoulders hunched, heads down. A few showed their faces, but like the young blond man I'd seen earlier, with his mildewed skin, they had the look of the grave.

I grew conscious that someone was watching me from far back in the jostling crowd. Lean and middle-aged, with a solemn manner, he was dark-skinned and his features were unmistakably African. His eyes were huge and black as obsidian. He was not old and gray as was usually the case in the visions of the Bwiti, but the thought came to me that this might be the legendary "Spirit of Iboga," here to abduct my soul:

Iboga is intimately associated with death; the plant is frequently anthropomorphized as a supernatural being, a "generic ancestor" who can so highly value or despise an individual that it can carry him away to the land of the dead.<sup>5</sup>

I fell into a dream state for what seemed like a very long time, and as with most dreams I now find it hard to remember the details. All I can confirm is my absolute certain conviction that *something* happened – something of lasting importance to me. Did I hallucinate an encounter with my father? I don't remember clearly enough to be absolutely sure, but I get flashbacks of that night in which I see him amongst the crowd of phantoms gathered round me. Sometimes the

flashbacks are so poignant and intense that I can almost believe he must really have been out there, walking by with dignity and pain as he did when he was fighting his cancer.

As well as these tantalizing recollections of my father, I've managed to dredge up a few other broken images from those hours of fevered dreams, which add to my sense that something momentous occurred. At one point I felt very strongly that I had awakened. I opened my eyes, expecting to see the familiar outlines of the darkened drawing room. Instead I found myself in a very strange place that I had never seen before, with billowing draperies hanging from the walls, trees growing within, and a ceiling transparent to the stars. It seemed like some exotic temple, part sanctuary, part palace, part desert tent. To one side, absorbed in the motions of a dance, I could see a giant figure dressed in flowing white robes with black vertical markings.

Was I dreaming, or awake? Was what I was seeing *real* in some way – as it very convincingly seemed to be – or was it all just a grand illusion? And did the spirit of my father still survive in some other dimension or reality? These were weighty questions, not easily settled. But for now, at least, my interest was narrower. Plants like *Tabernanthe iboga* were available to our ancestors and would have had the same effects on them as they do on us. I remembered the overwhelmingly eerie sense I had experienced for much of the night of crowds of the dead gathered round me – crowds of ghosts, crowds of my forebears. Of course it was reasonable and potentially productive to ask whether it was not precisely experiences of this general sort that gave rise – in remote antiquity – to mankind's first notions of the spirit world. Since scholarship as yet has no complete or satisfactory explanation for the origins of religion, this is an area that remains ripe for investigation.

Towards morning, with light beginning to filter through gaps in the curtains, I was not surprised to go through a mild out-of-body experience. These are common amongst Bwiti initiates under the influence of iboga, and I wasn't completely new to the phenomenon myself. The last time it had happened to me was when I was 16 years old and was nearly killed by a massive electric shock. Now, as then, my consciousness seemed to float near the ceiling of the room for a few moments, looking down on my own body. Now, as then, I felt

detachment and curiosity, certainly not fear, and reveled in the lightness of being and freedom of flight I seemed to be able to enjoy in the disembodied state. Now, as then, the hallucination – or whatever it was – rapidly faded and the out-of-body view was quickly lost.

I was left with a philosophical reflection in the form of a cartoon image of a large sausage tightly knotted at one end. This is what we are, the image seemed to be saying. The message was obvious, almost a cliché – that it is pointless to dwell on the physical and material aspects of life, because ultimately our bodies are just overstuffed sausage skins.

# Healing with spirits

For more than 12 hours after the visions ended, I remained violently ill and was unable to walk. It was not until the second night that my strength began to return, the muscle tremors stopped, and I recovered my sense of balance. By the following morning I felt completely better and ravenously hungry, and went through a long active day without any sense of fatigue.

Iboga is a shamanic drug. In the Bwiti scheme of things, it brings healing in this world by reconnecting us to the world of spirits. My visions, I knew, had been relatively subdued and unspectacular by comparison with those of the Bwiti initiates, but I too, in my own limited way, had experienced contact with some sort of otherworld through consumption of their sacred plant. Was it really a supernatural realm that the ibogaine took me into, or just a crazy hallucination? As I've emphasized, this was not something I was yet in a position to judge; nor was it easy to disentangle cause from effect. But what was miraculous nonetheless was the dramatic turnaround in my mood that I benefited from after my ibogaine session. For months beforehand I had been intensely depressed and irritable, filled with morbid thoughts and gloomy anxiety. My guilt at what I perceived as my dismal failure of my father, and my grief at his loss, had been compounded by feelings of worthlessness and anguish so deep that I frequently saw no point in taking any further initiatives in life. It was better by far, I had persuaded myself, to withdraw from

the world, abandon research, and avoid all new intellectual challenges – which, anyway, I would certainly fail.

I hadn't expected ibogaine to make a difference, but it did. From the moment I woke up with my strength recovered, I knew that it had flipped some sort of switch in me, because I was no longer able to see anything in the world in the same negative and nihilistic way as I had done before. From time to time a morbid thought would still stray across my mind and try to drag my mood down; previously I would have dwelt on it obsessively until it made me miserable; now I found it easy to dismiss it and move on. I didn't feel so bad about my dad either. I'd not been at his bedside, and I couldn't change that. But somehow, now, I no longer ached so much.

Whether this healing was achieved through contact with the spirit world, or whether it was just a beneficial side-effect of shaking up my brain chemistry, I felt grateful to ibogaine. Regardless of the explanation, or the mechanism, it had put me through something I would never forget – something very much like a religious experience. It had swept away the cobwebs of ingrained bad habits and moods. And it had most persuasively demonstrated the worth of a hitherto neglected line of research into the spiritual life of the ancients.

#### CHAPTER TWO

# The Greatest Riddle of Archaeology

Pech Merle cave in south-western France is a sacred sanctuary at least five times older than the Great Pyramid of Egypt. Like the pyramid, it has a labyrinthine system of internal corridors, passageways, chambers, and galleries. But unlike the pyramid, which is entirely man-made – for the most part with quarried blocks – the innards of Pech Merle were reamed out of a limestone massif by an underground river millions of years ago.

When the river changed its course, the cave system it left behind was four kilometers long. It remained untouched and unvisited – except occasionally by hibernating bears - until human beings took possession of it around 25,000 years ago in the epoch now known as the Upper Paleolithic. They do not seem ever to have lived in it – hardly surprising, since its entrance was cramped and inaccessible and it was impenetrably dark and dripping with damp within. But they began a program of subtle modification and embellishment that went on continuously, though at widely spaced intervals, between 25,000 years ago and about 15,000 years ago, after which it appears that all knowledge that there had ever been a holy place here was lost. Around 10,000 years ago, at the end of the Ice Age, a landslide completely sealed off the cave's entrance from the outside world. From then until its rediscovery in 1922, no one visited or even had the faintest idea that wonders and clues to the secrets of our origins lay entombed within, encoded in sacred images, shrouded in millennial darkness.

## Shapes and shadows

Chaperoned by M. Zimmermann, an official of the Pech Merle Museum, I descend the stairway that leads to the modern entrance of the cave, a few meters to one side of the original entrance. The first sensations I register are of a change in the air, chill humidity, rivulets of running water underfoot. We already seem to be deep inside the mountain when we reach a massive security door. M. Zimmermann ceremoniously unlocks it and ushers me through to the halls of mystery that lie beyond.

My eyes are still adjusting to the contrast with the sunny early spring afternoon outside, and the lighting is dim, so for the first few moments I can see almost nothing. But the people of the Upper Paleolithic would have seen even less 25,000 years ago when they began to make use of Pech Merle. My imagination is already at work on the shapes and shadows around me. How much more dramatic, possibly even fearsome, the cave system must have appeared in the low, guttering light cast by the simple torches and stone lamps that we know were used here by the ancients.

Besides, in several parts of Pech Merle's four kilometers of corridors and galleries, the access has been artificially enlarged, improved and made safe in modern times. In antiquity the people who held the cave sacred would have been obliged to negotiate perilous deadfalls, and crawl on their bellies through narrow and constricting slits and gullies, before reaching the main rooms. Each visit must have been a terrifying experience, calling for courage and a determined effort of will - by no means the sort of venture that anyone would undertake lightly. Archaeological evidence points conclusively to a history of relatively few visits by relatively small numbers of people spread out over a period of more than 10,000 years, but all coming to do the same thing - namely to paint (and perhaps to venerate) beautiful and enigmatic images on certain carefully selected rock surfaces. From this and other important clues, which we'll return to in later chapters, scholars reasonably conclude that a religion was being practiced here, one of the earliest true religions of mankind, and that the practitioners must have been shamans, who worked largely alone or with a few acolytes to

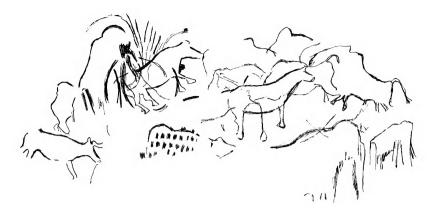
create their mysterious art. It seems clear also, as is obvious in many other painted caves, that some special qualities were recognized in the place itself that led the shaman-artists to select it as their canvas.

#### Anteroom to the underworld

Pech Merle is first and foremost a marvel of nature – shaped, as though with cunning artifice, in such a way that it could hardly fail to make a powerful and numinous impact upon any human who encounters it. Even in modern times, with permanent electric lighting, it remains a spectacularly eerie and otherworldly subterranean realm.

After M. Zimmermann closes the security door behind us we find ourselves in a long, sinuous descending corridor, three meters high and wide, carved out of the bedrock by the ancient river. Gnarled and glistening stalactites and stalagmites, the color of old ivory, are stacked up in niches like ranks of organ pipes or folded into weird curtains and drapes that seem to caress the rock walls. There are no cave paintings yet, but the further we penetrate, the stranger and more wildly chimerical and dreamlike the atmosphere becomes. I find it easy to imagine that I am descending into some parallel universe, some kingdom of the dwarves and elves – frozen and preserved like a time capsule come down to us from a legendary age or a snapshot glimpse across the borders of another dimension.

Now we arrive at the first piece of Upper Paleolithic art on the route that all modern visitors take around Pech Merle – although it is by no means the first in terms of its age relative to other paintings here. About seven meters wide by two and a half meters high, it's a horizontal composition, imposed on a slightly concave expanse of cave wall to our left, where the rock face, sheltered beneath an overhang, is unusually pale, dry, and smoothly polished. Archaeologists refer to it as the Frise Noire because its principal figures of cold-adapted woolly mammoths, bison, aurochs (wild ancestors of modern cattle), and a horse with a disproportionately small head are dreamlike free-hand drawings done in black manganese. The figures all date from about 16,000 years ago and are thought to be the work of a single artist. On casual inspection they seem to form the entire subject matter of the



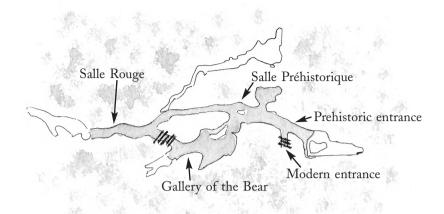
Animals and polka dots of the Frise Noire

panel, but a closer look shows them to be superimposed upon copious quantities of red iron oxide ochre. It was daubed and smeared about 4,000 years earlier across the lower part of the wall, here and there marshaled into distinct groups of polka dots, and at a couple of points attenuated into the faint outlines of animals.

Floating over the red foreground of the panel, the Ice Age mammals of the Frise Noire conspire in the convincing illusion of a three-dimensional array. Through the clever use of tricks of perspective, the impression is somehow conveyed that the closest figures have emerged from the rock face itself, while the ranks of other creatures beyond them seem almost to fade and disappear into its distant depths.

# Emergence

The bestiary of the Frise Noire stands near the entrance of the so-called Salle Préhistorique, the large primary chamber that contains the vast majority of the Upper Paleolithic art at Pech Merle. It is a mystery why other areas of the cave system – such as the spectacular and sepulchral Salle Rouge – were left untouched by the prehistoric artists, but there is no doubt that the Salle Préhistorique provides a uniquely atmospheric and awe-inspiring setting. As much as 40 meters wide in places, it supports a labyrinth of side passages and tunnels, and is further complicated by rock falls and scree



Plan of Pech Merle.

deposits that create a series of different floor levels. At some points the cave roof is low overhead, at others it soars away to disappear in the lofty darkness above, out of which, drawn down by the force of gravity, a frozen deluge of stalactites descends. Some are meters in length, jagged, multi-pointed, dripping like giant icicles in a thaw. Others join with stalagmites rising out of the floor below to form huge, irregular multi-fluted columns like fossilized leg bones or stacked vertebrae in a mausoleum of primordial giants.

Turning away from the Frise Noir, M. Zimmermann leads me to our right up a flight of stairs installed in modern times within what must originally have been a steeply sloping natural tunnel. At the top we emerge onto a ledge, also natural, running along the cave wall at a height of about 10 meters above the floor and providing a fantastic vantage point over important areas of the Salle Préhistorique. Outlined in black manganese on the ceiling above the ledge, the spectral figures of two bison and a mammoth float into view. Then beneath us in the dark depths of the main chamber, a spotlight snaps on to show me a distant glimpse of Pech Merle's masterpiece, the world-famous panel of the spotted horses. Tantalizingly, M. Zimmermann switches off the spot again almost immediately but reassures me that we will eventually come to the panel when he brings me to the lower level of the chamber.

We seem to have reached the end of the ledge now, and descend to modern steps over what must have been a difficult scramble in prehistoric times. This brings us to a shallow natural cleft in the cave wall. To the right of the cleft, M. Zimmermann points out the painted figure of a bison, the head of a second bison with a distinct mane of bushy hair, and the back, head, and trunk of a mammoth – all in black manganese. To the left, at a slightly higher elevation, the ancient artist somehow "found" the form of another mammoth immanent in the natural bulges, indentations, and fissures of the rock, then teased out the apparition with suggestive black lines. "The Cro-Magnon man used the natural shape of the rock," explains M. Zimmermann, helpfully tracing the figure with a laser-pointer. "You can see the head here, with the trunk. You see the front legs and the back legs. And you have two lines, one for the back and the tail and one for the stomach – here."

Again, as at the Frise Noire, the essential quality of these painted animals seems to be that of dwelling in and emerging from the rock face. This feels true to me not only of the wonderful three-dimensional "emergence" of the mammoth but also of the whole composition, which somehow contrives to suggest that the creatures depicted have emerged, from hidden depths beneath, through the junction of the cleft around which they cluster.

#### Venus of the caves

We descend another flight of 10 steps to a lower level of the chamber where the floor is littered with huge piles of giant boulders, through which we follow a winding path. Above us – I would estimate three meters above my head at this point – M. Zimmermann shows me an expanse of ceiling decorated with what looks at first like a meaningless scrawl of crudely inscribed lines but resolves on careful study into pattern and form. "The ceiling is very soft," M. Zimmermann explains, "and they made the drawings with their fingers. You have maybe two periods – one with only lines, and in the second period you have figures." His laser lights up the outline of a huge faintly incised mammoth: "Here we have the back, here the head; this is

the trunk; the front legs; the stomach." A second mammoth looms nearby, and to its right hovers an exaggerated, cartoon-like figure of a naked woman with immense buttocks and breasts and a very tiny head – a classic Upper Paleolithic "Venus," one amongst many such voluptuous representations of the female form that have been found in the painted caves of old Europe. "We have thirteen human representations in Pech Merle," comments M. Zimmermann, "two men and eleven women."

"And the women are all Venus-type, very big, like this one?"

"Yes. Like this. You see another here, without head. You see the breast. You see the stomach here. You see the legs. You see the bottom. And the back."

It was up the piles of tumbled boulders – almost blocking the floor at this point – that the ancients climbed to compose art on the ceiling with their fingers. Somewhere around 20,000 years ago they also painted the figures of two animals in black manganese on the boulders themselves: a mammoth at shoulder height and a large bison above head height.

We walk on for some tens of meters on a path that seems – in Pech Merle's characteristic labyrinth-like way – to wind first up a little, then steeply down. We find ourselves in the Hall of Discs, so called after its beautiful concentric formations of crystallized calcite. At the far side, to the right of our path, there is a sheer wall scored high up with four parallel scars. "The claw marks of a cave bear," explains M. Zimmermann. "This bear was three meters tall."

# The mystery of the children

At the corner of the wall where the claw marks are impressed we come to the foot of a modern staircase. It rises 20 steps in two right-angled flights, where the ancients would have had a steep climb up treacherous scree. At the top we reach a natural alcove a few meters above and behind the claw marks, and on the floor of the alcove M. Zimmermann shows me the footprints of a child aged about 12. They were made almost 20,000 years ago, in what was originally soft mud, and have survived in fossilized form. Some of the prints are extremely

clear, as though they were left this morning, and I find it impossible to look at them without forming some mental image of the intrepid young person – we know it was a single individual but we don't know if it was a girl or boy – who made them. With their neatly rounded heels and toes, the prints powerfully reinforce the common bond of shared humanity that ties us remotely but firmly to the cave artists despite the passage of millennia. It is this same bond that allows us to respond emotively to the art itself, to be affected by it – perhaps in many of the same ways that it affected the ancients – and to recognize its subtle qualities of abstraction and symbolism even while the meaning of its symbolic lexicon continues to elude us.

Pech Merle is not the only painted prehistoric cave in which there is evidence that children were in some way associated with the artists. Why should this have been so?

# Gallery of the Bear

Beyond the little area of preserved footprints, deep in the mountain and about 50 meters underground, we enter the Galerie de l'Ours, or Gallery of the Bear, a very ancient part of the Pech Merle system. It contains no paintings but a riot of stalactites and stalagmites, deeply fluted and withered pillars, and improbably immense calcite phalluses lewdly erect. To our right, protecting a dark sanctuary, a rank of polished columns, so symmetrical as to appear man-made, forms a distinct architectural feature, like a scaled-down version of an ancient Egyptian temple. We climb a modern flight of eight steps, then follow a low narrow corridor to our left where the roof clearance is just a few centimeters above my head. But in prehistoric times it was even lower, explains M. Zimmermann – crawl space only.

Twenty thousand years before the access was expanded for the benefit of modern visitors, what possible motive could the ancients have had to crawl in here? The answer soon presents itself in the form of more art incised high up on the corridor walls – most distinctively the beautifully shaped head and eye of a brown bear etched

in profile. Beside it are patterns of vertical and horizontal lines. Cut into the opposite wall of the corridor, four triangles lie partially superimposed upon one another, and alongside them a curious and as yet unidentified symbol somewhat resembling the horn of a bull.

#### Wounded man

The corridor reconnects us to the main painted gallery of Pech Merle by way of a zigzag path recently cut into the side of a scree slope, from which breathtaking views unfold. The effect is of being in the heart of an immense gothic edifice, consecrated to the worship of the mineral gods and furnished in the dark depths of the earth with great halls and vaults, soaring archways, flying buttresses, and pillars of calcite and alabaster. Surely, in his famous opium trance, it must have been some vision of sunless otherworldliness like this that inspired Coleridge when he described the measureless caverns of Xanadu and the stately pleasure dome of Kubla Khan? The place seems surreal and enchanted, as though a spell of death-like sleep was cast over it by the magicians of the Ice Age and has never since been lifted.

Halfway down, M. Zimmermann draws me aside into an alcove to show me a strange representation of a human, or human-like, figure, the so-called "wounded man," painted in red ochre on the low ceiling. I have to stoop to see it properly but, again, this is an area that has been dug out for modern convenience and it would have been much harder to access in prehistoric times. In fact, in the Upper Paleolithic the ceiling here was little more than a meter above the floor. The artist would therefore have had extremely limited room for maneuver and could only have worked lying on his back. Those who came after to view the painting – assuming that was what happened – would have been obliged to adopt the same posture.

The wounded man is so named because of a series of lines, drawn as harsh slashes (and often interpreted as spears), that pass directly through his chest, torso and buttocks. Against the background of the ceiling, he seems to be rising slowly into the air. His head is a most peculiar shape. Some archaeologists say it is birdlike. But with its



The wounded man of Pech Merle

prominently domed skull, narrow pointed chin, and oblique slit eyes, the forceful first impression it makes on me is not of any kind of bird but of the traditional image of an elf or goblin from the realm of fairytale.

Directly above the wounded man's head, the back of which it touches, is a large sign also daubed in red ochre. Variations of the same symbol, and indeed of the wounded man himself, have been found in other caves, though none quite identical to this one. Archaeologists describe the symbol as "tectiform" (somewhat like a building) or "aviform" (somewhat like a bird). It has the shape of an inverted outlined letter T with thinner single lines extending down at angles from either end of its crossbar.

Possibly – or possibly not – part of the same composition is the figure of a powerful aurochs (an extinct species of wild bull) with lyre-shaped horns. It, too, is done in red ochre and floats on the ceiling of the alcove very close to the wounded man. A little further off there is a stylized ibex (mountain goat) also painted in red ochre. Between the two there are several associated symbols, including one that is distinctively branched or Y-shaped.

The whole complicated multi-media display of signs and pictures seems to pulse and vibrate with ineffable meaning.

### Reaching out

We continue our descent to the floor of the main gallery, and come in a moment to a pattern of red ochre polka dots painted on the cave wall. To their left is the ghostly negative image, also outlined in red, of a graceful female hand.

Negative handprint and dots



It is an intimate encounter.

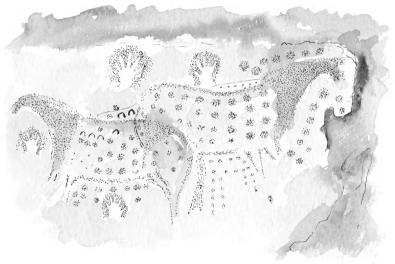
When a woman stood here 20,000 years ago, spreading her fingers against the wall, what thoughts were going through her head? Was she young, or old, or in the prime of life? What was her relationship to the artist, who had chewed up a solution of ochre in his mouth, mixing it with his saliva, so that he could use his breath to spray it over her hand? Or was she herself the artist as well as the model? Either way, what was her purpose in leaving her spectral handprint here?

An alcove extends back into the rock face beneath and behind the hand. On the right wall of the alcove, M. Zimmermann points out a representation, again in ochre, of eight female figures, all highly stylized in the familiar "Venus" form with disproportionately large breasts and buttocks. There is, however, an ambiguity about them that leads some experts to class them as composite beings, part animal, part human. The French prehistorian A. Leroi-Gourhan called them "bison-women." One of them is superimposed on the head of a horse. The same composition also includes two roughly circular groups of polka dots (one consisting of 7 and the other of 14 dots) and the outline of a mammoth. A few meters away, on the

opposite wall of the passage, the head of a stag looms over us outlined in red ochre.

## A palimpsest of spotted horses

A walk of only a few seconds brings us to the acknowledged master-piece of Pech Merle's gallery of prehistoric art, the spectacular panel of the spotted horses that M. Zimmermann had first pointed out to me from above. Now, close up, I see that the panel is framed by more negative handprints – this time men's hands, silhouetted in black, one apparently with six digits instead of the usual five. Whether intended by the ancients or not, the impression created is that these hands have merged with the rock beneath – as though, by the process of painting, the former was drawn into and incorporated with the latter. It seems to me that this is the exact corollary



The panel of the spotted horses

of the other gift of the cave artists, the gift of finding and drawing out the forms of animals already dwelling in the rocks they chose to paint. Yet that gift, too, is expressed in this panel, which culminates, to the right, in a naturally formed spur of bedrock shaped unmistakably like the head of a horse. There is little reasonable doubt that this is why two beautiful dappled horses, each about two meters in length, were painted here (rather than elsewhere in the cave or not at all), and why the horse at the right of the composition is oriented so that its head overlaps the suggestive spur.

The spur is of the correct size and in the correct place to serve with only minimal decoration as the horse's head. Nevertheless it is an illusion. A closer look at the panel reveals that this otherwise realistic animal, as is the case with its counterpart to the left, already possesses a painted head of its own – one that is preposterously out of scale with the rest of the body and seems at first glance to be a mere continuation of the mane. These tiny heads, perched on the end of robust necks and bodies, give the spotted horses of Pech Merle a phantasmagoric quality, as though they have been drawn forth through the rock wall from a dimension that is similar to ours and yet also strikingly and bizarrely different.

Like other works of art in the cave, the frieze is a palimpsest in which compositions from different eras have been superimposed on one another. The horses, for example, were entirely created with an organic paint based on wood charcoal mixed with saliva and sprayed orally onto the rock wall. Wood charcoal is eminently datable and fragments of paint from the horse on the right are now known to be more than 24,000 years old.<sup>2</sup> But there are other ingredients in the panel, executed in red ochre, that are younger, dating to approximately 20,000 years ago. Particularly notable amongst these is an impressive two-meter-long lake fish, a pike, superimposed over the back and part of the mane of the horse on the right. There are also red ochre polka dots, about the same size as – and mingled with – the black dapples of the horse's body. Lastly, there are seven indecipherable signs shaped like bent thumbs, and a range of other more abstract symbols including lines, triangles, dots and a circle.

## The symbolic revolution

The spotted horses with their tiny heads are not the only weirdly distorted and phantasmagoric images at Pech Merle. In the narrow

Combell gallery, which is presently off-limits to the public, there are three more "imaginary animals." These are loosely based on antelopes, and once again have disproportionately small heads. Dating back an estimated 25,000 years, they are the oldest paintings in the cave. The composition of which they form part also includes several dots and signs, more horses, and a large cave lion portrayed as though about to leap on its prey.

Not for the first time, the limitations of present knowledge are such that we may only shrug our shoulders and ask: What does it all mean?

Twenty thousand years, more or less, separate us from the inspired religious artists of Pech Merle, and almost 35,000 years from the most ancient painted caves so far found in Europe.<sup>3</sup> No traditions or accounts of any kind have come down to us about these longforgotten Stone Age visionaries, and despite their frequent use of signs and symbols, they had no written language and so have left us no inscriptions that we might one day hope to decipher. It is therefore hardly surprising that we do not know the meaning of any of their paintings, that almost everything they did, and their reasons for doing it, is completely mysterious to us, and that many scholars in this field have given up theorizing about prehistoric art and prefer to spend their time simply accumulating and sorting data. The consequence is that we are ignorant of the mechanisms and driving forces behind by far the greatest evolutionary transformation ever to have overtaken the human race - the so-called symbolic revolution, of which the cave paintings that first began to appear in Europe more than 30,000 years ago are a rich and already fully evolved expression.

Despite a very few deeply puzzling anomalies,<sup>4</sup> it is the expert consensus that our hominid predecessors did not behave symbolically *at all* during approximately the first five to seven million years of evolution that separate us from our last common ancestor with the chimpanzee.<sup>5</sup> What we see throughout this period is a dull and stultifying copying and recopying of essentially the same patterns of behavior and essentially the same "kits" of crude stone tools, without change or innovation, for periods of hundreds of thousands, even

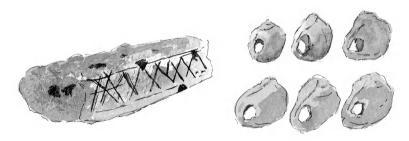
millions of years. When a change is introduced (in tool shape, for example), it then sets a new standard to be copied and recopied without innovation for a further immense period until the next change is finally adopted. In the process, glacially slow, we also see the gradual development of human anatomy in the direction of the modern form.

By 196,000 years ago,<sup>6</sup> and on some accounts considerably earlier,<sup>7</sup> humans had achieved "full anatomical modernity." This means that they were in every way physically indistinguishable from the people of today and, crucially, that they possessed the same large, complex brains as we do. One mystery is that their behavior at first lagged behind their acquisition of modern neurology and appearance – because it was not until around 100,000 years ago that they began to show the first unmistakable signs of symbolic ability that we associate with almost every aspect of modern human life. Another mystery is that these developments seem to have taken place only in Africa.

Outside the African continent, much more primitive hominids still prevailed. From mainland Asia there is evidence that a species known as *Homo erectus* (whose brain was two thirds the size of ours and whose forebears had left Africa more than a million years previously) may have survived virtually unchanged until first contact with modern humans 50,000 years ago or less.8 During 2004, archaeologists working on the remote Indonesian island of Flores unearthed the remains of another "primitive" hominid that also survived into recent times - in this case until less than 20,000 years ago, when it too came into contact with modern humans. Nicknamed "the hobbit" because of its very small stature, it is now scientifically classified as Homo floresiensis. Initial investigations suggested that this newly discovered species might be a descendant of a Homo erectus population that had become marooned on Flores hundreds of thousands of years previously and thereafter pursued its own distinctive evolutionary course. Like other animals living on the island (where there were also pygmy elephants the size of ponies), this meant dwarfism.<sup>9</sup> Later researchers suggested that the creature was not just a dwarf Homo erectus but a previously unknown species "on a different branch of humanity's family tree." The matter remains in dispute, but whatever the final outcome, the remains of *Homo floresiensis* indicate that full-grown adults reached a height of only about one meter and had a brain capacity of around 380 cubic centimeters, which, to put it in perspective, is about the same size as the brain of a chimpanzee, one third the size of a *Homo erectus* brain, and just over one quarter the size of a modern human brain. What is striking, despite his apesized brain, is that *Homo floresiensis* made and used stone tools; but neither he, nor his larger-brained ancestor, *Homo erectus*, appears to have made any use of symbols.<sup>11</sup>

Meanwhile in Europe, *Homo neanderthalensis*, the thickset, beetle-browed species better known as Neanderthal Man, had been the sole master of all he surveyed from perhaps as early as 250,000 years ago until he too began his fatal encounter with modern humans less than 50,000 years ago. <sup>12</sup> Neanderthal Man was far ahead of *Homo erectus* and *Homo floresiensis* on the evolutionary scale, but he also – and greatly to his disadvantage – seems to have had no knowledge of symbols. <sup>13</sup>

The multiple survival advantage that the ability to use symbols bestowed on our anatomically modern ancestors – from improved hunting strategies to improved transmission of vital lore from generation to generation – are too obvious to require enumeration here. It is by no means necessarily the case, however, that all groups of humans everywhere became adept manipulators of symbols at exactly



Red ochre block with geometrical patterns (left), and drilled shell beads (right), from Blombos Cave, South Africa, estimated to be around 77,000 years old.

the same moment. Common sense suggests that some probably caught on much earlier than others, and that there may have been lags of many thousands of years in isolated examples.

This is broadly what the archaeological record shows, with the earliest evidence of symbolism turning up at number of widely scattered sites between approximately 110,000 and 90,000 years ago. In southern Africa exquisitely-made and often impractically-fragile bone tools have been found, dating to this period, that seem to have been hoarded and exchanged rather than used. Although there has been some dispute amongst scholars, the obvious implication is that these objects must have had important symbolic value to their owners. Meanwhile undisputed evidence of early symbolism and personal adornment amongst anatomically modern humans has come from Oued Djebbana in Algeria and Skhul cave in Israel. In both locations minute drilled seashells that had been strung and used as beads have been excavated and reliably dated to at least 100,000 years ago. 15

The next big landmarks in symbolic thinking take us back to southern Africa. Chris Henshilwood's excavations at Blombos Cave on the Cape have produced more drilled shell beads in a stratum dated to 77,000 years ago, and also the earliest uncontested example of purely symbolic art so far found anywhere in the world - an abstract geometrical composition inscribed on a small block of red ochre. 16 Much more spectacular, however, has been evidence of an early symbolic religious ritual found in a cave in Botswana's Tsodilo hills. In December 2006 archaeologists announced that they had discovered a six-metre-long rock here that had been artificially decorated and reshaped to resemble the head of a gigantic python. "It's very big news" commented lead archaeologist Sheila Coulson, suggesting that certain markings on the rock were likely made by prehistoric shamans to enhance the snake illusion by creating the impression of scales and movement. "When flickering light hits it, it very much looks like the snake is flexing," Coulson argued, pointing out that snakes feature prominently in the mythology of bushmen tribes still living in the area today. Adding to the impression of a fully-symbolic religious ritual is the fact that more than 13,000 manmade artifacts were found deliberately buried in front of the rock while behind it is a small chamber which the archaeologists believe may have been used by shamans "who could have spoken without being seen, giving the impression that it was the snake speaking." The whole assembly has been provisionally dated to around 70,000 years ago, which means, said Coulson, "that humans were more organized and had the capacity for abstract thinking at a much earlier point in history than we had previously assumed."<sup>17</sup>

Probably other equally early – or even earlier – examples of such sophisticated symbolic behaviour will be found in Africa as more archaeology is done in that vast continent. For the moment, however, the Botswana serpent rock, and the rituals associated with it, provide the only evidence of such behaviour as far back as 70,000 years ago. With this notable exception, it looks very much as though the human symbolic revolution remained "stuck" more or less at the Blombos phase (of shell jewelry and geometric patterns) for rather a long while – from 77,000 years ago until anatomically modern humans first began to leave their traces in Europe about 30,000 years later. For most of this immense period the evidence points to Africa, and neighboring parts of the Levant, as *the only region in the world* where ancestral modern humans were present – and for most of it their surviving symbolism is confined to the relatively low level of abstract patterns and personal adornments found at Blombos.

There is, however, an intriguing geographic anomaly. Australia, never populated by any other hominid species, was colonized by anatomically modern humans at an astonishingly early date – as early as 60,000 years ago on some estimates, and possibly (though such "extreme" dates are hotly contested) as far back as 75,000 years ago. <sup>18</sup> As well as making the immense overland journey from their home in Africa, apparently over a very few generations, and perhaps even within one generation, these pioneering humans were finally obliged to mount a feat of open-ocean sailing (to reach Australia from southeast Asia) that would surely have been impossible without advanced symbolic abilities.

The mystery deepens when we realize that the earliest evidence for the presence of modern humans in south-east Asia (which straddles the overland route that migrants from Africa would have had to follow) dates back less than 40,000 years – i.e. at least 20,000 years *after* the arrival of modern humans in Australia.<sup>19</sup> There are also controversial claims of extremely ancient works of rock art in Australia, featuring simple engraved geometrical patterns, some possibly more than 40,000 years old, and some, again, with an "extreme" suggested antiquity of 75,000 years that is close to the age of the engraved patterns at Blombos.<sup>20</sup>

Turning our attention to Europe, the oldest items of personal adornment so far discovered are from Bulgaria and are dated to between 46,000 and 42,000 years old. They include a bear incisor tooth, and a fox canine tooth, both drilled to be worn as pendants, and a facsimilie of a red-deer tooth sculpted from deer antler. From southern Russia comes the oldest piece of figurative art yet identified on the Eurasian landmass – a representation of a human head carved from mammoth ivory and dated to around 45,000 years ago. As noted above, the earliest European cave paintings were created some 10,000 years after that, i.e. around 35,000 years ago, although it is possible that older examples remain to be found.

Wherever we find its traces in the world, one over-riding impression forces itself upon us from all the evidence. This is the uniqueness and peculiarity of the evolutionary event by which we were drawn into fully modern consciousness and the fully modern capacity for symbolism and culture, religion, and art. No ancestor in the human lineage had ever made use of any form of symbolism before, and needless to say no other animal species had ever done so either. But the switching-on of humanity's symbol-making capacity between approximately 100,000 and 40,000 years ago was the change that changed everything. Before it, other than scattering their stone tools for us to find, the generations of our ancestors appear to have come and gone leaving no more impression upon the earth than any other animals; after it, our behavior and achievements began to diverge very rapidly from those of the rest of the animal kingdom. While it is undoubtedly the case that there was a lengthy build-up to this, it is also obvious that some sort of "critical mass" was reached, at least in south-west Europe, when the great caves began to be painted between 40,000 and 30,000 years ago. Subsequently, notes Richard Klein, Professor of Anthropology at Stanford University, "ever more closely packed cultural revolutions have taken humanity from the status of a relatively large mammal to something more like a geologic force."

What adds to the mystery of this amazing stepping-up of our effectiveness and competitiveness is that it was not accompanied or immediately preceded by any obvious anatomical change. There was, for example, no increase in human brain size between 100,000 and 40,000 years ago. On the contrary, the fossil record shows that today's average of around 1,350 cubic centimeters had already been attained by our ancestors in Africa as early as half a million years ago - even before full anatomical modernity was reached - and has since remained relatively stable. We are therefore obliged to ask why it was that humans with identical brains, looks, and genes to ours nevertheless behaved so very differently from us for the first 100,000 years of their existence (i.e. from roughly 200,000 to roughly 100,000 years ago) – so differently, in fact, that they seem almost like another species.<sup>24</sup> And why did they then embark on an immense behavioral metamorphosis – that would not hit critical mass until around 40,000 years ago - to become innovative and artistic, symbolic and cultured, religious and self-aware? What caused the momentous change of direction and destiny, hitherto unparalleled in the history of life on earth, that gave birth to modern human culture?

Dr. Frank Brown, whose discovery of 196,000-year-old anatomically modern human skeletons in Ethiopia was published in *Nature* on February 17, 2005, points out that they are 35,000 years older than the previous "oldest" modern human remains known to archaeologists:

This is significant because the cultural aspects of humanity in most cases appear much later in the record, which would mean 150,000 years of *Homo sapiens* without cultural stuff . . . <sup>25</sup>

Brown's colleague, John Fleagle, of Stony Brook University in New York State, also comments on the same problem:

There is a huge debate regarding the first appearance of modern aspects of behavior . . . As modern human anatomy is documented at earlier and earlier sites, it becomes evident that there was a great time gap between the appearance of the modern skeleton and "modern behavior."

For Ian Tattershall of the American Museum of Natural History, the problem posed by this gap – and what happened to our ancestors during it – is "the question of questions in paleoanthropology." His colleague, Professor David Lewis-Williams of the Rock Art Research Institute at South Africa's Witwatersrand University, describes the same problem as "the greatest riddle of archaeology – how we became human and in the process began to make art and to practice what we call religion."

#### Clues to follow

The admission by scholars that we simply do not understand the artistic and religious revolution of the Stone Age, or the part it played in putting us on the fast track forward to the Space Age, highlights an obvious direction for new research. The libraries are already full of weighty books and learned papers generated by more than a century of mainstream academic study of prehistoric art. The data mountain is huge; yet ironically, concludes Professor Lewis-Williams, all the efforts that went into building it have brought us no closer to finding out the one thing we really need to know about the Upper Paleolithic: "why the people of that period penetrated the deep limestone caves of France and Spain to make images in total darkness."<sup>29</sup>

Why indeed? What motives led them to pick out such extreme and difficult places? What did they themselves believe they were doing all this for?

Such were the questions that had brought me to Pech Merle – off-season, when the whole cave was closed to the public, so that I might have the opportunity to experience its great works of art in something like the conditions of solitude and silence that would have prevailed when they were created. Whatever the true nature of the process that

transformed the lives of our ancestors, it is clear that it involved symbolic expression above all else. Whether at Pech Merle or at hundreds of other Upper Paleolithic sites, the images they left behind may therefore be the best clues we have if we want to uncover the secret of our own humanity.

#### CHAPTER THREE

## Vine of Souls

Most human characteristics that are genuinely universal are easily accounted for in evolutionary terms, and the arguments are widely known. For example, we all live in families and societies, because to do so aids our survival and the propagation of our genes. We all have the capacity for love because it is an emotion that promotes family and social life. We all have laws of one kind or another because these, too, reinforce family and social ties and thus make us stronger and more competitive. We all eat food and drink water because we will soon die if we don't. We all use the unique human gift of language to communicate more effectively than other species on the planet, to preserve knowledge handed down from previous generations, and to create culture – thus further sharpening our competitive edge.

But there's one very odd thing that all of us at all periods of history seem to have done that defies an obvious evolutionary explanation. Against logic and reason, lacking irrefutable proof that we are right, and sometimes contrary to our own objective interests, every society that we know about since the appearance of modern humans on the planet has maintained a steadfast belief in the existence of supernatural realms and beings. Even in this rational and scientific century (the twenty-first since the crucifixion of a supernatural being called Jesus), more than a billion Christians still believe in Heaven and Hell, God and the Devil, angels and demons. Muslims, Hindus, Buddhists, the ancient Egyptians, the Maya, the Druids, and the practitioners of all other known religions living and dead have all also entertained similar

ideas. The exact nature and multiplicity of the beings may change, the number and character of the realms may change, but in all cases, at root, what unites us are our unproven irrational beliefs of one kind or another in non-material dimensions of reality, inhabited by incorporeal beings that interact with us and frame our destiny in mysterious ways.<sup>1</sup>

Many evolutionary scientists claim that beliefs of this sort are "hard wired" into our brains. This "neurological adaptation" is said to have been selected because, despite some obvious aberrations and exceptions, religious beliefs generally strengthen society and therefore aid the survival of our species – just like our propensity to love, or our universal inclination to live by laws. But even if religion does exactly what the evolutionists say it does, the central problem remains. We may have learned something more about the workings of society and its institutions, but we are no nearer to understanding why the common ground of all religions everywhere should consist of remarkable, unproven and deeply illogical beliefs in spiritual and supernatural levels of reality and their alleged influences upon our daily lives.

I want to re-emphasize that there is no dispute here about the social usefulness of religious beliefs. I take that for granted. The problem is better phrased if we ask why human societies have so consistently opted for these particular beliefs - in supernatural realms and beings rather than others that might have served the same function without requiring such a radical disconnection from observed reality. To put the issue in some kind of perspective, one can hardly imagine that lions would be more efficient predators if they lavished large amounts of their time and energy on placating nonexistent beings from other worlds. And what about the gazelles? Would they have any chance of escaping the cheetahs if they kept being diverted by parades of spirits, elves, or angels? Because it is hard to see the evolutionary advantage of such impractical distractions for animals, it is all the more difficult to understand how they could provide any possible advantage to humans. Yet if they provide no advantage, then how are we to explain the fact that every human society throughout recorded history in which religion has played an important role - which is as good as saying every society, ever, without exception - has been so thoroughly dominated and entranced by precisely such beliefs?2

## Shared neurology

There is an anthropological and archaeological theory, briefly outlined in Chapter One, that seems to offer at least a partial answer to this question. According to this theory prehistoric rock and cave art around the world expresses mankind's first and oldest notions of the supernatural, of the "soul", and of realms of existence beyond death – notions that took shape in "altered states of consciousness" most likely brought on by the consumption of psychoactive plants.

According to Professor David Lewis-Williams, the leading proponent of the theory, such ideas are not part of the normal, predictable currency of everyday life but arise from the universal human neurological capacity to enter "altered states of consciousness" (ASCs) – i.e. states of deep trance in which extremely realistic hallucinations are seen. Many anthropologists are convinced that as far back as the Upper Paleolithic, our ancestors placed a high value on hallucinations and made extensive use of psychoactive plants to induce them.<sup>3</sup> In addition, it is well known that rhythmic drumming and dancing, hyperventilation, self-mutilation, starvation, and a variety of other more or less unpleasant techniques can also bring on hallucinations.<sup>4</sup> In hunter-gatherer societies, such work is typically not the responsibility of all people but only of the shamans – those ritual specialists who are able to make the perilous journey to the hallucinatory otherworld and return with healing knowledge.<sup>5</sup>

Supported by David Whitley, one of the leading North American rock-art specialists, Jean Clottes, the world-renowned expert on the French prehistoric painted caves, and a growing number of other scholars from many different countries, Lewis-Williams takes the view that the first notions of the existence of supernatural realms and beings, the first 'religious' ideas about them, the first art representing them, and the first mythologies concerning them, were all derived from the experiences of hallucinating shamans. By this theory, the art of Pech Merle and of the 300 or so other painted caves of south-west Europe is an art of visions – in other words, the extraordinary images that confront us there depict hallucinations seen by shamans in altered states of consciousness. Since both we and the shaman-artists of the Upper Paleolithic share the same modern human neurology, and since ASCs are a universal phenomenon

of that neurology, it follows that there may after all be some possibility of a bridge between us and them – some possibility for us to see what they saw and, despite the passage of tens of thousands of years, to gain direct experiential insight into the roots of their beliefs.

## The limits of objective research

David Lewis-Williams began to develop his "neuropsychological model" of cave art and the origins of religion in the early 1980s, and has been testing and defending it virtually non-stop since 1988, when he and his co-researcher Thomas Dowson officially put it before their peers in the scholarly journal *Current Anthropology*. As Lewis-Williams admitted when I met him at Witwatersrand University in 2004, however, there is one thing he has not done and is not prepared to do in the name of science, and that is to experiment directly and personally with the altered states of consciousness he has made a career out of researching and writing about. Like many Westerners, he told me, he was too much of a "control freak" to put himself into trance by dancing or drumming, he had no intention of starving himself for 40 days, and he certainly wasn't going to take any psychoactive drugs – by far the easiest, the most certain and, throughout history, the most common method used by shamans to attain the visionary state.

"Why not?" I asked.

Lewis-Williams shrugged: "I don't want to fry my brains and frankly I'm not in the least bit interested in the experience."

I protested that as the leading exponent of the visionary theory of Upper Paleolithic art, I would have thought that he of all people should be extremely interested in such experiences, but Lewis-Williams maintained steadfastly that he was not. He pointed out that the scientific literature already contains a huge number of studies of trance states and hallucinations, and that for him to add a few hallucinations of his own would change nothing. Better to stand back, stay objective, and focus on the search for common patterns in the cave art on the one hand and in what the psychiatric and psychological experts have reported about hallucinations on the other. That was the way to flesh out the neuropsychological theory – with an overwhelming

deluge of evidence and common sense. There was nothing to be gained from seeking a personal tour of the shamanic "otherworld," which – we now know as our ancestors did not – is just a silly illusion.

Though I understood perfectly where Lewis-Williams was coming from, I could not agree with him and objected that we do not know any such thing. We might feel very sure that there is no more to reality than the material world in which we live, but we cannot prove that this is the case. Theoretically there could be other realms, other dimensions, as all religious traditions and quantum physics alike maintain. Theoretically the brain could be as much a receiver as a generator of consciousness, and thus might be fine-tuned in altered states to pick up wavelengths that are normally not accessible to us. Depending on our point of view and our experiences, we might find the proposition that such "otherworlds" are real more or less improbable, but it is important to register that no empirical evidence exists that rules them out entirely.

At this point Lewis-Williams politely expressed impatience at the speculative direction in which he felt I was taking the conversation, and we moved on to discuss weightier matters. At the back of my mind, however, I couldn't help feeling there was a problem with the way that academic researchers who studied the religious impact of visions were so sure they were just "silly illusions." Lewis-Williams was probably 100 per cent right that they were "mere" hallucinations and figments which had tricked our foolish ancestors into believing in nonexistent otherworlds for thousands of years. Still, I thought he was 100 per cent wrong to express that view with such force and authority without ever having experienced visions himself.

I had reason to believe that such experiences might have influenced his take on the matter; they had certainly influenced mine . . .

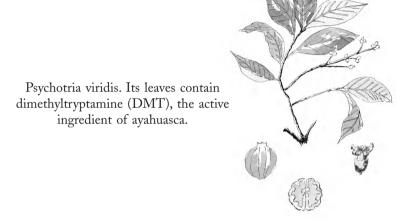
## South American shamans, on drugs, painting their hallucinations

Six months before the ibogaine session that I described in Chapter One, and three months before I met Professor Lewis-Williams in Johannesburg, I spent five weeks in the Peruvian Amazon with indigenous Indian shamans, drinking the sacred plant hallucinogen known in the Quechua

language of the Incas as ayahuasca. This is a composite word that means, literally, the "Vine of the Dead" or, in some translations, the "Vine of Souls." It reflects the alleged capacity of the South American brew, very much like iboga in Africa, to propel those who ingest it into realms that seem convincingly spiritual and supernatural where, very often, they encounter their deceased ancestors. Since I sought to test the hypothesis that the first religious ideas of mankind, and the cave art that expressed them, were inspired by visions induced by psychoactive plants, I was intrigued to learn of the existence of Indian tribal shamans in the Amazon who routinely paint scenes from their ayahuasca visions. Certain shamans, such as the renowned Pablo Amaringo and his cousin Francisco Montes Shuna, had even gained international renown for their ayahuasca-inspired art – the latter contributing a mural to the Eden Project in Cornwall, England, the former having collections of his paintings exhibited in New York and published in a prestigious art book.

Scientists know why avahuasca produces visions. It does so because one of its two principal plant ingredients is rich in N,N-dimethyltryptamine (DMT), an extremely potent and fast-acting hallucinogen that is also secreted naturally in minute, usually sub-psychedelic quantities by the human brain. Despite being present in our bodies, both DMT in its pure form and DMT in solution in the ayahuasca brew are classified as Schedule 1/Class A illegal drugs in the United States and Britain, and you can go to jail for a long time for possessing them. In countries bordering the Amazon Basin, however, where ayahuasca has been an integral part of indigenous Indian culture for thousands of years, it is not illegal; on the contrary, in Peru, Brazil, Colombia, and Ecuador, its consumption is protected under laws of individual religious freedom. Those good and decent laws, and the relative accessibility of indigenous shamans working as curanderos - healers in their local communities, were reason enough for me to do most of my ayahuasca sessions in the Peruvian Amazon. But I also participated in one session in Brazil with a modern syncretic cult known as the Uniao de Vegetal (UdV). It uses ayahuasca as its sacrament, and has brought the archaic shamanic experience of visionary revelation alive for a rapidly expanding community of otherwise very "normal," middle-class, well-educated Brazilians.

A number of plants growing in different parts of the Amazon contain the DMT that gives ayahuasca its extraordinary visionary powers, and all of these have long been known to indigenous shamans. One of the most widely used is *Psychotria viridis*. It is a bush of the *Rubiaceae* family and its leaves release psychotropic quantities of DMT if cooked together with water. Whether the DMT is extracted from this plant, however, or from one of the half-dozen or so other known sources, there is a problem to overcome. Monoamine oxidase, an enzyme that occurs naturally in our stomachs, so efficiently destroys DMT on contact as to render it entirely orally inactive. It is at this point that the other principal ingredient of the brew – the ayahuasca vine itself – comes into play. Classified scientifically as *Banisteriopsis caapi*, and a



member of the *Malpigia* family of giant forest lianas, it contains chemicals known as monoamine oxidase inhibitors which cleverly switch off the stomach enzyme and allow the DMT from the *Psychotria viridis* leaves (or from any of the other sources) to go to work.<sup>10</sup>

Anthropologist Jeremy Narby comments:

So here are people without electron microscopes who choose, among some 80,000 Amazonian plant species, the leaves of a bush containing a hallucinogenic brain hormone, which they combine with a vine containing substances that inactivate an enzyme of the digestive tract



Banisteriopsis caapi, the ayahuasca "vine of souls"

which would otherwise block the hallucinogenic effect. And they do this to modify their consciousness. It's as if they knew about the molecular properties of plants *and* the art of combining them, and when one asks them how they know these things, they say their knowledge comes directly from hallucinogenic plants.<sup>11</sup>

The renowned ethnobotanist Richard Evans Schultes was struck by the same problem:

One wonders how peoples in primitive societies, with no knowledge of chemistry or physiology, ever hit upon a solution to the activation of an alkaloid by a monoamine oxidase inhibitor. Pure experimentation? Perhaps not. The examples are too numerous.<sup>12</sup>

So the substance I had gone to South America to drink had a mysterious history. It was intimately connected to religious ideas and to the religious art of the region. Its very existence was biochemically unlikely and contrary to the laws of probability, and the shamans who used it claimed it transported them to supernatural worlds where they encountered spiritual beings of great power.

In the pages that follow, I set out the highlights of my own visions, woven into a sequential narrative. I participated in ayahuasca sessions

ten times in Peru and Brazil and once in Europe. But no matter where I drank the brew, its extraordinary effects always brought me, pretty soon, to the same complex, internally consistent, and deeply strange universe.

#### The vine and the leaf

I accompany the shaman Francisco Montes Shuna to the place in the jungle where ayahuasca is prepared. Gathered there on the ground there is already a pile of cut sections of ayahuasca vine, which is a tough, liana-like creeper that hangs from the tall trees. Francisco selects several large pieces, each approximately as thick as my arm, consisting of three or four vines coiled around one another in long, tight knots like mating serpents. These large pieces are chopped into 22 segments, each about a foot long. Then we pick approximately 300 fresh green leaves of *Psychotria viridis* from bushes nearby. Finally, the 22 sections of vine are smashed with a heavy wooden club – methodically smashed, mainly by Francisco, although I inexpertly assist him with three of them.

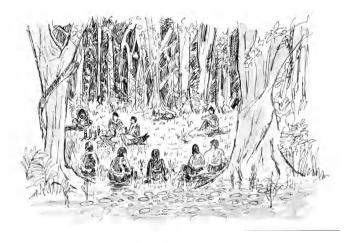
Once macerated in this way, the inner part of the vine is exposed. It is very fibrous but also damp and turning a red color. Francisco places a thick layer of the smashed vine into the bottom of a large iron cooking pot, on top of this a layer of all the *P. viridis* leaves, then another layer of the rest of the vine. Next the whole mass is wedged down beneath two sticks jammed transversely into place, and several liters of cold water from the creek are added until all the contents are covered. This is left to marinate overnight.

The following morning, around 8 a.m., I go to see the brew being cooked. It has already been on the fire for two hours and is bubbling steadily at a slow boil. This first water is eventually decanted into a second large iron pot and fresh water is added to cover the mass of vine and leaves once again. This will happen three more times. Then all the substance-rich water collected in the second pot, many liters, will be boiled slowly down to less than a liter of pure, concentrated ayahuasca. The vines and leaves from the first pot, having now served their function, are thrown away.

## The ceremony begins

It is always night when the ceremony begins, around nine or ten in the evening. The rich velvet darkness of the rain forest envelops everything. The setting may be a small, simple hut out on the far edge of Iquitos, or the temple at Sachamama established by Francisco Montes Shuna, or a homestead beside a stagnant creek on a remote tributary of the Amazon, or just a clearing in the jungle. Sometimes I'm alone with the shaman; usually my wife Santha is there as well; frequently people from the local community who also want to drink join in.

The shaman is almost always a man, dressed in the nondescript Westernized clothes of the villages. You wouldn't notice anything particularly special about him if you passed him in the street, but he knows a great deal about the plant medicines of the jungle and how they may best be harnessed for the benefit of human beings. As a practicing *ayahuasquero* he is likely to have been drinking the sacred



Jungle ayahuasca session

brew since childhood and to have traveled so frequently in the supernatural realms, to which ayahuasca affords a portal, that he is truly the master of all the strange experiences to be encountered there. He will long ago have acquired his own "spirit animals" who meet him in the hallucinatory otherworld, act as his guides and protectors

throughout the trance, and assist him in his vocation as a seer and healer. Sometimes also he has in his possession a number of "power objects" – for instance, pebbles of quartz crystal, a piece of magnetized iron, a bundle of feathers, and certain small statuettes of wood, bone or terracotta – which provide additional assistance to him in his struggles in the spirit world.

Wherever we hold the ritual, and whichever shaman is leading it, an essentially similar routine is followed. The shaman puffs on huge hand-rolled cigarettes of sacred tobacco and blows clouds of smoke over himself and the drinkers and into the neck of the bottle containing the ayahuasca. There is much muttering of invocations, brushing of the air with rustling leafy branches, and sprinkling of Agua Florida, a cheap cologne. The shaman clears his throat several times and spits. Then he begins to sing the *icaros*, ancient chants and whistles, handed down since time immemorial, to draw in the spirits around our circle.



Painting of an ayahuasca session in a jungle *maloca*, by Peruvian shaman Pablo Amaringo

Usually by the time the ayahuasca is poured more than half an hour has passed. Modes of preparation vary. In some cases – for example the UdV in Brazil – large glasses of a very diluted brew are served. More commonly the ayahuasca is concentrated by repeated boiling

into a thick, dark, viscous liquid – of the kind I saw Francisco Montes Shuna prepare – and is presented in a small cup.

First in line at the very first session I attend is a middle-aged woman who wants to contact the spirit of her dead husband. She sips. Pauses. Looks down into the cup. Then drains the rest at a gulp. The same grubby china cup is used by all participants, with the shaman seeming to assess each individual carefully (body weight? aura?) before pouring out a dose. In each case he whispers incomprehensible words into the cup before handing it over to the participant. In each case the measure he has poured looks much the same as the last – roughly equivalent in volume to a double shot of spirits.

My turn. I'm sitting on my heels on the grass mat that is spread out in front of the shaman. His lined features are folded like leather into a beatific expression. He weighs me up, pours from the bottle into the cup the standard double shot of ayahuasca, whispers the incomprehensible words, passes the cup over to me.

I take a tentative sip of the vile-tasting liquid – so strong and bittersweet and salty, so dark, so concentrated, and so textured as to be repellent. There are jangling discordant notes of cocoa, medicine and jungle rot. And there is the definite sense that I am partaking here of something formidable and elusive – a "living spirit," as the old myths say – that announces its presence in the sheer mass and energized heaviness of the brew.

I raise the cup to my lips again. About two thirds of the measure that the shaman poured for me still remains, and now I drain it in one draught. The concentrated bittersweet foretaste, followed instantly by the aftertaste of rot and medicine, hits me like a punch in the stomach. I shudder. Will I throw up? Will I shit myself? I have heard that such inconveniences are always a risk with ayahuasca, which, as well as its unsurpassed qualities as a hallucinogen, is also an extremely strong and efficient purgative (indeed, one of the many names and nicknames by which ayahuasca is known throughout the Amazon is La Purga – the purge). Vomiting and diarrhea are common amongst participants in ayahuasca sessions, and one must simply deal with these effects if they strike. Feeling slightly apprehensive, I thank the shaman and wander back to my place on the floor.

## Geometry and nets

Time passes, but I don't keep track of it. I've improvised a pillow from a rolled-up sleeping bag and I now find I'm swamped by a powerful feeling of weariness. My muscles involuntarily relax, I close my eyes, and without fanfare a parade of visions suddenly begins, visions that are at once geometrical and alive, visions of lights unlike any light I've ever seen – dark lights, a pulsing, swirling field of the deepest luminescent violets, of reds emerging out of night, of unearthly textures and colors, of solar systems revolving, of spiral galaxies on the move ... Visions of nets and strange ladder-like structures. Visions in which I seem to see multiple square screens stacked side by side and on top of each other to form immense patterns of windows arranged in great banks. Though they manifest without sound in what seems to be a pristine and limitless vacuum, the images possess a most peculiar and particular quality. They feel like a drum-roll – as though their real function is to announce the arrival of something else.

I begin to pay attention to one image in particular, or rather to one area of my inner visual field where complex interlaced patterns of geometry prove on more careful inspection to be part of the skin of a snake – a gigantic snake, apparently alive, not dead, with its head and its tail away from me. I zoom in for a closer view. I can make out the individual scales and the way that they overlap each other. They're rectangular, outlined in black, like windows. There's a circle in the center of each rectangle. Zoom in again. The circles are purple, spinning like fireworks, glowing with the otherworldly dark light that I'm already getting used to here.

Here? Where is here? Why is it a place where I see colors that do not exist in everyday life?

Closer still. Focus on a single one of the spinning circles.

What is it? A sense of familiarity dawns before all becomes clear. It's the iridescent eye from the display feathers of a peacock . . . It's a spiral galaxy, swirling and turning in the darkness of space . . .

Then the shaman begins to chant the *icaros* again. His performance is very quiet at first but it builds up . . . builds up. For what feels like half an hour, maybe more, the whole atmosphere rustles with

melodious chanting and whistling, as though great wings are stirring, and I find myself relaxing into this sound-realm.

Something interesting begins to happen. Quite smoothly, like an



Detail from a painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

automatic gear-change, the parade of patterns and unearthly colors that have assailed me until now, the geometrical pulse, the swirling lights, all begin to beat in time to the underlying rhythm of the chant. I have the sense of rising up through ethereal levels – as though the Gnostics of old were right that reality consists of a series of layers extending upwards from grossest matter to purest spirit.

My whole visual field gets less static and crystalline, more fluid and organic. Suddenly it feels like a night dive. I'm looking up from the bottom of a clear ocean at a mass of purple jellyfish bobbing at the surface. There are so many of them that they all seem joined into a single voluptuous fabric, each one – I now observe – with a ring of luminous pearls, like the landing lights of a miniature space ship, arranged amongst its undulating skirts. As the chants and whistles rise and fall, this vast repetitive pattern glides slowly and majestically overhead – strobing bright and dark, bright and dark, like a well-synchronized *son et lumière*.

Other than these amazing visuals, I feel completely down to earth.

I've experienced some intense moments of nausea, but the worst seems to have passed and I haven't thrown up yet. There's no particular sign that I'm going to shit myself. And if I open my eyes the visions instantly disappear – whoomph! – like vampires at dawn.

It's an unsettling sensation to see with my eyes closed a decidedly non-ordinary universe and with my eyes open an absolutely mundane world, and to be able, to a limited degree, to switch back and forth between the two – blink, ordinary, blink, non-ordinary, etc., etc. But I can also feel reason and measure reasserting themselves, and already the quality of supernatural immanence that has characterized my experience so far is beginning to dull and fade.

I look at my watch. It is around one in the morning. Now even with my eyes closed the visions are weak and intermittent. I'm 100 per cent back on planet earth, sober, rational, not about to visit any parallel dimension.

I reached the anteroom and saw the wallpaper there. That's the realistic assessment. I fastened my seatbelt and trundled down the runway but couldn't build up enough speed to take off. I stood at the doors of perception but didn't pass through.

Although the prospect is still daunting and horrible, although the mere thought of the taste makes me gag and retch as if I've inhaled mustard gas, I resolve to drink another cup of the brew.

I go outside, pee, look at the stars, come back in again, take off my shoes, have a few swigs of water. Drinking ayahuasca is an ordeal – everything about it is difficult, nothing is easy. Yet I have no choice but to persevere if I want to understand this mysterious substance. I know of several examples of people who have taken ayahuasca and experienced nothing very remarkable during their first two or three sessions, only to get the full return ticket to a parallel world on their third or fourth attempt.

I shuffle up to the shaman again, tell him I think I'm ready for a second dose. He pours out the usual double-shot measure into the cup and whispers the usual incomprehensible words into it before handing it to me to drink.

I intend to drain it in one gulp, because it's only a small amount after all. But once again it seems formidable – the way a toad might

seem formidable if blended as a beverage and served in a cup. My flesh recoils from it and I hesitate, then take a preliminary sip exactly as before. There's something unique and indescribable about the taste: ayahuasca from the Amazon. The Vine of Souls. The rancid medicine of the gods . . . I tip my head back, tilt the cup upright, and swig down the remainder of its contents.

As I wander back to reoccupy my place on the floor I'm thinking that with the first dose still presumably in my system, and certainly not vomited out, is it reasonable to hope that this second dose will do the trick? I already know that the answer is "maybe" and that it really does depend – because the effects of ayahuasca differ so much from person to person and from day to day, even from hour to hour.

After about 40 minutes the parade of images reappears – the geometry, the nets, ladders and banks of windows, the spirals, the swastikas spinning in the void, the furious zigzags, the kaleidoscope of unearthly colors – and I find myself back in the anteroom to the ayahuasca realm. As before, sound and vision have effortlessly synchronized, and the whole scene pulses and vibrates hypnotically. As before, I have the ability to zoom in on small areas of the scene. As before, I see what looks like the flank of a gigantic serpent and, when I come closer, the patterned rectangles of individual scales – each one of which proves to enclose a swirling, iridescent eye.

For a while not much else happens. Then suddenly, without preamble, I get a display of zigzags, pyramids, tribal masks. One after the other, as though briefly illumined by the brightest spotlight, they seem to jump out of the darkness, then disappear. It's the grand finale of another visit to the ayahuasca anteroom. As the display fades, ordinary reality replaces it and my consciousness engages itself completely with the material world again.

# Vision serpents, light-elves, a celestial temple, and an angry supernatural

During my second session, I know things are going to be different when the opening parade of patterns and geometry gets overlaid with a lot of snakes, like a game of snakes and ladders. The snakes are very large and their whole bodies from head to tail are clearly visible to me. The main colors are browns and yellows.

I've been expecting them to show up. Reading round the subject before coming to the Amazon, I've learned that people from all parts of the world and many different cultures routinely meet snakes on the ayahuasca journey. The shamans say this is because the spirit of ayahuasca, like the vine itself, takes snake form. In my vision the snakes arrange themselves into patterns of interlaced wheels and spirals. Then they merge into one large mass and finally break apart into pairs of individuals that wind around each other like the DNA double helix.



Painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

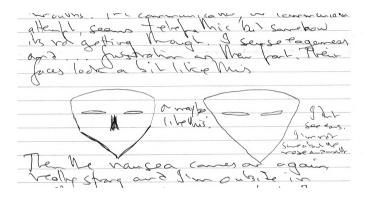
The nausea comes on strong and I'm out in the dark, puking. The vomiting racks me to the core until I'm drenched from head to foot in sweat and have only dry heaves left. I sink to the ground on hands and knees, slump against one of the support poles of the hut, and then change my mind when I find myself face to face with a large poisonous spider.

My head has cleared. I go back inside, take my place in the circle, close my eyes. More snakes, a recurrence of the geometrical patterns. Then suddenly two beings made all of white light pop up in my face, one behind and to the side of the other. They are quite small – three

or four feet tall – but I'm only aware of their upper bodies from the waist up. I don't see their feet. Their white light faces glow like neon and are approximately heart-shaped with big domed foreheads and narrow pointed chins. Nostrils and mouths, if they have them at all, are just slits in their otherwise smooth features. Their eyes are completely black and apparently without pupils.

They seem to have business with me. They want to communicate. The communication, or communication attempt, feels telepathic, but somehow it is not getting through. I sense eagerness and . . . frustration on their part.

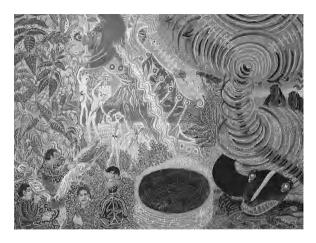
Then the nausea comes on again, really strong, and I'm outside for



Sketch of an ayahuasca vision from the author's field research notebook

another protracted bout of vomiting and retching. Seated on a tree stump, looking up at the stars, I have a strange sense of being possessed by the spirit of my father, as though he's inside me somehow, merged with me and my consciousness.

On another night the visions begin very differently. After an initial bout of geometry and ladders I find myself inside a building – a huge structure a bit like the ancient Egyptian temple of Edfu at its entrance but opening out into something quite other. Fantastic architecture on an extraordinary scale. I have a computercam point of view and can fly around, zoom in or zoom out anywhere. I fly up into a vast dome, examine the patterns of nested curves that decorate its ceiling. Then the vomiting takes me again and the visions are gone.



Painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

Around the fifth night of my stay in the Amazon, I drink ayahuasca in a natural clearing in the jungle. The name given by the Indians to clearings like this is *supay chakra*, which means "the devil's farm." Two makeshift benches have been set up near a huge ancient fig tree, and we hang hammocks and mosquito nets at the edge of the clearing. Dark falls, surrounding us with the sounds of the jungle night. We wait until around 8 p.m. to start the ceremony.

I begin to vision very mildly after about 45 minutes – a dreamlike state enhanced by the weird luminous glow given off by thousands of dead leaves carpeting the forest floor. When my eyes are open I see this real jungle with its glowing floor. When I close them another jungle appears – a jungle of trees, stems, shoots, each traced with a line of fire and overcast with strangeness.

I also see snakes again, not very large this time, but with wideopen mouths. Then a tiny mannequin appears amongst the high jungle plants. It has the outline and size of a gingerbread man, but is glowing all neon-white. It behaves like a puppet operated on strings by a puppeteer who is so far above us as to be out of sight. The mannequin dances through the tall trees.

In the morning Don Alberto, the shaman, says that the spirit of the *chakra* was with us, watching us the whole time, hiding behind the big fig tree. Apparently he was not happy that we vomited – and

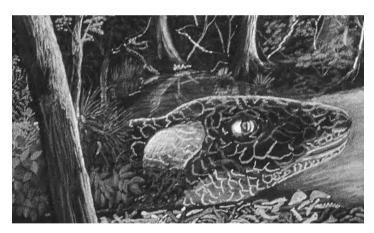
worse! – in his *chakra*. But Don Alberto reassures us that he intervened on our behalf to make everything OK with this angry supernatural being.

### **Transformations**

January 22: This night I go through what I've come to think of as the scrying mirror into the otherworld. I tolerate the ayahuasca well, and about 90 minutes pass before I vomit.

In my hour of strong visions I'm surrounded by intelligent plants, which seem almost like animals, waving, weaving leaves – in dark colors but with their own fire. Then I meet a big boa constrictor. Its head alone is about two feet long and a foot high. It allows me to stroke it and I hear myself saying in my vision, "It's a beauty" or "You're a beauty." Something like that.

I see a yellow and black spotted butterfly, the size of a dinner plate, flitting from plant to plant in the hallucinatory jungle. I follow it until we reach a clearing where a second huge serpent awaits. There is no



Detail from a painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

butterfly now, only this immense yellow and black serpent radiating sentience and magical force. Before my eyes it spectacularly and mysteriously transforms into a powerful jaguar with yellow and black spots and confronts me face to face. There is a sort of telepathy. Then the creature abruptly turns away and vanishes. For what feels like a long while afterwards I continue to sense its presence still out there, camouflaged amongst the otherworldly jungle plants. At no point do I feel afraid of it or threatened by it.

Both it and the beautiful boa, the butterfly, even the plants, seem filled with vibrant energy and life and communicative intelligence. They also seem utterly and convincingly real – not stuff my brain is just perversely cooking up out of some hitherto unknown jungle-scenes



Detail from a painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

image bank in my temporal lobes, but real perceptions of real beings that ordinarily exist outside the range of my senses.

The visions left me thinking, and the following morning I wrote in my notebook:

Matter and spirit. As above so below. Science teaches us to believe that the material world is the primary and only reality. But from the ayahuasca perspective this is absolutely not the case. What we call the material world, our "consensual reality," is only part of the pattern – probably not even the primary part. Viewed through the lens of ayahuasca, another "world" becomes visible, another reality, perhaps many of them. And because these worlds interpenetrate our own, *effects* in this world may turn out to have *causes* in the other worlds. Perhaps the material world is indeed the creation of spirits but if so then presumably they made

it because they need it (for their own experience/evolution/development?). The material world, if cut off from the spirit world, becomes meaningless and empty. So the material world *needs* the spirit world too. Ayahuasca, and similar "master plants," appear to provide a direct means of communication with the spirit realm for sentient beings of the material world. The plants educate us by allowing us to experience in visions the reality of the supernatural – something normally impossible or very difficult for us to do as material-bound creatures.

Purple prose? A bit New Age and over the top? Even lunatic fringe? I don't deny it, and in a way that's exactly my point. If I, with all the knowledge and rationalism of the twenty-first century at my disposal, could be so persuaded by the apparently supernatural realms and spirit beings that ayahuasca introduced me to, then what would our ancestors have thought if they had chanced upon similar hallucinogenic plants 30,000 years ago and seen similar wonders? What about the two elf-sized "light-beings" I'd met? What would they have been taken to be in the Upper Paleolithic? What about the serpent transforming into a jaguar? What about the geometry and the architecture? Wouldn't it have all come together as a seamless otherworld? Not the way we tend to see such scenes now — as some sort of derangement of perception devalued by the modern idea of "hallucinations" — but as a veridical experience of another level of reality?

## Introspection

January 24: Don Francisco pours me a generous cupful of dense and rancid ayahuasca, which I almost throw up on the last swallow. The taste and smell are extremely strong, and for some reason I feel nervous and out of sorts this evening. There are waves of nausea, but I control them, breathe into them.

I suppose that half an hour passes before the visions become strong. Tonight I see predominantly snakes, most of them about three or four feet in length. Again the sense I get is that these are intelligent beings who mean us well, not harm. I experience none of the archetypal fear that snakes supposedly evoke. As usual my eyes are closed, but I can

"see" my legs and feet below me as though bathed in light. One of the serpents coils around my left calf and then rears its head up from knee level towards my face. Its body and head are colored a deep and brilliant gold. It seems to be inspecting or examining me, but I feel no fear.

I meet a lot of these snakes, coiling round the vegetation and around each other. They are everywhere, in the foreground, and often the background, of all my visions.

There are patterns and lights. I see something that looks like a very



Detail from painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

large inverted bowl rising up into a purple sky, glowing with light.

I witness a complex series of transformations of snakes into felines.

I have a sense of flying, as though I am a bird (or riding on a bird?) accompanied by two other birds.

I go through what feel like long periods of intense introspection. Something in the ayahuasca leads me to examine my own moral failings and weaknesses in an utterly unsympathetic light – holds them up to my view and shows me how much, in how many ways, I am inadequate, venal, not good. In particular I flagellate myself mercilessly about my father. Why wasn't I with him those last five days of his life? Why did I feel so driven to go back and finish my work?



Detail from painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

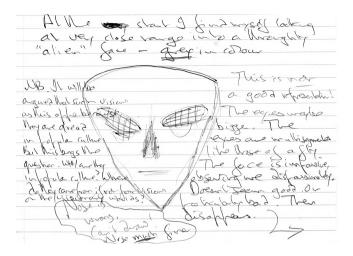
There are no excuses. Plain and simple, I should have been there and I wasn't. Several times I ask to see Dad's spirit, to meet him again and to talk to him.

But this grace the Vine of Souls does not grant me.

## Aliens and dragons

January 27: The visions begin with 20 minutes of geometry; then suddenly I find myself looking, at very close range, into a shockingly "alien" face, gray in color, with a wide domed forehead and a narrow pointed chin – heart-shaped like the faces of the "light-beings" I'd encountered a few days earlier. But this creature doesn't look friendly. Its eyes are multi-segmented like those of a fly. Frankly, it's the sort of image you'd expect to see adorning some far-fetched X-Files exposé, and since aliens and ETs have never been interests of mine, I'm really puzzled to experience such a hallucination. In the part of my mind that is still dealing with reasoning, I can already see the way that skeptics who have never taken ayahuasca are bound to read it. They must

argue that there is no mystery in the appearance of entities like this in my hallucinations because, regardless of my lack of enthusiasm for aliens, my brain has had the opportunity to borrow such imagery from



Sketch of an ayahuasca vision from the author's field research notebook

popular culture. Still, I feel unaccountably shaken and perplexed by what I've just seen.

A short while later, out of a background of shifting geometrical patterns, a beautiful Egyptian goddess appears. I see only her head and headdress clearly. She's in full regalia. Then she vanishes as abruptly and mysteriously as she arrived.

January 29: Strange and terrifying (briefly), although it didn't start that way. I drink at 8.05 p.m. For the first half-hour, as usual, nothing much happens, just queasy and formless luminescences. Then I begin to see snakes, not a lot and not spectacular. By 9:05 I'm feeling more intoxicated, dizzy and nauseous. But still just snakes. Not many. Midsize. They're coiling and writhing around a bit more. I begin to wonder (foolish bravado) if this is all I'm going to get.

The nausea increases and the whole visionary experience ratchets up a notch and becomes more sinister. My eyes are closed throughout, but when I "look" up, the visions are "up" and when I "look" down they're "down." I have the sense of gazing through a tunnel – a tunnel

of vision with serpents coiled at the side of it, close to my eyes, threatening to fall on me.

I throw up over the back of the bench I'm sitting on. As I shift position the serpents shift with me. The visions stay strong while I'm vomiting. As I stop and return to the seated position, everything ratchets up another notch. The serpents morph into Chinese dragons with beards and long serpentine bodies. Serpents and serpentine dragons with beards and rows of teeth. It's as though a Chinese painting has come to life. And again – where did the ancient Chinese originally mine this imagery from, if not from the visionary world? I'm convinced it's not a matter of my vision being inspired by Chinese paintings – which I hardly ever think of. I'm getting a glimpse into the same visionary realm that inspired the Chinese artists – and countless other mythologies in which dragons feature.

Then another upwards crank of the ratchet. The overall atmosphere – I can't explain why – is now distinctly terrifying and sinister. I see the gray heart-shaped face of an alien again, but with an even stranger, harsher expression than before. And I see what could be space ships – flying saucers – associated with this commanding, unpleasant alien presence. What's frightening is something that would be easy to interpret as an abduction experience – the feeling that if I allow the vision to continue I'm going to be taken up into those metal ships. They rotate and pulse with light from beneath, seeming to rise through a tube or funnel in the universe. I distinctly don't want to be taken



Sketch of an ayahuasca vision from the author's field research notebook

and open my eyes to stop what I'm seeing. But the strangeness persists. I'm back in the real world but just out of sight I can feel serpents, dragons, demonic aliens, and space ships whirling all around.



Detail from painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

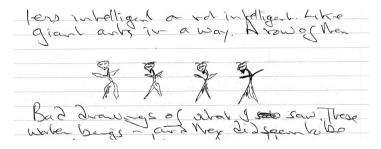
There's a sense in which the serpents/dragons morph into the alien who dominates this vision, and a sense in which the alien is different – not so much a serpent/dragon as some sort of huge insect with humanoid features. I also see four or five other insect-like creatures associated with him. They seem more like "workers" – less intelligent, or not intelligent. Like giant ants in a way. These worker beings – and they do seem to be working as a team on something – are about



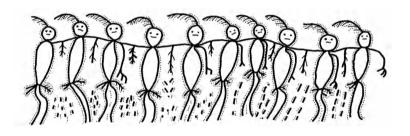
Detail from painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

three feet high, I would guess.

Two other images, both of planets, stand out in my memory of this complex vision. In the first the planet is immense and surrounded by rings or discs in the plane of its equator. In the second I see a transparent earth sphere with the fragility, texture, and glittering iridescent



Sketch of an ayahuasca vision from the author's field research notebook



Drawing by a Barsana Indian shaman of an ayahuasca vision (source Reichel-Dolmatoff, *The Shaman and the Jaguar*, fig 56)

colors of a soap bubble. Etched on its surface are the outlines of the familiar continents, and I can see through them from one side to the other. The sphere is rotating and seems to float in space between two cupped hands.

#### Half animal, half human

My final ayahuasca session in Peru is with a 74-year-old Shipibo Indian shaman named Don Leonceo in his tambo in the jungle beyond Iquitos. As well as the usual ingredients of *Psychotria viridis* leaves and the *Banisteriopsis caapi* vine, his ayahuasca includes datura, another

well-known visionary plant, and tastes unspeakably awful.

I have a series of small and certainly not terrifying visions. There are a few snakes. Several times I see multiple rows of green pyramids laid out in long tapering strips. I seem to be flying over these strips. I also see a sphere, a cube, and a triangle, and rows of serpent or alligator mouths full of teeth.

But the single most memorable aspect of my visions this night unfolds over what feels like just a few seconds. I seem to be inside a large, quite dark room with an opened doorway to one side. Light floods into the doorway and through it I can see a beautiful, spacious balcony overlooking what is perhaps a vast river, or a lake, or even the sea.

On the left side of the balcony, at the rear just outside the open doorway through which I'm looking, I suddenly become aware of the presence of a figure. It is an imposing statue, about six feet high and apparently carved in one piece from some green stone – perhaps jade. The sculptor provided excellent detailing of fine robes, and a belt, and something – possibly a sword? – suspended from the belt.

At first this stunning piece of sculpture seems just that – a harmless, inanimate statue. I'm curious to see more of it and move my point of view a little closer to get a look at its face. To my surprise



Detail from painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

the statue is half animal, half human. It has the body of a powerful and well-muscled man but the head of a crocodile, like Sobek, the ancient Egyptian crocodile god. And now I suddenly realize it is alive – a living being, a supernatural guardian. At this moment its eyes swivel sideways and it is looking at me, taking note of me.

The look is intelligent, appraising, somehow sly, but yet not threatening. What is this living statue, this being of jade? The vision fades . . .

#### Shamanism comes to suburbia

After leaving Peru, Santha and I flew to Brazil so that I could attend a session with the Uniao de Vegetal (UdV) in the beautiful district of Ilha de Guarantiba, about one hour's drive west of the center of Rio de Janeiro.

The *mestre* – Master of the ceremony – is Antonio Francisco Fleury. He's a distinguished, intellectual-looking gentleman in his sixties with a gray moustache. Altogether about 100 people attend. It is a family affair with age ranges present from babes in arms, young kids, teenagers, through to grandparents in their seventies. The UdV allows kids to drink ayahuasca (they call it their *cha* – tea) once a month from the age of 14, twice a month from age 18.

This is demonstrably a prosperous, middle-class group including many professionals such as doctors, lawyers, and architects. The temple is in a specially dedicated villa overlooking magnificent countryside. It has a kitchen, a dining area, a patio, a large area to one side for cooking the ayahuasca (strictly *Banisteriopsis caapi*, *Psychotria viridis*, and water), and the temple itself, a large rectangular hall with space for congregations of 100 or more, all comfortably seated.

What is striking is the extremely positive atmosphere of friendliness, support, rationality, and love among the congregation. For any Westerner, used to strict drug prohibition, it is quite surprising to discover that these responsible, courteous people and families, all upright citizens, are gathered to take a powerful hallucinogen – and to do so as the basic sacrament of their own intensely philosophical religion. All wear a uniform for the session, representing their place

in the hierarchy. The men wear white slacks and white shoes. The women wear orange slacks. All wear green, short-sleeved, button-up shirts. Entry rank is signaled by the white letters UdV on the left breast pocket. Councilors – the next rank – have the letters UdV and CDC in yellow on the breast pocket. Higher rank is indicated by gold stars. The top rank is the *mestre*, signaled by a blue shirt and a star. Visitors are allowed to wear civilian clothes.

The *cha* is dispensed from a large glass urn placed at the head of a central table. The *mestre* decides how much each person gets, partly based on body weight, partly on other factors (past experience?). The dispensing of the *cha* is done for the top ranks first – the *mestre*, then the councilors, then down through beginners, and finally visitors like me. We all line up to have our glasses filled, and wait until everyone is ready, then on a word from the *mestre* we all drink. The *cha* is watery and about the color of an overbrewed milky tea. The taste as usual is hideous, and at least four people exit to vomit straight away.

The rest of us sit down. One of the councilors reads from several documents about the philosophy and founding of the UdV. Another councilor stands and clarifies certain points. A third councilor intones a hymn.

The first hour is mainly passed in silence, reviewing our visions and thoughts, although occasionally a member of the congregation will raise a hand to ask a question which one of the councilors answers. Sometimes others elaborate.

Later in the session many members of the congregation (one by one) come to the table to stand and address the assembly. Some talk about their visions and thoughts during this session, others about some recent event or idea that has affected them deeply. It is all very earnest, genuine, spiritual, and philosophical.

Sometimes CDs of haunting melodies are played. Sometimes the leading councilor intones hymns.

The session runs from 9 p.m. until about 1:20 a.m. I drink my brew at 9:20 p.m. and am having good visions by 9:45. The first hour after drinking – until 10:20 – passes in what feels, subjectively, like an instant. My visions are familiar and positive – perhaps more brightly lit (less "darkness visible") than before. What I remember clearly are large

snakes (again!), light-colored boas, huge, coiling around each other and around branches. I also get pyramid shapes built around a lattice or framework of some kind.

But the best part of the evening is when the same Egyptian goddess whom I last saw in Peru reappears – this time on the left side of my visual field. At first she is concealed, in shadow. I look closely and see a slender female figure holding a dark blue mask in front of her face – one of those masks on a stick. Then she removes the mask and I see her face clearly in the instant before she vanishes once more. She glows the color of molten gold.

### Where the impossible becomes real

If I were to nominate the single defining quality of the visions I experienced under the influence of ayahuasca, it would have to be their remarkable sense – no matter how "otherworldly" they were – of being real. It is surprising enough to encounter something so improbable as a "light-being," or an intelligent giant snake that transforms into a jaguar, or a hybrid crocodile-man, or an insect-man like my "aliens" – especially when any thought of such entities is normally very far from one's mind. But to encounter them in all their strangeness, yet bolstered by an unassailable aura of certainty and solidity, is doubly disturbing and disorienting.

So some months before I met David Lewis-Williams, what I had learned from personal experience in the Amazon had already begun to convince me of the force of his argument. If our ancestors in the Upper Paleolithic had consumed psychoactive plants – and Appendix I demonstrates that there was an excellent candidate available in Europe in the Ice Age that could have produced Ayahuasca-like effects<sup>13</sup> – then because we share the same neurology, it is safe to say that they would have had experiences rather like mine (not in every minute detail, of course, but broadly and with something like the same general atmosphere). It had begun to seem highly plausible to me, as Lewis-Williams suggests, that hallucinations could have given rise to early religious notions about supernatural realms and beings, and the survival of death by the "soul." Indeed, where else could our

ancestors ever have acquainted themselves with such ideas in the first place if not in the visionary realms where the shamans of all cultures in all periods have always made their "spirit journeys"?

The much more interesting question, however, is the one that David Lewis-Williams was plainly uncomfortable with when I raised it with him at the University of Witwatersrand. What if these spirit journeys are in some sense real? What if the so-called "hallucinations" of shamans are not just "silly illusions" but another modality of perception that allows us to peer into other realms and dimensions? What if the supernatural beings seen in shamanic states of consciousness and depicted in rock and cave art all around the world, really do exist?

Author's note: I had not seen any of Pablo Amaringo's paintings before experiencing my own ayahuasca visions. That I was later able to find similarities to my visions in several works by the Peruvian shaman reproduced in this chapter is an illustration of the astonishing common imagery and identical "parallel worlds" that are reported by people from many different cultures who have experienced ayahuasca. We will look further into this mystery in Chapter Seventeen.

#### CHAPTER FOUR

# The Mind in the Cave

My search for proof of the existence of supernatural beings began deep within the ancient cave systems of south-western Europe. It was here, between 40,000 and 30,000 years ago as we saw in Chapter Two, that out ancestors chose to paint beautiful and enigmatic images on rock faces shrouded in millennial darkness.

The great painted caves such as Chauvet, Lascaux and Pech Merle in France, and Altamira in Spain, contain some of the oldest art in the world. It is so wondrous that when the Altamira caves were first opened at the turn of the twentieth century, academics refused to believe that primitive and savage cavemen were capable of such creativity, suggesting instead that the paintings were ingenious modern fakes or graffiti left behind by bored Roman soldiers.

Much of the art depicts animals which would have been familiar to our prehistoric ancestors such as horses, bison and woolly mammoths. This quickly gave rise to the idea that the paintings were a form of magic designed to give humans power over the animals they hunted. This idea prevailed for much of the twentieth century but it is hard to see why, because it was so patently wrong. We can tell what our ancestors ate by the animal bones discovered in the caves, and these rarely match the creatures depicted on the walls.

More importantly, many of the images feature fantastic monsters that have never existed in everyday physical reality. Known as "therianthropes" (from the Greek therion = "wild beast" and anthropos = "man") these are human-animal hybrids of precisely the kind that I encountered so frequently under the influence of Ayahuasca.

They include such grotesques as the "Sorcerer" of Trois Freres cave in France, dating back approximately 17,000 years. Deeply engraved into a rocky ceiling, this amazing protean figure has the ears of a wolf, the eyes of an owl, the antlers of a stag, the tail of a horse, the claws of a lion, and the feet, legs and body of a human being.

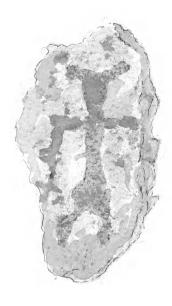


The "Sorcerer" of Trois Frères – part owl, part wolf, part stag, part horse, part lion and part human. (Breuil, 1952)

Other bizarre therianthropic images can be found in caves across Europe, and the similarities between them are often breathtaking.

A horned bison with a man's arms and torso, daubed in red ochre in Fumane cave in northern Italy, matches another bison-man etched in charcoal on a cave ceiling in Chauvet in France, which resembles yet another bison-man at El Castillo in northern Spain. The Fumane image is 35,0000 years old, the Chauvet image was created some 3000 years later around 32,000 years ago, and the El Castillo image was made some 17,000 years after that around 15,000 years ago. It is worth reminding ourselves that 17,000 years is a period more than eight times longer than the whole story of the Christian religion.

An image must be very, very powerful to be maintained and repeated over that length of time. And just as significant is the fact that similar images can be found all around the world – notably in Africa.



"Half-man, half-beast": the Fumane therianthrope

In a cave in Namibia, archeologists have discovered a terrifying painting of a figure with the feet and legs of a human being and the body, head and massive jaws of a lion. It is thought to be around 27,000 years old.





Chauvet bison-man (left), El Castillo bison-man (right)

In South Africa, I have seen stunning rock paintings of beings that are half man, half preying mantis. In Tanzania, there are weird, otherworldly images of human bodies with insect heads, including "feelers" and eyes on stalks.

Clearly, such images are not depictions of a hunter's prey. They are pictures of "supernatural beings" – i.e. beings that we do not see in everyday life and that are not governed by the normal laws of nature. So the question is, how and why and because of what experiences did our ancestors first begin to conceive of the existence of beings like these?

The search for an answer lead me to another mysterious set of universal recurring images found in cave and rock art all over the world: enigmatic geometric patterns such as grids, nets, ladders and zig-zag lines.

These patterns are central to the work of David Lewis-Williams, whose groundbreaking research I have already mentioned in previous chapters. Lewis-Williams was intrigued by the results of various neuropsychological experiments in which volunteers under modern laboratory conditions were given hallucinogenic substances and asked to describe their effects.

During these tests, the volunteers reported seeing various kinds of abstract geometrical patterns known as "entoptic phenomena."

Since scientists believe that neither the average size of our brains nor their basic wiring has changed at all in the last 50,000 years, Lewis-Williams realized that our prehistoric ancestors would have seen much the same geometric patterns had they entered hallucinatory states.

He began to speculate that the abstract patterns painted on the cave walls represented what our Palaeolithic predecessors saw when they were in some kind of deep, visionary trance – much like the ones I had entered under the influence of Ayahuasca and Ibogaine. And he pointed out, correctly, that as well as seeing identical geometric patterns, modern lab volunteers in altered states of consciousness also routinely report encounters with therianthropic, part-animal, part-human, beings.<sup>1</sup>

It was an extraordinary theory, but Lewis-Williams found further evidence to support it in the testimony of an extinct tribe of southern African bushmen known as the San.

ENTOPTIC PHENOMENA			SAN ROCK ART		COSO	PALEOLITHIC ART				
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Comparison of entoptic phenomena with elements of rock art of the San (South Africa), the Coso (California Great Basin), and of Upper Palaeolithic Europe (after Lewis-Williams and Dowson, 1988)

Until 1927, the year in which the last official permit was issued for hunting bushmen, it was legal for whites in South Africa to murder the San, whose body parts were kept and boastfully displayed as trophies.

Anticipating their annihilation as early as the 1870s, a German linguistics expert named Wilhelm Bleek conducted interviews with the few surviving San tribesmen to record their way of life before it disappeared. The notebooks containing his neatly-written transcripts remained hidden in South African archives until David Lewis-Williams re-discovered them nearly a century later.

The San were remarkably clear about the beautiful and mysterious rock paintings of their ancestors, which include the preying mantis images I mentioned earlier. They revealed that the paintings were the work of shamans, whose role was to travel into the spirit world and negotiate with its inhabitants on behalf of their fellow bushmen.

On their terrifying psychic voyages, these shamans were accompanied by spirit guides who appeared to them in animal form and taught them to heal the sick, influence the weather, control the movement of animals and so on.

Intriguingly, the San described how the shamans entered the otherworld by means of an arduous and exhausting form of dance. Lewis-Williams realized that this would have led to extreme dehydration and hyperventilation – exactly the physical conditions that could propel them into an hallucinatory trance.

When they returned from these out-of-body journeys, the shamans informed the community about what they had learnt and painted some of the strange beings and scenes they had encountered. Hence the existence of their rock art.

Lewis-Williams realized that the strange geometric patterns the ancient San painted were the same "entoptic phenomena" experienced by Western volunteers many millennia later – and were also identical to patterns painted on the walls of caves in Europe many millennia earlier.

As for the monstrous half-man, half-beast therianthropes, the shamans believed that to enter the otherworld they had to adopt various animal forms and the paintings depicted them at various stages of their transformation.

The fact that similar images can be seen in cave systems across the world supported Lewis-Williams's remarkable theory that they were all the work of shamans who had entered states of deep trance. Besides ritual dancing, and eating or drinking hallucinogenic plants, these trances may have been achieved through physical stress such as body-piercing, starvation or sensory deprivation in the caves where the paintings were created. And research which we will review in a later chapter indicates that even in modern technological societies around two out of every one hundred humans have the ability to fall naturally and spontaneously into deep states of trance. Although it cannot be proved, I think it highly probable that around the same proportion of humanity has always been born with brain chemistry in just the right state of flux to permit them visions, prophetic knowledge and encounters with spirits, without recourse to psychoactive plants

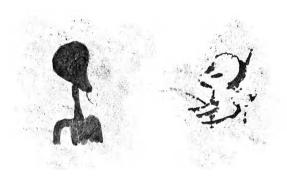
or physical methods of trance induction such as dancing.

That these visions, regardless of the means used to induce them, have consistently thrown up images of strange, animal-human hybrids is – to say the least – tantalizing. But it is another set of creatures that recur in cave art that should really give us pause for thought.

## The mystery of the Wounded Men

Deep in their rocky labyrinths, in the low guttering light cast by the simple torches and stone lamps that we know were used at the time, the shamans of the prehistoric era chose to paint pictures of dwarfish figures with extremely distinctive features: heart-shaped or teardrop-shaped heads with large domed skulls, narrow, pointed chins and big, slanting, almond-shaped eyes.

The first time I saw one of these eerie images was at Pech Merle in France. It is described in Chapter Two. Another figure with the same distinctive teardrop-shaped head, narrow chin and large slanting eyes was discovered in 2006 in the Vilhonneur grotto near Angouleme in France; archaeologists believe it to be about 27,000 years old.<sup>2</sup> There are four particularly menacing specimens engraved on the walls of the cave of Los Casares in Spain.<sup>3</sup> I also found myself staring into one of these strange and unsettling faces in the Drakensberg mountains of South Africa as I examined a rock shelter filled with the cave paintings of the San bushmen.<sup>4</sup>



Domed skulls and narrow pointed chins

The Pech Merle figure has another interesting characteristic. It is conspicuously "wounded." As the reader will recall, a series of lines, drawn as harsh slashes (and often interpreted as spears) pass directly through his chest, torso and buttocks. The features of the figure have been compared by some authorities to a muzzle or a beak – in other words it is a kind of therianthrope. Its legs are human, but disproportionately stocky and short, and it has only vestigial arms with no obvious hands.

In the cave of Cougnac, 40 kilometres from Pech-Merle, I had the opportunity to study two more Wounded Men. They feature in a stunning series of interlinked panels covering perhaps a third of the available wall-space in a spectacular almost circular underground grotto. Roughly in the centre of this beautiful fairyland there is a large "island" of stalagmitic columns, two of which were daubed with red ochre by the cave artists more than 20,000 years ago while a third, that had formerly stood between them, was cut down. The two columns provide a perfect frame through which to view one of the central and most prominent images on the main panel – a large male ibex painted in red ochre with delicately beautiful, steeply curved horns.

A few metres to the left of the ibex, also outlined in red, is the figure of a megaceros, a species of giant deer that became extinct at the end of the Ice Age. The forelegs, neck and head of this animal face to the left, hugging the natural relief of the rock face. Painted in black on its shoulder, and oriented to the right, is the first of the two Wounded Men.

What should seem immediately odd is the way that the figure seems to lack head, neck, shoulders and arms. But the image, though simple, has been skilfully outlined in such a way as to create the illusion that the missing parts are still attached to its upper body and simply thrust out of our sight behind a change of tone in the rock surface – as it might look, for example, if it were seen hauling itself, upper body first, through a narrow opening into a concealed chamber above. There's much the same feeling about the feet – still attached but not visible, as though obscured by fog. Like other Wounded Men the legs are stocky and foreshortened. The buttocks are clearly shown,

so the figure is naked. Three lines, presumed to be "spears", strike it from behind, one in the rump, one in the lower back and the third in mid-back.

Cougnac's second Wounded Man is positioned almost exactly as far to the right of the ibex at the centre of the panel as the first Wounded Man is positioned to its left. This second figure is again painted in black and superimposed on the outline of a large animal in red ochre facing towards the left – here a mammoth – that, again, conforms closely to the natural contours of the rock face. Some other points of comparison may be noted. The vestigial upper limbs of the second Wounded Man are very like those of the Pech-Merle figure, while it's head (also frequently described as "bird-like"5) faces left and, provocatively, is painted to fit exactly inside the much larger skull of the underlying red-ochre mammoth in the position of its brain. To complete the picture this second Wounded Man of Cougnac is pierced by no less than eight "spears" that seem to skewer him from all directions. One strikes him high in the rump, a second lower down in the thigh, a third in the middle of his back, the fourth and fifth penetrate his belly, the sixth his side, the seventh his shoulder and the eighth his neck.

Another classic "Wounded Man" figure, on a rock wall at the end of a long tunnel in the French cave of Gabillou is captured in the process of transformation from human to therianthropic form, with a bison's rump and human legs merged. "Spears" penetrate its face and thighs.

Despite predictable differences in style, a figure that is essentially identical to the rare Wounded Men of Upper Palaeolithic Europe appears – equally rarely – in the Stone Age art of southern Africa. The most striking example, painted in a rock shelter in Eastern Free State, is a Wounded Man who would not be out of place in Cougnac or Pech-Merle – right down to the hint of therianthropy that many of the French subjects share. The figure is shown prone, seemingly floating, and multiply penetrated in the legs and torso by close to 50 harsh, stabbing lines, presumed to represent spears or arrows.

In the Mutoko district of Zimbabwe, east of the capital Harare, another of these San Wounded Men appears, pierced by what look

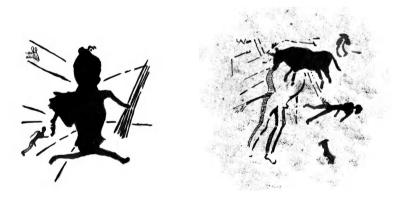
like eight or nine arrows. Again he is shown prone in a manner that suggests floating.8

Two further examples also come from Zimbabwe and are both part of the same large painted rock face in Makoni district, south of Mutoko. One shows a running figure with a grotesquely distended head, a long body and foreshortened legs, pierced in the rump, lower back stomach and face by four spear lines. The second figure, with two spear-lines in its back and one in its abdomen, has a man's legs and body combined therianthropically with the tail of an elephant. 10

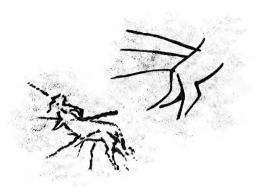
### An air of unreality

In summary, wherever they are found in the world, these "Wounded Men" often have therianthropic elements, suggestive of the well-known hallucinations of transformation into spirit animals and encounters with supernatural beings that are characteristic of altered states of consciousness. Their additional distinguishing feature is that they are all depicted with their bodies pierced through by multiple arrows or spears, like prehistoric Saint Sebastians.

The therianthropy of the figures immediately cautions against any over-literal interpretations of these scenes (executions, battle injuries, etc.). Real people living in the real world cannot transform into bison like the wounded man of the cave of Gabillou, or into elephants like



Images of human-animal transformations argue against over-literal interpretations of such scenes



The wounded man images are clearly not simple "realistic" paintings of executed humans

one of the southern African wounded men, or into unidentified animals (in a standard text, the wounded man of Pech Merle is described as having "a round head with a sort of muzzle or beak"). <sup>11</sup> Such qualities give these images an otherworldly glamour that is further enhanced in several cases by foreshortened limbs, and by the way that they seem to float in random orientations, without reference to any local horizon, as though weightless.

So whatever their true meaning, it is clear that these are not simple, "realistic" paintings of executed humans (as St. Sebastian's image purports to be). On the contrary, since all the other ingredients of the images are profoundly *unreal*, there is no good reason to conclude that the arrows and spears that penetrate the wounded men are real either.

But if not real arrows and spears, then what?

Amongst the relatively few scholars who have attempted an explanation, most have suggested that black magic was involved. It has been argued, for example, that the images were made, "and ritually 'pierced' prior to a real fight between competing communities." By contrast, others claim that what is depicted is "the exorcism of malicious demons rather than the defeat of adversaries." One authority speaks of "magic death practices." Another writes of "la magie de la destruction." And all this, of course, diverting as it may be, is pure speculation, since we know nothing, and can know nothing, one way or another, about magical practices in the Stone Age.

On the other hand, what we do know, and can take for granted, is that human neurology has not changed at all since the earliest cave art was painted, and that in both southern Africa and Upper Paleolithic Europe, the artists were as capable of entering into altered states of consciousness as we are today. The hallucinations of deep trance can and frequently do involve massive distortions of body image. There is also good evidence from modern anthropological research amongst shamans in traditional communities as far afield as the Amazon and southern Africa that trance states can be extremely uncomfortable and are frequently accompanied by stabbing and agonizing pains. The same experiences have been consistently reported by Western volunteers taking part in neuropsychological experiments with hallucinogens. It is from this intertwined array of ethnographic, anthropological and neuropsychological evidence that Lewis-Williams constructs his own explanation of the wounded man mystery.

### Unriddling the wounded men

Vision is not the only sense that hallucinates. Everyone knows about the voices that say crazy things to schizophrenics, but auditory hallucinations are also experienced, though less frequently (and usually less threateningly) by perfectly sane people under the influence of hallucinogens. Phantom smells and phantom tastes are likewise well-documented hallucinatory experiences. Most common of all, however, are what the neuropsychologists call "somatic hallucinations," including attenuation or foreshortening of the body and limbs, the possession of extra limbs or digits (an effect known as polymelia), and – significantly – painful pricking and stabbing sensations.<sup>16</sup>

The evidence that Lewis-Williams cites to build up his case is far too extensive to reproduce in full here.<sup>17</sup> But briefly, from the side of neuropsychological research, he reports hallucinations "of an alarming stretching of the scalp; sometimes it seems as if a fish-hook is dragging the scalp as much as 30 cm above the head." Subjects also speak of "electricity running through the skin." For example, the psychologist Richard Siegel, who self-experimented with peyote, wrote: "Another

'Ping!' My skin prickled with electricity."<sup>20</sup> Likewise, although cocaine is not normally classed as a hallucinogen, chronic use of this drug has been extensively reported in the scientific literature to cause pronounced somatic hallucinations. These include unbearable sensations that subjects often attribute to insects gnawing, biting, and running under their skin.<sup>21</sup>

The American or British cocaine addict's "formication" (the technical term for this tingling hallucination of insects biting and running under the skin) is a cultural construct of neurologically generated sensations, Lewis-Williams argues, likely to have something to do with Western fear and loathing of insects and the "unhygienic" microbial world. In other cultures, exactly the same neurologically generated sensations occur, but are interpreted quite differently. He cites Isaac Tens, a Gitksan Native American shaman of the 1920s who frequently entered trance and experienced out-of-body travel in spiritual realms. Recounting one such mental journey Tens said:

The bee-hive's spirit stings my body . . . In my vision I went around a strange land which cannot be described. There I saw huge bee-hives, out of which the bees darted and stung me all over my body.  $^{23}$ 

In the Amazon jungle, when shamans of the Jivaro tribe enter trance under the influence of ayahuasca, they construe the same neurologically generated skin sensations as sharp little darts being fired at them by supernatural entities. Siberian Tungus shamans speak of initiatory trances, induced by ingestion of fly agaric mushrooms, in which they experience themselves to have been pierced with arrows, their flesh cut off, their bones torn out. Juliansi shamans in southern Africa often undergo great physical pain at certain stages of their trance and it is notable how frequently they, like Isaac Tens, construe their neurologically generated somatic hallucinations as insect stings. A revered shaman named K"xau told anthropologist Megan Biesele that on his journeys in the supernatural realm he sometimes encountered bees and locusts: "When you go there, they bite you. Yes, they bite you [gestures to his legs] . . . Yes, they bite your legs and your body."

Like the Jivaro far away in the Amazon, the Ju/hoansi (also known as the !Kung) have a belief in malign supernatural darts – "arrows of sickness" – that are fired by spirits and visible only to shamans in trance.<sup>27</sup> They also speak of beneficial "arrows of potency" which are fired by an experienced shaman into a novice's stomach during the trance dance in order to energize the novice's supernatural power. Informants told the anthropologist Richard Katz that when this happened, their abdomens felt "full of thorns" and as though there were "arrows sticking out in all directions."<sup>28</sup>

To cut a long story short, Lewis-Williams argues, it is precisely hallucinations like these, "associated with the tingling and prickling sensations . . . experienced in certain altered states of consciousness," that are expressed graphically and metaphorically in the enigmatic wounded men of south-west Europe and southern Africa. <sup>29</sup> Certainly it is hard to disagree that the sensation of thorns or arrows sticking out of the abdomen does seem to be what is portrayed in Eastern Free State in a prehistoric San rock painting. As Lewis-Williams comments:

The figure is impaled and surrounded by many short lines that are clearly not "realistic"... The short lines may represent "arrows of sickness" or, perhaps, mystical thorns, but because the figure lacks a painted context it is difficult to say whether the "arrows" are carrying sickness or beneficial potency.<sup>30</sup>



San wounded man figure, Eastern Free State



Pech Merle wounded man

We have no painted context, and no ethnography either, to help us with the European wounded men, but we can be sure that the cultural context of the artists who created these images would have shaped their hallucinations too. "The fact that Upper Paleolithic people were hunter-gatherers who used spears," writes Lewis-Williams:

suggests that there may have been similarities between the ways in which shamans of that time and the San, Gitksan... and Jivaro shamans interpret the universal somatic sensations of altered consciousness.

Indeed, the two key factors that I have emphasized – the universality of the human nervous system and the shamanistic hunter-gatherer setting – suggest that the artists who painted the Cougnac and Pech Merle figures probably experienced the prickling sensations of trance and hallucinated them as multiple stabbings with sharp spears. So whilst the radiating lines may represent spears, they are not "literal" spears, and the images do not record violent incidents of daily life. Rather, they represent spiritual experiences.<sup>31</sup>

#### Death and rebirth

Let's be clear about this. The "spiritual experiences" Lewis-Williams envisages here are nothing more, nor less, than the hallucinations of deeply altered states of consciousness. The soaring visions, the out-of-body travel, the therianthropic transformations, and the encounters with monsters and supernatural entities, all come as part of a neuropsychological package that includes, from time to time, painful physical

sensations that might easily be constructed as being stung, bitten, stabbed, pierced, or impaled.

Yet when we study the images of the wounded men, it is obvious that there is more to them than the simplistic visualization of painful somatic hallucinations. As with all depictions of agonizingly impaled, ferociously wounded humans – like St. Sebastian, for example, or Christ on his cross – these prehistoric paintings carry a strong emotional charge. If we can still feel the force of it many thousands of years later, then it is safe to assume that our ancestors felt it even more strongly at the time the images were made. Furthermore, we cannot doubt that they attached some special and distinctive meaning to these remarkable pierced figures – as Christians attach special meaning to crucifixion imagery today – and that this meaning was generally agreed and shared by other members of the cultures to which the artists belonged.

By tracing the inspiration for the impalement and piercing of the wounded men back to neurologically generated somatic hallucinations, therefore, it seems that David Lewis-Williams' approach has enabled us to decode only the first level of meaning locked away in these compositions, and to understand the state of mind that inspired them. That in itself is a great advance on anything that scholars have offered us before, but is it possible to go further?

Lewis-Williams argues that it is, and that all the sources we need for this more advanced level of decoding await us in ethnographic and anthropological reports of shamanic beliefs and experiences around the world. The remarkable cross-cultural commonalities that characterize such beliefs and experiences are explained by the fact that shamanism itself is merely one of the natural and predictable means by which the universal human capacity to enter altered states of consciousness is socially channeled. What marks shamans out is that they exercise this capacity more frequently than others, that they do so on behalf of their community, and that they build up high levels of skill, familiarity, and confidence in navigating the hallucinatory spirit world and negotiating with the supernatural beings who inhabit it.

A mental image exists that has been deployed and documented in

many traditional cultures where forms of shamanism are still practiced – or were practiced until recently enough to have been recorded by ethnographers. The essence of this image is that the initiatory trance the apprentice shaman enters before he can take up his calling involves his agonizing sacrificial torture, death, and often dismemberment in the spirit world and subsequent reassembly and rebirth in his earthly body, now equipped with shamanic supernatural power. The universality of this evocative sacrificial image is massively attested in classic cross-cultural anthropological studies such Mircea Eliade's masterwork *Shamanism: Archaic Techniques of Ecstasy*, and Joan Halifax's appropriately titled *Shaman: The Wounded Healer*.<sup>32</sup>

The example cited earlier of the Tungus shamans, whose experience of themselves during their initiatory trances is that they have been pierced with arrows, their flesh cut off, and their bones torn out, is perfectly representative of this truly universal phenomenon. A few more illustrations from the well-documented Siberian region are very much to the point. For instance, a Kazak Kirgiz shaman told ethnographers: "I have five spirits in heaven who cut me with forty knives, prick me with forty nails." According to Yakut shamans, the trancing initiate is sometimes grasped by three "black devils" who "cut his body to pieces, thrust a lance through his head and throw bits of his flesh in different directions as offerings." Another Yakut shaman gave further details of these terrifying experiences in the spirit world, summarized by Mircea Eliade as follows:

The candidate's limbs are removed and disjointed with an iron hook; the bones are cleaned, the flesh scraped, the body fluids thrown away, and the eyes torn from their sockets . . . The ceremony of dismemberment lasts from three to seven days; during all that time the candidate remains like a dead man, scarcely breathing, in a solitary place.<sup>35</sup>

In Australia, Aborigines of the Arunta tribe say that the candidate for initiation must go to the mouth of a cave and enter trance there. Then a spirit (belonging to a category of supernatural beings called *Iruntarinia*) comes to him and

throws an invisible lance at him, which pierces the neck from behind, passes through the tongue, making therein a large hole, and then comes out through his mouth . . . A second lance cuts off his head, and the victim succumbs. The *Iruntarinia* carries him into the cave, which is said to be very deep and where it is believed that the *Iruntarinia* live in perpetual light and near to cool springs.<sup>36</sup>

Many of the Australian Aboriginals additionally believe that the spiritual dismemberment and remaking of their "medicine men" involves bizarre surgical operations in which supernatural beings insert small pieces of rock crystal (called *atnongara*) into the initiate's body. In one case recorded by ethnographers in the late nineteenth century, these "sacred stones" were supposedly thrown at the initiate by "an old man":

Some hit him on the chest, others went right through his head from ear to ear, killing him. The old man then cut out all of his insides, intestines, liver, heart, lungs – everything in fact – and left him lying all night long on the ground. In the morning the old man came to him and looked at him and placed some more *atnongara* stones inside his body and in his arms and legs . . . <sup>37</sup>

Similar experiences are also reported by shamans of the Binbinga tribe, who speak of their consecration – again in a cave – at the hands of an aged spirit, Mundadji, and his son Munkaninji. One initiate's trance ordeal was documented as follows:

Mundaji cut him open, right down the middle line, took out all of his insides . . . At the same time he put a number of sacred stones in his body. After it was all over the younger spirit, Munkaninji, came up and restored him to life, told him that he was now a medicine man, and showed him how to extract bones and other forms of evil magic from men.<sup>38</sup>

The inserted "sacred stones" and rock crystals are said to energize the shaman's healing power, but other Australian Aborigines speak of similar operations undertaken in the spirit world in which a snake is placed inside the initiates' brains:

Their sides are cut open and . . . their internal organs are removed and they are provided with a new set. A snake is put in their heads and their noses are pierced by a magical object (*kupitja*) that will later serve them in curing the sick. These objects are believed to have been made in the mythical Alcheringa times [the "Dreamtime"] by certain very powerful snakes . . . <sup>39</sup>

This idea of magical surgery in the spirit world and the insertion of implants into the body of the dying and resurrecting initiate is by no means limited to Australia, reports Eliade. It is also found amongst the Semang of the Malay Peninsula and is, in addition: "one of the most striking characteristics of South American shamanism." For example: "The Cobeno shaman introduces rock crystals into the novice's head; these eat out his brain and eyes, then take the place of those organs and become his strength."

In Chile, an Araucanian Indian woman described the trance in which she received her calling to become a *machi* (shaman):

I felt something like a blow on the breast, and a very clear voice inside me said: "Become a *machi*! It is my will." At the same time violent pains in my entrails made me lose consciousness. 42

In North America, initiation into the shamanic "Ghost Ceremony" society of the Pomo Indians involves the "torture, death and resurrection of the neophytes." Similarly, among the River Patwin tribe, the aspirant to the Kuksu society "is believed to have his navel pierced with a lance and an arrow by Kuksu himself; he dies and is resuscitated by a shaman."

Of great relevance to Lewis-Williams' argument is the fact that many cultures of history and of prehistory have expressed such ideas visually and tangibly as paintings and sculptures. Late Classic Maya ceramics, for example, and Shang Dynasty cast bronzes from China both express virtually identical scenes in which a neophyte shaman



"The shaman's submission to a higher order of knowing"

surrenders himself willingly to a supernatural initiator in the form of a large feline (a jaguar in Central America, a tiger in China) that is sinking its sharp fangs into his skull.<sup>45</sup>

The same voluntary surrender to psychic pain and "death," which Joan Halifax calls "the shaman's submission to a higher order of knowing," is also seen in a stunning Inuit figure from the Canadian Arctic. Carved in gray stone, ivory, and bone, it depicts a shaman impaling himself with a long harpoon which passes right through the middle of his body from side to side. Together with the other examples of initiatory piercing, stabbing, and cutting cited above, Lewis-Williams suggests that this carved figure is strongly reminiscent of

the Upper Paleolithic images of what seem to be people pierced by spears. The "Wounded Men" may, I argue, represent a form of shamanistic suffering, "death" and initiation that was closely associated with somatic hallucinations. 46

#### The cave in the mind

We learned earlier of Australian Aboriginal shamans who entered trance in a cave that served as a portal to a parallel realm, even deeper underground, where initiatory spirits called *Iruntarinia* lived "in perpetual light." Such ideas of spirits bathed in mystic illumination in the depths of a dark underworld are, of course, archetypal hallucinatory territory, and Lewis-Williams points out that the interpretation of the wounded man "can be extended by a consideration of the locations sometimes chosen for shamanistic initiations."<sup>47</sup>

Even a casual survey of the ethnographic and anthropological literature quickly reveals that some sort of connection with caves is in fact another remarkable universal of shamanistic experiences all around the world. Amongst the Smith Sound Inuit, for example, the neophyte walks in trance towards the base of a cliff where a cave-mouth, not previously visible, will open for him if it is his destiny to become a shaman. "If not he will bump into the cliff," reports Eliade:

As soon as he has entered the cave, it closes behind him and does not open again until some time later. The candidate must seize the moment when it reopens and hasten out; otherwise he may remain shut up in the cave forever.<sup>48</sup>

In Central America, the ancient culture of the Maya, whose religion was intensely shamanistic, as we will see in Chapter Sixteen, believed caves to be "openings to the water-filled underworld": "Xibalba, or the Place of Fright . . . the dwelling-place of monstrous supernatural beings, but also the source of life-giving rain and corn, and the home of the beloved dead." In some caves, the Maya left paintings and ghostly negative handprints with the identical appearance and using the same spray technique as the handprints in the Upper Paleolithic caves of south-west Europe. 51

In South America, amongst the Araucanian Indians of Chile, shamans received their initiation in caves that were often decorated with the heads of animals.<sup>52</sup> Similarly, numerous ethnographic reports from the nineteenth and twentieth centuries leave no room for doubt that caves played an important and ubiquitous role in the shamanic initiations of North American Indians, very frequently being the locations of vision quests and places where they met their spirit helpers.<sup>53</sup> A striking example cited by Eliade is the case of a Paviotso

man aged 50 who, feeling the calling to become a shaman, entered a cave and prayed: "My people are sick, I want to save them . . ." He tried to go to sleep "but was prevented by strange noises; he heard the grunts and howls of animals . . ." Soon afterwards he experienced a vision of a shamanic healing seance. Then the rock of the cave wall began to split, and "A man appeared in the crack. He was tall and thin. He had the tail-feather of an eagle in his hand. The man ordered the candidate to obtain similar feathers and taught him how to cure."<sup>54</sup>

What Lewis-Williams takes from all this is a point already made long ago by Mircea Eliade – that caves have been seized on by many cultures to play a role in shamanic initiations because they are "concrete symbols of passage into another world, or a descent to the underworld." It would surely be perverse, therefore, to exclude the possibility that the eerie and extraordinary caves of Upper Paleolithic Europe might also have been perceived in precisely this way – as portals to a supernatural nether realm – or that they were used for what Lewis-Williams calls "chthonic shamanic initiations." All the evidence indicates that this was so, and that it is in this insight that we can best understand the wounded men. As Lewis-Williams concludes:

The neuropsychological and ethnographic evidence that I have adduced strongly suggests that, in these subterranean images, we have an ancient and unusually explicit expression of a complex shamanic experience that is informed by altered states of consciousness. That experience comprised isolation and sensory deprivation by entrance into an underground realm, "death" by a painful ordeal of hallucinatory multiple piercing, and emergence from those dark regions of an inspired, reborn shaman <sup>57</sup>

#### **Portals**

This brings us to the final riddle of the Upper Paleolithic caves: why were so many wonderful paintings and engravings made inside caves at all, and why, in particular, were they made inside very deep, very

dark caves where they could only be approached with great difficulty and only seen with artificial light?

Lewis-Williams' work suggests a tentative hypothesis: somewhere after 50,000 years ago, but before 30,000 years ago, neurologically modern humans in Europe, indistinguishable from us in every way, discovered and began to exploit a previously untapped capacity of their brains – the ability to enter altered states of consciousness and to experience extremely convincing hallucinations which were then later memorialized in the cave paintings. It is quite possible that this capacity was triggered without hallucinogens, perhaps through trance states induced by long hours of dancing and dehydration – as in the case of the San in southern Africa. Or perhaps it was first triggered by periods of starvation and intense stress due to environmental circumstances, or by deliberately imposed austerities or self-torture – as in the sun dance and "vision quests" of certain North American Indian tribes – or through sensory deprivation in the caves themselves.

We will see evidence in later chapters that a small percentage of every human population appears to have the ability to enter spontaneously into deep trance and to experience the kinds of hallucinations that might easily have inspired the cave paintings – so it is perfectly possible that such individuals, gifted with visionary abilities by their brain chemistry, were the first amongst humans living in Europe to exploit altered states of consciousness for the good of the community as a whole and the first to paint their visions on cave walls. Equally likely, however, is that anatomically modern humans present in Europe around 40,000 years ago encountered a potent natural hallucinogen perhaps the mushroom Psilocybe semilanceata, or perhaps another native Old World mushroom containing psilocybin, such as Panaeolus sphinctrinus, Panaeolus campanulatus, or Panaeolus papilonaceus.58 A number of other European plants, notably members of the Solanaceae (potato) family, such as datura, mandrake, henbane, and belladonna, are also powerfully psychotropic and would undoubtedly have produced the sorts of visions and experiences of a parallel spirit world that the cave paintings seem to reflect.

Most likely, plant hallucinogens were discovered by hunter-gatherers who initially tried them out as a food; but, whatever the reason,

those who ate these substances entered trance and experienced visions of a seamlessly convincing and terrifyingly real supernatural otherworld inhabited by non-physical beings. As is common in such trances – however they are induced – these visions would have included the luminous geometrical and abstract patterns known as entoptic phenomena, gradually merging into fully iconic hallucinations of animals and therianthropic figures. We also know from modern research that a sensation of being pulled down into a vortex, or a funnel, or a "tunnel filled up with rushing water," and thence passing deep underground, is experienced almost universally as subjects enter the profoundest levels of trance:

Many laboratory subjects report experiencing a vortex or rotating tunnel that seems to surround them . . . The sides of the vortex are marked by a lattice of squares like television screens. The images on these "screens" are the first spontaneously produced iconic hallucinations; they eventually overlie the vortex as entoptics give way to iconic images. <sup>59</sup>

Of course, Upper Paleolithic people did not have the concept of television screens. But if they were looking for locations in the everyday world where they could best reproduce their impressions of the vortex as they entered the spirit world, then the walls and ceilings of the deep dark caves would have cried out for attention.

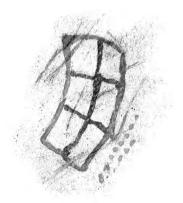


Image from El Castillo Cave, approximately 15,000 years old. "The sides of the vortex are marked by a lattice of squares like television screens."

Indeed, like shamans of all times and places, it seems almost inevitable that these early psychic voyagers would have seen the caves - to repeat Eliade's insight - as "concrete symbols of passage into another world, or a descent to the underworld." Here was darkness, here were tunnels, here were calcite formations in the form of monsters or mammoths seeming to grow like living things out of the rock face, here were vast echoing chambers, forests of glittering stalagmites, steep deadfalls, narrow fissures and diverticules, underground rivers. For those who had already been ushered by psilocybin or by trance-dancing into chthonic hallucinatory realms, it is easy to see how the deep caves of Franco-Cantabria could have been perceived as gateways to the land of the spirits, perhaps even as its border territories. And there is no doubt, with the low flickering lighting provided by simple Upper Paleolithic lamps, that shamans in suitably altered states of consciousness inside the caves would have seen utterly convincing visions of the denizens of the spirit world "floating" through the thin membrane of the rock walls and beginning to materialize before their eyes surrounded by scintillating geometrical patterns.

Since that, more or less, is exactly what we see painted on the walls of the caves, since paintings and engravings were frequently made in extremely remote locations that would have been difficult and dangerous to access, and since we have such figures as the wounded men, it makes perfect sense to conclude that a profound and mysterious shamanic quest lay at the heart of Upper Paleolithic religion. Moreover, this was no slight or primitive effort but an immense project, sustained over more than 25,000 years, that used altered states of consciousness systematically, in carefully controlled settings, to explore and chart the antipodes of the mind. It was, in a sense, the longest-running neuropsychological experiment in history – one that has the potential to unlock the deepest mysteries of human consciousness - and its origins are inextricably interlinked in the archaeological record with the still poorly understood process by which fully modern human behavior first emerged. Indeed, the hypothesis cannot be dismissed that it may well have been shamanic explorations of hallucinatory realms during the Upper Paleolithic

that played the catalytic role in extracting our ancestors from the five-million-year torpor of the hominid line, galvanized their intuition and creativity, helped them to build a stable and nurturing, non-violent society that lasted for 25,000 years — in itself a staggering accomplishment — and set them on a dramatically new course of evolution.

### Scientific sacrilege

Could it be, as shamans in the Amazon repeatedly assert, that the plants really do open a channel of communication to supernatural realms and teachers? Could it be, as the !Kung bushmen of the Kalahari tell us with equal vehemence, that their ability to enter deeply altered states of consciousness through their trance dances really does give them access to powerful non-physical entities capable of influencing events in this world? And isn't it logical to conclude, since evolution has bestowed these peculiar and distinctive abilities on the human race, that contact with the supernatural must have offered some profound adaptive advantage to our ancestors and could, conceivably, continue to do so today?

Even to whisper such questions amounts to scientific sacrilege of the worst order – for the assumption on which science is built is that there is no such thing as the "supernatural," no such thing as



"Fallen Rock" Shelter, Western Cape. Central figure: the spirit of a trancedancing shaman separating from the body to explore the supernatural realm. "spirits," and that all unexplained phenomena, no matter how mysterious they may at first appear to be, will prove on proper examination to have natural causes that are fully explicable in terms of established physical, chemical, and biological laws.

In the case of shamanism, everything is quite the opposite. Here the bedrock of the entire edifice is the conviction that the supernatural *does* exist. More than merely existing, it is believed to be the senior and pre-eminent dimension and to have a profound influence on all other levels of reality. From the perspective of shamanic cultures, no one disputes that we need to take proper account of the supernatural, and make every effort to learn what we can about it, if we wish to lead full and balanced lives as material creatures on the physical earth.

The common response of scientists who do not believe in the supernatural is to dismiss all such ideas as hallucinations. But we will see in later chapters that this is disingenuous – to say the least! – since science does not yet really have the faintest idea what hallucinations are, or how they are caused, or why our brains should have evolved in such a way that certain plants can induce them. It is a more or less automatic assumption for, I would guess, close to 99 out of 100 educated people in the technologically advanced countries today that hallucinations are simply "wanderings of the mind," foolish tricks of brain chemistry to which it would be mad to attribute any objective reality. Yet the truth is that science has never proved this to be the case, and indeed has not yet even progressed very far in understanding the neurological basis of normal day-to-day perception, <sup>60</sup> let alone what is involved in occasioning the fantastic mental imagery that is characteristic of hallucinations.

There is an unspoken assumption that the brain is some sort of factory that simply manufactures hallucinations, whereas, with the images of "normal" perception, we tend to think of the brain more as a receiver picking up and processing relevant data from the outside world. But there is another possibility which science, with its materialist mindset, has never seriously pursued, and this is that the brain may be operating as a receiver with hallucinations too. By this reckoning, hallucinogens and other means of inducing altered states of

consciousness work by temporarily "retuning" the brain to pick up frequencies, dimensions and entities that are completely real in their own way but that are normally inaccessible to us.

This, in a nutshell, is the anti-scientific idea at the heart of shamanism - which does not doubt that the mind can be retuned to experience other levels of reality, but rather seeks to harness that capacity to explore those other realms and to channel and maximize the benefits derived there for the good of society as a whole. It is ironic that we today, who have criminalized the use of hallucinogens, and can offer our young people no wise advice when they pursue the natural human yearning for altered states of consciousness, have the temerity to imagine that we know better about these remarkable substances than other cultures that have lived with them and made controlled use of them for thousands of years. It is equally absurd to suppose, in the 50 or so years in which Western neuropsychologists were able to conduct systematic research with hallucinogens - before being stopped by the "War on Drugs" - that they could have learned even a fraction as much as is already known through long accumulated experience by any shaman in the Amazon jungle today.

Nevertheless, by the early 1970s, just before the War on Drugs really began to take prisoners, a few neuropsychologists were beginning to give serious consideration to what look in retrospect like profoundly shamanistic ideas – including "discussions of hallucinogenic drug use as a threshold to paranormal phenomena." Another mystery, which we'll explore in later chapters, is that a broad range of volunteers from very different backgrounds and cultures, who had not met and had no opportunity to compare notes, repeatedly gave lab researchers descriptions of what appear to have been *the same* intelligent, communicative, non-physical "entities" encountered on their trance journeys.

Who are we to say that such entities are just figments of consciousness that have no real existence? What do we really understand about the place that shamans call the "spirit world," or of the states of trance that they must enter in order to explore it? What confidence can we possibly have in anything we've been told, when the very

scientists who pronounce so loftily on the non-existence of spirits and the impossibility of the supernatural turn out never to have experienced a deeply altered state of consciousness in their lives and profess to hate and despise the plants that could offer them such an experience in an instant? Their assessments of hallucinatory realms and beings are therefore based exclusively on their preconceptions about the nature of reality rather than on direct personal knowledge; as such they should rightly be disregarded.

By contrast, it is precisely because of their own repeated personal experiences, and deep explorations of hallucinatory phenomena, that shamans of all lands and times are unanimous in the opinion that only a thin veil separates the world of everyday reality from supernatural otherworlds where powerful spirits dwell. David Lewis-Williams' genius has been to demonstrate how this shamanic perception of reality, and the specific kinds of hallucinations seen and experienced in the altered states of consciousness entered by shamans, provide by far the most plausible explanation for the most ancient art of mankind, for the dawn of religion, and, intriguingly, perhaps even for the mysterious "x-factor" in our evolution that jolted our ancestors not only into the practice of religion and the creation of great art, but also into the complete suite of fully modern behavior that begins to be widely documented in the archaeological record after about 40,000 years ago.

It is, however, at this point that Lewis-Williams' work, having pursued a wildly unorthodox trajectory by giving such importance to altered states of consciousness, merges back smoothly and without protest into the mainstream. As I've indicated several times in previous chapters, he is of absolutely one mind with the mass of scientists on the subject of the "spirit world" and "spirit beings." Whatever the cave artists saw in their trances, and no matter how devoutly they may have believed that what they were seeing was real, Lewis-Williams is adamant that the entire inspiration for 25,000 years of Upper Paleolithic cave paintings reduces to nothing more than the fevered illusions of disturbed brain chemistry – i.e. to hallucinations. In his scientific universe there is simply no room, or need, for the supernatural, no space for any kind of otherworld, and no

possibility that intelligent non-physical entities could exist.

#### Quest for the ancient teachers of mankind

I found I couldn't leave the matter there, with the inspiration for cave art and the birth of religion neatly accounted for by disturbed brain chemistry, with the earliest spiritual insights of mankind rendered down to mere epiphenomena of strictly biological processes, with the sublime thus efficiently reduced to the ridiculous. At risk of repetition, I need to stress again that to have established the role of hallucinations as the inspiration for cave art is one thing - and David Lewis-Williams, in my opinion, has successfully done that. But to understand what hallucinations really are, and what part they play in the overall spectrum of human perceptions, is another thing altogether, and neither Lewis-Williams nor any other scientist can yet claim to possess such knowledge, or to be anywhere near acquiring it. Gifted and experienced shamans the world over really do know more - much more - than they do. So if we were smart, we would listen to what the shamans have to say about the true character and complexity of reality, instead of basking mindlessly in the overweening one-dimensional arrogance of the Western technological mindset.

It was because I had been shaken to the core by my experiences with Ayahuasca and Ibogaine that I decided to take my investigation further and to explore the extraordinary possibility that science is unwilling even to consider, and that David Lewis-Williams dismisses out of hand. This is the possibility that the Amazonian and African hallucinogens had obliged me to confront face to face and that shamans contend with on a daily basis – the possibility that the spirit world and its inhabitants are real, that supernatural powers and non-physical beings do exist, and that human consciousness may, under certain special circumstances, be liberated from the body and enabled to interact with and perhaps even learn from these "spirits." In short, did our ancestors experience their great evolutionary leap forward of the last 50,000 years not just because of the beneficial social and organizational by-products of shamanism but

because they were literally helped, taught, prompted, and inspired by supernatural agents?

The very idea, I know, sounds preposterous at first to anyone educated in the Western logical positivist tradition. The more closely I pursued it, however, the more convinced I became that it is a matter of extraordinary substance, and that science has done us an immense disfavor by its policy of ridiculing and discouraging all rational inquiry in this area.

# PART II The Beings

#### CHAPTER FIVE

# Voyage into the Supernatural

The ocean of the supernatural is vast and treacherous. It cannot be navigated without charts. Wherever possible, landmarks must be sought from which to take one's bearings.

My first landmark, and the mystery that originally drew me to this inquiry, was the realization that encounters with supernatural beings are documented in the oldest representational art that has so far been found anywhere in the world – art depicting therianthropes and dating back more than 30,000 years. The discovery that shamans in surviving hunter-gatherer cultures routinely experience encounters with virtually identical beings when they enter trance, and that I could and did encounter such beings myself under the influence of the same hallucinogens that the shamans use, convinced me that there was a real mystery to explore here.

Yes, by all means, the "beings" that ayahuasca and ibogaine brought me literally face to face with might just be illusions of disturbed brain chemistry, as Western scientists claim, but they certainly hadn't seemed that way to me. On the contrary, everything that these two plant-based hallucinogens had put me through had felt *very strongly and convincingly* like the opening of doors into other and entirely different levels of reality. The experiences had been profound, deeply moving, thought-provoking in a lasting way and also, in one case, weirdly menacing and terrifying.

I found it hard to believe that all this complexity and detail, together with amazing images that seemed to have no connection whatsoever to my previous life or interests, could simply have been generated out of nowhere by my poor drug-fried brain. My intuition was that I had been afforded glimpses, however brief and however distorted by my own cultural preconditioning, of beings that are absolutely real in some modality not yet understood by science, that exist around us and with us, that even seem to be aware of us and to take an active interest in us, but that vibrate at a frequency beyond the range of our senses and instruments and thus generally remain completely invisible to us.

This intuition is by no means new, or original to me. In 1901, after inhaling a psychoactive dose of nitrous oxide and passing into a trance in which he experienced intense hallucinations, the renowned psychologist and philosopher William James (brother of the novelist Henry James) was startled by a metaphysical revelation about the way that human consciousness interacts with reality:

One conclusion was forced upon my mind at that time, and my impression of its truth has ever since remained unshaken. It is that our normal waking consciousness, rational consciousness as we call it, is but one special type of consciousness, whilst all about it, parted from it by the filmiest of screens, there lie potential forms of consciousness very different. We may go through life without suspecting their existence; but apply the requisite stimulus and, at a touch, they are there in all their completeness . . . No account of the universe in its totality can be final which leaves these other forms of consciousness quite disregarded . . . At any rate, they forbid a premature closing of our accounts with reality.

Half a century later, in 1953, the author Aldous Huxley began to feel exactly the same way after consuming four-tenths of a gram of mescaline, the psychoactive alkaloid derived from the peyote cactus. Having reflected upon that first and other subsequent experiences with mescaline – and also with psilocybin and LSD – Huxley's mature and thought-out suggestion was that "the function of the brain and nervous system and sense organs is in the main *eliminative* and not productive" – i.e. that these organs operate primarily as a "reducing valve" that protects us

from being overwhelmed and confused by [a] mass of useless and irrelevant knowledge, by shutting out most of what we should otherwise perceive or remember at any moment, and leaving only that very small and special selection which is likely to be practically useful . . . What comes out at the other end is a measly trickle of the kind of consciousness which will help us to stay alive on the surface of this particular planet . . . Most people, most of the time, know only what comes through the reducing valve and is consecrated as genuinely real by local language. Certain persons, however, seem to be born with a kind of by-pass that circumvents the reducing valve. In others, temporary by-passes may be acquired either spontaneously, or as the result of deliberate "spiritual exercises," or through hypnosis, or by means of drugs. Through these permanent or temporary by-passes there flows . . . something more than, and above all something different from, the carefully selected utilitarian material which our narrowed, individual minds regard as a complete, or at least sufficient, picture of reality.<sup>2</sup>

In 1983, the Swiss scientist Albert Hoffman, who first synthesized LSD (and frequently self-experimented with this powerful hallucinogen), noted that

reality is inconceivable without an experiencing subject. It is the product of the exterior world, of the sender, and of a receiver, an ego, in whose deepest self the emanations of the exterior world, registered by the antennae of the sense organs, become conscious . . . The entry of another reality under the influence of LSD may be explained by the fact that the brain, the seat of the receiver, becomes biochemically altered. The receiver is then tuned into another wavelength than that corresponding to normal, everyday reality. Since the endless variety and diversity of the universe correspond to infinitely many different wavelengths, depending on the adjustment of the receiver, many different realities . . . can become conscious . . . The true importance of LSD and related hallucinogens lies in their capacity to shift the wavelength setting of the receiving "self," and thereby to evoke alterations in reality consciousness. This ability to allow different, new pictures of reality to arise, this

truly cosmogenic power, makes the cultish worship of hallucinogenic plants as sacred drugs understandable.<sup>3</sup>

Especially so, we might add, if such changes of receiver wavelength were to give us veridical access to "higher spiritual agencies." William James again:

Just as our primary wide-awake consciousness throws open our senses to the touch of things material, so it is logically conceivable that *if there be* higher spiritual agencies that can directly touch us, the psychological condition of their doing so *might be* our possession of a subconscious region which alone should yield access to them. The hubbub of the waking life might close a door which in the dreamy Subliminal might remain ajar or open . . . If there be higher powers able to impress us, they may get access to us only through the subliminal door.<sup>4</sup>

In 2001 a leading American psychiatrist, Rick Strassman MD, of the University of New Mexico, published the results of the first federally approved and funded human hallucinogen research in the U.S. in over two decades.<sup>5</sup> Dr. Strassman's project had involved 11 years of closely monitored studies in which dimethyltryptamine (DMT), the principal psychoactive alkaloid in the ayahuasca brew, was given by injection in a purified form to human volunteers who then reported their experiences. Precisely what they reported was so extraordinary, so unexpected, and so difficult to explain, as we'll see in Chapter Twelve, that it caused Strassman to re-examine his view of reality. Like Huxley and Hoffman, he was forced to consider the possibility that hallucinogens might change the receiver wavelength of the brain, allowing it to make contact with "unseen worlds and their residents," opaque to us in normal states of consciousness but nonetheless completely real. Drawing an analogy between the brain and a TV set, he observed that what DMT seems to do is not merely to adjust brightness, contrast, and color (as might be said of alcohol and other non-hallucinogenic drugs); instead it switches our attention to a new channel altogether:

No longer is the show we are watching everyday reality, Channel Normal. DMT provides regular, repeated, and reliable access to "other" channels. The other planes of existence are always there. In fact, they are right here, transmitting all the time! But we cannot perceive them because we are not designed to do so; our hard-wiring keeps us tuned in to Channel Normal. It takes only a second or two – the few heart-beats that the "spirit molecule" [DMT] requires to make its way to the brain – to change the channel, to open our mind to these other planes of existence. How might this happen? I claim little understanding of the physics underlying theories of parallel universes and dark matter. What I do know, however, causes me to consider them as possible places where DMT might lead us . . . <sup>7</sup>

#### Two main lines of research

So it seemed that I was in good company in keeping my mind open to the possibility that the "spirit worlds" and "supernatural entities" of which the shamans spoke, and that I myself had experienced, might after all have some kind of objective existence that the hallucinating brain was capable of interacting with – rather than being simply fantastic subjective concoctions of the selfsame hallucinating brain. I felt encouraged that thinkers of the stature of William James, Aldous Huxley, and Albert Hoffman had self-experimented with hallucinogens in order to test the boundaries of socially constructed reality and try to discover what might lie beyond. Their insights reinforced my sense that this was a valid and potentially fruitful research method, and helped give me courage to extend my own explorations with shamanic drugs.

I decided to experiment with psilocybin as it is likely to have been the catalyst for the visionary cave art of Upper Paleolithic Europe (see Appendix I).

Pure DMT also held great interest for me because of the astonishing and disturbing results of Rick Strassman's work. The detailed reports of his lab volunteers left no room for doubt that when the alkaloid was isolated and injected in concentrated purified form, its effects differed in intriguing ways from those of the ayahuasca brew. The reader will recall that other ingredients in ayahuasca inhibit certain enzymes in the stomach that normally render DMT inactive orally (see Chapter Three). Though much less psychoactive than DMT, these other ingredients (principally harmaline) also have their own distinct effects in the brain and thus contribute further to setting a "receiver wavelength" that is specific to ayahuasca.<sup>8</sup>

The obvious implication of Strassman's research is that pure DMT, separated from the other ingredients, sets a receiver wavelength all of its own. This is confirmed by a number of tribes of Amazonian Indians who make regular use of psychoactive snuffs, extracted from plants of the virola family, containing extremely high concentrations of pure DMT. The Tukano, for example, consume ayahuasca frequently and have the greatest possible respect for it. Nevertheless, the anthropologist Gerardo Reichel-Dolmatoff found that Tukano shamans nominate a virola snuff that they call *viho* as "the most important means by which one can establish contact with the supernatural sphere in order to consult the spirit beings above." Amongst these spirits, *Viho-mahse*, "Snuff Person" (the owner and master of snuff), is considered paramount. He is said to dwell in the Milky Way, from where he continuously watches the doings of mankind. 10

I knew that I was most unlikely to be able to locate synthetic injectable DMT of the sort that Strassman had used in his federally approved research project, but learned that it could sometimes be bought in smokeable form on the black market. Another alternative, if it could be obtained, was virola resin, which I could prepare as a snuff, or a resinous extract of pure DMT from the bark of an Australian acacia tree which could be smoked in a pipe.

There were many other hallucinogens that I might try if I so wished, but I had no plans to do so. Nor, after my intense experiences with ayahuasca and ibogaine, did I feel in any great or pressing hurry to begin my experiments with psilocybin and DMT. Far from it! The truth is that I have very mixed, ambiguous feelings about hallucinogens and about their effects on my consciousness. I welcome their ability to jolt me – even temporarily – into a spiritually receptive state of mind that I am normally too neurotic and preoccupied to permit myself to enter. I welcome the unexpected riches that this state of

mind reveals. But like most people who have had acquaintance with powerful hallucinogens and taken them seriously, I'm also permanently afraid of their (or my own?) dark side, afraid in all sorts of nameless ways of the otherworldly vistas that they uncover and of the strange and sometimes terrifying entities they bring us into contact with, afraid that they could lure me to some spiritual hell-world from which I might not emerge sane. Such fear, I think, is appropriate. In investigating the supernatural, as the Peruvian shaman Don Emilio Andrade Gomez told the anthropologist Eduardo Luna, one should impose limits on the knowledge one seeks to acquire, and above all, "one should not be too eager."

Far from eager, I was downright scared! But I also knew that I had to overcome my fear if I wanted to complete this side of my research. I therefore began looking for ways, preferably legal, to get my hands on the requisite substances, and to prepare myself psychologically for whatever surprises or tribulations they had in store for me.

Meanwhile, I was also well advanced on a second line of inquiry. This took me back to the mystery of the wounded men in Upper Paleolithic cave art and David Lewis-Williams' suggestion that these tortured figures "represent a form of shamanistic suffering, 'death' and initiation that was closely associated with hallucinations." The reader will recall the gory and graphic details of some of the agonies and ordeals that shamans from as far afield as Australia and Greenland say they have endured in the spirit world at the hands of supernatural beings. Although they are bizarre, and although ethnographic and anthropological studies of hunter-gatherer societies make frequent mention of them, such reports of "magical surgery," implantation of "crystals" into the bodies or heads of initiates, "dismemberments," "piercings," extraction of brains and eyes, and so on and so forth, have never tempted scholars to ask whether something very odd but real could possibly lie behind them. So although they are studied for the light they supposedly shed on the tensions and dynamics of huntergatherer societies, and other sociological concerns, the reports in themselves are believed to have no truth value, and are loftily dismissed as the brutal, ritualistic, and superstitious fantasies of pre-scientific cultures and their mentally deranged shamans. Imagine my surprise, therefore, when I discovered that virtually identical experiences are routinely reported today by very large numbers of sane, sophisticated, respectable, well-educated individuals in technologically advanced countries such as the United States, Britain, and Japan that long ago distanced themselves from the shamanic view of reality.

## Abductions and other unusual personal experiences

In an exhaustive series of three national polls conducted by the Roper Organization in 1991, it was established that approximately one out of every five adult Americans has, at one time or another in their lives, woken up paralyzed with the sense of a strange figure or presence in the room. In addition:

- nearly one adult in eight has experienced a period of one hour or more in which he or she was apparently lost but could not remember why;
- one adult in ten has felt the experience of actually flying through the air without knowing why or how;
- one adult in twelve has seen unusual lights or balls of light in a room without understanding what was causing them;
- one adult in twelve has discovered puzzling scars on his or her body without remembering how or where they were acquired. 12

The Roper polls, which had been nominally directed at gathering data about "unusual personal experiences," were commissioned, and their results analyzed, by Dr. John Mack, Professor of Psychiatry at Harvard Medical School, Dr. David Jacobs, Associate Professor of History at Temple University, John Carpenter, a psychiatric therapist from Springfield, Missouri, Dr. Ron Westrum, Professor of Sociology at Eastern Michigan University, and Budd Hopkins, a New York based author, researcher, and counselor with specialist knowledge of the socialled "alien abduction" or "UFO abduction" phenomenon. Indeed, the specific but hidden agenda of the surveys, behind the more innocuous declared interest in "unusual personal experiences," was to get some sort of statistical measure of the prevalence in the general

population of individuals who had been afflicted by the constellation of extremely unusual personal experiences associated with classic UFO abductions.

The belief that one might have been abducted by alien entities in an unidentified flying object is generally taken as a sign of an unbalanced mind in our society, and provokes ridicule. The pollsters' strategy was therefore to avoid direct questions on this subject and to focus, more obliquely, on "experiences known to be associated with UFO abductions."14 Such experiences, in their turn, had been extracted from thousands of hours of interviews with deeply troubled and perplexed people, conducted - often under hypnosis - by John Mack, John Carpenter, David Jacobs, and Budd Hopkins. The interviewees had all undergone distressing episodes in their lives, often beginning in childhood and continuing into adulthood, that felt real to them but that seemed objectively impossible. In many cases they shamefacedly attributed these episodes to abduction by "extraterrestrial beings" who took them up to "spaceships in the sky" (or sometimes underwater or underground) and did painful, humiliating, and unpleasant things to them there, before returning them to their home environments. More frequently, however, they did not remember exactly what had happened - only that something had happened - but under hypnosis had full recall of repeated "abductions by aliens" at intervals throughout their lives.

Because so many interviewees were unable to remember being abducted until the details were extracted using hypnosis, the questions in the Roper surveys concentrated on the kinds of odd experiences that these individuals *had* been able to recall prior to hypnosis – often the very experiences that had led them to seek therapy in the first place. The five "strong indicators" listed above (i.e. waking up paralyzed in the night with a strange figure in the room, inexplicably flying, puzzling scars on the body, an hour or more of missing time, seeing balls of light) were reported particularly frequently by UFO abductees. The poll designers therefore decided that if any respondents answered yes to at least four out of the five indicators, then they would count these individuals as probable abductees. Altogether, 2 per cent of the total survey sample fell into this category:

This suggests that two per cent of the adults in the American population have had a constellation of experiences consistent with an abduction history. Therefore, based on our sample of nearly 6,000 respondents, we believe that one out of every fifty adult Americans may have had UFO abduction experiences.<sup>15</sup>

In 1991, when the Roper polls were conducted, "one out of every fifty adult Americans" was equivalent to almost four million people. <sup>16</sup>

## My own position on "UFOs" and "aliens"

Before going further, I wish to state, very clearly and for the record, that when I started to explore the avenue of investigation set out in this and the next five chapters I did not believe that UFOs were "nuts-and-bolts" spaceships from other planets. Likewise I did not believe that the "aliens" frequently associated with UFO sightings were physical beings from extraterrestrial civilizations who for some reason visited the earth, abducted certain specially selected humans, inflicted all manner of strange and intimate procedures on them, and then returned them to their homes, only to abduct the same individuals again and again – often over periods of many years – in order to carry out more strange procedures.

In Chapter Three I described my own direct observations of entities resembling the popular conception of aliens – entities who appeared to me in hallucinations seen under the influence of ayahuasca. The absolutely convincing and indeed terrifying nature of these hallucinations (which included objects like flying saucers) raised the possibility in my mind that the UFO sightings and alien abductions so widely and sensationally reported all around the world in recent years are likely to be best understood as experiences stemming from altered states of consciousness rather than nuts-and-bolts physical encounters of any kind.

Now, as I write these words after completing my research, my lack of belief in physical, extraterrestrial explanations for UFOs and aliens, and my intuition that they must be visionary phenomena, has hardened into something close to a certainty. I give readers fair warning, therefore, that nothing will be found in the pages that follow to support the idea that aliens are visitors from outer space. No one can rule out such a possibility entirely, but the evidence I have gathered suggests they are something far more interesting and far more mysterious than that.

#### Needles, surgery, and pain

There is one particularly unusual, distressing, and distinctive personal experience that people who believe they have been abducted by UFOs report more often and more consistently than almost any other. This is the humiliating and traumatizing experience of being subjected by the abducting entities to forced medical examinations and to painful and incomprehensible surgical procedures that often leave behind permanent visible scars and sometimes even mysterious implants in abductees' bodies. For full details, the reader is referred to the published work of John Mack, David Jacobs, and Thomas Bullard, where hundreds of pages of such reports can be found. Here a few examples will have to suffice to convey the peculiar character of the overall phenomenon.

In 1961, Betty and Barney Hill claimed that they were followed in their car by a UFO and abducted by small humanoid beings with

rather odd-shaped heads, with a large cranium, diminishing in size as it got toward the chin. And the eyes continued around to the sides of their heads . . . The texture of the skin was grayish, almost metallic looking . . . I didn't notice any hair . . . I didn't notice any proboscis, there just seemed to be two slits that represented the nostrils. <sup>19</sup>

Once on board the UFO, the couple were separated and Betty was subjected to a medical examination during which a long needle was inserted into her navel, causing her agonizing pain.<sup>20</sup>

The high point of Sandy Larson's 1975 UFO abduction was a view "of the earth in space through the end of a luminous tunnel." The low point was an extremely unpleasant experience of bizarre and radical surgery in which "beings" removed her brain and set it down beside her. 22

In the autumn of 1950, Betty Aho was abducted by humanoids that she described as about three feet tall with "pear-shaped" heads and large unblinking eyes. Dramatic events followed. The UFO "plunged into the sea and came out again, then entered huge crystalline caverns which broadened into a vast underworld." Later, Aho was "floated" onto a table:

She felt stuck to it while the beings removed her right eye and implanted a tiny device deep within her head using a luminous needle. A being's hand on her forehead relieved her pain. They . . . implanted objects in her spine and heels. The beings passed hand-held instruments over her spine and pointed needle-like devices at her head, causing her to feel the implants inside her.<sup>24</sup>

Some 40 years later, at the beginning of the 1990s, John Mack's patient "Scott" recalled that during one of his numerous abduction experiences, he found himself in what he took to be a spaceship, stretched out on a table flanked by two "doctor-like" figures. His head lay on a block, and four "prongs" were being pressed into his neck, "high up, just below the scalp." Scott believed these were electrodes, Mack reports, "that were used to manipulate and control his movements and feelings." <sup>25</sup>

"Jerry," another of Mack's patients, remembered an abduction in which she was brought into a large spaceship with a domed roof, and then into "a circular room" within it, which was "shiny and metallic-looking and contained what looked like equipment." Here small, dark human-like beings performed incomprehensible tests on her body under the guidance of a taller, lighter entity who she thought of as male and as "the leader." At one point he asked her telepathically (but confusingly) if "the medication has been okay up till now?" Immediately afterwards, she reported, "something sharp, like a needle" was driven from a high angle down into the side of her neck: "They're turning it! Ohhhh! It's inside of me . . . They stuck that thing inside me." 27

Like many other abductees, "Catherine's" experience – having been brought against her will on board what she believed was a UFO – involved first being taken into a metallic room, "like burnished

aluminum but darker," and made to lie on a table there. She noted that the room had muted lighting and that a number of small beings were present in it with her. The leader, or "examiner," though taller than the others, was nonetheless shorter than Catherine. His skin appeared to be "very smooth – whitish gray," and his manner was intimidating: "He's looking at me like you look at a frog before you dissect it." 28

Next Catherine remembered being subjected to a series of painful gynecological procedures and was convinced that samples had been taken from her ovaries.<sup>29</sup> We will return in Chapter Seven to the possible significance of these (also very common) "reproductive" aspects of the UFO abduction experience. Meanwhile, Catherine's ordeal was far from over. She described a metal instrument "maybe a foot long" that was inserted "perhaps six inches" into one of her nostrils – i.e. into her brain:

I could feel something breaking in my head. When he pushed it through, he broke whatever it was and pushed it all the way through, up even further . . . I'm wondering what they broke . . . I don't know the anatomy, and he broke something to get it through, to get it into my brain . . . I don't know what it was. I want to know if it's going to heal. 30

"Joe" recalled being abducted by a humanoid "with a triangular face and a large forehead, narrow chin, and large, black, elliptical eyes" that first "floated" him up to a spaceship that was "much bigger inside than outside" and then used mind control to make him lie on a table. Here he was suddenly surrounded by eight to ten small beings. One, standing to his left, fell upon him with "a large needle about a foot long, with a kind of hilt." The needle entered the left side of Joe's neck below the ear, "against the skull," causing severe pain: "They're putting a picture in my mind of a small, silver, pill-shaped thing that they're leaving there which has four tiny, tiny wires coming off it." After the needle was removed, Joe reported that he received this telepathic message from the beings: "We are close. We are with you. We're here to help you. We're here to guide you, to make it through your difficult times."

On another occasion, Joe was abducted together with his baby son

Mark, and witnessed the beings holding "crystals" against the child's head and "shining a light on his eyes and on his hand."<sup>34</sup>

John Mack's patient "Eva" saw a gray spaceship, panicked, blacked out, then found herself on a table in a room with two beings inspecting her:

I was in a fetal position, my back to them. They were doing something to my spine. My entire spine was stinging and cold. It was awful! It felt as though they were going inside my body with some very sharp instrument and inserting it between my flesh and my skin.<sup>35</sup>

"Karin" also found herself in a room on a spaceship: "They opened up my chest and took out my heart." Similarly, "Carlos" told Mack of how he had been "floated" up through the sky "on a beam of light" to what he took to be a spacecraft. He found himself in the "rotunda" of this circular vessel in a spacious instrument-filled room where many small humanoid creatures went about their business, seemingly with no expectations of him. However, one of them eventually led him to another part of the ship, where he was placed on a table that he described as "a block of crystal." Here a female being with huge oblique eyes, but "hardly a nose and hardly a mouth," instructed "reptilian-faced, insectile-bodied, or robot-like entities" to perform an operation on him. The operation was excruciatingly painful and involved the use of crystals:

Whatever these crystals are, metal-like more than glasslike, there is light. I can see it . . . It is like a squared tube of crystal with the sides lopped off so that at the ends each tube appears eight-sided . . . And then the end of it is shaped like a step-pyramid. It shoots laser light into the body, but it feels like a needle because it hurts, and it resembles a needle.<sup>40</sup>

#### Same phenomenon, different cultural setting?

I was already familiar with the sorts of initiatory ordeals that hallucinating shamans the world over believe they suffer at the hands of spirits. The limited extracts from the vast ethnographic literature on this subject that are given in Chapter Four are sufficient to make it clear that these ordeals frequently and graphically involve procedures that Westerners would very likely construe as 'medical' or 'surgical' in nature. I was satisfied that close and persuasive parallels exist between these often baffling and painful episodes of shamanic surgery on the one hand, and the piercing and spearing of the wounded men figures in Upper Paleolithic cave art on the other. But it was unsettling to discover equally strong parallels in the supposed surgical procedures on board spaceships described under hypnosis by people of the late twentieth century who believed that they had been abducted by UFOs:

Jerry reported "something sharp, like a needle" being driven from a high angle down into the side of her neck . . . A small being plunged "a large needle about a foot long, with a kind of hilt" into Joe's neck below the ear, "against the skull," causing severe pain . . . A metal instrument "maybe a foot long" was inserted deeply into Catherine's brain through one of her nostrils: "he broke something to get it through, to get it into my brain . . ."

Compare the above with these ethnographic accounts of shamans' hallucinatory experiences cited in Chapter Four:

"Three black devils thrust a lance through his head . . ." (Yakut) "A spirit throws an invisible lance at him, which pierces his neck from behind, passes through his tongue, making therein a large hole, and then comes out through his mouth . . ." (Arunta, Australia) "A snake is put in their heads and their noses are pierced by a magical object . . ." (Warramunga, Australia)

#### Returning to the UFO abductees:

Betty Aho's right eye was removed and a tiny device was implanted deep within her head — with additional devices implanted in her spine and heels . . . Joe believed that a "small, silver, pill-shaped thing" with wires coming off it had been implanted in his brain, and witnessed beings holding "crystals" against his son's head and "shining a light on his eyes and on his hand"

... Crystals were used as surgical instruments in the excruciatingly painful operation that Carlos experienced as part of his UFO abduction.

#### From the shamanic ethnographies:

#### UFO abductees:

Two beings operated on Eva's spine: "It felt as though they were going inside my body with some very sharp instrument and inserting it between my flesh and my skin." A long needle was inserted into Betty Hill's navel, causing her agonizing pain.

#### The shamanic ethnographies:

"I saw the form of a man... whose head was surrounded by a bright glow... He spoke: 'Wait without fear until I have said and done everything that I intend.' Then I felt various instruments, first like sharp knives, then like needles, penetrate my flesh..." (Ojibwa, North America) "The aspirant to the Kuksu Society is believed to have his navel pierced with a lance and an arrow by Kuksu himself..." (River Patwin, North America)

After Sandra Larson had been taken on board a UFO, she had a memorable and most unusual experience:

But even here, hallucinatory initiations offer striking parallels. For example, in the case of a Yakut shaman cited by Eliade:

"The spirits cut off his head, which they set aside." 45

#### Mystery of the bones

I found other remarkable parallels, not all of which there is space to list here, between these medical aspects of the abduction phenomenon and the descriptions of spiritual surgery that feature so frequently and prominently in ethnographies. One that is particularly striking – because so odd – concerns the ritual of the "counting of the bones," which is reported from many different shamanic cultures. A typical case is that of a Tungus shaman who entered a deep trance, during which he experienced a ritual of initiation at the hands of spiritual beings:

They pierced him with arrows until he lost consciousness and fell to the ground; they cut off his flesh, tore out his bones and counted them; if one had been missing he could not have become a shaman.<sup>46</sup>

In another Siberian account, the shaman Kyzlasov told how he had been "cut into pieces on a black table" by "two black and two fair" beings, who counted his bones on the orders of their chieftain:

They found a bone around the ribs, which had a hole in the middle. This was the excess bone. This brought about my becoming a shaman. Because, only those men can become shamans in whose body such a bone can be found.<sup>47</sup>

It seemed most unlikely that there would be anything like this in the UFO abduction literature, but I soon discovered that there was. David Jacobs of Temple University, who has conducted extensive interviews with hundreds of abductees, and himself completely rejects any connection between their experiences and those of shamans,<sup>48</sup> nevertheless notes that they frequently make reference to the "prodding and palpation" of their bones and joints:

The Small Beings look closely at the subject's ribs and rib cage. Sometimes [they] make a small incision on the abductee's left side . . . They methodically touch and press each individual vertebra from the neck to the coccyx . . . They take a long time with the vertebrae as their fingers manipulate each bone structure. 49

Likewise, John Mack's patient Catherine, whom we met earlier, described an experience in which small beings with "huge black almond eyes" floated her up to an enormous silver-metallic UFO and then into one of its cavernous rooms "the size of an airplane hangar." As her captors led her through the room, she noticed rows of tables on either side. Some of these were empty, but on others she saw procedures being done on what she estimated were "between one hundred and two hundred humans." Catherine was forced to sit on one of the tables and then the following explicitly "bone-counting" experience unfolded:

They're running their little fingers down my spine like they're counting the vertebrae in my spinal column. "What the hell's that for?" "To make sure everything's okay," he says.<sup>51</sup>

#### Troublesome things

My curiosity had been aroused, but my initial reaction to what looked like a series of remarkable unexplained cross-cultural commonalities was one of skepticism. I simply could see no reason why huntergatherer shamans, who deal with "spirits" and make rain, should have anything in common with sophisticated American city-dwellers who happen to believe that they've been abducted by aliens. So I worked hard to downplay the similarities I was seeing and to persuade myself that they must all result from coincidence.

As other such coincidences began to pile up, however, I became increasingly perplexed at the level of detail. Why should spirits in one part of the world and at one period of history, and aliens in another part of the world at another period of history, both abduct men and women, insert mysterious objects into their heads, stick lances or massive needles into their necks and skulls, implant crystals into their bodies, count their bones, take out their eyes and brains, etc., etc.? On reflection, I could see how ludicrous it was to imagine that people from such different cultural backgrounds as shamans and UFO abductees could have independently invented what were essentially the same utterly bizarre entities and the same utterly inexplicable medical/surgical procedures.

But if that wasn't what was going on here, then what was?

I had the sense that I had stumbled on the outline of an underlying pattern, deeply buried, and of troublesome things waiting to come to light.

#### CHAPTER SIX

## Shamans in the Sky

Most readers of this book will have heard something about UFO abductions. Some will know a great deal about them; others will know very little. Many will believe (wrongly) that the phenomenon has been successfully debunked as a mixture of hoaxes and crazed delusions. Many others will feel that the reports they have heard are too numerous, and the people making them too decent and reliable, for them to be completely without foundation. Amongst the latter group, there will be some who have no theory at all about who or what is responsible for abductions, but most will probably take the view, popularized in the famous 1990s television series *The X-Files*, that they are the work of "ETs" – extraterrestrials, in other words technologically advanced aliens from other planets who have traveled across interstellar space in order to abduct us for arcane reasons of their own.

Needless to say, there is no hard evidence for this or any other theory. There is a phenomenon. It is massively documented. It appears to have involved millions of people. But there is not a scintilla of proof that the abducting agencies – if they exist in any useful sense at all – are extraterrestrials. Nor, though we almost automatically assume it to be so, is there the slightest reason for us to believe that the saucer-like UFOs to which abductees frequently say they have been taken are in fact spaceships. All such ideas are just assumptions built upon speculations that have been conjured out of conjecture and rest ultimately on the public mindset of the twentieth century.

Remember what happened in the twentieth century. We invented space travel. We went to the moon. We put landers on Mars. And we were the first generation who had to contemplate seriously the possibility, since we ourselves are a technologically advanced species living on a planet, that there might be other species living on other planets who were perhaps even more technologically advanced than we are. So when metallic-looking UFOs began to be noticed flying at impossible speeds and performing maneuvers that defied the laws of physics in the skies over America, Europe, and Japan from the late 1940s onwards, and with increasing frequency during the 1950s and 1960s, it's hardly surprising that "aliens in spaceships from other planets" was the explanation that leapt most readily to our minds.

The first abductions – and with them the first bizarre surgical procedures - began to attract attention in the 1960s. The earliest publicized case was that of Barney and Betty Hill in 1961. During it Betty underwent an ordeal, described in Chapter Five, that she experienced as a long needle being thrust into her abdomen through her navel. Over the following three decades, thousands of others reported similar experiences, the grotesque character of which was summed up for many in Whitley Strieber's bestselling book about his own abductions (Communion, published in 1987, subsequently made into a Hollywood film starring Christopher Walken). In 1989, Dr. John Mack at Harvard University began to offer therapy as part of his psychiatric practice to people who believed they had been abducted by aliens. His extensive interviews, often conducted under hypnosis, revealed that many of his subjects remembered multiple abductions going back to their childhoods. In some cases it became clear that their first abduction experiences had occurred in the late 1940s and early 1950s, more than ten years before the Betty and Barney Hill case drew attention to this unusual syndrome.

## Not the lunatic fringe

From the outset, showing unusual humility for a mainstream academic, Mack resolved to take the abductees seriously. He attracted heavy criticism and much ridicule for this from his peers. However, he was able to defend his position on excellent clinical grounds, since none of the scientific surveys and psychometric tests that have been conducted on self-styled UFO abductees have provided any evidence that connects the syndrome with mental illness. For example, Parnell, who administered the Minnesota Multiphasic Inventory and the Sixteen Personality Factor Questionnaire to 223 UFO abductees in 1988 – just before Mack began to see UFO abductees in his own practice – found "no evidence of serious psychopathology." Similarly, in another study, abductees were put through nine psychological test batteries by Elizabeth Slater PhD, a trained psychologist. She herself was not informed, until after she had completed her assessments of the test results, that the subjects had all reported experiencing UFO abductions. Her conclusion was as follows:

The first and most critical question is whether our subjects' reported experiences could be accounted for strictly on the basis of psychopathology, i.e. mental disorder. The answer is a firm no. In broad terms, if the reported abductions were confabulated fantasy productions, based on what we know about psychological disorders, they could only have come from pathological liars, paranoid schizophrenics, and severely disturbed and extraordinarily rare hysteroid characters subject to fugue states and/or multiple personality shifts . . . It is important to note that not one of the subjects, based on the test data, falls into any one of these categories. Therefore while the testing can do nothing to prove the veracity of the UFO abduction reports, one can conclude that the test findings are not inconsistent with the possibility that reported abductions have, in fact, occurred.<sup>2</sup>

Further exhaustive tests carried out by Mack's colleague Catherine McLeod at Harvard in the 1990s compared 40 abduction experiencers with 40 matched controls using a broad range of psychopathology and personality measures. Again the results were unambiguous: "No findings of personality disorder or other psychopathology that might explain the abduction phenomenon distinguished the experiencers from the control group."

On the basis of many such surveys, and of his own direct assessments

of the mental health of abductees, Mack felt that the verdict was obvious:

Efforts to establish a pattern of psychopathology other than disturbances associated with a traumatic event have been unsuccessful. Psychological testing of abductees has not revealed evidence of mental or emotional disturbance that could account for their reported experiences. My own sample demonstrates a broad range of mental health and emotional adaptation. Some experiencers are highly functioning individuals who seem mainly to need support in integrating their abduction experiences with the rest of their lives. Others verge on being overwhelmed by the traumatic impact and philosophical implications of their experiences and need a great deal of counseling and emotional support.<sup>4</sup>

#### Crossover phenomena

In other words, people who believed they had been abducted by UFOs were not fantasists, not mad, and not delusional, but all of them appeared to have suffered the same constellation of unexplained traumatic experiences (indeed many showed the measurable symptoms of post-traumatic stress disorder usually more familiar in soldiers returning from the battlefield).<sup>5</sup> If they believed that they had been abducted, therefore, Mack's decision was that he would believe them too. But during the 15 years that he tirelessly sought a solution to this mystery, he was careful never to extend his respectful belief in the truth of abductees' statements to a wholesale acceptance of the unproven popular theory that the abductions were the work of aliens in spaceships from other planets. Something radical and extraordinary was happening, he was certain of that, but his instinct was that it had to do with collisions of different levels or states of reality, rather than "nuts-and-bolts" encounters between physical human beings and equally physical aliens from an extraterrestrial planetary culture:<sup>6</sup>

What kind of matter is the alien abduction phenomenon? . . . It seems to belong to that class of phenomena, not even generally accepted as

existing by mainstream Western science, that seem not to be of this visible, known material universe and yet appear to manifest *in* it. These are phenomena . . . that seem to "cross over" or to violate the radical separation of the spirit and unseen realms from the material world.<sup>7</sup>

This sense of Mack's that alien abductions are spiritual rather than material problems - but that they are nevertheless real and able to manifest in this world - got him into even more trouble with his scientific peers at Harvard University, who subscribe to the materialist paradigm and do not believe in spirits. During the 1990s, venomous efforts were made to have Mack fired, but he resisted with the help of a good lawyer, fought the case as an issue of academic freedom, and managed to keep his job.8 In revenge, the university marginalized him, and in an exchange of e-mails with me during April and May 2004, he admitted that his work had forced him "into the ontological and epistemological wilderness."9 (Ontology is the branch of metaphysics that deals with the nature of being; epistemology is the critical study of the validity of knowledge.) As we tried to set up a meeting for later in the year, he told me that after August there were "no bad months." <sup>10</sup> In September 2004 he was run over and killed by a drunk driver.<sup>11</sup>

#### Aliens and spirits

Could "aliens" and "spirits" be the same thing – or the same class of thing? And if so, what might this mean for our understanding of the human condition and of the nature of reality? Could the realm from which UFOs appear – and then seem to disappear back into again "between one blink of the eye and the next" – be the "spirit world," as John Mack came increasingly to believe? More intriguingly, what are the chances of this being the same spirit world, with its well-charted supernatural geography and inhabitants, that shamans have entered and negotiated with by means of hallucinatory out-of-body journeys since times immemorial?

The idea seems absurd by the tenets of Western science, which

holds *all* spirit worlds to be illusory projections of the contents of our own minds. Still, I couldn't help being intrigued by the close parallels I'd found between the piercings and inexplicable surgical procedures supposedly carried out on shamans by spirits, and the same sorts of procedures experienced by UFO abductees at the hands of aliens. I began to re-examine ethnographic studies of shamanism side by side with casebooks of UFO abductee reports to see if there were other such similarities. Gradually, I became fascinated and immersed – for there seemed not just to be similarities here but a whole network of closely interwoven and interdependent connections so intricate and extensive that they could not possibly have arisen by chance.

An example is the way most abductees report that at the beginning of the experience they are "floated" out of their houses or cars and up to the sky to where the UFO awaits. Similarly, most accounts of shamanic initiations also begin with a sky journey (because the realm of the gods and spirits is located in the sky – for example *Viho-mahse*, the Tukano god of hallucinogenic snuff, lurking in the Milky Way). Now, the sky itself is universal to all cultures and periods, always over our heads, always beckoning, glittering with stars, so the fantasy of sky journeys might occur spontaneously to anyone and could easily have been invented independently by many different cultures. That was why I didn't pay much attention to this obvious and elementary parallel until I began to look in detail at the *methods* used by abductees and shamans to reach the sky.

## The gentle art of floating (1)

Abductees speak of three principal means by which they are floated through the sky to and from the waiting UFOs. The first is just that – floating. A few examples of how it is described:

I've got this impression of floating above my bed, like being kind of levitated out the doorway to the hall.<sup>12</sup> (Catherine)

We kind of walked, kind of floated into the bottom of the ship. 13 (Joe)

They then support me somehow off the table and down out of the ship . . . They levitate me or something. <sup>14</sup> (Dave)

I sort of feel like I'm on an elevator except there's no walls or anything around it, it's just up, fast . . . <sup>15</sup> (Barbara Archer)

I can remember floating down. I came from very far away. We were very high up in the sky, it seemed to me. And when I was put down they put me down gently.<sup>16</sup> (Karen Morgan)

They glided me into that thing . . . I couldn't resist them, I just floated . . . . <sup>17</sup> (Charles Hickson)

In the second type of experience of floating, abductees associate their sudden levitation and flight through the air with a beam of light which often encloses them completely:

I'm inside the beam of light. I'm going up, and there's a hole above me, and it's dark, but there's light all around it. It's like a blue light . . . a blue beam of light come down to the ground, and then it was almost like going through a tunnel . . . <sup>18</sup> (Nona)

He's taking me up, up the diagonal. We just kind of fly off. We're not going straight up. We're going across too. "This is too fast! Why are you going so fast? I'm going to fall off the beam! I'm going to fall down there!" And he just kind of says: "No, you won't." (Catherine)

The third type of experience is more unusual, but is nonetheless reported in a good number of cases. It involves ropes, wires, strings, or threads of light, which the abductees must float along, or climb, in order to reach a UFO hovering far above them.<sup>20</sup> For example, John Mack's patient Andrea was wakened in her bedroom by a flash of blue light "like a big headlight on a car" and the presence of two small, thin beings with bulging heads and huge eyes. "They're very skinny," she remembered, and "they look like they're made of light. But then underneath there's some physicalness to them." One of the beings was

"holding a stick or a rod" which he now pressed to the back of Andrea's ear. Her next recollection was of being "floated" feet first "right through" the glass of her bedroom window and high over trees. She reported that a "line" or "thread" of light extended from her navel to the beings, and that "streamers" of light were coming out from one of the beings to her body. These "threads of light" seemed to be used to pull her up to the ship.<sup>21</sup>

Similarly, as his abduction began, Arthur saw a "light like a thread, a spider's thread that's lit" extending down from the night sky. At the same moment, he saw a group of "little lighted beings clustered all together" who told him: "Don't be afraid or it will break the thread." Next Arthur found himself in the air, in some way supported by the thread, which he estimated to be no more than one-eighth of an inch in diameter: "like a kite string, maybe."

The thread or string seemed to be bathed in a light that was everywhere and as the beings were telling him "not to be afraid" Arthur was "just going along it, standing erect," pulled as if by an unseen force. The string seemed to go into the craft, "like a phone line or something."

## The gentle art of floating (2)

Shamans, too, float, or are wafted aloft in various ways, as they begin their journeys in the spirit world:

He saw the roof of his hut open above his head and felt himself carried off to the sky, where he met a multitude of spirits . . . <sup>24</sup> (Basuto shaman, South Africa)

I was carried up to the spirit-village of those who live in the sky, a doctor's village, and there I was instructed . . . . <sup>25</sup> (Winnebago shaman, North America)

What is particularly puzzling, however, is that the striking motif of a rope, or string, or spider's web, or thread of light that occurs as a means of ascent in some UFO abductions is also commonly found in accounts of shamans' sky journeys. In north-western Australia, for instance, shamans say that they reach the sky on "ropes of air," while the shamans of the Kulin and Kurnai tribes hallucinate a fine thread emerging from their bodies: "It comes out of their mouths like a spider's web and they climb to heaven on it."

Likewise, the bushmen of southern Africa almost always make use of threads, strings, and ropes in their hallucinatory sky journeys. As was the case with the now extinct San rock artists, the !Kung shamans of the Kalahari still use the trance dance as the principal means of entering a deeply altered state of consciousness and summoning up sufficient n/um (supernatural power) to ascend to the spirit world. The !Kung shaman Bo explains that when the power is strong, he sees

lines or strings of light that go up into the sky. These lines may be the thickness of a blade of grass or as big as a rope or chain. They are white in color or shiny like silver metal . . . When I see a rope of light I walk towards it. As soon as I get to it or near to it, I start floating up to the sky . . . You don't have to grab it or touch it. You just float away with that rope. That line just takes you. You become so light that you simply fly away.<sup>28</sup>

#### According to another shaman, Mabolele Shikwe:

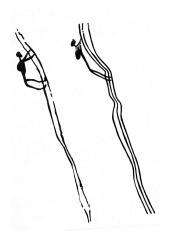
The rope can take away anyone who comes to the dance. If you are very strong and you don't fall to the ground, the rope can take you away while you are dancing.<sup>29</sup>

#### A Kalahari shaman named Cgunta !elae:

When I go to the rope, it makes me float up to the sky. Sometimes I travel to another place the instant I go toward it. At other times you simply walk along it. $^{30}$ 

#### The same informant:

When we go up the rope we sometimes see other doctors from our



San rock art depiction of shamans climbing ropes to the sky (RARI).

community who are also going up the same rope. The ropes will be there forever. They point down to us from the sky. The place in the sky is where the Big God lives . . . <sup>31</sup>

Here's old *K"xau*, a famous *!Kung* shaman whom we have already met briefly in Chapter Four:

My friend, that's the way of this  $n/um \dots I$  dance  $\dots$  When I emerge I am already climbing. I'm climbing threads, the threads that lie over there in the South  $\dots$  I take them and climb them. I climb one and leave it, then I go climb another one. I come to another one and climb, then I come to another one. Then I leave it and climb on another. Then I follow the thread of the wells, the one I am going to go enter! The thread of the wells of metal. When you get to the wells you duck

San rock art depiction of shaman climbing to the sky: "I follow the thread of the wells . . . the thread of the wells of metal. When you get to the wells you duck beneath the pieces of metal . . ."

(RARI)



beneath the pieces of metal . . . It hurts . . . When you lift up a little, the metal pieces grab your back.<sup>32</sup>

What seems to be envisaged is some sort of contraption in the sky – "wells of metal." It all sounds very strange, but in fact contraptions in the sky are common elements of shamanic visions. A Yurak-Samoyed shaman of Siberia, for example, relates how

he mounts to the sky by the help of a rope especially let down for him and how he shoulders aside the stars that block his way. In the sky he rides in a boat and then descends to earth at such a speed that the wind passes through him  $\dots$ <sup>33</sup>

The core image of a sky-vehicle letting down a rope also occurs in North America:

One summer day [the shaman Bull Lodge] lay in the grass on his back with his arms out flat on the ground, elbows bent . . . As he gazed up at the sky, an object appeared . . . It was a shield, with a string or fine cord attached to it leading up to the sky . . . Then Bull Lodge heard a voice. The sound came from behind the shield . . . "My child, look at this thing. I am giving it to you from above."

In Australia, the flying shield in the sky is replaced by a supernatural bird, but is still approached by a string:

They took hold of the doctor's string, on the other end of which Wombu, [the god] Baimi's bird, was waiting. They traveled up through the clouds to heaven.<sup>35</sup>

Mircea Eliade reports that amongst the powers claimed by the shamans of the Mara Aborigines of Australia is that of "climbing at night-time by means of a rope, invisible to ordinary mortals, into the sky, where he can hold congress with the star people."<sup>36</sup>

The comparison with UFO abductees, who also say they climb to the sky by means of threads or ropes, and who also believe they hold congress with "star people," is very clear. Meanwhile, in the depths of the Amazon, a group of shamans of the Cubeo tribe gather in a jungle shelter to drink ayahuasca so that their souls too may hold congress with the spirit world:

And then the Star-People descend, with their shining eyes, with their brilliant eyes, and surround those sitting on their benches. The scintillating lights come and try to carry them back to the Milky Way . . . . <sup>37</sup>

The reader will recall that many UFO abductees do not see threads or ropes but lights, or beams of light, that appear in their rooms and float them out of their beds. Some sort of "abduction by lights" certainly seems to be implied in the case of the Cubeo, and was also reported explicitly by the bushman shaman Twele, who told anthropologists: "I don't see a string hanging from the sky, but I see a light that makes me float." 38

#### Underground, underwater

There were moments as I researched this material when I wasn't sure whether I was reading a UFO abduction report or the account of a shaman's psychedelic pilgrimage to the sky world. Despite surface differences appropriate to the very different cultural settings and periods in which the abductees and shamans had undergone their experiences, the clues kept mounting up that the two phenomena must, in some as yet unexplained way, be closely related at a deep structural level.

For example, not all shamanic journeys lead to the sky. This is the most common scenario, but the reader will recall from Chapter Four that shamans in their trances also quite frequently report the experience of being transported into a cave – sometimes lined with crystals or calcite formations, and often illuminated by a strange diffuse light with no obvious source. In other cases they travel to an underwater location that lies at the bottom of a sea, lake, or river pool.

These are not the sorts of destinations that one automatically associates with UFOs, which are, after all, unidentified *flying* objects.

Nevertheless, we saw in Chapter Five that the UFO which abducted Betty Aho in 1950 "plunged into the sea and came out again, then entered huge crystalline caverns which broadened into a vast underworld."<sup>39</sup>

In 1979, in Florida, Filiberto Cardenas was abducted by humanoids dressed in what he described as tight white clothing. They took him to a beach, opened "a lock" in the side of a huge boulder, then transported him through a "tunnel beneath the sea."

In 1981, Mexican photographer Carlos Diaz saw a UFO floating over his head. "It was made of millions of small dots of light. I tried to touch the object, but my hand went through the yellow light . . ." He felt disoriented, and suddenly noticed that the craft now appeared to be standing "inside a cave that was lined with stalactites and stalagmites . . . There was something strange about the illumination . . . It was everywhere but I couldn't find a source."

Even more to the point is the case of Lucy, abducted in October 1992 by beings who she construed as aliens, although UFOs did not feature in her experience at all. Waking up in the middle of the night, she felt a compulsion to drive her car into the Blue Ridge Mountains west of Washington DC. Finally she stopped on a deserted road, to the right of which there was a steep drop "to a field full of people in their pajamas and nightgowns." She found herself floating in their direction and was then swept with the crowd into an opening in the side of the hill. "It led steeply down through the limestone under the hill to a large room with a high ceiling where the abductees congregated and the aliens inscrutably watched them . . ."

Similarly, Scott recalled hurtling down into "a huge underground rock-walled place" in a fast-moving elevator, <sup>44</sup> and Joe found himself "in a subterranean room hewn from rock" lying on a table "surrounded by little people with big heads, and they're putting a needle in my neck." <sup>45</sup> Many further examples could be cited, but the point has been sufficiently made that as well as going to technological venues in the sky – which themselves are matched in pre-scientific cultures by appropriate construals, such as flying shields and boats and aerial "wells" made of metal – UFO abductions frequently unfold in classic shamanic settings such as caverns or underwater.

# The power of transformation

Aliens, shamans, and the supernatural beings of the spirit realm have another completely unexpected and rather mysterious characteristic in common.

Before I began this research I had no idea that therianthropes were the subject matter of the most ancient religious art of mankind. Nor had it ever occurred to me (as seems perfectly obvious now in the light of Lewis-Williams' work) that many of the animals depicted in painted caves and rock shelters all around the world might be best understood as representations of transformed shamans or spirits in animal form seen during hallucinatory trances.

On the other side of the equation I had, at the outset, no personal interest in, and possessed only rudimentary information about, the UFO abduction phenomenon, which I did not imagine would prove relevant to my investigation of the origins of religion. I began to change my mind after my own encounters, facilitated by ayahuasca, with friendly "light-beings" and a much less friendly large-headed "Gray" with a heart-shaped head and huge black eyes, who I had genuinely feared might abduct me in one of the flying saucers that also featured in the same vision (see Chapter Three). I was given further pause for thought when I realized that very similar figures of small humanoid beings with heart-shaped (or pear-shaped, or teardrop-shaped) heads had been depicted in the cave art of Upper Paleolithic Europe, and also in the rock art of the San hunter-gatherers of southern Africa. It was with my curiosity thus aroused that I then began to delve into the modern UFO-abduction literature of the West, alongside the extensive shamanic sources I already had to hand, and stumbled across the weird parallels and commonalities between spirits and aliens explored in the last two chapters.

My mental picture of the aliens had been heavily conditioned by what I had seen in my ayahuasca visions, which in turn accorded very well with the physical descriptions given of aliens in hundreds of UFO-abduction reports and endlessly regurgitated in the tabloid media. I was therefore completely unprepared for what I discovered next – which is that, just like spirits, these entities that Western

abductees generally construe as aliens very frequently first present themselves in the form of animals, or with hybrid animal and alien characteristics, before appearing in their more familiar tabloid identity as small humanoid beings with heart-shaped heads, large eyes, etc., etc.

### Aliens as animal spirits

Let's deal with the aliens' side of this peculiar story first. Again, the most useful way to proceed is simply to allow the abductees to speak for themselves about what they saw and experienced.

In Arthur's case, the small "luminescent and semi-transparent" humanoids (with large dark eyes),<sup>46</sup> who encouraged him to climb a thread of light in an episode cited earlier, for some reason strongly reminded him of rabbits: "so close to each other they're touching each other . . . It's like a bunch of rabbits. They huddle like rabbits."<sup>47</sup> This had the effect of endearing them to him.<sup>48</sup> Similarly, Peter's abduction began when a group of small beings appeared in his room and a beam of light lifted him off his bed. As he was floated out of his house and into the air, he got a close look at one of the beings. Its eyes, he said, were very dark and deeply set in its face: "like an animal's eyes, like a raccoon's eyes."<sup>49</sup>

While he was hunting in Medicine Bow National Park, Wyoming, in 1974, Carl Higdon saw five elk together in a clearing. Instinctively he took aim and fired his rifle but, as he did so, a zone of strangeness surrounded him, the sound of the shot seemed to come from far away and time slowed down so that Higdon was able to watch the bullet as it left the gun, traveled slowly through the air, and fell to the ground, "crushed and folded like a glove," about 60 feet away. As he walked to pick up the bullet an odd-looking humanoid figure dressed in black appeared and gave him a "pill," telling him that it would alleviate his hunger for four days. Higdon was then taken on a journey in what he presumed to be a spaceship, and later returned to the National Park, bewildered and disoriented, with his body covered in scratches.<sup>50</sup>

Moments before her abduction by small humanoids, Virginia Horton remembered talking with an "intelligent gray deer,"<sup>51</sup> and

added: "there was a person inside this deer." Another woman also reported seeing a deer looking at her through a window just before she was abducted. Indeed, John Mack, David Jacobs, and other researchers who interviewed large numbers of abductees found such accounts of encounters with therianthropic aliens – strange humanoids that either had certain animal characteristics or were fully transformed into animals – to be extremely common. The aliens appear to be consummate shape-shifters," wrote Mack, "often appearing initially to the abductees as animals – owls, eagles, raccoons and deer are among the creatures the abductees have seen initially."



Therianthropic aliens seen by six schoolchildren at Bukit Mertajam, Malaysia, on August 19, 1970. In these drawings by the children, the UFO in which the aliens arrived is depicted bottom right (Ahmad Jamaludin/Fortean Picture Library)

An abductee interviewed by David Jacobs saw a wolf in her bedroom one night:

The wolf was standing squarely on her bed, looking her in the eyes. She clearly remembered its fur, fangs, and eyes. Other abductees have claimed to have seen monkeys, owls, deer, and other animals.<sup>55</sup>

Indeed, owls feature particularly often in abductee reports. For example Colin, aged two and a half, the youngest of Mack's patients, frequently complained of being taken by "scary owls with big eyes" to a "spaceship," which he also sometimes described as a "big boat in the sky." 56 The owls, he said, "floated down" from the sky to fetch him. On one occasion he confided that "monster owls" had "attacked" him on the spaceship, hurting his toe.<sup>57</sup> The author Whitley Strieber was confronted by the large, hypnotic eyes of "a barn owl" staring at him "through the window" of his home at the start of an abduction in which the aliens made an incision in his right forefinger.<sup>58</sup> The abduction experience of a woman on the west coast of the U.S.A. began when an entity that she construed as a "five-foot-tall owl" strode down the highway towards her parked Jeep and stared at her over the hood.<sup>59</sup> One of Carol's early abduction experiences began with a large owl that swooped down from the skies and hovered close to her face. Its wings did not seem to flap, and its "big dark eyes" filled "three quarters" of its head.60

A registered nurse from the north-eastern United States, 61 Carol's abductions were of special interest to me because another of her encounters with strange, compelling owls included further levels of transformation, as well as unexpected symbolism that she herself recognized as shamanic. John Mack, whose patient she was, takes up the story:

She was lying on a grassy mound or hillside, unable to move as she watched a small speck in the sky that seemed to be spiraling down toward her. She felt a wind, heard a high-pitched buzzing sound, and saw bright light around her. As the object seemed to come closer, it appeared to be an owl, and she felt a mixture of fear and awe. She turned her head and saw what looked to be a typical shaman, with a heavy fur robe and an antlered headpiece. Carol took this to be Cerunnos, an ancient Celtic deity, half animal and half person, that presides over animals of the forest. As the owl from the sky came closer, Carol realized that it seemed much too large for an owl – its black eyes were at least four inches across, and it had no other owl-like features, such as feathers or a beak . . . Then the experience seemed to

"escalate" quickly into a negative one . . . The owl was not an animal at all, and she was no longer outside on a hill. Instead she felt panic in the presence of a familiar small being who stood over her "watching and controlling" . . . Mainly she remembered the eyes [of the being] which seemed like black "bulbous eggs – maybe that's what's giving me the image of an owl."

Bernardo Peixoto, a shaman of the Brazilian Ipixuma tribe, gained a PhD, qualified as an anthropologist, and held a teaching post during the 1990s at the Smithsonian Institution in Washington DC.<sup>63</sup> He is, in addition, a UFO abductee, and identifies the sinister small-bodied, large-eyed beings usually known in the West as "Grays" with spirits that the Ipixuma call the *ikuyas*. Remembering his childhood in the Amazon, he told John Mack about an occasion during a tribal ceremony when the figure of an owl was seen perching at the top of a tree. The elders chanted, "*Ikuya! Ikuya! Ikuya!*" Bernardo asked them why they thought the creature was an *ikuya* and not simply an owl:

They said that, because they were in a trance, they could see light and force around the owl, which told them it was a humanoid in disguise. Also when they shoot arrows at the *ikuyas* disguised as owls, the arrows seem to pass through them without killing them.<sup>64</sup>

### Shamans and their spirit helpers

Lacking the benefits of Peixoto's worldwide travel and contacts, most shamans from remote tribes have never heard of aliens or of UFO abductions, but as we have seen, they know a great deal about spirits that behave in many of the same strange ways that aliens do. The reader is already familiar from previous chapters with the transformations of spirits and shamans into animals and therianthropes that are experienced in deeply altered states of consciousness. Emerging from this, an important universal theme has been identified: shamans acquire supernatural helpers or guides – spirits who teach them how to become great healers. These spirit guides almost always appear in the form of animals or therianthropes, and frequently begin to play a

role in the future shaman's life while he is still a child, long before his initiation. In this respect, too, there is a parallel with UFO abductions, which also often begin in childhood and continue into adulthood.

Mircea Eliade reports that the "helping spirits" of future Eskimo shamans are usually

animals appearing in human form; they come of their own volition if the apprentice shows talent. The fox, the owl, the bear, the dog, the shark, and all kinds of mountain spirits, are powerful and effective helpers.<sup>65</sup>

Among the Cahuilla of southern California, the shaman's powers are believed to be transmitted to him by Mukat, the Creator, through the intermediary of guardian spirits in the form of owls, foxes, coyotes and bears. <sup>66</sup> The Paviotso, another southern Californian tribe, say that it is the "spirit of the night" that gives shamans "power for doctoring," which is transmitted with the help of "water-babies [i.e. water fairies or sprites], eagle, owl, deer, antelope, bear, or some other bird or animal."

Mun-yir-yir, an Australian Aborigine of the Murngin tribe, reported the following experience at the onset of his shamanic calling:

I leaned over and drank out of the water-hole. When I did that a doctor soul caught my nose and made me sink down into the water. The doctors, they were two boys and a girl  $\dots$  They looked like opossums  $\dots$  They opened my nose and eyes and mouth and made me well.  $^{68}$ 

At the end of the nineteenth century, the anthropologist Franz Boas recorded the experiences of a Kwakiutl Indian who received his shamanic calling and became a healer after the intervention of an animal spirit – a wolf – that had at first made itself useful to him as a hunting companion:

One day, however, his wolf friend pressed its muzzle against his chest

bone and vomited all its magical force into him. He fell into a deep sleep and dreamt that the wolf changed into a human being and told him he would now be able to heal the sick. When he awoke he was trembling all over. Now he was a shaman.<sup>69</sup>

The Gitksan shaman Isaac Tens, whom we have met in previous chapters, received his calling at the age of 30 when he began falling spontaneously – sometimes in the midst of other activities – into deep and disturbing trances. When he awoke, he was often injured and bleeding, and reported stressful experiences involving owls, very similar to those undergone in modern times by UFO abductees. This was what happened to Tens around the year 1890 at the end of an afternoon spent cutting wood in a forest:

Before I had finished my last stack of wood, a loud noise broke out over me . . . and a large owl appeared to me. The owl took hold of me, caught my face, and tried to lift me up. I lost consciousness. As soon as I came back to my senses I realized that I had fallen into the snow.<sup>70</sup>

On another occasion, while out hunting, Tens said he "glanced upwards" and "saw an owl" at the top of a high cedar:

I shot it, and it fell down in the bushes close to me. When I went to pick it up it had disappeared. Not a feather was left; this seemed very strange . . . A trance came over me once more and I fell down unconscious . . . <sup>71</sup>

When a shaman-to-be amongst the Aborigines of eastern Arnhem Land, Australia, received his calling, he construed the frightening beings that initiated him during his trance as other shamans (*marrng-gijt*) transformed into huge birds. This is his account, recorded by ethnographers in 1935:

He heard the *marrnggijt* slapping their sides with their wings. One was close behind his head, and the second was up in the tree above him.

Opening his eyes he saw the one in the tree, in appearance like a *jabiru* [a large bird of the region, a species of stork] *but with eyes like an owl*. Almost immediately the one behind him hit him on the head with a stick and half stunned him, while the one in the tree jumped on his chest and stood there . . . The *marrnggijt* then proceeded to thrust all manner of pointed objects into his body . . . saying, "We are doing this to let you know that from now on you will be a *marrnggijt*."<sup>72</sup>

That the onset of some shamanic initiations and of some UFO abductions should be marked by the sudden materialization of owls – or of other birds and animals with huge owl-like eyes – is mysterious enough in itself. But what I thought made the coincidence even stranger was the way this sort of imagery seems to pick up a reflection from the caves of Upper Paleolithic Europe. A prehistoric engraving on the wall of Trois Frères in south-west France features



Humanoid owls of Trois Frères

two large owls, which archaeologists judge to have distinctly humanoid characteristics.<sup>73</sup> In the same cave, the famous therianthrope known as the Sorcerer is also found. Thought to be more than 15,000 years old, it has the legs and upper body of a human being, its face is dominated by large owl-like eyes and a beak, and antlers jut from the top of its head. It could serve as an alternative model for the antlered shaman figure seen by Carol in the abduction described earlier that also featured "an owl with black eyes at least four inches across."



The "Sorcerer" of Trois Frères (Breuil, 1952)

# Knowledge

Whatever animal or hybrid form they appear in, and whether terrifying or friendly at first, the purpose of a shaman's spirit guides is to bestow upon him the power and teach him the requisite skills to shamanize: to travel freely and at will in the spirit world, to negotiate with its inhabitants, and to return to earth equipped to heal the sick, influence the weather, control the movements of animals, and find out the truth of hidden things – not for his own benefit but for the benefit of the tribe. Very frequently, as noted above, such supernatural helpers first make their presence felt when the shaman is still a child – particularly during episodes of stress related to his unusual abilities and personality, or during a severe illness or emotional crisis. 74 I'm reminded of John Mack's patient Joe, quoted in Chapter Five, whose alien abductors told him: "We are close. We are with you. We're here to help you. We're here to guide you, to make it through your difficult times."75 This pleasant expression of solidarity, as the reader may recall, immediately followed a much less pleasant experience in which "a large needle, about a foot long, with a kind of hilt" had been thrust into Joe's neck at the base of his skull. 76 But such apparent paradoxes are as rife in the accounts of abductees as they are in accounts of shamanic initiations.

Together with all the piercing, cutting, insertion of implants, counting of bones, removal and replacement of organs, etc., etc. with which we are already familiar from the reports of UFO abductees, it is also clear – despite their frequent feelings of confusion and trauma – that they regard their encounters with the aliens as important *learning experiences*. For example Jean, a social scientist and lecturer living in the western U.S., is convinced that her frequent abductions have greatly strengthened her creative powers and insights. She believes that her encounters with the aliens have in some way "neurologically reprogrammed" her to "take in knowledge and put it out for others." Similarly, Jim Sparks, a real-estate contractor in his mid-forties, told John Mack that immediately before each abduction the aliens project a hologram-like symbol, "most often an owl," into his visual field. When the owl appears, Sparks understands that it is time to "prepare for school or to learn."

Is it a coincidence that shamans likewise regard their initiatory trances as *learning experiences* by which their own inner resources are bolstered and specific information is conveyed to them? Amongst the Auracanian Indians of Chile, the future shamaness (*machi*) ascends in trance to the sky. There not only does she "meet God," as Mircea Eliade reports it, but also "supernatural beings show her the remedies necessary for cures." Similarly, in the Kalahari, the bushman shaman Bo told anthropologist Bradford Keeney that he climbed the ropes to the sky in order to learn from "the Big God" and his retinue of spirits:

That's one of the ways we learn new songs, dances, and more knowledge about how to heal others. They show us what plants to use for a certain sickness or how to treat a specific person.<sup>80</sup>

Kgao Temi, another shaman of the !Kung, had the same experience:

They teach you things and give you more power. They actually talk to you. They tell you about the dance [the trance dance that the bushmen use to attain altered states of consciousness]. They also teach you about the plants.<sup>81</sup>

In the Peruvian Amazon, Mestizo shamans are adamant that the ayahuasca beverage takes them to a realm where spirits, sometimes in the form of animals and sometimes in the form of "small people of a beautiful and strong constitution," teach them everything they must know in order to shamanize. Referred to as *doctorcitos* ("little doctors"), these beings equip them with *icaros*, the magic songs used during ayahuasca sessions, show how illnesses may be diagnosed, and instruct them in detail on what plants to use, and how they are to be mixed with other plants, extracted, cooked, etc., in order to effect cures. Sa

Very occasionally, when shamans receive their stern lessons of pain and knowledge at the hands of the spirits, one of them may be given something more than oral teachings and demonstrations. There are cases on record in the ethnographies where a shaman claims to have been given a book. Maria Sabina, a Mazatec Indian shaman who practiced in the village of Huatla de Jimenez in Mexico in the 1950s, attained her visionary state by consuming a species of psilocybin mushrooms known since time immemorial in Mexico as *teonanactl* (literally "flesh of the gods"). This she did, like all true shamans everywhere, in order to heal. In one particularly strong vision, during which she penetrated further than ever before into "the world where everything is known":

A *duende*, a spirit, came toward me. He asked me a strange question: "But what do you wish to become, you, Maria Sabina?" I answered him, without knowing, that I wished to become a saint. Then the spirit smiled, and immediately he had in his hands something that he did not have before, and it was a big Book with many written pages. "Here," he said, "I am giving you this Book so that you can do your work better and help people who need help and know the secrets of the world where everything is known." I thumbed through the leaves of the Book, many written pages, and I thought that unfortunately I did not know how to read. I had never learned and therefore that would not have been any use to me. Suddenly I realized I was reading and understood all that was written in the Book and that I became as though richer, wiser, and that in one moment I learned millions of things. I learned and learned. <sup>85</sup>

The spirit would not allow Maria to keep the book, which, she said, "remains in the sky." How likely is it to be a coincidence that almost exactly the same story, transferred to a UFO setting, was told by Betty Hill? During her abduction in 1961, the being that she identified as the leader of the aliens gave her a large book, but reclaimed it before allowing her to leave the ship. 87 Another abductee, Betty Andreasson, was given "a small blue book with 40 luminous pages" but it too soon afterwards disappeared. 88

### The materialized psychism and the bottomless void

Even if spirits are real – which is basically what shamans the world over have claimed for millennia and scientists have denied for about a hundred years - we still have to ask ourselves what business they have with us. The scientific faction, of course, says that spirits have no business with us at all, on the grounds that the spirit world does not exist and supernatural beings are just empty projections of the human mind that may naively be believed in at rudimentary stages of culture but must inevitably be abandoned in the light of modern thought and technology. Anthropologist Weston La Barre tells us, as though these things have been proven in a laboratory, that "the notion of an ec-static or body-separable soul (brainless mind or organismless life) that can wander in space and time has long since been banished ... and all the supposed attributes of the soul can be better explained in terms of the sciences."89 Babbling triumphantly about the progress of the West in the nineteenth and twentieth centuries, the influential journalist and critic H.L. Mencken praised the scientists who had pioneered this now unstoppable revolution in knowledge:

One by one the basic mysteries yielded to a long line of extraordinarily brilliant and venturesome men . . . The universe ceased to be Yahweh's plaything and became a mechanism like any other, responding to the same immutable laws . . . Heaven and Hell sank to the level of old wives' tales, and there was a vast collapse of Trinities, Virgin Births, Atonements and other such pious phantasms. <sup>90</sup>

If shamanic experiences of spirits and modern experiences of aliens are essentially a single phenomenon, however, then it becomes harder to maintain certainty that all such apparitions are "phantasms," and that the universe is just "a mechanism like any other, responding to the same immutable laws." This is because it is very difficult to understand how the human mind, without any real, objective, and consistent external stimulus, could consistently generate the same bizarre sequences of unexpected procedures and experiences in two groups of people as far apart culturally as shamans in hunter-gatherer societies and UFO abductees in the United States.

We are, of course, talking about *hallucinations* here – which are conventionally defined as perceptions that are thought to be real but that lack any objective stimulus:

"Hallucination" derives from the Latin deponent or half-passive verb *alucinari*, "to wander in the mind" . . . In careful, present-day usage, hallucination indicates a false appearance, in sensory form, hence seemingly external, but occasioned by an internal condition of the mind, the central suggestion of the term being its subjectivity and ground-lessness . . . <sup>91</sup>

Entoptic phenomena in the early stages of trance are recognized by science as universal human experiences in altered states of consciousness (and ascribed to the structure of the nervous system, as we've seen). But established opinion holds that full-blown iconic and representative hallucinations – Stage 3 hallucinations in Lewis-Williams' model – are not only *not real* in any sense, but also that their contents are "derived from memory" and life experiences, and "are all culture specific: at least in some measure, people hallucinate what they expect to hallucinate." If this established model is correct, then we need to explain what common cultural factors and common memories have led people all around the world at all periods of history to hallucinate being abducted to the sky (or underground or underwater) by small humanoids with large heads and eyes who are capable of shape-shifting into the form of animals, who perform agonizing and extraordinary surgical procedures on them and who teach them transformative knowledge.

It is at this point that I begin to feel baffled. I just don't see the common memories, common life experiences, and common cultural influences that could explain, for example, Isaac Tens' 1890 hallucination of a large owl hovering directly in front of him (which he said caught him by the face and tried to lift him up) and Carol's 1990 hallucination in which a large owl swooped down from the skies and hovered close to her face. I just don't see the common memories, common life experiences, and common cultural influences that could explain why both Arthur in the U.S. and Mabolele Shikwe in the Kalahari should hallucinate floating up "threads of light" in order to encounter beings in the sky. I just don't see the common memories, common life experiences, and common cultural influences that could have led both the illiterate Maria Sabina in her remote Mexican village in the 1950s and the highly literate Betty Hill in the U.S. in the 1960s to hallucinate being given a book (which later disappears) by a powerful otherworldly being. I just don't see the common memories, common life experiences, and common cultural influences that could lead UFO abductees in the West and shamans in Australia and South America to experience the implantation of foreign objects in their bodies or piercings by long needles or spears, etc., etc.

All in all, I just don't get it, and what it says to me is that yes, the experiences that shamans and UFO abductees have are indeed hallucinations, but no, hallucinations may not always be specific to the individuals who have them and may sometimes not be conditioned very much by the cultures that they come from at all. I refer here not to superficial differences that undoubtedly *are* influenced by culture – as Lewis-Williams puts it, "a San shaman may see an eland antelope; an Inuit will see a polar bear or a seal" — but to the underlying perception that both share of the presence of some kind of spirit animal. Similarly, I can understand the cultural conditioning behind construals of flying objects seen in the sky as spaceships on the one hand, and as aerial "boats," "shields," "wells of metal," etc. on the other, but what interests me is that there is an underlying perception in both cases that *something* strange is present in the sky *and that it is real*.

I repeat that for people all over the world to have massively recurrent shared cross-cultural experiences involving massively recurrent

shared external real-world events is one thing. It is quite another thing, however, when people all over the world have massively recurrent shared cross-cultural experiences in situations where science can see no evidence for any external real-world stimulus at all. I suppose one possibility that would not entirely contradict the scientific-materialist paradigm would be that some hitherto undiscovered "library" of extraordinarily detailed mental images, sensations, and information is hard-wired into our brains, that it is the same library for all of us, and that it can be accessed only in altered states of consciousness. In that case, we would need to discover not only how such an eerie and otherworldly mental archive was compiled in the first place, but also why it is so important – presumably to our survival – that evolution has gone to the trouble of programming the entire collection into all our brains at the genetic level. These are interesting questions, and we will pursue them further.

Even more edgy and challenging, because they collide head-on with the fundamental beliefs of Western science concerning the nature of reality, are the arguments of William James, Aldous Huxley, Albert Hoffman, and Rick Strassman, cited in Chapter Five. According to these thinkers, it is quite possible that hallucinations *do* arise from valid external stimuli that are outside what Hoffman calls the "receiver wavelength" of our brains when they are in normal states of consciousness.

We have seen that hallucinogenic drugs, rhythmic dancing, "audiodriving" (with loud, repetitive drumming, etc.), sensory deprivation, self-torture (e.g. the agonizing body piercings of the North American Indian sun dance), starvation, and other extreme austerities are all amongst the techniques that shamans have used since prehistoric times to alter their consciousness and enter the spirit world. Hut it is well known amongst anthropologists – Eliade discusses the matter extensively – that there have always also been shamans who have no need of any of these tried and tested "techniques of ecstasy," because they have acquired the gift of falling spontaneously into trance (often following a period of illness or an inner crisis marked by disturbed dreams). These specially gifted shamans, the spontaneous trancers, obviously provide the closest parallel to UFO abductees, whose experiences are also spontaneous and not deliberately induced.

The limited amount of scientific research done on the abduction phenomenon - notably the Roper Organization survey in 1991 (see Chapter Five) - suggests that around 2 per cent of the U.S. population has had the constellation of shamanic experiences that we label UFO abductions. Precisely because human neurology has remained unchanged for at least the last 50,000 years, but remembering always that our brains may be receivers as well as generators of consciousness, it is therefore very likely that around 2 per cent of every human population possesses this same spontaneous ability. For the remaining 98 per cent of us, who lack the genetic gift but who yet feel a calling to gain knowledge of the otherworld, it is a gratuitous grace of nature that chemicals closely related to key brain hormones are found in commonly available plants and fungi distributed all over the world. Whether by ingesting these plants, or by stressing our body chemistry sufficiently through extended periods of rhythmic dancing like the !Kung bushmen of southern Africa, or by means of some of the other mental and physical techniques outlined above, we all have the ability to alter our brain chemistry and temporarily "retune" our consciousness to the same remarkable experiences as the spontaneous trancer.

Either way, since 2 per cent of the population seem to be born to trance, and since the rest of us can be made to trance through judicious use of the (maybe not so gratuitous?) graces of nature, the implication is that sooner or later every culture is going to encounter the characteristic experiences, landscapes, and intelligent otherworldly beings that these trance states unvaryingly reveal. That such experiences have indeed been recorded by every culture at every period of history does not – of course – prove that the experiences are real. But the remarkable mutual corroboration and cross-tallying descriptions provided by such different and completely independent witnesses as UFO abductees and shamans increases my confidence that their experiences *might* be real in some way that science – with its exclusive "this world" focus – has so far been unable to contemplate.

In 1958, the great psychologist Carl Gustav Jung, as ever far ahead of his time, committed scientific heresy when he considered the possibility that "UFOs are something psychic that is equipped with certain physical properties."<sup>95</sup> But he also asked, "Where would such a thing come from?" and commented that "the notion of a materialized psychism opens a bottomless void beneath our feet."<sup>96</sup> Elsewhere in his treatment of UFOs, however, Jung tantalizingly suggests exactly where he thinks "materialized psychisms" might come from when he proposes that "all reality" could be "grounded on an as-yet-unknown substrate possessing material and at the same time psychic qualities."<sup>97</sup> What he has in mind here, he makes clear, are the parallel universes envisaged by quantum physics.<sup>98</sup>

This brings me back to the point I raised a few paragraphs ago. Let's say for the sake of argument that spirits *are* real, that they have found ways, and a variety of quasi-physical forms (of which "aliens" are only the most recent), in which to manifest in our material universe, and that they have been doing so for thousands of years. Even so, what business do they have with us? Why are they here? What do they want? What's in it for them?

In my hunt through the ethnographic sources and UFO abduction reports, I found one thing – most surprising and unexpected – that spirits and aliens do seem to want very much indeed from their human counterparts. This is the subject of the next chapter.

#### CHAPTER SEVEN

# Spirit Love

The Urubu Indians, who live along Brazil's Gurupi River in the Amazon rain forest, have preserved a very strange story of two shamans who were "born at the beginning of the world" but later "escaped into the sky" to avoid a planetary cataclysm. Their desire had been to mate with mortal women in order to breed successors who could stay behind on the physical plane and preserve their special knowledge. Intercourse was attempted but was not successful because the shamans' penises were too tiny.<sup>1</sup>

These first shamans of Urubu myth are so insubstantial as to be rightly classed as spirits themselves, and indeed, there are widespread traditions that the true parents of all shamans are spirits – even if the intercourse that brings about their conception takes place between spirit bodies in the spirit world.

From Siberia, for example, comes the story of Bo-Khan, a mortal who had sex with a spirit woman. The child of their union became the progenitor of "the race of shamans." Similarly, Tavgytsy, a great shaman of the Avam Samoyed in Siberia in the early twentieth century, had a vision that he himself was the child of a spirit. His first trance, in which he received his initiation, occurred spontaneously during three days of unconsciousness due to smallpox. He was thought to have died, like many others in his village, and was about to be buried when he suddenly came back to life. He told of how he had left his body and made a journey in the company of two spirit guides (in the form of an ermine and a mouse), who led

him to the underworld. There his heart was torn out and thrown into a pot (compare Karin, a UFO abductee cited in Chapter Five: "They opened up my chest and took out my heart"). Then, to complete the familiar sequence of shamanic surgery, his head was cut off, his body dismembered, and his bones counted. Tavgytsy was carried "into the middle of a sea." There a voice told him: "From the Lords of the Water you will receive the gift of shamanizing." Finally he climbed a mountain where he met a naked woman and began to suckle at her breast. She said to him: "You are my child; that is why I let you suckle at my breast."

Across Siberia, many tribes say that such mother spirits appear in animal or therianthropic form to abduct the souls of future shamans when they are born and bring them to a huge tree in the spirit world that stands at the foot of a mountain called Jokuo. Andreas Lommel, Director of the National Museum of Ethnology in Munich, has observed that the tree described in these accounts has an odd shape with its top "broken or chopped off," and that

Every branch and twig of this tree, from the lowest to the topmost, bears birds' nests. The shamans of the whole world are brought up on this one tree . . . The shamans themselves usually say that a raven appears as teacher, which sits on the branches of this tree and educates the souls. The shamans whose souls are brought up in the nests on the upper branches become stronger and more important than those brought up in the lower nests. The nests are attached in regular rows and rise step by step with the space of one branch between one row and the next . . . <sup>5</sup>

This quasi-technological vision of the souls of future shamans in neatly stacked incubators is matched amongst the Cuna, the indigenous inhabitants of islands off Panama in the Caribbean, by legends that link the birth of shamanism to the arrival "from heaven" of a humanlike spirit named Ipeorkum on some sort of flying machine – "a golden disk called an *olopatte*." Landing on earth, he taught the people "heavenly knowledge" and then departed again amidst strange atmospheric effects: "white, yellow, blue and red mists came floating

down." When the air had cleared, "the Cuna found a child in a treetop lying on an *olopatte* like the one on which Ipeorkum had descended from heaven. Later ten more children came to earth in this fashion." Cuna legends say that these children, raised by virgins, became the first shamans.<sup>6</sup>

The Paez Indians of Colombia have an altogether darker tradition about a supernatural jaguar who raped a woman of their tribe at the beginning of time. The offspring of this union, Thunder-Jaguar, became a great shaman who himself fathered many therianthropic children, part human, part jaguar.<sup>7</sup> These hybrids, writes the anthropologist Gerardo Reichel-Dolmatoff, "are imagined as most voracious little creatures . . . who, upon their appearance or birth, need several human wetnurses, young girls whom they kill, in the process of growing up, by drinking their milk and blood."

# Congress with spirits

In hunter-gatherer societies, it is the shaman's relationships with spirits, his great personal experience and knowledge of the spirit realm, and his mastery of techniques, allowing him to enter and leave it at will, that mark him out from the crowd and equip him to make his special contribution. His abilities to find game animals, to protect the community from psychic attack, and most importantly to heal the sick, are gifts that the spirits bestow. But what the myths cited above suggest is that the shaman is also believed to be *reproductively integrated* with the spirit world so that he can be, at one and the same time, the child of spirits, the lover of spirits, the father of spirits, and, in an altered state of consciousness, a spirit himself.

Is this all just hallucination and fantasy, or could there be something more to it?

Some years before his initiation, the neophyte shaman amongst the Buryat, a Siberian tribe, experiences a celestial journey during which he has sex with large numbers of female spirits, one of whom becomes his "wife in the sky." Likewise, every Teleut shaman has a celestial wife whom he holds in very high regard: "My wife on earth is not fit to pour water on thy hands." <sup>10</sup>

During the trance in which he received his initiation, a shaman of the Siberian Goldi tribe reported an encounter with a spirit woman. Although very slender and only about two feet tall, she had a commanding presence and was beautiful. She announced that she was going to make him a shaman, and to this end would give him assistant spirits: "You are to heal with their aid, and I shall teach and help you myself." She also informed him: "I love you, I have no husband now, you will be my husband and I shall be a wife unto you." Thereafter, the shaman claimed, they had regular sexual relations.<sup>11</sup>

Amongst the Warao of eastern Venezuela, the initiatory trance of the shaman is induced by the consumption of immense doses of South American wild tobacco (Nicotiana rustica, a different and much more powerful species than the Nicotiana tabacum presently smoked recreationally in cigarettes all over the world). 12 In the hallucinations that follow, he finds himself on what he construes as "a bridge made of thick white ropes of tobacco smoke" leading to "a round white house" in the sky - the "House of Smoke" - inhabited by spirits in the form of insects. There is an obvious parallel with UFO abductions where the "round white house" in the sky is replaced by a flying saucer and where, in addition to appearing as animals, birds, and small humanoids with large black eyes, the abductors do also, quite frequently, manifest either as insects or as humanoids with insectile characteristics.<sup>13</sup> Similarly in my ayahuasca visions, the being who most frightened me, and who indeed had seemed about to abduct me to the sky, had the look of "some sort of huge insect with humanoid features." Another had eyes that I described in my notebook at the time as "multi-segmented, like those of a fly" (see Chapter Three). Curiously, the insect form of alien that is most frequently seen by UFO abductees is the praying mantis, with its long body, upright posture, triangular face, and large black eyes.<sup>14</sup> Kaggen, the shaman god of the San in southern Africa, also most frequently takes the form of the mantis. Meanwhile, the "insect-people" encountered in the House of Smoke in the sky by the Warao shaman have the form of black bees, honey bees, wasps, and termites. One of these is a "beautiful bee girl" with whom the shaman has repeated sexual intercourse and fathers four hybrid children: Elder Brother Black Bee, Younger Brother Wasp, Elder Brother Termite, and Younger Brother Honey Bee.<sup>15</sup>

In North America, the Algonquin reverse the usual direction of the shamanic abduction narrative with a story of a hunter who saw 12 ravishing young spirit maidens descending from the sky in a basket. The hunter succeeded in capturing one of these female spirits, kept her his prisoner here on earth, married her and had sexual intercourse with her. She became pregnant and bore a baby son, but longed to return to the sky. Accordingly, she made a small basket of her own, and "Having entered it with her child she sang the charm she and her sisters had formerly used, and ascended once more to the star from whence she had come." For two more years she remained with her son in the heavens, but the Algonquin tradition adds an important detail. It seems that all was not well with the hybrid child in the spirit world, because he needed contact with his human father. To this end a voice instructed her: "Thy son wants to see his father; go down therefore to the earth and fetch thy husband."

Amongst the Saora, an aboriginal tribe of Orissa in north-west India, the future shamaness receives her calling and supernatural consecration during "visits from a suitor from the Underworld who proposes marriage." Being a spirit, he naturally has the capacity to transform himself into any bodily shape he wishes. Usually he adopts the form of a "well dressed and handsome" man, who appears "in the depth of the night."18 As with UFO abductees, who frequently experience being taken from their homes in the small hours while the other members of their family have been plunged into an unnaturally deep sleep, the Saora say that when this suitor from the spirit world enters the maiden's bedroom, "the whole household is laid under a spell and sleeps like the dead."19 And also as with abductees, it is futile to resist. One shamaness named Champa told of how she refused the advances of the being who had appeared by her bed, at which "he took me up in a whirlwind and carried me away to a very high tree where he made me sit on a fragile branch." There, terrified, she accepted his proposal of marriage.<sup>20</sup> Another Saora shamaness was already a married woman when her spirit lover appeared to her. When she resisted he threatened to drive her mad unless she agreed. "Finally she was obliged to

accept," reports Eliade, and learned in dreams the art of shamanizing. She had two children in the underworld.<sup>21</sup>

In an observation reminiscent of the Algonquin tradition cited above, the Saora state that the offspring of such unions generally remain in the underworld with their spirit father, but cannot thrive there without the love and attention of their human mother. In one case, a young woman was seduced by a spirit, enjoyed intercourse with him, and they married:

Soon the young woman gave birth to a child by him in the spirit world, and he would bring it to her at night for her to breastfeed it. He came when everyone was asleep. The people in the village heard the child cry, but her own family slept as if they were dead. Later she married a man in this world, but because she had a child in the other world she did not think that she could have more children here.<sup>22</sup>

In Mexico, Don Soltero Perez was the shaman of a small village who received his calling when he was struck by lightning and nearly killed. He recovered, but continued to lose consciousness regularly, once or twice a week, for about six months. On awakening from each of these episodes he reported that his spirit had been abducted by the enanitos, dwarf-sized supernatural beings well known in Mexico since Aztec times, whose purpose was to transform him into a shaman. When he attempted to refuse his calling, as he did for a long while, they tortured him and beat him from head to toe, making him "sore all over." Eventually, knowing that they would kill him if this punishment continued, he gave way and agreed to their demands. They then equipped him with a staff and three magical stones, through which in future he would be able to focus his healing power. Last but not least, they married him to an enanita wife who would remain in the spirit world, "in a cave with others of her kind," where Don Soltero would be able to visit her in a state of trance. "Since he became a healer," reports the anthropologist Holger Kalweit, who studied this case:

Don Soltero has not been allowed to have sexual relations with his human wife. He tried once but immediately had an attack and fell to the floor as though he were dead. During this attack his spirit was forced to go to a cave where it was beaten by the *enanitos*. Since then Don Soltero concentrates all his sexual activity on his spirit wife . . . She and Don Soltero have children who live with their mother in the cave.<sup>23</sup>

In South America, throughout the Amazon region, the belief is widespread that some river dolphins (*botos*) are not what they seem but are spirits from another realm, materialized in this world. Such beings have the power to come out of the water and appear on land in the form of handsome young men and beautiful young women. Thus disguised, they seduce mortals and lure them down to Encante, the enchanted city which every boatman knows lurks far beneath the surface of that deep and turbid river:<sup>24</sup> "When you are alone in the water and the *boto* comes, you are afraid . . . They make a hole under the water . . . and they really attract you. They seduce you. You must be careful."<sup>25</sup>

The general view is that "women become pregnant by botos"; however, the offspring of these unions are said to be deficient, sometimes "monstrous" creatures that "die very quickly." In one interesting case, a woman believed that a *boto* came to her in the night, disguised as her husband, and that they "made love as if in a dream." She claimed that she conceived, but nine months later, when she gave birth: "It was as if the baby was made of water. The baby just melted away."

Another spirit animal of the Amazon that likes to seduce mortals takes the form of a nutria – a large otter. Local people say that it is "driven by an insatiable longing to seek sexual intercourse with human beings in their dreams." As with the *botos*, when the spirit lover is male and the mother is mortal, the offspring of such unions are born here on earth, but sickly – in this case with "white skin and white hair." On the other hand, when the hybrid infant is born in Encante of a mermaid mother and a human father, the outcome is different. Then the child remains in the underwater realm and becomes a great shaman of that dimension. <sup>29</sup>





Details from two paintings of ayahuasca visions by Peruvian shaman Pablo Amaringo. Left, mermaids lure a boatman down to the enchanted city deep beneath the surface of the Amazon; right, mermaids and serpents.

#### Alien lovers

One of the most surprising but consistent "subroutines" of UFO abductions, reported independently in the vast majority of cases, has been put into a concise and useful summary by John Mack:

Abductees experience being impregnated by the alien beings and later having an alien-human . . . pregnancy removed. They see the little fetuses being put into containers on the ships, and during subsequent abductions may see incubators where the hybrid babies are being raised . . . Sometimes the aliens will try to have the human mothers hold and nurture these creatures, who may be quite listless . . . <sup>30</sup>

Where male abductees are concerned, sperm samples are repeatedly taken, and hybrid babies are displayed to them with the strong mental suggestion that these are their offspring. Like female abductees, who are sometimes artificially inseminated and sometimes impregnated directly in acts of sexual intercourse with "the alien beings," male abductees also have sex with alien females. Before psychologists

rush in with obvious suggestions like fantasy-projection, it is worth making the point that these sex acts are rarely experienced as being pleasurable and are reported redundantly, in almost exactly the same language, over and over again, by hundreds of abductees. Based on close investigation of his own patients, Harvard psychiatrist John Mack confirmed:

I am convinced that the reproductive narrative is powerfully real for the experiencers. To the best of my knowledge, after thousands of hours of investigation with scores of abductees, no Freudian or other individual psychodynamic explanation seems to account for its basic elements.<sup>31</sup>

How then are we to explain this very specific, widespread, and easily identified pattern of bizarre "non-real" experiences?

The short answer is that only in the Western context, from which spirits have been temporarily expelled by science, do such experiences seem bizarre, extraordinary, inexplicable, and threatening. In the shamanic context they occur routinely and require no explanation at all.

For example, John Mack's patient Sara reported frequently being forced into intercourse with an alien male who had "a light contour of a penis, but not like a physical penis."32 Similarly, based on analysis of the testimony of 60 abductees,<sup>33</sup> David Jacobs of Temple University provided this summary of intercourse when the male partner is alien: "The insertion of the 'penis' is quick, and the penis does not feel normal; it is usually very thin and very short."34 Jacobs' own view is that there is no connection between modern UFO abductions and "myth, folklore, legend and so forth." He believes that his evidence "inexorably leads to the abduction phenomenon beginning in the late nineteenth century . . . and not to any time before that" (personal correspondence, May 2005). Nevertheless, his summary of alien intercourse is strongly reminiscent of the Urubu Indian descriptions, cited at the beginning of this chapter, of the mythical first shamans whose penises were so tiny that they were unable to mate successfully with human women.35

When we consider the number of occasions on which shamans are abducted and engage in intercourse with spirits, the 1957 abduction and subsequent rape by an "alien" woman of a rich young Brazilian named Antonio Villas-Boas seems unmistakably to be part of the same genre. While working on his father's farm at night, Villas-Boas saw a UFO land nearby and was forced inside it by four small beings with large heads. They stripped him naked and took a blood sample from his chin. Then a small, nude female with the ethereal looks of a fairy - thin blonde hair, large slanted eyes, high cheekbones - entered the room and began to embrace him: "Her body looked human, her feet were small, and her hands were long and pointed. She was about four and a half feet tall."36 Two vigorous bouts of sexual intercourse followed, leaving the young man feeling that he had been used like "a good stallion to improve their . . . stock."37 He recalled later that despite her transparent and delicate looks, the alien female had emitted harsh grunts during sex, "giving the disagreeable impression that I was with an animal "38

Peter, one of John Mack's patients, remembered repeated episodes of lovemaking with an alien female but did not feel raped and abused. On the contrary, he said of his alien lover: "It feels like she's my real wife – I want to say on a soul level."<sup>39</sup>

Similar emotions of deep love and loyalty to an alien of the opposite sex, creating what Mack calls "a moral dilemma for marriages on this Earth plane," are reported by large numbers of abductees. <sup>40</sup> Such triangles are highly reminiscent of the case of the Buryat shaman cited earlier, who felt that his wife on earth was not even "fit to pour water" on the hands of his celestial wife. Likewise, Don Soltero Perez broke off all sexual contact with his human wife so that he could concentrate his attentions on his spirit wife.

Interestingly, however, many abductees also report that when sexual intercourse is first initiated by the aliens, they are lulled into a false sense of security by a flood of imagery in their minds in which "the abductee is made to believe that either her husband or loved one is with her. Abductees sometimes say that the face of the husband, for instance, tends to 'phase' in and out of the face of the alien." The obvious parallel here, and it is a close one, is of the woman in a village

along the Amazon, cited earlier, who made love "as if in a dream" with a dolphin spirit that had taken on the appearance of her husband.

# Hybrid offspring (1): tanks and nests

In some cases of UFO abductees who experience themselves to be in intense long-term "marriages" with aliens, hybrid children are deliberately created and parented. Here, too, there is an exact parallel to the deliberate creating and parenting of the hybrid offspring of spirits and shamans reported earlier. In addition, however, the study of large numbers of UFO abduction reports reveals consistent repeated testimony to the effect that the aliens are creating and rearing hybrid children, born of human mothers or fathers, outside anything even remotely resembling a human family unit – indeed in what sound like extremely impersonal "battery" conditions.

Almost always, what happens is that the abductee is taken by the aliens to an area set against the curving inner wall of the ship, where he or she – more often she – is shown large numbers of hybrid babies stacked up in "drawers" or "tanks" in some sort of symmetrical grid or rack.

Here is Julie Knapp's observation of such a scene during one of her abductions in 1977:

God! It's like they've got . . . babies there. They're like in drawers in the walls; it's like little drawers that pull out and there's babies, like little, little somethings in these drawers that pull out like in a lab or something.<sup>43</sup>

John Mack's patient Jerry had almost exactly the same experience in a room lined with "lots and lots and lots and lots of rectangular-shaped containers, like drawers in a cabinet, with hardly any space between them." Inside the drawers she was shown hundreds of "I don't know if you can call them babies or not, but I guess just little fetuses."

During one of her abductions, Catherine saw "cases" stacked in floor-to-ceiling rows – four or five rows from top to bottom, eight or

ten from left to right. The cases, she said, were "lit from the back" and contained "baby versions" of the aliens "all in a liquid" and "all facing out." Similarly, in an abduction in 1980, James Austino saw what he construed as rows of fish tanks, stacked up on one another, each containing a hybrid baby: "The whole wall's lined up . . . Sixty, maybe seventy." Another abductee, Karen Morgan, compared the room with the rack of hybrid babies to "a big womb. There seem to be a lot of new babies in it. Fifty or maybe a hundred . . ."

Allowing, of course, for the very different metaphor, isn't what is envisaged here rather familiar in some way? Aren't these geometrical stacks of incubation tanks, in which half-alien, half-human babies are lined up in neat symmetrical rows, in essence the same thing as the great tree of Siberian legend, described earlier, that incubates the souls of shamans in nests attached in geometric rows rising step by step with the space of one branch between each row and the next?

What makes the comparison particularly tempting is the report of Karin, one of John Mack's patients, that she had emerged during an abduction on board a UFO "into a black space that contained a huge tree with a great canopy of leaves. On the different branches of the tree there were large nests with thick twigs and an eagle sitting quietly and peacefully in each one."

It is almost as though the symbolic array by which the spirit world represents itself to humans in shamanic cultures has here broken through and momentarily overwritten the symbolic array by which "aliens" represent themselves to humans in modern America.

# Hybrid offspring (2): parents, wetnurses, and playfriends

As well as being incubated in nests on a tree, a number of other definite characteristics of the offspring of shamans and spirits are indicated in the cross-section of myths and initiation experiences cited at the beginning of this chapter. The strong themes that I take from these accounts are the association of hybrid human-spirit babies with flying discs (Cuna, Panama), their failure to thrive in the spirit world unless breastfed either by a wetnurse (Paez, Colombia), or preferably their own mother (Saora, India), their need for contact with their human

father as well (Algonquin, North America), and their pale and sickly, sometimes "monstrous" appearance (Mestizo, Amazon).

Turning now to the offspring of aliens and humans, what do we find?

The reader will recall from Chapter Six that the troubled toddler Colin, John Mack's youngest patient, repeatedly experienced being abducted to a flying saucer in the sky by "scary owls with big eyes." After one such abduction, Colin announced that he did not like the flying saucer and did not want to go back, but nevertheless insisted: "I was born there and fell from the stars . . . I was born on the space-ship . . ." The comparison with the Cuna tradition of a baby left behind in a treetop by a flying disc from the sky is obvious, and indeed many UFO abductees report feeling that they too were in some way born amongst the aliens, that they are not "from here," and that their human mother and father are not their true parents. 50

What about the spirit child's failure to thrive unless breastfed either by his own mother or by a wetnurse, and his need for other human contact including with his father? Surprisingly, these themes are richly represented in the reports of UFO abductees, and shed completely new light on the sorts of experiences with spirits that must have inspired the shamanic narratives.

Many UFOs supposedly have specific recognizable rooms on board in which hybrid babies (and also older children) are presented to their human parents of both sexes. Several reluctant abductees report being told specifically by the aliens that they are involved with the hybrids whether they like it or not and that the babies "need their mothers. They have to have their mothers. It doesn't matter if you care or not, it doesn't matter. They need their mothers." In other cases, however, such feelings seem to be reciprocated. For example, John Mack found a number of his patients who believed passionately that they had living hybrid offspring "out there." They suffered terrible feelings of loss at being separated from them and completely unable to gain access to them except on those occasions when

periodically the abductee mothers and fathers are brought to see the hybrid offspring and encouraged to hold and love them, which is one of the most disturbing aspects of the whole process. For the abductees are naturally filled with conflict at the prospect of forming a deep bond with an odd offspring that they can only rarely see at the pleasure of the alien beings.<sup>52</sup>

Hybrid babies are not only encountered by their own human mothers and fathers, but also quite frequently by unrelated humans who are required by the aliens to serve as wetnurses and playmates to them.

Summarizing the reports of 60 abductees, David Jacobs gives a helpful, moment-by-moment account of a typical "baby presentation" and nursing sequence, where the human involved is not the hybrid's mother:

The aliens bring the abductee into the child presentation room . . . The abductee stands or sits down on a bench or chair. The beings who brought her in are behind her. Then a "female" being approaches her. She is holding a baby. The woman senses the communication "Isn't this a nice baby? Wouldn't you like to hold the baby? Hold the baby!" The female being extends her arms with the baby in it toward the woman, and the abductee takes it. She holds the baby to her chest with the baby's head resting on her arm or shoulder. If the abductee resists she may be given a "reason" to force her to hold the baby. One woman was told that the baby would get sick if she did not hold it, and that it would develop a rash or some other sickness if she held the baby away from her body. Therefore she had to hold the baby against her skin for as long as possible. The baby may be naked, or it may be wrapped in a "blanket." It is usually very small, but it can be an older and larger baby as well. Women describe the small baby as being very light in weight but with a heavy head. The woman sits with the baby, or she may get up and walk around with it. The aliens stare intently at her and the baby. The woman hears another directive: "Nurse the baby." "Put the baby to your breast and feed the baby." The woman says, "But I do not have any milk." The response is, "Put the baby to your breast and nurse the baby!" Saying "no" is futile. If she resists the aliens will put the baby to her breast anyway. It cups its mouth to her nipple. It

has a very weak sucking reflex. In many instances the woman may be surprised to find that she is lactating and that her breasts are engorged. When that happens the baby will partially drain the breast. Often, however, nursing the baby is futile but seems to satisfy the watchful aliens nonetheless.<sup>53</sup>

After going through such an experience Jill Pinzarro commented:

I feel it's very important to the baby that it has this contact and I'm very happy to do it for it. I feel that it really needs that . . . It's like soaking up the experience of being held. That's what I think.<sup>54</sup>

During several of her abductions, Karen Morgan has found herself in a room with other human women, playing with naked babies:

The women hold the babies up in the air, tickle them, and make baby sounds to amuse them. The babies do not respond. They do not laugh or smile, and they do not make sounds in return. Sometimes Karen and the other women might be told to "wash" the baby . . . Frequently the offspring the aliens tell the woman to hold in this setting is between two and ten years old, or even older . . . <sup>55</sup>

In one abduction Karen was required to embrace a hybrid teenage female. At first she refused, but the aliens made her wrap her arms around the girl, who returned the embrace, clinging on to her for a long while. At the end the child seemed energized. She turned to Karen and telepathically projected into her mind the words "thank you."

John Mack's patient Peter recalled being recruited by the aliens as a playmate for hybrid children: "Since I was a young child I had agreed to do this, and I chose to play with the babies, the other beings, the aliens." <sup>57</sup>

### Hybrid children (3): pale and sickly and monstrous

We've seen that the hybrid offspring of spirits and humans are frequently described as pale and sickly, with white skin and white hair, and also as being in some way deficient, perhaps even monstrous.<sup>58</sup> Although often given in more detail (as one would expect since they are recent and were recorded by trained psychotherapists), the accounts of UFO abductees ascribe exactly the same characteristics to the hybrid offspring of humans and aliens.

Monstrous hybrids are described – for example one with one arm "almost human" while the other arm appeared "alien," and another whose face was alien on one side and human on the other. <sup>59</sup> Likewise an abductee named Melissa furiously resisted contact with what she described as the "gross baby" that the aliens presented her with – to which she reacted as though it were indeed a monster:

It's . . . an ugly one like the little creatures. I don't want anything to do with this species, nothing at all. I'll kill it . . . It's so fucking unnatural. Fucking stupid. Disgusting thing . . . <sup>60</sup>

But others have more tender feelings. Debbie Tomey reported an episode in which the aliens presented her with a young adult female hybrid:

a few feet tall, with a slim body and ivory skin and a big head and high cheekbones and no eyelashes or brows, and unnervingly sparse blonde hair, the color of cotton, which in certain places didn't quite cover her scalp.<sup>61</sup>

Debbie said that she could not help feeling a pang of maternal affection for the girl.<sup>62</sup>

Another abductee, Barbara Archer, had this to report:

They scared me when I first looked at them because they looked odd. They looked kind of old. They don't have much hair. They have some hair, but not much . . . They strike me as being very fragile. I feel like maybe they're what I would think of as premature babies . . . They're fragile . . . I feel like they're not real strong . . . They don't look healthy to me. They're kind of scrawny or something. 63

#### Nona:

Their bodies were short for their heads. Their heads seemed oversized. They had very blue eyes. They had very thin, wispy hair . . . I would say they were probably three and a half feet tall, but they all looked the same age. "You're our mother and we need you," they said. 64

#### Isabel:

She had long limbs, and she wrapped her arms around me, and I held her . . . on my hip and her leg was in front. The other one was dangling. Her skin looked dry and scaly and flaky, like she needed lotion. She looked so lonely. I felt she was mine in a biological sense. <sup>65</sup>

#### Peter:

They had big heads, and, like, wisps of hair, and they have bigger heads than their bodies. They have skin that's kind of like our skin. It's a little rougher – more fleshy. It's not like baby fat. It's like old-age fat, and the arms are really fragile, but they have big bellies.<sup>66</sup>

"Abductees universally state that the baby does not have the normal human reactions of a human infant," adds David Jacobs. Furthermore, such a baby

is almost always listless. It does not respond to touch as a normal baby would. It does not squirm; it does not have a grasping reflex with its hands. It is lifeless, yet it is not dead. Most women think that there is something terribly wrong with the baby. They feel that they must hold the baby to help it survive.<sup>67</sup>

### Catalyst

Although spirits frequently abduct shamans and make babies with them, they rarely bother to explain why.<sup>68</sup> Likewise, in all the extensive UFO abduction literature, I have found few references to attempts

made by aliens to explain the very great amount of effort that they appear to put into the creation and nurture of hybrid offspring. But in answer to the question posed at the end of Chapter Six – what do spirits and aliens want from us? – it seems clear, even from this necessarily brief survey of the evidence, that what they want, more than anything else, is to mate with us and create hybrids. This simply begs further questions, of course – notably, why do they want that, and how long have they wanted it for?

The argument has been made in Part I that entoptic phenomena and complex visions of spirit worlds and spirit beings were the inspiration for the transcendental art of the painted caves of Upper Paleolithic Europe. We also saw how the impaled and suffering images of wounded men make complete sense in terms of what we know about the symbolism, imagery, and experiences of shamanic initiations the world over. What comes as a complete surprise, however, is how much sense they also make in terms of the symbolism, imagery, and experiences of UFO abductions. Since we have no ethnography from the Upper Paleolithic, it is obvious that we cannot prove that all the other experiences reported to ethnographers by shamans, and to psychiatrists by UFO abductees, were shared by their Upper Paleolithic predecessors, nor can we be certain what their construal of such experiences would have been. Nevertheless, it would be unwise to exclude the possibility that the cave artists were as capable as we are of attaining the full range of trance perceptions. They are therefore also just as likely to have experienced abductions at the hands of supernatural beings encountered in their visions, to have received teachings of vital knowledge and the gift of healing powers, and to have paid for these in return with marriages to spirit spouses and the creation of hybrid children.

Who knows, perhaps experiences such as these might even have provided the catalyst that – virtually overnight in evolutionary terms – transformed anatomically modern but dull, uninspired, and spiritually void humans into behaviorally modern, innovative, spiritually aware, and interesting humans?

It seemed to me that if this were so, if interactions with mysterious supernatural beings had played such a pivotal role at such a critical moment in the story of human evolution, then the phenomenon was much too important to have confined itself to shamans in huntergatherer societies and UFO abductees in technologically advanced countries. No matter how well hidden by local and finite construals, it was almost certain to have been present at other moments in human history as well.

Not even sure exactly what I was looking for, but only that I would know it if I found it, I began to investigate further.

#### CHAPTER EIGHT

# The Secret Commonwealth

The great Swiss psychologist and psychiatrist Carl Gustav Jung, writing in 1958, was the first to point out that the UFO phenomenon has a history, and perhaps a prehistory. Large numbers of people would later report having experienced abductions during the 1950s and even the 1940s, but in 1958 the matter had not yet received any publicity, and Jung knew nothing of it. His interest was therefore limited exclusively to UFOs themselves which, since the Second World War, had generated huge numbers of eyewitness reports of "flying saucers" and ever-rising public interest and concern. Jung described the sightings as a new form of global rumor that "differs from an ordinary rumor in that it is expressed in the form of visions." His inclination was to treat UFOs as "a 99 per cent psychic product," yet he admitted he was baffled by their "apparently physical nature," which, he lamented, created "insoluble puzzles for even the best brains":

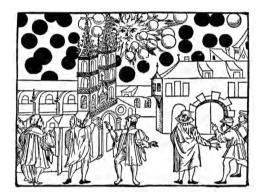
The only thing we can say with tolerable certainty about UFOs is that they possess a surface which can be seen by the eye and at the same time throws back a radar echo.<sup>4</sup>

In addition one other thing was clear, and this was that UFOs were nothing new:

Though UFOs were first publicized only towards the end of the Second World War, the phenomenon itself was known long before. It was

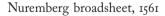
observed in the first half of this century [the twentieth century], and was described in earlier centuries and perhaps even in antiquity.<sup>5</sup>

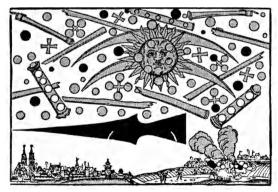
In August 1566, for example, "many large black globes were seen in the air" above the city of Basel in Switzerland, "moving before the sun with great speed, and turning against each other as if fighting. Some of them became red and fiery and afterwards faded and went out." Jung reproduced an illustration of the scene from a Basel broadsheet of 1566, noting that the "speed and irregular motion" attributed by eyewitnesses to the black discs "are typical UFO features."



Basel broadsheet, 1566

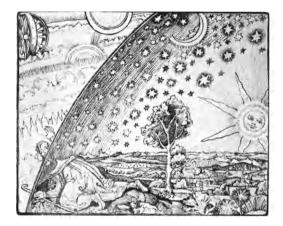
In April 1561, there was a spectacular UFO display over Nuremberg, Germany. Plates and globes were sighted in large numbers whirling in the sky near the sun, and also "great tubes in which three, four and more globes were seen."





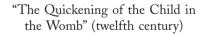
An engraving from the seventeenth century shows a pilgrim breaking through the star-strewn rim of this universe and beholding what Jung describes as

another, supernatural universe filled with . . . layers of cloud or mountain ranges. In it appear the wheels of Ezekiel and rings or rainbow-like figures, obviously representing the "heavenly spheres." In these symbols we have a prototype of the UFO empirical vision . . . 9



The spiritual pilgrim discovering another world

A fourth scene considered by Jung, called "The Quickening of the Child in the Womb," is from the Rupertsberg Codex (twelfth century,





written by Hildegard of Bingen), and shows a pregnant woman, reclining, approached by two groups of people bearing baskets of gifts. <sup>10</sup> I note in passing that a mushroom, in the hands of a small goblin-like figure, can be seen in one of the baskets. <sup>11</sup> Meanwhile a large quadrangular object containing a curious mixture of eyes and discs floats overhead, set against the background of a starry sky. The object is connected by a long tube to a fetus in the woman's womb. <sup>12</sup>

Although he knew nothing of the UFO abduction phenomenon, Jung was characteristically prescient in picking out this scene from the twelfth-century codex. Not only was something very much like the basic form of the flying quadrangular object sketched by eyewit-



Drawing of the Cash/Landrum UFO sighting (after Stillings, Ed., 1989).

nesses of a modern UFO sighting (the Cash/Landrum case), but also the scene neatly symbolizes one of the fundamental themes of UFO abductions – namely the impregnation of human women by aliens and the creation of hybrid offspring. In addition, it may or may not be relevant that following some sightings of UFO-type lights in the sky in the U.S. in the late 1960s, people reported experiencing strange dreams in which groups of giant eyes floated above them.<sup>13</sup>

#### Jacques Vallee and the flying saucer connection

Jung's insights were penetrating, but as he himself admitted, his

research – for what was never intended to be more than a short monograph – was strictly limited. Beyond recognizing that a historical background to the UFO phenomenon does exist (and examples could be multiplied from every folklore and mythology of the world), he had neither the time nor the inclination to find out more before his death in 1961.

A few years later, in 1969, the mystery of the historical background to the UFO sightings of the mid-twentieth century was explored again. This time the task was taken up with great vigor by mathematician and NASA consultant Jacques Vallee in his underground cult classic *Passport to Magonia*, unfortunately now long out of print.<sup>14</sup>

Vallee's contributions were manifold. Of great value is his catalogue of UFO sightings documented in relatively recent news sources. The catalogue, which stretches back long before the first modern wave of publicity for the phenomenon following World War II, begins in July 1868, when a strange "aerial construction" bearing lights and making engine noises flew low over the Chilean town of Copiago. In January 1878, a farmer in Texas was startled by a sighting of a dark flying object that he described as a "large saucer. In 1880, a 14-year-old boy saw a luminous ball descend from the sky and hover near him. He reported feeling drawn to it but overcame his fear and escaped. The examples go on and on; without needing to reproduce Vallee's entire catalogue here, the gist is that many apparently motorized and piloted UFOs, and even identifiable "flying saucers," were in fact seen all over the world during the second half of the nineteenth century.

Moreover, Vallee was able to trace the phenomenon much further back than this, and much further even than Jung had followed it. Indeed, Vallee's title, *Passport to Magonia*, is taken from an account written by Agobard, Archbishop of the French city of Lyons, around the year 820. Like a modern UFO skeptic, the good archbishop's testament to posterity was a lament at the ignorance of the masses for believing in the existence

of a certain region, which they call Magonia, whence ships sail in the clouds . . . Out of the number of those whose blind folly was deep enough to allow them to believe these things possible, I saw several

exhibiting in a certain concourse of people, four persons in bonds – three men and a woman who they said had fallen from these same ships; after keeping them for some days in captivity they had brought them before the assembled multitude, as we have said, in our presence to be stoned. But truth prevailed.<sup>19</sup>

Doesn't it seem likely that these four unfortunates, who Agobard saved, would describe themselves as UFO abductees if their experience were to occur today? It may be relevant that a small number of modern abductees have stated that they were *not* conveniently floated directly back to their homes and beds after the experience, but dropped less gently elsewhere – sometimes miles away. It is not difficult to imagine how such a return, observed or merely reported, might have attracted the attentions of a lynch mob in the superstitious climate of the ninth century.

Another early UFO report that Vallee found comes from Japan, where Imperial records inform us of the erratic flight over Kii Province, beyond the north-east mountain of Fukuhara, of an unusual brightly lit object described as an "earthenware vessel." Soon "the object changed its course and was lost to sight at the southern horizon leaving a luminous trail."20 Likewise, on September 12, 1271, the famous priest Nichiren was about to be beheaded at Tatsunokuchi, Kamakura, when there appeared in the sky "an object like the full moon, shiny and bright . . ." Officials panicked and cancelled the execution. 21 Vallee also cites a number of other UFOs and UFO-linked phenomena that were seen and documented in Japan between the tenth and eighteenth centuries. In August 989, for example, three round, exceptionally bright objects that later joined together were observed. In September 1702, cotton-like threads fell from the heavens, apparently emanating from the sun itself, and in 1749 three more large round objects, "like the moon," were observed continuously in the sky over a period of four days, sparking riots.<sup>22</sup>

In addition to such neglected and overlooked UFO reports in secular sources, Vallee argues that "it is in the literature of religion that flying objects from celestial countries are most commonly encountered . . ."<sup>23</sup> Again, it is not necessary here to recapitulate in any detail the evidence

already published on this point, but we can easily grasp what Vallee is getting at by calling to mind the winged discs of Sumer and ancient Egypt, the Vimana "aircraft" referenced in Hindu texts, the flying carpets of Arabia, and the "sky-rafts" used by the "star spirits" of ancient China.<sup>24</sup> Let's also not forget biblical UFO spectacles such as Elijah's ascent to heaven in "a whirlwind" associated with a "chariot of fire"25 and the prophet Ezekiel's vision, again involving a whirlwind and fire, as well as mysterious creatures on board great "wheels" (cited earlier by Jung) that "lifted them up from the earth."26 In the same general vein, we quite often read accounts in the Old Testament of such aerial vehicles as "the Chariots of God,"27 and descriptions of what sound like a superior race of beings whom humans must have dealings with and "who come from a far country, from the end of heaven." 28 Last but not least, in keeping with two of the fundamental themes of modern UFO abductions - sex with aliens and the creation of hybrid offspring – it is surely significant that the Bible depicts women having intercourse, and making monstrous hybrid babies, with heavenly supernaturals. In a famous passage from Chapter Six of the Book of Genesis, we read that the "Sons of God," immortal beings whom scholars usually identify with angels,

saw the daughters of men that they were fair; and they took them wives, of all which they chose . . . There were giants in the earth in those days; and also after that, when the sons of God came unto the daughters of men and they bare children to them.<sup>29</sup>

Whatever else might be made of these strange verses, it is clear that the "sons of God" are not human – a matter on which every authority is agreed. Yet these non-human or superhuman spiritual powers seduce and impregnate mortal women, who in turn give birth to hybrid children. As the reader will agree, this is precisely the scenario explored in Chapter Seven whereby immortal spirits engage in intercourse with mortal shamans with a view to producing hybrid offspring, and whereby aliens engage in intercourse with abductees to exactly the same end.

#### Jacques Vallee and the fairy connection

Passport to Magonia does not consider any of the connections between UFO abduction experiences and shamanic initiation experiences, or between aliens and spirits, that I had turned up in my research. But what it does consider is another, apparently completely different but equally extraordinary, set of connections that I had been unaware of.

"The modern, global belief in flying saucers and their occupants," wrote Vallee,

is identical to the earlier belief in the fairy faith. The entities described as the pilots of the craft are indistinguishable from the elves, sylphs and lutins of the Middle Ages. Through the observations of unidentified flying objects, we are concerned with an agency our ancestors knew well and regarded with terror: we are prying into the affairs of the Secret Commonwealth.<sup>30</sup>

I was naturally intrigued by Vallee's forthright comparison of the fairy phenomenon on the one hand and the UFO phenomenon on the other – a comparison that he documents at length and backs up with solid evidence.<sup>31</sup> Furthermore, the insights that he offers take us far beyond Jung on these matters, because a few of the specifically abduction-related aspects of UFOs that we are familiar with today had begun to be reported during the 1960s when Vallee was doing his research. In making his case, he was therefore able to take two of the early reports very closely into account – the abductions of Antonio Villas-Boas and of Betty and Barney Hill – and to provide us with a masterful analysis of these and of their precedents in fairy folklore.

On the other hand, there was also a great deal in 1969 that Vallee did *not* know about UFO abductions – and could not have known then. Full details of the experience as we now understand it would only filter into the public domain in the 1980s and 1990s, when Budd Hopkins, David Jacobs, John Mack, and other psychiatric counselors began to record extensive interviews with people who believed they had been abducted by UFOs (at the same time revealing the astonishing commonalities that unite all such accounts). Lacking access to the

mass of data that these researchers have uncovered, Vallee obviously had very little to work with in his attempt to compare fairy and alien phenomena. Nonetheless, he found more than enough to convince me that the resemblance is strong, and intriguing.

### Fairy knolls and skyships

Vallee's dark hint about "prying into the affairs of the Secret Commonwealth" is derived from the title of a book – *The Secret Commonwealth of the Elves, Fauns and Fairies* – published in 1691 by the Reverend Robert Kirk, a church minister of Aberfoyle in Scotland.<sup>32</sup> Like a powerful shaman, Kirk was rumored to possess "the second sight" – i.e. clairvoyance and other psychic abilities. By means of this gift, he claimed that he regularly encountered the small, unpredictable, often dangerous supernaturals – given many different names by many different cultures in many different epochs – that have been classed for about a thousand years throughout the Celtic fringe of western Europe under the broad rubric of "fairies" (*feie, fata, faerie*, etc., etc.).<sup>34</sup> Based on his direct and powerfully felt experiences, Kirk described these fairy tribes as "a distinct order of created beings possessing humanlike intelligence and supernormal powers, who live and move about in this world invisible to all save men and women of the second sight." <sup>35</sup>

What adds special poignancy to Kirk's story is that in 1692, a year after the publication of *Secret Commonwealth*, he vanished and was believed locally to have been "taken" by the fairies. This tradition was confirmed by Walter Scott in 1830,<sup>36</sup> and was still in circulation in 1909 when the renowned scholar of religions, W.Y. Evans-Wentz, was preparing his own careful and extensively documented study, *The Fairy Faith in Celtic Countries*.<sup>37</sup> Wentz visited Aberfoyle, the site of Kirk's supposed disappearance. There, Mrs. J. MacGregor, the caretaker of the old churchyard, where there is a tomb to Kirk, reported that "many say there is nothing except a coffin filled with stones." Mrs. MacGregor further informed Evans-Wentz that "Kirk was taken into the Fairy Knoll," a low hill that she pointed out on the other side of the valley, "and is there yet, for the hill is full of caverns and in them the 'good people' have their homes." "38"

The reader will recall the frequency with which shamans experience abduction to caves by spirits during their initiatory trances, and how some modern UFO abductees have also reported cave and underground settings for their experiences. In addition, as Vallee points out, fairies themselves are not confined to a troglodyte existence in any folklore, but also always have strong connections with the air, the sky and flight: "There was the sudden vision of brilliant 'houses' at night, houses that could often fly, that contained peculiar lamps, radiant lights that needed no fuel." 39

Numerous other sources that I have consulted – both ancient and modern – confirm this aerial – and even "extraterrestrial" – affinity of fairies. In Ireland, for example, it was said that the fairy host traveled through the air and caused "fairy winds," while formations of "fairy lights" were often seen moving at high speed across the sky long before the age of airplanes. In Wales it was well known that fairies – the *Tylwyth Teg* – were only "visitors to earth," and that as a special order of creation they had the ability to "fly about at will." Sometimes they did this by just taking to the air in bodily form and swimming through it, but in many traditions fairies traverse the skies more grandly in cloud-like aerial vessels called "fairy boats" or "specter ships." A UFO sighted in France in the 1850s was described as a "chariot with whining wheels." It rushed at high speed up the side of a hill and then disappeared in the air. It was driven, eyewitnesses said, by a species of small dark fairies known locally as *farfadets*. And the constitution of the side of a hill and then disappeared in the air. It was driven, eyewitnesses said, by a species of small dark fairies known locally as *farfadets*.

## Rape, reproduction, and wetnurses

Vallee did not content himself with making a prima facie case based on the broad similarities of aliens and fairies both flying around the sky in unidentified objects and having connections with caves. Much more significantly, he also picked up very early on the sexual element in the abduction of Antonio Villas-Boas (reported in Chapter Seven), and compared the case to traditions of fairies raping and/or marrying humans, abducting their lovers to Fairyland, and producing offspring to "strengthen their race" – often requiring the services of a human midwife or wetnurse. <sup>45</sup> Although unaware of the shamanic side of the

story (which we have seen is also dominated by themes of rape, spirit marriage, and reproduction), Vallee was certain it was no accident that the rape/reproduction sequence seemed to be common to fairy and alien phenomena. Other than the Villas-Boas case, however, which contained several highly relevant details, there were really no parallels to these reproductive narratives about fairies in the UFO abduction reports available to Vallee in the 1960s – and therefore, apparently, no comparisons to be drawn.

For example, no tales of female abductees being required to wetnurse hybrid babies had yet surfaced when *Magonia* was published. So although Vallee noticeably pricked up his ears when he found the theme of human wetnurses in the ethnographic sources on fairy abductions ("obtaining the milk and fostering care of human mothers for their own offspring," as he put it),<sup>46</sup> he was unable to cite a comparison in UFO abduction literature. He therefore overlooked the following passage in *The Secret Commonwealth* in which the Reverend Robert Kirk writes of "Women . . . yet alive who tell they were taken away when in childbed to nurse Faire Children . . ."<sup>47</sup>

Vallee could not have known in 1969 that the predicament of these seventeenth-century women is exactly duplicated by the predicament of women in the twentieth and twenty-first centuries who have described being brought on board a UFO and into one of its many rooms – a room that they may have been in before and that is consecrated exclusively to the nursing of hybrid babies. As we saw in Chapter Seven:

The aliens stare intently at her and the baby. The woman hears another directive: "Nurse the baby." "Put the baby to your breast and feed the baby." The woman says, "But I do not have any milk." The response is, "Put the baby to your breast and nurse the baby!" . . . In many instances the woman may be surprised to find that she is lactating and that her breasts are engorged. When that happens the baby will partially drain the breast. 48

Returning to the seventeenth century, Kirk's informants said that they had been brought to a grand "lodging," where they found themselves in an anonymous room with no apparent exit: The Child, and Fire, with Food and other Necessaries, are set before the Nurse how soon she enters; but she nather perceives any passage out, nor sees what the people does in other rooms of the lodging. When the child is wained, the Nurse dies, or is conveyed back, or gets it to her choice to stay there.<sup>49</sup>

If there really is a link between UFOs and fairies, then we should be grateful today that practically all UFO abductees seem to be conveyed back to this world - rather than dying, or remaining in UFO-land forever. By contrast, it was believed and understood in olden times that those who were abducted to Fairyland very frequently failed to return - like the Reverend Robert Kirk himself. A survey of traditional accounts and of more recent fairy abduction narratives suggests a rather random process in which some of those who had been taken by the fairies did return to their normal lives and routines, sometimes mysteriously enriched. Others did not return at all and were assumed to have become permanent residents of Fairyland. Others still came back but, horrifyingly, their homecomings sometimes took place hundreds of years after all their relatives had died, and after the houses they had lived in had crumbled to dust suggesting that time runs differently in the otherworld than it does here. We will see later that UFO abductees report strange time-effects too, particularly episodes of missing time - usually periods of hours, but sometimes days, that they cannot account for, that are simply gone from their lives, leaving behind only vague memories of a vast and inexplicable experience.

#### Widening the mystery

Almost four decades have passed since the publication of *Passport to Magonia* in 1969. Subsequently, while Vallee's thesis of a fairy-alien connection has slipped into relative obscurity, a mass of new evidence has been steadily building on the "alien" side of the equation. I refer to the thousands of hours of tape-recorded interviews that trained counselors such as David Jacobs and John Mack have been able to conduct with people who believe they have been abducted by UFOs.

As a result of their work, we now possess, as we did not in 1969, a solid database on the phenomena currently labeled as "UFO abductions," and on the entities currently labeled as "aliens," that have been experienced by millions of people, but that most scientists dismiss as purely imaginary.

Most of the post-1969 arguments about these phenomena and entities have centered around precisely this very difficult and emotive issue - i.e. is science right, or is experience right? Are UFOs and aliens brain fiction, or could they be in some way real? If they are brain fiction, then why and how do the brains of a minority of every population, running into tens of millions of individuals around the world, make this stuff up? And if they are real, then where do they come from – another planet, or another dimension, the future, or the earth itself? I was surprised to discover that in their often heated pursuit of interesting and important questions like these, none of the key protagonists seem to have realized the potential of Vallee's original insight connecting fairies and aliens. But if fairies and aliens are the same thing, then suddenly we have at our disposal an entire additional database on a very strange and mysterious category of human experiences apparently involving encounters with and abductions by intelligent non-human entities. Because of the strong new evidence now to hand, and because of my own parallel research into the alien/spirit/shaman connection - itself constituting a third independent database of such experiences - I therefore decided that the time had come to reopen Vallee's superb 1969 investigation.

I was soon to stumble into a labyrinth of conduits linking "Fairy-land" and its supernatural inhabitants to the otherworlds of shamans, linking fairies themselves to the spirits with whom shamans must deal, and linking all of these – fairies, shamans, and spirits – to the weird traumas, adventures, and experiences surrounding the supposedly modern phenomenon of UFO abductions.

#### CHAPTER NINE

# Here is a Thing that Will Carry Me Away

On the tiny island of Rathlin, off the coast of Northern Ireland, folk-lorist Linda-May Ballard found that belief in fairies was still widespread amongst the population as late as 1982. Amongst the many accounts of fairy encounters that she recorded for the archives of the Ulster Folk and Transport Museum is the story of what had happened one stormy night many years before to "a nurse-cum-midwife" who "lived at the far end of the island." She had retired for the night when loud knocking awakened her. Half asleep, she went to the door and was confronted by the shadowy figure of a man and, behind him, an impressive four-wheeled coach drawn by a team of four horses. At first the midwife thought she was dreaming, but then "realized there was something strange about it":

and the man, she couldn't see him in the darkness. He said that she was needed, there was a woman in labor and they needed her very urgently, and so she knew, and decided that no harm would come to her, that she'd get into the coach.<sup>1</sup>

No sooner was she aboard than the coach took off at great speed, confirming the initial strange impression it had made by floating unnaturally across impassable bogs and around obstacles where there was no road. Eventually:

They arrived at this hill at the back of Brockley. And as they came to

the hill, the side of the hill opened up and the horse and carriage went into the hill. And inside it, you know, it was the most beautiful place, it was like a palace inside it . . . And anyway, she attended to the woman that was giving birth and everything was sorted out. But I forgot to add here that there was a lot of fairies running around, the wee folk, there was a lot of them in this hill, you see.<sup>2</sup>

And not only fairies. During her mission of mercy, the midwife reported seeing the familiar faces inside the fairy knoll of a number of young men and women who had mysteriously vanished from Rathlin over the past few years. Until that moment "nobody ever knew what was happening," but now it was clear they had been abducted by the fairies. There was also an obvious indication *why* they had been abducted: the midwife had been called to attend to the birth of a baby, and according to her testimony, at least one of the young female abductees she saw was "sitting nursing a small baby" and singing to it in Gaelic.<sup>3</sup> The midwife herself was invited to stay on in Fairyland, but declined. True to the telepathic reassurance she had received at the beginning of the adventure that "no harm would come to her," she was allowed to get into the carriage again, "and they left her back to her own door."

#### UFOs in disguise (1)

When I found this rather eerie account, I was already well aware of the examples from modern research of people who believed they had been abducted by aliens to a cave or some other underground setting rather than to the usual flying saucer (see Chapters Six and Seven). I was also familiar with the examples of female abductees obliged to act as wetnurses for alien–human babies (see Chapter Seven), and even of some cases where abductees claimed that they had been "bred" with other humans to produce presumably entirely human babies, which the aliens also kept. What I couldn't immediately call to mind was any case in the modern literature in which a UFO had appeared in the form of anything quite so "this world" as four horses and a four-wheeled carriage.

Further inquiry, and a second look at some of the material I'd already explored, showed that there were precedents for this too. For example, a Long Island radio journalist named Jaye Paro underwent a typical UFO abduction in what she perceived as a black Cadillac that carried her off to a secluded area.<sup>6</sup> And in Chapter Six I told the story of Lucy, who experienced abduction by aliens into a cavernous underground chamber, reached – as in the tale of the midwife – through an opening in the side of a hill. I stated there that UFOs "did not feature in her experience at all," which is correct. However, when I took a second look at the case, I noticed Lucy spoke of something else that did behave exactly like a UFO. This was a fire engine that drove noisily along her street immediately before her experience began, parked outside her house, shone a beam of light through her window, and then disappeared.<sup>7</sup>

Noting that "the aliens themselves seem to be able to change or disguise their form, and . . . may appear initially to the abductees as various kinds of animals, or even as ordinary human beings," John Mack also confirms that "their shape-shifting abilities extend to their vehicles." His patients reported experiencing UFOs in disguises as varied as "a string of motorcycles" and "a soaring cathedral-like structure with stained glass windows." One young woman recalled "seeing a fifteen-foot kangaroo in a park, which turned out to be a small spacecraft." A number of children claimed "to have been transported into the sky in a small craft that appeared to them initially as a booth at a carnival in which aliens disguised as humans asked if they wanted to go on a journey." 11

All in all, therefore, I concluded that the story from Rathlin of a human female taken away to Fairyland in a magical flying coach to help out with an obstetric problem was well within the norm of modern UFO abduction experiences — with the only difference being that today the vehicle might take a form more appropriate to modern perceptions, such as an ambulance or stretch limousine. As John Mack suggests, it may be the case that "the aliens assume a form or forms that are familiar or comprehensible within the individual's own perceptual background or framework . . ." 12

## UFOs in disguise (2)

Mack's insight about the apparently deliberate generation of culturally appropriate displays looks even more plausible when we realize that there sometimes seem to be errors and glitches in the process. Particularly notable, and curious, are apparent overlaps when whatever perceptual force that is exerted to give us culturally appropriate Cadillacs and flying saucers in modern America, and aerial ships and floating coaches in other less technological contexts, somehow starts broadcasting mixed signals and anachronisms. Thus, for example, Fortean researcher Janet Bord reports a story that was a brief local sensation in the English city of Nottingham in September 1979, when a small group of children of between eight and ten years old were in Woolaton Park at dusk and saw "around sixty little men, about half as tall as themselves." The children, who were deeply impressed, stuck by the details of their collective vision. It seems the men, who wore "blue tops and yellow tights" as well as jester-style caps with a bobble on the end, had wrinkled faces and long white beards with red tips. In the drawings the children did of them, as in the verbal descriptions, they greatly resemble fairies, imps, elves, dwarves, or clowns as



A drawing of one of the "Woolaton fairies" made by a child witness (Fortean Picture Library)

they might have been portrayed in the Middle Ages,<sup>13</sup> but with one jarring, anachronistic detail. This is that they are driving around in small motorcars. The children were adamant that there were around 30 of these cars, most of them with two of the little men on board.

The cars didn't have conventional steering wheels, "but a round thing with a handle to turn." Although there was no engine noise, the cars moved fast and could jump over obstructions. 14

Bord adds that six years before the Woolaton fairies hit the media, she had heard from a Cornishwoman, Marina Fry, who wrote giving details of her own fairy sighting when she was a child in the 1940s:

One night she and her older sisters, all sleeping in one bedroom, awoke to hear a buzzing noise (one sister said "music and bells"). Looking out of the window they saw a "little man in a tiny red car driving around in circles" He was about eighteen inches tall, and had a white beard and a "red droopy pointed hat" . . . He just disappeared after a while. <sup>15</sup>

A third such case documented by Bord is a report of a fairy airplane seen in 1929 by a five-year-old boy and his eight-year-old sister. The tiny plane was piloted by a tiny humanoid wearing a tiny leather flying helmet. As he zoomed by, nearly colliding with a dustbin, he waved to the children in a friendly manner.

There has even been a sighting of a fairy flying saucer, all the more persuasive because it took place long before the most recent phase of UFO sightings made flying saucers a common idea. In August 1914, eight men saw a "large, flat-topped spherical object" on the surface of Lake Huron in Canada. Next to it were small humanoids just over a meter tall. Though they had the characteristic appearance and "violet-green" clothes of "fairies," they were doing something technical with a hose immersed in the water. Finally they inserted it into a hatch, "and the object suddenly took off." 17

In 1957, after flying saucers had been widely sighted for about a decade, John Trasco of Tennessee encountered an out-of-the ordinary "egg-shaped" UFO hovering in front of his barn. Standing between him and it, glaring at him with its "large frog-like eyes" was a three-foot-tall humanoid dressed in "a green suit with shiny buttons, a green tam-o-shanter cap, and gloves with a shiny object at the tip of each." In other words, a fairy. The creature said something faintly ludicrous to Trasco: "We are peaceful people, we only want your dog." When

he refused and expressed anger, it ran away, and moments later the UFO was seen to take off straight up.<sup>18</sup>

#### Mrs. Sheridan meets "the mistress"

To return to the mystery with which this chapter opened, the figure that folklorists call "midwife to the fairies" is widespread in global mythology, particularly in the Old World, where she is found throughout most of western and eastern Europe and in many different parts of Asia, all the way to Japan. <sup>19</sup> Such universal themes attest to universal experiences involving reproductive contact of one kind or another with non-human intelligences – much as UFO abductees report today.

From Chapter Eight, the reader will recall the Reverend Robert Kirk's seventeenth-century account of Scottish mothers abducted "when in childbed" to wetnurse fairy babies, and how the anonymous sealed rooms in "grand lodgings" in which these nursing episodes took place are virtually interchangeable with modern descriptions of rooms on board UFOs, where women are taken to wetnurse hybrid children. The degree of overlap is even more obvious in a statement given in Ireland in the early twentieth century to the folklorist Lady Gregory by a certain Mrs. Sheridan, who admitted to being frequently "away among the fairies" when she was a younger woman:

Where they brought me to I don't know, or how I got there, but I'd be in a very big house, and it was round, the walls far away that you'd hardly see them, and a great many people all about . . . but they wouldn't speak to me nor I to them.  $^{20}$ 

Like the Reverend Kirk's Scottish mothers, Mrs. Sheridan's function in this strange flying-saucer-like house was to breastfeed children for the fairies – a number of whom were always present, "moving about" busily amongst the abducted humans. She said that they had "long faces" and wore "striped clothes of all colors" in other words, typically gaudy, pre-industrial fairy attire from almost any epoch between the tenth and the early twentieth centuries. Significantly, Mrs. Sheridan

added that they – and more directly, she herself – were under the command of an important female fairy with "a tall stick in her hand," who was "the mistress." When Mrs. Sheridan cried and begged to be returned to her home, the fairy quieted her by touching her on the breast with the stick.<sup>22</sup>

Is it a coincidence that John Mack's patient Andrea, whose abduction was reported in Chapter Six, was controlled by an alien "holding a stick or a rod," which he pressed against the back of her ear?<sup>23</sup> Indeed, in virtually every procedure to which abductees are subject, notably when they are brought to the dedicated nursing rooms and "baby presentation" rooms on board the UFOs, they will encounter an authority figure like Mrs. Sheridan's fairy mistress. This figure will sometimes be identified as male, sometimes as female - although generally speaking, female aliens are more frequently reported to be present when the abduction focuses around the care of hybrid babies, and male aliens are more frequently reported to be present when other procedures take precedence.<sup>24</sup> Mrs. Sheridan referred to her fairy authority figure as "the mistress." Modern UFO abductees refer to their alien authority figure as "the leader" or "the doctor." Typically, he/she will be up to a meter taller than the other "Grays," but will otherwise be identical - with the same familiar gray skin, elf-like appearance, pear-shaped head, huge black eyes, etc., etc.26 In the unlikely event of an abductee getting out of control, it is always "the doctor" who restores order, sometimes with an implement or wand of some kind, sometimes with a form of hypnosis or mental compulsion exercised through the eyes.<sup>27</sup>

#### Amorous fairies

We have seen that shamans all over the world have experienced what they believe to have been sex with spirits, and that UFO abductees all over the world have experienced what they believe to have been sex with aliens. Because I could already feel that I was following a strong and reliable pattern that could be counted upon to repeat its basic features regardless of cultural or historical context, it came as little surprise to me to learn that there are numerous accounts in the

ethnographic sources of humans falling for, having sex with, and even marrying fairies.

In Ireland, the fairies are known as the *Sidhe*, and the story is told of two *Sidhe* women who loved a young prince "and suddenly took him away into a fairy palace and kept him there three years." In England, chivalric romances of the Middle Ages describe the plight of a young man seduced and abducted by the Queen of the Fairies to spend seven years serving her in Fairyland. In Wales, fairies are known as the *Tylwyth Teg*, and W.Y. Evans-Wentz was told in 1909 of "a special sort of *Tylwyth Teg*" that lived beneath the waters of deep lakes from which they sometimes emerged to dance on the shore: "Their fine looks enticed young men to follow them back into the lakes and marry one of them. If the husband wished to leave the lake he had to go without his fairy wife."

This latter is, of course, essentially the same story that is told in the Amazon, where female spirits in the form of dolphins lure young men down beneath the water to the otherworld city of *Encante*. Moreover, just like spirits - and, of course, aliens (see Chapter Six) - fairies frequently appear to humans in animal and therianthropic forms. For example, traditions of sea fairies abound around the islands and coasts of Britain. They are mermaids and mermen who are sometimes part fish, part human in appearance, or sometimes part seal, part human. It was believed that these fairy therianthropes seduced men and women and created hybrid offspring distinguished by possessing "webbed fingers and toes" or "a seal's face." In northern France, Evans-Wentz recorded an old belief in a class of fairies known as lutins, related to elves, whose natural appearance was that of "a little man dressed in green" but who could also "assume any animal form." They were known to transform themselves particularly into black horses or goats.<sup>33</sup> Just as "aliens" and "spirits" frequently assume the form of owls and other birds, it was believed in Ireland that "fairies assume the form of crows."34 Romanian fairies are said to have cat's paws or donkey's feet, while in other parts of eastern Europe fairies are associated with forest animals.35 A pair of French woodcuts from 1500 show the medieval fairy Melusine, abductor of human babies, with the upper body of a woman and the lower body of a serpent.<sup>36</sup> A Flemish woodcut from





The medieval fairy Melusine, abductor of babies. She is a therianthrope with a human upper body and the lower body of a serpent.

1558 shows fairies as partially transformed therianthropes with human bodies and heads, furred cloven hoofs instead of feet, and in some cases emerging horns.<sup>37</sup> The same perception of fairies as horned, cloven-



Fairies as therianthropes in a Flemish woodcut of 1558 (Fortean Picture Library)

hoofed therianthropes was also very strong in England, where it affected popular perceptions of the Devil – who was also frequently portrayed with cloven hoofs, a shaggy hide, and horns.<sup>38</sup>

We can therefore understand why the Inquisitors in the Scottish witch trials of the sixteenth and seventeenth centuries repeatedly interpreted witches' references to fairies as though they had intended to

speak of the Devil.<sup>39</sup> Nonetheless, the transcripts are self-explanatory, and "the predominance of fairy stories" in them, as Diane Purkiss, lecturer in English at Oxford University, observes, "is proof that the accused women thought such stories believable." I take it as another sign of a well-established pattern running true to form that many of these unfortunate women, who could have made up anything they liked to mollify their interrogators, spoke primarily of sex with fairies, and of reproductive unions with fairies – in just the same ways that shamans speak of sex and reproduction with spirits, and UFO abductees speak of sex and reproduction with aliens. "In all these confessions," reports Purkiss:

a woman meets a man, who may be wearing black or green, or may have a specific name. He asks her to be his servant, or he offers her something. She may refuse at first, but eventually agrees. He then has sex with her . . . The being involved . . . is always otherworldly.<sup>41</sup>

In one summary given by the Inquisitors, "the Devil" had appeared before a certain witch:

in the likeness of a man in green clothes . . . [She] cannot presently remember whether he had carnal dealings with her at that time or not, but remembers perfectly that afterward he did lie with her.<sup>42</sup>

Another Scottish witch, Katherine Jonesdochter, told of a fairy lover she called "the bowman," who first had intercourse with her when she was an adolescent girl and continued to visit her for sex for 40 years, gifting her with supernatural knowledge and healing skills in return. <sup>43</sup> There is some hint that the two had produced offspring – for "the bowman's bairn," described as "a little creature, a small child-sized man," once mysteriously appeared and was seen playing amongst a group of children. <sup>45</sup>

An English witch, Susan Swapper, was put on trial in Rye in the year 1611, and told of being visited while sleeping in bed with her husband by "four spirits in the likeness of two men and two women." One of the women, she said, the leader of the group, wore a "green

petticoat" – standard fairy garb. <sup>46</sup> The description of these night visitors as "spirits" in the court records of the time is significant, since it further confirms the increasingly obvious interchangeability of the fairy and spirit worlds. On the other hand, the sudden rather ominous appearance of the visitors in Susan's room, and their desire, soon revealed, to "take her away," have much in common with the first stages of typical UFO abduction sequences.

It was well known in Susan's time that fairies had a constant demand and fearsome need for human children of all ages, but especially, and puzzlingly, for the newborn. Since Susan was heavily pregnant and close to term when the visitors appeared, she was therefore terrified of being abducted, and resisted with all her will when the woman in the green petticoat said to her: Sue, come and go with me, or else I will carry thee. Susan's response was to shake her slumbering husband and beg him to hold on to her:

And he awakening turned unto her and answered her: "Wherefore should I hold thee?" and she replied unto him again and said, "Here is a thing that will carry me away," and he said again unto her, "I see nothing" and so turned about from her . . . <sup>49</sup>

It is quite commonly the case with modern UFO abductions that a group of aliens (and often four of them) will enter a bedroom where a couple are asleep and take one, leaving the other unaware of what has happened – and apparently incapacitated – until the abductee is returned. Typically, notes David Jacobs, spouses "sleep through the abduction. If they are awakened at the beginning of it they are made to go back to sleep immediately."

#### The mystery of the changelings (1)

Why should the fairies have wanted Susan Swapper's as yet unborn baby? Why did the medieval fairy Melusine steal babies? Why did women so frequently experience being brought inside Fairyland to wetnurse babies there and to assist in the delivery of yet more babies? These are all difficult mysteries of the fairy tradition, but I was

beginning to hope that modern data on the alien abduction phenomenon might shed some light on them.

We all know where babies come from and how they are made. But if fairies were thought to have sexual intercourse with each other, then I have found no mention of this in the ethnographic sources, and if "fairy babes" were born to fairy mothers and fathers, then I have found no mention of this either. On the contrary, human midwives who had multiple experiences of visits to Fairyland to deliver so-called "fairy babes" confided that the mothers they assisted there were sometimes not fairies but humans who had "previously been abducted into the Fairy realm."

So what we know from the examples cited above – and many others - is that fairies were believed to have sex with humans (whom they had often abducted permanently, or for very long periods, into Fairyland) and that a number of brief but unambiguous references do exist to offspring born of such unions. However, other than half sea-fairy, half human hybrids with their webbed fingers and toes and their bestial seal-like faces, detailed descriptions are never given of the appearance of these hybrid offspring or of their ultimate fate. This being the case, it is legitimate to ask what they looked like, and what happened to them. More pointedly, in the absence of any strong and clear tradition of "pure-bred" fairy children, was it perhaps always hybrids who had to be delivered and nursed by human women - if, for example, the mother was a fairy and the father human, or if the father was a fairy and the human mother had been killed after delivery, as several traditions darkly hint? Since the women specially abducted as feeders and carers were invariably wetnurses who had not actually given birth to the hybrids, then they might easily have persuaded themselves that they had "fairy babes" at their breasts.

Let's remember that we're speculating freely here about entities that scientists say are only imaginary and have never existed in any shape or form. Nonetheless, this general scenario – a requirement by the fairies for surrogate mothers to feed and cuddle hybrid offspring – would explain why so many of the women who reported this kind of abduction were mothers themselves who had recently given birth to normal babies in the human plane or, like Susan Swapper, were about

to give birth, and thus were already prepared physiologically for lactation. By contrast, even when they are not pregnant or recently delivered, modern women abducted to wetnurse human-alien hybrids say that they experience induced lactation at the hands of the aliens (see Chapter Seven) – a technology that does not seem to have been available to the fairies.

Today, although they rarely enjoy their experiences, human wetnurses – so far as we know – are never killed, nor are they detained for more than a few hours by the aliens. A century or a thousand years ago, when our aliens, or something very like them, were called fairies, we have seen that there was no such assurance. Indeed, fairies were deeply and abjectly feared as merciless killers<sup>53</sup> and "for their tendencies to assault, torture, and abduct humans."

There's another big difference. Although there are cases on record of children as young as two reporting detailed UFO abduction experiences (e.g. John Mack's patient Colin; see Chapter Six), there are no cases of children being permanently abducted; they are always reunited with their parents. Things were not so civilized with the fairies, of course. As noted earlier, these serial abductors had a particular reputation for taking children away from their parents forever. Quite often, too, there was a further twist. Not only did they steal healthy, happy babies, but also they left not-quite-human "changelings" in their place. The copious accounts of these creatures that have come down to us in folklore and in the ethnographies (thin and restless, ugly and malformed, physically weak but voraciously hungry, mewling and never satisfied) are the only detailed descriptions we have of "fairy babes" which is what changelings were always assumed to be, an interpretation that the fairies themselves seem to have encouraged. This is brought out clearly in an ancient Gaelic song in which a fairy who desires to steal a mortal woman's "ruddy, plump and praiseworthy" child first speaks with dismay of her own child's frailty and bad looks:

> He is my ungraceful child, Withered, bald and light-headed, Weak-shouldered and weak in his equipments . . . <sup>55</sup>

"Withered, bald and light-headed" are all definitive characteristics of changelings, as we'll see below – and indeed, the bad fairy in the song does want to exchange her weak child for the mortal woman's strong one. No matter how they were presented, however, or what assumptions were made about their identity, a close examination of the evidence undermines the view that these unhappy babies are best understood as the pure-bred offspring of fairies.

## The mystery of the changelings (2)

A couple of accounts will have to suffice to give the flavor of this ancient and extremely widespread and widely reported "changeling" phenomenon.<sup>56</sup>

In Norway, folklorists collected the story of Anne, a dairymaid who gave birth to a fine young boy. One night she was sitting with the child when "a woman in black" entered the room carrying another child. Anne felt paralyzed as the woman approached, touched her baby son, and left. Afterwards, she discovered that a substitution had occurred: her own child was gone, and a lean, difficult, ugly, hunched brat had been substituted. He grew up a fool who lowed like an ox, and the mother's birth-son was never restored to her.<sup>57</sup>

In Ireland, as late as 1981, folklorist Patricia Lysaght recorded another typical changeling tradition:

A child was about a year old and it was taken and there was a changeling put in its place, a terrible cross child left in its place. It was getting no bigger and at night they used to hear music in the room. And the mother and father were out walking the rooms with the child, crying, crying, crying. <sup>58</sup>

On the Isle of Skye off the west coast of Scotland, around 1908, W.Y. Evans-Wentz collected a very peculiar story of a baby abduction apparently abandoned on a whim, when no child was taken and no changeling substituted:

An aged nurse who had fallen fast asleep as she sat by the fire, was holding on her knees a newly-born babe. The mother, who lay in bed gazing dreamily, was astonished to see three strange little women enter the dwelling. They approached the unconscious child, and she who seemed to be their leader was on the point of lifting it off the nurse's lap when the third exclaimed: "Oh, let us leave this one with her as we have already taken so many!" "So be it," replied the senior of the party . . . <sup>59</sup>

Bearing in mind the 2 per cent or so of the modern population who appear to have undergone UFO abduction experiences – and the fairy's own statistical hint – one wonders indeed how *many* human babies were "taken" by fairies over the centuries, why changelings were so often substituted, and once again, what exactly changelings are or were.

## The mystery of the changelings (3)

What we have to go on are the descriptions in the folklore and ethnographies. These paint a completely clear and consistent picture of changelings:

- ... ugly ... with an abnormally big head and with a pale, wrinkled skin as that of an old person. The changeling cries frequently and is always hungry. It is slow to learn to speak and walk and to keep itself clean, if it ever reaches such normal stages of development ... 60
- ... strangely and almost impossibly thin, very long feet, very long, thin legs, as though he weighed nothing at all. And he had a very strange appearance of being enormously ancient, despite the fact that he wasn't.<sup>61</sup>
- . . . unusual features misshapen limbs, an oversize head, slowness in learning to walk . . .  $^{62}$
- ... shriveled up with withered face and wasted body ... ill-favored

and deformed . . . ugly and loathsome . . . evermore craving food and yet it never grew nor throve . . .  $^{63}$ 

Of particular interest are those ethnographic sources in which not only the changeling is described, but also the reactions of the parents on first noticing the substitution:

There was my own fine child whipped off me out of his cradle . . . and an ugly bit of shriveled up fairy put in his place . . . You are not my child . . . A little wizened face as ugly as a walnut . . . An ugly, wizened, crying brat . . . <sup>64</sup>

Now compare these to the reactions of UFO abductees to hybrid human—alien children reported in Chapter Seven: "Gross baby," exclaimed Melissa, "it's an ugly one . . . I'll kill it . . . It's so fucking unnatural . . . Disgusting thing . . ."<sup>65</sup> Another abductee, not cited in Chapter Seven, told her counselor David Jacobs that she found her enforced contact with a hybrid baby "creepy . . . I can't stand to touch it . . . Their skin is like paper."<sup>66</sup> In addition, as with the parents of changelings, it is normal for abductees presented with a hybrid child to suspect that in some way it might be theirs, but yet to reject it on the grounds that "It's not mine."<sup>67</sup>

All in all, don't the descriptions of changelings given in the ethnographic sources make them sound much less like fairies and more like human—alien hybrid children (or for that matter like the hybrid children of shamans and spirits also described in Chapter Seven)? David Jacobs, who himself rejects any connection with fairy lore and is convinced that the alien abduction phenomenon began only in the late nineteenth century, provides this summary of the alien side of the story based on his case studies:

It [the alien-human hybrid] has a very large head . . . Its body is long and thin. Its hands and fingers are long and thin. Its pale-white or grayish skin is almost translucent . . . It is almost always listless . . . <sup>68</sup>

Likewise, the reader will recall how Barbara Archer described

human—alien hybrids as looking "kind of old" and being "very fragile." Nona said: "Their bodies were short for their heads. Their heads seemed oversized." Isabel spoke of the dry, scaly and flaky skin of a hybrid child. Debbie Tomey mentioned "ivory skin and a big head . . ." Peter said: "They have big heads . . . bigger heads than their bodies . . . The arms are really fragile but they have big bellies."

Needless to say, all these peculiar and distinctive physical details are the same for changelings – who, long before the nineteenth century, were also routinely described as old-looking with disproportionately large heads, elongated and misshapen limbs, pale, wrinkled papery skin, etc., etc. We will defer until later the question of whether or not we are dealing with anything *real* here (as opposed to fantasies, "brain fiction," delusions, or other dismissive scientific labels). But the close similarities between the strange, weak, large-headed hybrid children reported by modern UFO abductees and the strange, weak, large-headed changeling children of the fairies should grab our attention. These similarities, and these amazing continuities over long periods of time in unconnected cultures, are most unexpected. Moreover, they go much deeper than mere surface appearances.

#### More permanent, more powerful

Whether we speak of a changeling fostered and nursed by a human mother in her own home, or whether we speak of a human midwife invited to a fairy knoll to deliver a "fairy babe," or whether we speak of a human wetnurse abducted to a "grand lodging" to breastfeed a "fairy babe," or whether we speak of a modern woman abducted to a UFO to wetnurse a hybrid alien—human baby, there remains at the heart of the whole matter a clear, unwavering underlying concern with reproduction.

In the case of the aliens, we have seen that the very strong perception amongst abductees is that they are being used for breeding and child-nursing purposes. John Mack's summary, based on large numbers of abductee reports, is that a "complex, reproductive-like process or 'project' appears to be a central feature of the UFO abduction phenomenon," and that its "purely physical or biological aspect . . . seems to

have to do with some sort of genetic or quasi-genetic engineering for the purpose of creating human/alien hybrid offspring."<sup>75</sup>

Most abductees who claim to have asked the aliens about this say they have received evasive answers. Nevertheless, a number of hints as to the purpose of the project do exist in the literature. The case of Kathie Davis is particularly interesting. During an extended series of abductions over the course of many years, she had multiple experiences of being impregnated by aliens. She also had subsequent encounters with two of her own hybrid offspring. One of these, a male, was introduced to her when he was still a baby. "He looked," she said, "like an old man, and he looked so wise. I looked into his eyes . . . he was so . . . smart . . . more wise than anybody in the world." She also met a "tiny" girl, aged about four years, who she knew immediately was her daughter. The child's eyes were disproportionately large, her skin pale and translucent, "her hair white and wispy." Kathie described her as "real pretty . . . like an elf, or an . . . angel" and felt deep attachment towards her, but was told by the aliens that the girl could not survive in the physical world, because "you wouldn't be able to feed her" there.76

The physical description of this hybrid child (Kathie also said she had a "really old" look) greatly resembles the traditional picture of a changeling from fairy lore. Yet as Patrick Harpur points out, there are important differences. Unlike the changelings, who are "stunted, ugly, voraciously hungry" rejects, this little girl is "angelic, beautiful, and unable to be fed in this world." So although she is still physically insubstantial by comparison with a fully human child, it seems that the aliens have made some sort of progress with their "complex reproductive project." If they are indeed the same beings that we used to call "fairies," then perhaps they have learned a thing or two.

But what have they learned, and why? The abduction of Lori Biggs from Redondo Beach, California, in 1970 adds more to the limited information we have at our disposal. She was told by the aliens that they were able to turn themselves into light (by means of which they could also levitate objects), but that they were unable to hold their own physical form for very long: "They wanted to learn how to combine human solid form and their luminous form into a more

permanent, more powerful being."<sup>78</sup> It is far from being proof, but such a scenario would certainly explain the great interest that aliens show in breeding with humans and creating hybrid babies. They want to become more material, more permanent, more powerful. They want, in other words, to stiffen their own stock with an infusion of human solidity. As Patrick Harpur puts it, their aim appears to be to "strengthen their race."<sup>79</sup>

Is it coincidence that the very same scenario of "race strengthening" is the one most often put forward to explain why fairies were interested in breeding with humans, and why they stole vigorous, healthy human babies and replaced them with their rejected changelings? According to folklorist Peter Rojcewicz, "Clearly the most significant form of fairy dependence upon mortals involves their genetic evolution. Humans are essential to fairies for a healthy bloodline."80 The Irish poet W.B. Yeats likewise believed that "the Sidhe [fairies] need human robustness."81 Professor A.C. Haddon states of the changeling tradition in Ireland that "dwarf or misshapen children are held to be given to a mother by the fairies in place of a healthy child they had stolen from her to renew the stock of fairies."82 Katherine Biggs likewise confirms a popular belief that the reason fairies abducted young men and women, and swapped changelings for healthy babies, was their need to "inject the dwindling stock with fresh blood and a human vigor."83 Diane Purkiss reports a tradition that "fairies . . . need blood. They need new blood."84 Edwin Sydney Hartland states: "The motive assigned to fairies in northern stories is that of preserving and improving their race."85

So it seems that not only do fairies and aliens have a shared need for sexual and reproductive contacts with humanity, but also, in both cases, they seek these contacts in order to bring about some desired transformation in their own condition.

#### Some conclusions on an evolving experience

A book could be written on the complex connections and continuities that bind fairy lore and alien lore together at the hip like Siamese twins. But once those links are established, what I find even more

interesting are the subtle (and sometimes not so subtle) differences between the two phenomena. Thus, although changelings look and behave like hybrids, we have seen that no changelings are deposited in the homes of modern UFO abductees, and that no human babies are abducted forever to UFO-land as they once were to Fairyland. Similarly, although wetnurses are used in both contexts – itself quite a remarkable correspondence in my view – we have seen that they were often killed by fairies, or stayed in Fairyland. Today the whole process, though still entirely outside abductees' control, seems much more reasonable and less final: they are taken, they lactate, they breastfeed, and then they are floated back safely to their beds. So although the same sort of extremely weird experience with many identical or near-identical ingredients is clearly involved in both cases, the experience itself seems to be evolving.

Standing back from the data and viewing it dispassionately, we can say that the focus of this evolving experience in all the forms in which it is documented – whether spirits, fairies, or aliens – has been on sexual and reproductive contact between supernatural races and humans, and on the creation of hybrid offspring to "strengthen the stock" of the supernaturals. Beyond this, however, differences make themselves felt. To summarize what we have established thus far: (1) offspring are described in all the traditions; (2) in the case both of spirits and of aliens, such offspring are clearly understood to be hybrids of monstrous or ugly appearance with pale, flaky skin, oversized heads, an old, wizened look, and an unhappy demeanor; (3) hybrids are mentioned in fairy traditions but are nowhere extensively described; (4) on the other hand we do hear a great deal about changelings – who, despite the absence of any strong and clear evidence in favor of the hypothesis, are generally presumed to be pure-bred "fairy babes."

I asked earlier if it is possible that changelings too were really hybrids, passed off as "fairy babes," and also if perhaps it was *always* hybrids, and *never* pure-bred fairies, who had to be delivered and nursed by human women. There can be no certainty, but my reading of fairy lore, and of hundreds of people's accounts of being abducted by fairies, as well as the similar accounts of hundreds of people abducted by aliens, convinces me that the whole phenomenon, in all

times and places, has always been about creating hybrids. I see no reason why changelings should have been any exception. I therefore conclude that changelings were indeed hybrids too. More than this – although of course we tread in realms of pure speculation – could it be that they were perhaps failed hybrids who, for one reason or another, did not strengthen fairy stock and were therefore rejected? Keeping in mind the constant reproductive tampering that aliens do with modern abductees – many of whom forget everything until their buried memories are extracted by hypnosis – I even wonder if the families to whom changelings were returned were genetically related to the changelings without ever realizing it themselves. This would explain why they were often puzzled to note that the "ugly bit of shriveled-up fairy" substituted for their own healthy baby always, in some odd way, resembled the missing mortal child.<sup>86</sup>

As to the ultimate fate in Fairyland of the abducted human babies of past centuries, who knows? Dark hints and confusing whispers are found in the ethnographies that "in olden times" the fairies "used to take young folks, and keep them and draw all the life out of their bodies." But what is clear in the latest alien incarnation of the experience is that while the long-term interest in reproduction remains the same, human babies are no longer permanently detained, and creatures like changelings no longer appear at all. Instead, all contact with babies takes place in the dimension of the supernaturals, on board their UFOs, or in their crystal caverns, or in some enchanted, paradoxical space deep beneath the sea. The babies look almost exactly like changelings, but they clearly benefit and gain vitality – in a way that changelings never did – from being breastfed, held, cuddled, caressed, and generally loved by abductees who may or may not sense some sort of genetic bond with them.

So these do not seem to me to be anything like failed hybrids of the changeling type, but on the contrary, successful or potentially successful hybrids who the aliens understand need love and human warmth in order to thrive. Between fairies and aliens, I therefore conclude that there has indeed been a distinct change, a distinct *evolution*, in the way the "hybrid program" – John Mack's phrase<sup>88</sup> – is handled. Any attempt to understand the phenomenon must take

account of this curious ability it has to evolve and develop, but must also be able to reckon with the steady and remorseless attention it seems to have paid, at all times and in all places, to the creation of a "more permanent, more powerful" hybrid race.

It is a great mystery that people from many different cultures in many different epochs all report encountering supernaturals who are unwilling to be confined to supernatural realms and who – even while they initiate our shamans and bestow the "second sight" and healing powers upon them - seem to want to take something of our materiality and incorporate it into their own non-physical lineage. As the first manifestations of these ancient supernatural forces in the technological age, UFO abductions and encounters with aliens have been subjected to an unrelenting campaign of ridicule and abuse by scientists who are strongly wedded to the materialist paradigm. It has been said, however, that "the greatest trick the Devil ever played was to convince the world he doesn't exist." Living in societies elevated by technology to almost godlike heights, we have convinced ourselves, against the wise advice of our ancestors, that there are no supernatural intelligences - that spirits do not exist, that fairies are crazed delusions, and that aliens are just figments.

We may be wrong.

### CHAPTER TEN

# Dancers between Worlds

Andrea, one of John Mack's patients whose abduction experience was reported in Chapter Six, said that the aliens "look like they're made of light. But then underneath there's some physicalness to them." The reader will recall that Sara, another of Mack's patients, reported frequently being forced into intercourse with an alien male who had "a light contour of a penis, but not like a physical penis."

It is precisely this paradoxical "non-physical physicality" that characterizes spirits in all their interactions and sex acts with humans described in Chapters Five through to Seven, and - not surprisingly - the same turns out to hold true for fairies. Writing in the seventeenth century, the Reverend Robert Kirk described fairy bodies as "so pliable through the subtlety of the spirits that agitate them that they can make them appear or disappear at pleasure." Folklorist Peter Rojcewicz points out that this description is strongly reminiscent of supernatural beings known as the Siddhas, said in ancient Indian scriptures to be capable of "becoming very heavy at will or as light as a feather, and which travel through space and disappear from sight." In the same way, the fairies of Brittany were said in the nineteenth century to have bodies that are "aerial and transparent," a group of fairies seen in Suffolk, England, in 1842 were "light and shadowy, not like solid bodies," and fairies in the west of Ireland were described as "pale beings, almost gray in color."<sup>7</sup> Summing up many traditions, Rojcewicz concludes that "fairies have light, changeable bodies . . . capable of altering their form at will. Fairies have something of the nature of a mist or condensed cloud."8

In the legends of Scandinavia, elves, a tribe of the worldwide fairy race, are depicted as "beings with oversized heads, tiny legs and long arms."9 (The very word aelf, by the way, from which we derive the English name of these creatures, means "supernatural.")<sup>10</sup> Known as corrigans, the "aerial and transparent" fairies of Brittany were said to be "little beings not more than two feet high." The biggest of the Suffolk fairies cited above was "about three feet high." <sup>12</sup> In the Isle of Man, fairies were described as generally "about two and a half feet high."13 In Wales, numerous accounts state that the Tylwyth Teg (the local name for fairies) were usually no more than three to four feet tall.14 In County Sligo in Ireland, an elderly informant told Evans-Wentz of an occasion on the slopes of Ben Bulbin when he encountered a fairy who "seemed only four feet high," but who informed him: "I am bigger than I appear to you now. We can make the old young, the big small, the small big."15 In a similar vein, the Irish poet W.B. Yeats, who was deeply learned in these matters, warned: "Do not think the fairies are always little. Everything is capricious about them, even their size."16 Indeed in all fairy traditions, amongst the mass of smaller beings in the range of two to four feet in height, individuals are occasionally mentioned who reach five feet or more and who in some cases seem "as big as we are." 17

We've seen that one strong point of appearance shared by aliens and fairies is that they both frequently present themselves to abductees in animal and therianthropic forms. The above descriptions, which are representative of countless others in the ethnographies, show that the humanoid forms of both are also to all extents and purposes identical. For like fairies and elves, aliens typically have large heads and thin limbs and are, in the main, of small stature with the exception of a few individuals. Researcher David Jacobs explicitly rejects any connection with fairy lore, and does not believe that his findings support such a connection (personal correspondence, May 2005). Nevertheless, from his intensive work with abductees in the U.S. he notes that:

By far the most common types of aliens reported are the Small and Taller Beings. The Small Beings are from two to four-and-one-half feet tall, thin, slight, and even "delicate" in appearance. They have a head, a body, two arms, two hands, fingers, two legs, two feet. They stand and walk like humans. The Small Beings are light in weight. Taller Beings stand from two to six inches above the Small Beings and have most of the same gross physical characteristics . . . By and large, most witnesses report the Small Beings' skin as just "gray" . . . The heads of the aliens are, in human terms, disproportionately large for their bodies. 18

# Ambiguous sexuality and a hive-like social order

When W.Y. Evans-Wentz was in Ireland at the start of the twentieth century, collecting reports of encounters with the *Sidhe* (fairies), he was told by informants that they had seen "forms both male and female, and forms which did not suggest sex at all." When he asked whether any "definite social organization" had been witnessed "among the various *Sidhe* orders and races," the reply came:

I cannot say about a definite social organization. I have seen beings who seemed to command others, and who were held in reverence. This implies an organization, but whether it is instinctive like that of a hive of bees, or consciously organized like human society, I cannot say.<sup>20</sup>

These observations are interesting – and especially so bearing in mind they were made 50 years before anyone anywhere ever claimed to have encountered an alien. For here again, in tandem with all the other continuities and commonalities, we seem to have interchangeable descriptions. Aliens, too, are perceived in both male and female forms and in forms of ambiguous sexuality. Indeed, aside from the peculiar accounts of intercourse with humans (where we might hear of a "non-physical" penis, etc., etc.), there seem to be no externally obvious anatomical features that in themselves proclaim the sex of the aliens<sup>21</sup> – and abductees report being completely unable to tell "one Small Being from another." Where the Taller Beings are concerned, things are different; abductees always say they know whether they are dealing with a male or a female. Jacobs again:

The female is often the same size as the [male] Taller Being. She has

no mammary glands and no hair, cranial, facial or pubic. When asked to describe the differences between the male and the female, the abductees say that the female is thinner, more "graceful," more "sensitive," and "kinder." Even with these vague descriptions, the abductees are quite clear about whether they are being tended by a male or female.<sup>23</sup>

Last but not least, what little is known about the social organization of the aliens coincides with the informant's remarks, quoted above, on the social organization of the *Sidhe*. For we saw in Chapter Nine that, amongst the aliens, the Taller Beings are indeed in command of, and deferred to absolutely by, the Small Beings, and that abductees do very often experience something impersonal, inhuman, or hive-like in the highly programmed way that the latter in particular go about their tasks.<sup>24</sup>

### Doors and windows no obstacle to fairies and aliens

Another quite different characteristic shared by fairies of all periods and aliens today is that they both seem to be masters of spectacularly advanced forms of camouflage and stealth technology:

Not only do fairies render themselves invisible, but they also render people, animate and inanimate things invisible.<sup>25</sup>

They [the aliens] cause themselves, humans and other matter to be invisible when they are outside the confines of the UFO.<sup>26</sup>

We have seen that both fairies and aliens can shape-shift into multiple forms and both can appear and disappear at will. Countless examples also testify to the uncanny ability of both to pass effortlessly through solid walls and closed windows.<sup>27</sup> More alarmingly, there are strong indications from fairy lore, and definite claims on the part of alien abductees, that this ability can also be harnessed in the other direction for the transport of humans; at any rate, there are many cases on record of abductees who claim to have been passed bodily through

solid walls and closed windows.<sup>28</sup>

Understandably, the experience is a strange one. John Mack reports that:

when they are taken or floated through the wall, ceiling or window of their homes or through the door of a car, the abductees feel as if an intense energy is separating every cell, or even every molecule, of their bodies. So powerful is this feeling that experiencers often find it remarkable that they "come back together" whole on the other side of the wall ... The abductees speak of powerful vibratory sensations in their arms, legs and other parts of their bodies . . . <sup>29</sup>

An oddly convincing detail, evident over large numbers of abductions, is that the aliens seem to prefer closed windows to walls if they must pass an abductee through one or the other. According to David Jacobs: "The beings appear to seek out a window. Sometimes the aliens will take the abductees out of their bedrooms and into another room and then out through a window there." In one typical case, a mother and her son sleeping in two different rooms in a basement were abducted together. Both were walked out of their bedrooms and into the bathroom:

She wondered why they were crowding into the bathroom and then she realized that the bathroom was the only room in the basement with a window. Soon a bright light entered and they flew out of the window.<sup>31</sup>

Karin, one of John Mack's patients, perhaps shed some light on this mysterious technology – and its limitations – when she described her own experience:

It's racking just to go through the window because they have to alter your vibration in order to get a solid object to pass through another solid object, literally. And that happens. You go through a window or wall – they prefer the window.<sup>32</sup>

### The blast

Another hint of shared fairy-alien technology comes in the spectacular ability of both to "zap" people, strike them down, paralyze them with unseen rays, and penetrate their bodies with supernatural projectiles.<sup>33</sup> Once again, considerations of space oblige me to cut a long story short. In a paper comparing fairy and alien lore, Peter Rojcewicz points to the mysterious force known as the "fairy blast," widely referred to in traditional accounts, whereby fairies attacked humans and farm animals, knocking them off their feet and putting them "immediately into a state of unconsciousness."34 Another weapon was "elf-shot," often used "by angry fairies in contexts where individuals intrude on fairy territory or cross fairy paths,"35 and which felt like an arrow or other projectile entering the body – although the skin was not broken - and left a festering internal wound from which foreign elements were often later surgically removed.<sup>36</sup> In the seventeenth century, the Reverend Robert Kirk described a similar fairy device "flying as a dart with great force . . . mortally wounding the vital parts without breaking the skin, some of which wounds, I have observed in beasts, and felt them with my hands."37

Looking for parallels in alien lore, we might mention the phenomenon of cattle mutilations, often associated with UFO sightings,<sup>38</sup> while assaults on humans are equally common. Rojcewicz gives details of a number of cases including those of

Maurice Masse and Herb Schrime [who] both experienced a paralysis after being "hit" by something from a circular object. Like those who receive the "fairy blast," Travis Walton was "gunned down" by a brilliant beam of bluish white light that lifted him a foot into the air before laying him out on the ground, his head thrown back, his arms and legs wide apart. A beam of green light rendered Inacio de Souza unconscious. Police sergeant Charles Moody felt "numb" after he saw a UFO.<sup>39</sup>

Patrick Harpur reports a case of great interest from 1970 that overlaps characteristic elements of fairies and UFOs. Two skiers in Finland entered a wood, where they were soon "stopped in their tracks by a round craft that hovered above them." It sent "an intense beam of light downwards onto the forest floor," in the midst of which a humanoid about a meter tall was seen. He held a black box that itself emitted a pulsating beam of yellow light, which he aimed at the skiers. Other than this piece of technology, however, he was a typical medieval fairy, "with thin arms and legs, a pale waxy face, small ears that narrowed towards the head and he was dressed in green with a conical hat." One of the skiers felt a powerful force exerted on his body, which he associated with the pulsating beam of light. It was as though he had been "seized by the waist and pulled backwards." Moments later, the UFO emitted a cloud of red-gray mist which enveloped the whole scene. When it cleared, both the UFO and the being had disappeared. The skier who had taken the force of the beam was numb on his right side, with no strength in his right leg. His friend had to drag him home. 40

# Don't interrupt fairies when they're dancing

On the basis of the evidence I have put before the reader in the last five chapters, it seems to me beyond serious dispute that fairies, spirits, and aliens are all locally customized adaptations of the same extremely puzzling and mysterious core phenomena. What has been demonstrated, in contexts as different as medieval Europe, seventeenth-century Siberia and modern America is a constantly repeated pattern involving sightings and encounters, abductions by otherworldly beings, specific types of procedures carried out during abductions, settings in which abductions unfold, etc., etc. – a pattern that appears to hold true at all levels down to the minutest details. Such repetition and cross-cultural consistency should in themselves increase our confidence that we may be dealing with something real here, and at least give us reason to take these phenomena seriously – as those who have experienced them *always* do.

If we are prepared to set aside the automatic skepticism and reductionism of our age, and if we spell out the problem in plain language, then we find that we are contemplating the existence – and powerful intervention in our lives – of highly intelligent *discarnate* entities

belonging to an order of creation fundamentally different from our own. Paradoxically, however, these non-physical entities seem to have mastered a "technology," and I use the word advisedly, that enables them to enter our material world and to manifest in it in a quasiphysical, "shape-shifting," sometimes therianthropic, sometimes large-headed and small-bodied humanoid form – but always evanescent, ephemeral, somehow luminous, almost but not quite transparent.

With that in mind, let's take a closer look at the group of fairies, mentioned earlier, seen in Suffolk in 1842:

They were moving round hand in hand in a ring, no noise came from them. They seemed light and shadowy, not like solid bodies . . . I ran home and called three women to come back with me and see them. But when we got to the place they were all gone. 41

It is remarkable how frequently the activity that fairies were engaged in when they were sighted was described as some sort of circular dance, "moving round hand in hand in a ring." It is surprising too, as we will see, how frequently those humans who stumbled upon the dance say that it culminated in the disappearance of the fairies, and how dangerous they could sometimes become if they were interrupted in the midst of it. The witness from Suffolk probably did well to run away as fast as his legs could carry him. Around the year 1908, W.Y. Evans-Wentz interviewed a certain Neil Colton of Lough Derg in County Donegal, Ireland. Then 73 years old, Colton told of a time when he was a boy out gathering bilberries with his brother and cousin, and came suddenly across a group of six or eight fairies, "and they dancing." When the fairies saw the children, things went wrong very quickly:

a little woman dressed all in red came running out from them towards us, and she struck my cousin across the face with what seemed to be a green rush. We ran for home as hard as we could, and when my cousin reached the house she fell dead.<sup>43</sup>

Following the intervention of the local Catholic priest, Colton added, the girl was later revived.<sup>44</sup> Her condition had been one not of death but of narcolepsy.

A rather similar story comes down to us from the Reverend Edward Williams, born in Denbighshire in north Wales around 1750, and concerns events that took place there when he was about seven years old. Together with several older children, Williams was playing at the edge of a field when he observed near the middle of it:

a company of – what shall I call them? – *Beings*, neither men, women, nor children, dancing with great briskness . . . They appeared of a size somewhat like our own, but more like dwarves than children . . . <sup>45</sup>

At this moment the children observed one of the company:

starting from the rest and making towards us with a running pace. I being the youngest was the last at the stile, and, though struck with an inexpressible panic, saw the *grim elf* just at my heels, having a full and clear, though terrific view of him, with his ancient, swarthy and grim complexion . . . This warlike Lilliputian leaned over and stretched himself after me, but came not over . . . <sup>46</sup>

Fairies were often quick to anger and ready to do harm, ornery, unpredictable, and habitually violent. In that respect, the stories of Colton and Williams are not very different from many others. Still, my attention had been grabbed by the clear line of cause and effect that runs through both these reports. Remember that we are suspending disbelief here, considering accounts of fairies, spirits, and aliens on their own terms, without a priori judgments about their reality status, so that we may compare them and expose any common patterns. If we stay with that method, take this story on its own terms, and do not simply insist that these Irish and Welsh children were suffering from foolish collective delusions, then it is clear in each case that their arrival on the scene must have been perceived as a *threat* to what the fairies were doing at that very moment – in other words

dancing – and that in each case the attack was a direct reaction to that threat.

Why should the fairies have wanted to protect the dance? My curiosity led me to examine other accounts of this phenomenon.

# Dancing and disappearing

The tribe of fairies known amongst the Breton people of northern France as *kornigan* or corrigans could, at will, assume "any animal form," and were able, moreover, "to travel from one end of the world to another in the twinkling of an eye."<sup>47</sup> They were said to "dance in a circle holding hands, but at the least noise disappear."<sup>48</sup>

In a field in the Isle of Man around the year 1730, fairies were seen "playing and leaping over some stones." Perhaps with more risk to



The fairy dance. Note hallucinogenic mushroom (with the characteristic spotted cap of Amanita muscaria) in the foreground, doorway into a "hollow hill" – the entrance of Fairyland – far left, and tree spirit to the right (Fortean Picture Library)

himself than he realized, the witness managed to get close to them. "But when he approached, as near as he could guess, within twenty paces, they all immediately disappeared."<sup>49</sup>

One afternoon in 1945, J. Foot White and a companion were walking through the Dorsetshire countryside when they saw a group of about 20 small beings:

Their hands were joined, and all held up, as they merrily danced round in a perfect circle. We stood watching them, when in an instant they all vanished from our sight. My companion told me they were fairies, and they often came to that particular part to hold their revels. It may be our presence disturbed them.<sup>50</sup>

As recently as August 10, 1977, well into the UFO age, fairy "overlaps" were still occurring. On that day, a police constable on early-morning patrol first saw a bank of fog – which cleared to reveal three figures dancing in a field. "As he got nearer, however, they disappeared into thin air." The constable described one of the figures as "a man dressed in a sleeveless jerkin, with tight-fitting trousers; the other two figures were women, wearing bonnets, shawls and white dresses." All had an arm raised as if dancing around an invisible maypole. 51

Janet Bord asks rhetorically, "Why are the fairies so often seen dancing?" but feels that this is an "unanswerable question." Later, commenting on the huge numbers of accounts that exist of the dance, many collected together for the first time in her excellent book *Fairies:* Real Encounters with Little People, she adds that in her view these consistent descriptions

have the ring of truth . . . though I am at a loss to explain why the fairies should so much enjoy dancing . . . Perhaps it is simply that they enjoy it and will take every opportunity to relax in this way; perhaps they are performing rituals of some kind . . . <sup>53</sup>

# Test-driving the dance

But perhaps something altogether different is involved. When I compare the very typical and representative accounts cited above of dances underway followed by the abrupt disappearance of the dancers, with the parallel typical and representative accounts of dances underway followed by attacks on witnesses, the hypothesis that suggests itself to me is that the fairy dance is not about revels or enjoyment at all, or even very much about rituals. What I propose

instead is that its vigorous, brisk, energetic movements and generally circular pattern may be some kind of technique – or technology, just as the San trance dance is a technology – to transmit the dancers from this world to the otherworld, and back again as well. I once more remind the reader that we are suspending disbelief here and speculating freely. I therefore feel at liberty to suggest that attacks on witnesses may be triggered if the dance is interrupted before the required momentum to jump the gap between worlds has been built up, but may become unnecessary once that momentum has been attained and disappearance is just seconds away. This would explain the evidence which shows some witnesses receiving the fairy blast and some not, apparently arbitrarily, when in reality the decisive factor may be at what point in the progression of the dance the witness appears, or whether the witness is far enough away from the dance to avoid detection or to be judged no threat.

Another of Bord's collection of fairy dance traditions illustrates this last point. It is from Carmarthenshire in Wales, and dates back to 1862, when David Evans and Evan Lewis paused on the road near a place called Cwmdwr and saw a group of about 50 "small people" walking fast one after another up a hillside path some 400 yards away. A rather extraordinary scene then unfolded on the distant hilltop that is strongly suggestive of deliberately induced transit between this world and the otherworld:

The first of those who were climbing up along the winding footpath had reached a small level spot on the top of the hill. The others quickly followed him, and each one in coming to the top gave a jump to dance, and they formed a circle. After dancing for a short time, one of the dancers turned into the middle of the circle, followed by the others, one by one till they appeared like a gimblet screw. Then they disappeared into the ground. After a while one of them reappeared again, and looked about him in every direction as a rat, and the others followed him one by one and did the same. Then they danced for some time as before, and vanished into the ground as they had done the first time.<sup>54</sup>

What on earth – and apparently somewhere other as well – was going on here? What was this backwards and forwards movement between realms, between the everyday world and the underworld of Fairyland - which is a dimension that we know may at one moment be found in deep caverns, at another beneath seas or lakes, and at another in the sky? What were these 50 small beings really doing when they danced themselves into the form of a corkscrew and descended beneath the hills of Carmarthenshire, then reappeared, and danced some more only for the ground to open beneath their feet and swallow them up once again? It almost seems to me as though they were trying the system out - in a sense "test-driving" the dance. We don't know where it transported them too - other than loosely "underground." But if we take the story at face value, as a sighting, as an eyewitness report, then what we do know is that this particular dance does definitely seem to have been entered into and deployed with the explicit purpose of making this particular group of fairies disappear, that the dance itself was highly disciplined and structured, and that it involved the entire team working in almost hive-like coordination to form first a circle then a spiral that corkscrewed into the earth and vanished.

# Ring portals (1)

What is unusual about this report is not only that the trick of disappearance was pulled off twice on the same spot by the same group of dancers within a few moments, but also that the performance of the dance was witnessed from beginning to end – an extremely rare state of affairs. Janet Bord's collection of relevant traditions does, however, contain one other example where the entire sequence of the dance was observed. This report dates back more than 100 years, to a time when people still believed in fairies, long before the beginning of the modern UFO age. Yet, as Bord points out, it contains a number of ingredients that are more reminiscent of UFO than fairy phenomena. The eyewitness was David Williams, a manservant who lived at Penrhyndeudraeth, Gwynedd, North Wales, and he and his wife were walking home on the night in question with David lagging behind.

He was distracted, but she not, by "a brilliant meteor passing through the air," followed

by a ring or hoop of fire, and within this hoop stood a man and woman of small size, handsomely dressed. With one arm they embraced each other, and with the other they took hold of the hoop, and their feet rested on the concave surface of the ring. When the hoop reached the earth, these two beings jumped out of it, and immediately proceeded to make a circle on the ground. As soon as this was done, a large number of men and women instantly appeared, and to the sweetest music that ear ever heard commenced dancing round and round the circle.<sup>55</sup>

Williams paused to observe the scene for what he thought was about three minutes until the "meteor" was suddenly present again, hovering above, and "the fiery hoop" returned – at which point the lady and gentleman jumped on board their transport and disappeared into the sky. At the same moment "the fairies vanished from sight." <sup>56</sup>

Was the circle that the lady and gentleman inscribed on the ground at the beginning of the scene some kind of portal to bring the group of dancers through into this world from Fairyland, and to send them back again? I have racked my brains to try to figure out what might have been going on, what sort of procedure or process it was that David Williams observed and tried to describe in his report, and I see no easy answer. But there is one more detail that may provide a clue. Williams thought he had observed the dance for three minutes, but when he arrived home after his wife, she informed him in no uncertain terms that he was a full three hours behind her. "Does this mean," asks Bord, "that he unwittingly strayed into another world – fairyland – where time runs at a different speed?" "57

### The dance and time

The way that time behaves around the fairy dance is distinctly odd, and the accounts that are given of it, even when they go back centuries, seem to take for granted one of the very sophisticated notions of physics that has only been adopted by science in the past 100 years –

namely that the passage of time is not absolute or universal, but is instead relative to the place and motion of the observer.<sup>58</sup> Were there to exist other dimensions, or multiple other dimensions as quantum physicists maintain, then we would expect the flow of time to be different in each one of them and to adhere strictly to local rules, regularities, circumstances, and conditions in each case. It follows that any hypothetical interdimensional travelers would have to contend with the relativity of time - where, for example, three minutes in one realm might indeed prove to be equivalent to three hours in another. Remember, this is all hypothetical, so we can add, just for fun, that if the dance was a vehicle for interdimensional travel, then perhaps the forces unleashed in jumping between realms might have generated a vortex powerful enough to suck in unwary humans who came too close. Could this even be why the dancers felt threatened when humans approached and rushed to frighten them off, and why they were less concerned when witnesses were a safe distance away or when they were seen too late for anything useful to be done about them?

Many different traditions attest that humans *did* get trapped in the dance, stuck fast like flies in amber. A nineteenth-century woodcut shows fairies moving in a ring around the perimeter of a circular depression. One man is being drawn in – and he looks as though he



"Twm pulling his friend Iago out of the Fairy Circle." Nineteenth-century woodcut.

very much wants to go. But his friend is pulling him back to safety.<sup>59</sup> Sometimes, when no friend was nearby to lend a hand, people trapped in this way would be invited to enter Fairyland, often with bewildering and tragic consequences. In the 1960s, folklorist Robin Glyndaff was told the following story by an elderly Welsh tradition-bearer:

Two men . . . went for a stroll and one of them lost the other . . . And what one of them saw was fairies dancing and he stopped to look at them and to listen to them. And that's where he was for a bit, for about two or three hours, he thought. Then he went home and on the way he met this man who greeted him: "Good evening." "Good evening," he replied. "Hello," he said, "aren't you Jack, my cousin?" "God yes," said he. "How long is it since we lost each other?" said the other man. "Well, let me think. I've been married ten years and it was five years after losing you that I married. That makes fifteen years." And he thought he'd only been in the company of the fairies for a couple of hours. 60

Here's another variant of the story – or another consequence of the same phenomenon – also from Wales, in which the time penalty paid by the human victim was much more severe. It alerts us to the significance that was once accorded to the bright green circles (known colloquially as "fairy rings" or "fairy circles") that often appear in meadows, marshlands and floodplains. Thanks to science, we know today that these distinctive patterns are the result of soil enrichment due to subsurface fungal growth. But because extensive traditions and folklore have survived, together with numerous witness accounts collected by ethnographers, we also know that they were repeatedly identified as favored spots for the fairy dance. Another of Glyndaff's elderly informants recalled one such "very strange round circle," greener than the surrounding marshland in which it stood, and the fear in which it was held:

I heard about a boy who entered the circle . . . And the fairies came to dance and the boy stood in the center looking at them. He wasn't realizing that time was passing, but one of the fairies came to him. "Would you like to come with us to our country?" "Dear me, yes," the

boy replied. "Well, come down these steps here this way." And he hadn't noticed before that there were any steps there. But he goes down these steps, down and down. And after walking for a while he came to a certain country, the most beautiful he had ever seen . . . 61

In this land the lad was feasted and entertained, allowed to sleep overnight, and then the next day escorted to the top of the steps again:

And after he returned to the circle he makes his way for home. And he goes to the house. Dear me! There were strangers in the house. No one knew him. "Where are my father and mother?" he asks. "Your father and mother?" they said. "Goodness me! We don't know who you are, tell us your name." "Robin Jones is my name," he replied . . . And they said, "We heard some talk once about a Robin who had gone into the fairy circle, but this happened over a hundred years ago." And the boy had been in Fairyland for one hundred years and had returned. Then he didn't know anybody.<sup>62</sup>

The idea of a circle in the grass as some kind of portal to another world, another dimension, another frame of time, is also found in a third Welsh story where we hear of a young girl on her way home from the shops to buy a loaf of bread who takes a short cut across a field and makes the mistake of trying to jump across a fairy ring: "But, oh dear! Before she completes her jump she has disappeared." Eventually she does return home, with the loaf still fresh: "Here it is, mam," she says, "here's the loaf for you to have tea." "My dear little girl, where have you been?" "In the shop to get a loaf of bread for you." "Oh! No my girl. Seven years have passed since you went to the shop for a loaf." "

# Ring portals (2)

From Cornwall come parallel traditions that affirm the important connection between circles in the grass and all members of the fairy tribe: Up on Sea-View Green there are two rings where the piskies [pixies] used to dance and play music on a moonlit night. I've heard they would come there from the moors. *Little people* they are called. If you keep quiet when they are dancing you'll see them, but if you make any noise they'll disappear.<sup>64</sup>

Also in Cornwall there were cases in the nineteenth century – long before the modern phenomenon of crop circles began – of "piskies" coming "at night to thrash the farmer's corn." In the sixteenth century, Bishop Olaf Magnus investigated similar rings in crops and grass in Sweden which he attributed to the dance of the elves: "They [the elves] make so deep an impression on the earth that no grass grows there, being burned with extreme heat."

When accounts like these are thrown into the melting pot, I realize we may not even have begun to understand what is going on with the phenomenon known as the fairy dance. Still, I repeat my suggestion that it feels like some sort of technology for jumping between worlds, and in particular for entering and leaving this one. I think the evidence suggests that this technology could not be used at any time and place, but would only work in the context of portals that were circular in form and that were generally, but not always, to be found at certain fixed locations. Fairies dancing – presumably in the otherworld – could be brought through one of these portals into this world (as in the strange story, cited earlier, of a circle inscribed on the ground and dancers suddenly materializing around its circumference, only to vanish again soon afterwards).

But generally, if a group of fairies wished to leave our world and enter another by means of the dance, then a case could be made that they were obliged to do so through a pre-established portal. That would explain why the Dorsetshire fairies cited earlier were said to hold their dances again and again at the same particular spot in the countryside – from which they would then mysteriously disappear – and why Cornish piskies traveled all the way from the moors to dance in the two rings on Sea-View Green, from which they then likewise vanished. A similar example comes from Wales in the mid-nineteenth century, when John Jones, then aged about 18, was walking home late

at night "along a lonely path." He had the sense that he was being followed, and when he turned around, sure enough, he saw "two young men or boys coming after him." They pursued him further, moving quickly, in a way that he found threatening, then suddenly

turned out from the path, and began to jump and to dance, going round and round *as if they followed a ring or a circle* just as we hear of the fairies. They were perfectly white, and very nimble, and . . . there was something supernatural both in their appearance and movements.<sup>67</sup>

Again I get the sense of a fixed mechanism at work in the dance – a mechanism that may indeed be supernatural, generating uncanny forces at the intersection of worlds. Moreover, with its circular form and its ability to materialize and dematerialize itself and its occupants instantly, it reminds me distinctly of many of the things we know, or think we know, about UFOs. Is it possible that whatever objective was achieved by the "spiritual technology" called the fairy dance from the Middle Ages through Victorian times is more often achieved today with the mysterious apparitions that we call UFOs? After all, just as there appear to be definite signs of evolution between fairies and aliens on the matter of changelings, so too there may have been evolution in the means used to travel between this world and Fairyland/UFO-land.

Such a hypothesis does not rule out the possibility of overlaps – of both means being used together – and we've seen examples of true UFOs in the fairy period, and of the fairy dance in the UFO period.

### Evolution

There are many hints in fairy lore that the dance was never exclusive technology and that there were always other means of interdimensional travel. Throughout the Celtic fringe of western Europe, springs, wells, and notably caves were also widely believed to serve as portals to the otherworld, and in these cases the dance does not seem to have been used as the energizing agency.<sup>68</sup> In Catholic Ireland of the early twentieth century, for example, caves were still popularly supposed "to

lead to Hades or an underworld of fairies, demons and spirits . . ."<sup>69</sup> A sixteenth-century woodcut shows a crowd of fairies inside a hollow hill. To the fore are several beautiful females who are attempting to lure a handsome young human male to join them. I draw the reader's attention in passing to the fact that a clump of mushrooms can be seen growing between the young man and the fairies.<sup>70</sup>



In this sixteenth-century woodcut, fairies attempt to entice a handsome young prince to join them inside a hollow hill (Fortean Picture Library)

Nor are such ideas confined to Europe. The hints and clues that certain caves, like the fairy rings, mark the spot of fixed portals to the otherworld are found everywhere. Whether we speak of the *Iruntarinia* fairies of Aboriginal Australia in their perpetually lit caverns, or the spirits who emerge through cracks in the rock to Paviotso shamans in North America, or the Inuit shaman who must enter a cave that closes behind him, we have seen many examples of this idea in previous chapters. Like the fairy dance and the fairy ring, it is an idea that is often caught up with the strange, sophisticated concept of the relativity of time.

A peculiarly evocative example from China tells of the Taoist holy man Wang Chi. Gathering firewood in the mountains one day, he came to a cave and saw inside it a group of elders playing chess. Laying down his axe, Wang Chi entered the cave to watch the game, and soon afterwards one of the old men gave him a capsule about the size of a date stone and instructed him to put it in his mouth. "No sooner had he done so than hunger and thirst passed away." A few more hours passed, then another of the elders told him: "It is a long time since you came here, you should go home now." Wang Chi took his leave, but when he stepped outside the cave to pick up his axe the handle had turned to dust. When he reached his home valley he discovered that "not hours but centuries had passed, and nothing remained of the world as he had known it."

So whatever route humans took on their temporary journeys into the otherworld, whether by the fairy dance or by some other enchantment as in this Chinese example, such stories tell us that they often became badly misplaced in time – a very heavy personal price to pay. It therefore seems to me potentially significant, despite all the other continuities and commonalities linking fairies and aliens, that this most unfortunate "Rip van Winkle" syndrome does not seem to have been encountered even once in the 50 years since UFO abductions first began to attract publicity. There *are* time distortions and, most frequently, abductees are unable to account for short periods of their lives – usually no more than an hour. Dut this well-known problem of "missing time" is quite a different matter from spending what feels subjectively like a few hours in Fairyland and getting home decades or centuries later.

Once again, an evolutionary process seems to have been at work, ironing out the glitches, turning the fairy dance into more efficient UFOs, relinquishing baby theft, and rigorously avoiding the late return of abductees. By whatever name we know them – spirits, fairies, aliens – it really is almost as though the beings we are dealing with have been changing and developing alongside us for thousands of years, and that they therefore cannot simply be mass delusions, as scientists would like us to believe, but must have a definite, independent reality outside the human brain.

It is to serious consideration of this possibility that we shall now turn.

# PART III The Codes

### CHAPTER ELEVEN

# Tuning in to Channel DMT

We saw in Part I that human evolution looks very much like the evolution of every other animal species (even tool-using is not unique to man) from five million years ago down to about 40,000 years ago; then, suddenly, we seem to take an astonishing and unprecedented new tack. By 35,000 years ago in Europe and 27,000 years ago in Africa, rock and cave art have appeared, apparently already sophisticated and fully formed.<sup>1</sup> It is as though an inspired artistic genius in the full flow of his powers has miraculously awakened from an extremely long vegetative coma and begun to paint. His work from the outset is technically adept, magisterial, and numinous, but a much bigger mystery is that it gives special importance to the depiction of therianthropic entities, part human, part beast, for which no model exists, or has ever existed, in the natural world. These, therefore, are depictions of supernatural beings - spirits - and we are obliged to ask from where it was that such fantastic images were conjured up. "Imagination" alone will not suffice as an explanation, since our ancestors had managed to pass the previous five million years without ever showing even the faintest sign that they possessed any symbolic imagination at all. Clearly, therefore, something fundamental must have changed in the way that they perceived their lives, related to the world they lived in, and assessed the boundaries of their reality.

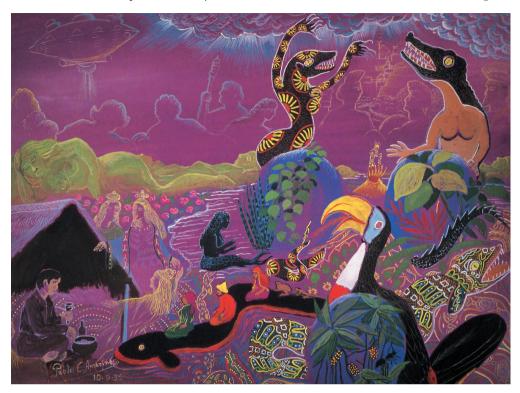
We have addressed the mystery of this great change at length in Part I and pursued David Lewis-Williams' suggestion that the catalyst was the cultivation of altered states of consciousness, most probably first experienced by our ancestors through the accidental consumption of plant or fungal hallucinogens, and subsequently deliberately and systematically sought out. It seems reasonable to suppose that the overwhelmingly potent visions and experiences that we now know can be reliably elicited by psilocybin and other naturally available psychoactive substances would have exploded like incendiary bombs in the dull, somnolent, utilitarian minds of the anatomically modern but behaviorally archaic humans of 40,000 years ago. It may even be the case that *only* such a paroxysm of consciousness, capable of affecting every member of society, would have had sufficient power to demolish the barren and rigid mental structures that had hitherto masked the potential of the human brain and held back the intellectual and cultural evolution of our species.

This is *not* to say that no humans before 40,000 years ago had visionary experiences. Full anatomical modernity was achieved around 200,000 years ago,<sup>2</sup> or perhaps earlier according to some authorities, and much evidence supports the view that there has subsequently been no further change in the size, anatomy, or complexity of the human brain. For at least 200,000 years, therefore, during which our ancestors had the same neurological equipment as we do, it follows that they must also have had our capacity to experience the visions and hallucinatory encounters of altered states of consciousness.

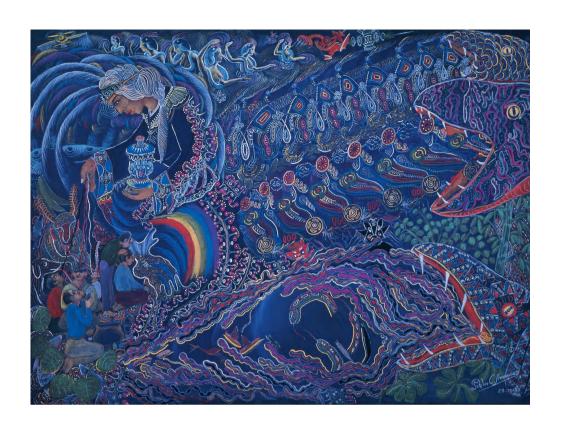
We know from surveys that around 2 per cent of modern adults (and this is a cautious estimate) do in fact seem to be born with the ability to fall spontaneously into very deep states of hallucination. We know that what happens to them in these states is frequently experienced as convincingly *real* and sometimes terrifying abductions by supernatural entities that often first appear to them in therianthropic or animal forms. We know, too, that this apparent genetic capacity to trance has been attested to statistically in the United States, where such visions and experiences are today most frequently construed as contact with aliens – although, as I have tried to demonstrate, they are nothing new and have been construed differently by other cultures in the past.

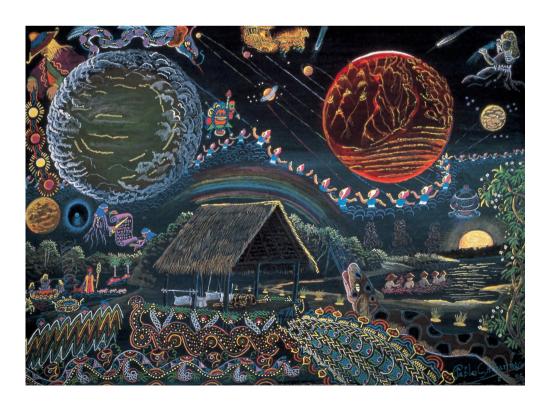
Though it cannot be proved, I think it is highly probable that around 2 per cent of every human population has always been born

Adventures in the spirit world: Ayahuasca visions of Peruvian shaman Pablo Amaringo.





















Human figures with reedbuck heads. Main Caves, the Drakensberg. Note twin serpents, also with reedbuck heads, coiled around the upper body of the figure to the right. Photo: Santha Faija.



Chimera with two heads – feline to the left, antelope to the right – from Heaven Cave in the Karoo.
Photo: Santha Faija.



Humans transforming into antelopes perched on an entoptic zig-zag, Western Cape. Photo: Santha Faiia.



Dancing women with entoptic patterns. Photo: Santha Faiia.



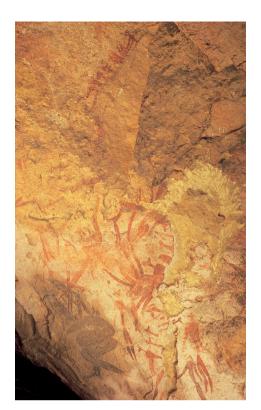
Elephant and human figures with entoptic dots. Photo: Santha Faiia.





The mysterious 'bridge' scene at Junction Shelter in the Drakensberg (see Chapter Nine).

Photo: Santha Faiia.



Left, Snake Rock overview. Below, detail from Snake Rock: a serpent, bleeding from the nose, coiled around an elongated human figure. Photos: Santha Faiia.





Close up of face of one of the Junction Shelter figures. What sort of being is represented here? Photo: Santha Faiia.



Rock art panel depicting a shaman partially transformed into a hoofed animal. Note upside-down antelope-headed snake and figures of fish and eels.

Photo: Santha Faiia.

Examples of entopic patterns in San rock art. Such grids, dots and zig-zags, frequently combined with more complex figures, belong to the universal imagery of altered states of consciousness. Photos: Santha Faiia.









with brain chemistry in just the right state of flux to permit them visions, prophetic knowledge, and encounters with spirits without recourse either to psychoactive plants or to physical methods of trance induction such as rhythmic dancing or drumming. My guess is that such people existed and were experiencing visions and encounters long before the earliest rock art was ever painted. Perhaps it was precisely they – the first shamans, spontaneously "shamanizing" – who were responsible for the odd, isolated breakthroughs of behaviorally modern symbolism *before* 35,000 years ago (for example, the shell necklace and ochre slab decorated with entoptic patterns found at Blombos Cave in South Africa and dated to approximately 77,000 years ago). I don't rule this out at all, but the archaeological record says that the influence of such visionaries on their fellows in the Stone Age was for a long while no greater then than the influence of UFO abductees is in modern society – i.e. pretty much zero.

I suggest that not only art, but the entire switch to behavioral modernity, came when those in any Stone Age society who lacked the genetic capacity to trance spontaneously were enabled to do so by the discovery and subsequent systematic exploitation of plant hallucinogens, or one of the physical methods of trance induction. The hypothesis is that it was this "democratization" of altered states of consciousness, the possibility for the entire community to share in the life-changing visions and encounters that had previously been limited to a very few, that brought new, more open, more creative, more innovative, more flexible, more intuitive, and frankly more intelligent ways of thinking to a point of "critical mass" in society after society, and ushered in the single most decisive shift ever to have occurred in human evolution. We need not be surprised that the archaeological record shows this moment being reached at different times in different places, sometimes with intervals of thousands of years between the awakening of one group and another. If the trigger factor in every case was the discovery of reliable means for all to enter altered states of consciousness, and if this first discovery was often accidental, then we would not expect to find modern human behavior emerging everywhere all at once, but rather in stages and somewhat randomly – which is in fact what we see in the archaeological record. Once the process

had started, however, it could not be stopped, as people who did not know how to use altered states of consciousness would sooner or later have encountered people who did, and would have learned from them.

# Concealed matrix and the receiving mind

The fundamental experiences of the shamanic trance in all times and places have been the otherworld journey and the shaman's initiation by, encounters with, and reception of powers from supernatural entities. For reasons we have explored in Part I, we can say with a high degree of confidence that the art of the painted caves of Upper Paleolithic Europe and the rock art of the San of southern Africa were both inspired by exactly such otherworld journeys and encounters experienced in deeply altered states of consciousness. We have seen that the outward forms in which experiences of this kind clothe themselves – in other words, the ways in which they are construed and the ways in which they are portrayed – can change dramatically from culture to culture. However, we should not forget that "normal" perceptions and experiences do so as well.

For example, if five different people witness a crime, the police are used to being given five quite different descriptions of the perpetrator. This is because interpretation is built into our perceptual processes from the outset, and we each bring our own preconditioning, individual experiences, and cultural preferences to bear on what we see and on how we experience reality. The fact that our hallucinations are also hugely influenced by our own preconditioning, individual experiences, and cultural preferences therefore tells us more about standard mechanisms underlying *all* forms of perception than it does about the objective reality – or not – of the scenes and beings encountered in hallucinations.

Stated simply, the great variety of imagery seen in trance states by different cultures and peoples (or even by the same person in different moods and settings) does not prove that "there is nothing there" at the source of the images, or that they are all cooked up "within the brain" – any more than the variety of witness descriptions proves that there was no crime. The corollary, however, is that any underlying *similarities* 

between the hallucinated experiences of different peoples and cultures at different periods of history and prehistory should be of the greatest interest and taken fully into account in weighing up the reality status of the supernatural realms and beings that feature in such experiences.

We encounter the supernatural, or what we think of as the supernatural, in many different guises, and there are as many different ways to approach and explore it. In a book, there are strict limitations of space, so out of a desire for parsimony and to maintain focus, my strategy has been to concentrate on three specific manifestations of the supernatural in unconnected settings and epochs where similarities ought, legitimately, to be least expected.

The first manifestation we have explored has been the spirit world, so evident in prehistoric rock art and still actively experienced to this day in surviving shamanic cultures as far afield as central and southern Africa, Australia, and the Amazon rain forest. The second manifestation has been the fascination with the supernatural beings known as fairies that captivated the imagination of Europe from medieval through to Victorian times. The third manifestation has been the modern experience, particularly strong in North America, of encounters with UFOs and aliens.

Beneath the obvious superficial and culturally determined differences, our close examination of all three cases in the previous chapters reveals the existence of a concealed matrix of deep structural similarities and connections. It is also particularly notable how certain common themes, such as therianthropic transformations, the presence of dwarf-like humanoids with large oblique eyes and oversized teardrop-shaped heads, the presence of owls, the ordeal of the "wounded man," and the breeding of hybrid infants, seem to be expressed over and over again. Extending from the Upper Paleolithic to the Space Age, such close and distinctive continuities and commonalities would seem extraordinary enough if they had arisen in response to easily identifiable, objective, and long-lasting external stimuli that all had shared. But for them to show so much consistency over so long a period in the absence of any objective "real world" stimulus at all is a much more mysterious matter.

It raises again the question of the mind as a receiver rather than

simply as a generator of consciousness, and the possibility that everyone who has ever experienced abduction by aliens or fairies, or initiation with painful piercings and tortures by spirits, automatically takes for granted – namely, that the otherworlds we explore and the supernatural entities we encounter in trance states do have an objective reality all of their own and do have an independent existence outside our brains.

#### A common molecule with uncommon effects

It was this possibility that Dr. Rick Strassman, a psychiatrist working at the University of New Mexico, was forced to contemplate when he began human trials in the 1990s with dimethyltryptamine (DMT). In Chapter Three we saw that this potent hallucinogen, which shamans in the Amazon extract from the leaves and resins of a number of jungle plants, forms the principal active ingredient of ayahuasca. But DMT is also very closely related, at the molecular level, to the fungal hallucinogen psilocybin, and to serotonin (5-hydroxytryptamine), the most important neurotransmitter in the human brain.

Widely distributed throughout the plant and animal kingdoms, "DMT is, most simply, almost everywhere you choose to look," says biochemist Alexander Shulgin. "It is in this flower here, in that tree over there, and in yonder animal." Rick Strassman adds that it is "part of the normal makeup of humans and other mammals; marine animals; grasses and peas; toads and frogs; mushrooms and molds; and barks, flowers and roots." In addition, our brains themselves synthesize and produce DMT, which has been found to be naturally present in human blood, in human brain tissue, and in the cerebrospinal fluid bathing the brain. By any standards, therefore, we are talking about a relatively common molecule. What is most *uncommon*, however, is the storm of hallucinations unleashed in human subjects when naturally occurring levels of DMT in the brain are raised above a certain threshold.

The nominal purpose of Strassman's research was to discover whether psychoactive doses of DMT given over sustained periods of time might have any medical or therapeutic applications. To cut a long story short, no such applications were identified,<sup>7</sup> but instead something else, quite unexpected, came to light. Many of the subjects experienced intense encounters with supernatural, non-physical beings during their DMT trips, encounters that they often received – like the shamans of old – as profound and disturbing revelations. Several different volunteers gave accounts of virtually identical experiences, and even seemed to be entering the *same* realms and communicating with the *same* beings. In a large number of instances, Strassman was stunned to realize, these experiences, realms, and beings were identical to those reported to John Mack and other psychiatric counselors by people who believed they had been abducted by aliens.<sup>8</sup>

Clearly there had been no physical abduction here. In each case, the subjects had remained throughout on a hospital bed in the University of New Mexico and directly under Rick Strassman's watchful eye. Yet, with intramuscular injections of DMT in doses as low as 0.2 milligrams per kilogram of body weight (only tiny quantities of this substance are required to induce hallucinations), their consciousness had been effortlessly projected to the same sorts of places that UFO abductees say they are taken, where they underwent the same sorts of ordeals at the hands of the same sorts of enigmatic beings.

In Chapter Twelve we will look in detail at what the volunteers had to say. Their experiences were not identical to mine, but overall there were many striking similarities. The main difference, I think, was that I was obliged to use a much less efficient method of delivery, and was much more frightened and unsure of my ground.

### **Smoking DMT**

I applied the naked flame of a butane lighter to the ruby-red blob of crystalline resin, about one third the size of a pea, that I had just crumbled into the bowl of a glass pipe. There was some crackling and hissing as I inhaled deeply and filled my lungs with smoke. The taste was much less noxious than I had expected, and the substance had an odd smell, not at all unpleasant, that reminded me of plasticine. I held my breath, retaining the smoke, giving the DMT a chance to work its way through the lining of my lungs and into my bloodstream. Even

before I exhaled I had begun to feel very dizzy – the sort of instant buzz and disorientation that I used to get years ago from a big hit of marijuana.

I flicked the lighter on again and moved it towards the bowl. But hold on! Where was the DMT? The resin had melted into a thick clear liquid that now lay pooled in the pipe's mesh foil and was presumably already dripping through it into the bottom of the bowl. I put the flame to the liquid and inhaled again, this time getting much less smoke, which I retained in my lungs for about ten seconds before I exhaled. There was now just a smear left on the foil.

I sat back. What was I experiencing, if anything?

Well, I was definitely experiencing something. For a start, I was in the midst of a serious light-headed buzz. I felt pretty good, but also scared. I wanted to lie down under a blanket, and at the same time get up and pace around. I closed my eyes. If there were to be visions, that would be where I would first see them, behind my closed eyes. But I saw nothing, or perhaps not quite nothing – perhaps just the faintest hint of random lines and flashes of light, the slightest disturbance and rippling on the edge of consciousness. This too rapidly faded, and within ten minutes the buzz and disorientation had cleared completely, leaving me in full possession of all my senses and faculties.

If we lived in a free society and had made an adult choice to use hallucinogens to explore our own consciousness, then we would be able to acquire good-quality injectable DMT from our local pharmacy, along with a safe system of delivery and excellent advice on how to use the drug, and on its risks, benefits and contraindications. Unfortunately, however, the powers of this world have determined that we in the wealthy industrialized countries are not under any circumstances to be permitted to use hallucinogens for consciousness exploration, or any other purpose, and that if we do we may be sent to prison for up to 30 years. Naturally, this brazen and inexplicable breach of our basic human right of sovereignty over our own minds does make it quite difficult to acquire DMT – and particularly to acquire pure high-quality DMT.

So this was my problem. Given the choice, given the liberty to act

rationally and wisely in the best interests of my health, I would have preferred to be like Rick Strassman's very fortunate volunteers and to receive my DMT in the form of injections. But because my society denies me that choice, and would imprison me for making it, and because government-sanctioned projects like Strassman's are as rare as hen's teeth, I had been forced to acquire the drug "on the street." It came to me through contacts I trust, so I had no doubt that what I was getting would be of tried-and-tested quality, not some dangerous rip-off cocktail of bogus chemicals – always a possibility with street drugs. But I had to take what was available, and what was available was this ruby-red resinous tree-bark extract, loaded up by nature with very high concentrations of DMT. Smoking it was a hit-and-miss method of delivery, far less efficient than Rick Strassman's wonderfully precise intramuscular injections, and potentially very wasteful of the substance into the bargain – as I had now discovered.

I took stock of what remained of my stash. There was one solid lump of resin about twice as large as the piece I had already burned. And in a separate plastic bag I had what looked like the same amount again, but broken up into powder and flakes.

Dose was absolutely crucial with this drug, and in my first session I had smoked too little. This was obviously because I had not used enough of the resin, and because the little that I had used melted down and became unsmokeable almost immediately. I removed the foil with its smear of salmon-colored residue from my pipe and replaced it with a fresh one. I had strong feelings of apprehension, and indeed fear, about using DMT, and had it not been essential for my work to get acquainted with this molecule I do not think I would ever have gotten this far with it. Now I could not bear the thought of my low-level dread of the DMT experience carrying on for even another hour. I had to get this done! I therefore decided to smoke all of the rest of the main lump in one session – which would give me at least double the dose I'd had already. Presumably, the trick would be to apply the flame in such a way that not all of the resin burned at once, thus allowing at least four or five good hits on it before it disappeared.

The resin broke up easily between my fingers into crystalline crumbs, which I sprinkled on the new, clean foil. It made quite a

formidable little pile. And I was going to smoke all this? At once? I must be crazy. Santha was sitting beside me now, and handed me a glass of spring water from the shrine of the Blessed Virgin Mary at Lourdes, which we'd visited together eight months previously. I'm not a Christian, but the grotto at Lourdes was a fairy cave before Catholicism adopted it, and when little Bernadette Soubirous experienced visions in front of it in the mid-nineteenth century, she initially described the female figure she saw not as the Virgin Mary but as *un petito damizela*, "a little lady" – a term redolent of the fairy lore of the Pyrenees. Another thing that is not widely known about Lourdes is that a large quantity of portable Upper Paleolithic art was found there, but this is a matter that we will return to.

#### Whirlwind

I clicked on the lighter, held it over the edge of the bowl, and tried to avoid igniting all the resin at once. There was crackling and hissing as before, and I inhaled deeply, this time drawing down what felt like a large amount of smoke into my lungs. I held it there for some seconds, then slowly breathed out and reinhaled about half the smoke. The immediate rapid buzz was back, multiplied in strength. I exhaled again, peered into the bowl. Hmm, quite a lot left this time! I flicked the lighter back on and applied the flame to the other side of the diminished melting pile. Crackle. Hiss. Another big inhalation . . . hold it, breathe out, reinhale, breathe out. Fire up the lighter again. This time the target is that solid little chunk of resin standing out of a sea of meltdown. Click. Crackle. Hiss. Inhale. Breathe out . . .

By now I was feeling way beyond buzz, way beyond disoriented. I needed to lie down fast. But there was still something that could be smoked left in that bowl. Having come this far, I knew it was my duty to smoke it all and make certain I got a good dose. Click. Crackle. Hiss. Inhale. I handed the pipe and lighter to Santha, and stretched out full-length on the couch with my eyes closed.

Although my rational observing consciousness never left me for a moment, I now found myself fully immersed in an extremely potent psychedelic episode. I felt as though I were being drawn up out of my body by some utterly irresistible impersonal force, like a flood or a whirlwind, and carried off to a far-off, high-up place. Then, while I was still in the midst of that vertiginous upwards rush, a seething mass of incredibly rich, deeply saturated colors presented themselves across my entire field of vision, coiled into serpentine internested wave patterns decorated with bright dots like the bodies of a thousand snakes – the whole glowing, luminous mass pulsating and writhing and filled with an extraordinary and indescribable sense of menace. I felt real fear now. My heart was beating faster. I was sweating. Santha had her cool hand on me, but after a while I started to be afraid that somehow she would get drawn into this vortex and asked her to sit back. I groaned and moaned. And all the while, eyes open or closed, the mass of seething colors invaded my consciousness and called insistently for my attention.

Then suddenly . . . WHOOSH!! It happened unbelievably fast. One second I was outside the wall of colors, mesmerized and menaced by it. The next second . . . BAM! I was projected through it into some strange, pristine geometrical space on the other side of the wall.

### High-speed machine beings

What was this place? Where was I? How had I gotten here? I hardly had time to ask any of the basic questions when things started happening and information started flowing.

The first thing was that I felt the presence of other intelligences with me in this weird geometrical room with transparent planes and impossible angles. What I could see were hints and suggestions of very rapid, purposive activity around the edges of the room, as though beings were there but moving so fast that they were a blur.

At this point I said out loud: "I'm scared. This is a scary place. I want to get out of here." I was shaking and trembling, partly with genuine fear, partly because I was suddenly feeling very cold. But I knew that I couldn't escape from any of this until the DMT let me go.

I paid attention to the fast-moving little entities zipping around the margins of the geometrical room as though they were running on rails around the circuit boards of a giant computer, and gradually the scene opened up to me. Still I could not see the beings, exactly, could not make out definite form, but I felt a strong sense of communication with them. It dawned on me that this place I was in had something to do with teaching. I was here to learn, and these high-speed little machine-like beings were my instruments of instruction.

At that moment, and for perhaps the next one or two minutes of real time, I was introduced – to the limited extent I was able to grasp it – to a true otherworld, organized completely differently from our own, where our laws of physics do not apply, where there are more than three dimensions, and where vast amounts of information have been stored. I had the strong sense that I was being shown around very, very quickly – "this is how we do things here; this is how we are" – and that the purpose of the lesson was as a kind of induction to enable me to use their world properly. To that extent I was a bit like an immigrant, not knowing the language, being given a lecture by earnest local officials. They meant well and definitely had useful things to tell me. I meant well and definitely wanted to hear what they had to say. But we couldn't understand one another.

Towards the end of the experience, I was shown a lozenge-shaped screen filled up with the same internested serpentine wave patterns in the same seething colors that I had seen on the way in. I felt drawn towards the patterns. Then . . . WHUUMPH! As suddenly as it had started, I was back on Channel Normal.

The first and most powerful emotion I felt was relief.

### Script

I was now reduced to the powdery substance in the plastic bag. It wasn't identical to the resin I had finished. Perhaps it was older. Or perhaps it had been derived from a different species of DMT-producing plant. I started off with a third of it, but this was a mistake, since – quite predictably – it got me only as far as the buzz.

I immediately filled up the pipe bowl with everything I had left, and by working round the edges of the pile of powder with the flame, managed to get what felt like four good hits out of it.

I lay back, did some shivering and shuddering, groaned and moaned

some more, felt mightily afraid again. This time I didn't get the colors, and I didn't find my way into any geometrical otherworldly rooms. Instead a different but equally purposive and meaningful communication aimed directly at me started to come my way.

The first thing I noticed was that there was a thick, ivory-colored, organic-looking tube running horizontally across approximately the lower third of my visual field. Then black lines faded into view on the surface of the tube, and began to move and rearrange themselves in ordered vertical and horizontal registers and in chaotic spirals and zigzags. Very often, strings of almost recognizable numbers and letters would appear, but just as frequently I would see sections of script from languages that were completely unknown to me – sometimes expressed in what looked like hieroglyphs, picture writing, or signs. Constantly shifting, sorting, and juggling like a computer program running through a thousand different alphabets in an instant, the lines themselves seemed to come alive at certain moments and to behave in a way that reminded me of small, diligent mechanical ants and spiders.

Then the display changed in the blink of an eye, and it wasn't simple monochrome lines anymore, but pairs of colorful writhing snakes wrapped around one another, drawn down to a minute, submicroscopic scale, as though I were being allowed to peer deep into the nucleus of a cell and to witness the dance of DNA – the ultimate "Master of Transformations."

What did it all mean? What was I being told here? Why was I being shown this? Behind the intertwined helices, I just had time to notice that the display of numbers and letters had started rolling again before . . . WHOOMPH! ZAP!

I had smoked DMT and survived.

#### CHAPTER TWELVE

# Amongst the Machine Elves

My experience with DMT was qualitatively different from the realms and beings ayahuasca introduced me to. For whereas the ayahuasca worlds seemed rich, luxurious, and abundant in the transformations of organic and supernatural life, DMT brought me to a world – or to some aspect of a world – that appeared from the outset to be *highly artificial, constructed, inorganic, and in essence technological*.

Perhaps this was not so very far apart from the technological props that spirits, fairies, and aliens have always been linked to – the circular dance, flying saucers, flying shields, etc., etc. Moreover, as we'll see, a number of the volunteers in Rick Strassman's DMT project at the University of New Mexico did report experiences that closely paralleled some elements of my ayahuasca visions. Still, nothing that I personally encountered under ayahuasca was anything like the transparent geometrical space into which DMT projected me on the first of my two trips. With its sense of intelligent little entities scurrying around on printed circuit boards stuffing vast quantities of incomprehensible data into my brain, it had the impact of a technological array that had been created explicitly to give very rapid courses of instruction in complex information.

To be more specific, the technology of the room seemed to be about displaying some sort of *recording* that had been set in playback mode by the arrival of my consciousness. It seemed to me to be a very powerful and special kind of recording, one that was *interactive* beyond the wildest dreams of today's computer programmers. It would adjust

its output according to the psychology, habitual perceptions, and culture of each individual plugging into it, and could be played slow or fast, again dependent on interactive feedback.

I was a panicked subject, inexperienced in the use of mental technology, and tuned in to Channel DMT only for a few moments. Recalling the scene now, I feel that when my consciousness was in that room – wherever or whatever it was – I behaved like a terrified animal that had inadvertently blundered into a machine shop. My disrupted hold on reality, my utter vertigo at being in so alien and unexpected a space, and my deep-seated fear at the presence of non-human intelligences caused me to thrash about in panic in a full-scale fight-or-flight reaction, and prevented me from observing and trying to assess the set-up that confronted me.

Nevertheless, I came out of the experience with the weird feeling that enormous amounts of data had been transferred to me. It's a strange thing to say, but I remained thoroughly aware for a long while afterwards of all this new data – just as one might be aware of the presence of a massive new file suddenly downloaded onto the hard disk of a computer. And just as it is possible to download a file but impossible to open it unless we have the right software, I found that what I had received during my DMT sessions was a great deal of information that I simply could not "read." Whether I would in due course learn to read it was another matter, but for now I had what felt like a million gigabytes of raw binary code awaiting my attention, and could understand none of it.

So part of the experience was this continual vast flow of information. But the other part – though I have only the haziest recall of their appearance – concerned the entities that presented or channeled this information to me during my first trip. What I remember most of all about them, anyway, was not their appearance but their *intelligence* – which struck me immediately as utterly different in its emotional temperature from contact with human intelligence. It felt more like being in the presence of big-brained robots than people – robots who probed and tested me for my reactions and adjusted the volume and tone of the data-flow accordingly. Moreover, their exclusive function seemed to be to show stuff to me – *indeed*, *to put on a* 

show for me – often in a rather entertaining or amusing way, leaping about through six dimensions and uncovering panels of moving patterns before my eyes with a certain flourish, as though they had pulled off a very clever trick that they were pleased with. I was sure that they had been in the room before I entered it, that they remained in it after I left it, and that they were, in short, part of its basic equipment. Indeed, I imagined the entire interactive ensemble falling instantly into "sleep" mode the moment the DMT ran out and I was hauled back to Channel Normal.

#### Machine realms

My experience of being brought into an otherworldly space that was distinctly technological in character was widely shared by the volunteers in Rick Strassman's project. This is what Jeremiah had to say after receiving an injection of DMT at the intensely psychoactive dose (for this extremely potent substance) of 0.4 milligrams per kilogram of bodyweight – the highest dose available under Strassman's study:

It's a different world. Amazing instruments. Machine-type things . . . I was in a big room . . . There was one big machine in the center, with round conduits, almost writhing – not like a snake, more in a technical manner. The conduits were not open at the end, they were solid blue-gray tubes, made of plastic? The machine felt as if it was rewiring me, reprogramming me . . . I observed some of the results on that machine, maybe from my brain. It was a little frightening, almost unbearably intense. <sup>1</sup>

Other volunteers reported seeing the "inner workings" of machines on their DMT trips and "inside a computer's boards," and on the final dose of a four-dose tolerance study, Sara suddenly announced:

I always knew we weren't alone in the universe. I thought that the only way to encounter them is with bright lights and flying saucers in outer space. It never occurred to me to actually encounter them in our own inner space. I thought the only things we could encounter were things in our personal sphere of archetypes and mythology. I expected spirit

guides and angels, not alien life forms . . . I saw some equipment or something . . . It looked like machinery.<sup>3</sup>

#### Carnivals and clowns

The peculiar impression I'd come away with of a "show" being put on for me by machinelike mannequins – who I might easily have construed as "clowns," or "elves" – and of information or data being transferred, was also reported quite frequently by Rick Strassman's DMT volunteers. Marsha had this to say when she returned to normal consciousness after a DMT session at the high dose of 0.4 mg per kg:

You know what happened? I was on a merry-go-round! There were all these dolls in 1890s outfits, life-sized, men and women . . . They were all whirling around me on tiptoes . . . And there were some clowns, flitting in and out, not really the main characters, but busier, somehow more aware of me than the mannequins.<sup>4</sup>

#### At the same dose, Cassandra reported:

Something took my hand and yanked me. It seemed to say, "Let's go!" Then I started flying through an intense circus-like environment. I've never been that out of body before. First there was an itchy feeling where the drug went in. We went through a maze at an incredibly fast pace. I say "we" because it seemed like I was accompanied. It was cool. There was a crazy circus sideshow—just extravagant. It's hard to describe. They looked like Jokers. They were almost performing for me. They were funny looking, bells on their hats, big noses. However, I had the feeling they could turn on me, a little less than completely friendly.<sup>5</sup>

#### Chris:

They were trying to show me as much as possible. They were communicating in words. They were like clowns, or jokers or jesters or imps. There were just so many of them doing their funny little thing.<sup>6</sup>

Sara:

I was scared, but I kept telling myself, "Relax, surrender, embrace." Then I saw what I can only describe as a Las Vegas casino type of scene, all flashing and whirling lights . . . Then . . . I "flew" on and saw clowns performing . . . animated clowns . . . <sup>7</sup>

Given DMT's crucial role in the psychoactivity of ayahuasca, it is perhaps predictable that the South American brew also sometimes seems to tune the brain to the strange "receiver wavelength" of pure DMT - very far from Channel Normal - in which the entities encountered quite frequently appear in the guise of clowns against a background of carnivals or circuses. This is not to say that the ayahuasca and DMT experiences are identical; on the contrary, I have been careful to emphasize that they are not. The reader will recall that ayahuasca contains other psychoactive alkaloids as well, notably harmaline, that extend the "trip" to several hours in duration (rather than the 20 minutes that is typical for pure DMT). Nevertheless, Benny Shanon, Professor of Psychology at the Hebrew University in Jerusalem, reports that across a sample of several hundred individuals whose ayahuasca experiences he has documented, "The frequency of facilities pertaining to amusement parks in the visions is, it seems to me, disproportionately high. Especially noted are carousels and Ferris Wheels."8

As an example of this, Michael Harner, an American anthropologist who did his fieldwork in the Amazon in the early 1960s, saw what he described as "a supernatural carnival of demons" on the night that he first drank ayahuasca.<sup>9</sup>

In Chapter Ten I reported the case of a group of children in the United States who had certainly *not* been drinking ayahuasca, but who claimed to have been transported to the sky by a UFO that had appeared to them initially in the guise of "a booth at a carnival in which aliens disguised as humans asked if they wanted to go on a journey." In the same chapter the reader will also find the story of another sighting by schoolchildren. Aged between eight and ten years, these were English kids who saw a group of about sixty small beings

dressed in blue tops, yellow tights, and jester-style caps with a bobble on the end. Although reportedly driving around in little cars that traveled at high speed and could jump soundlessly over obstacles, the overall style and appearance of the beings was not at all modern or technological. Drawings were done of them by the children at the time, and in these they very closely resemble fairies, imps, elves, dwarves, or clowns as they might have been portrayed in the Middle Ages.<sup>11</sup>

In Chapter Six we met Maria Sabina, a Mazatec Indian shaman who practiced in the village of Huatla de Jimenez in Mexico in the 1950s. I reported the story of the "big Book with many written pages" that she claimed to have been shown by a spirit during one of her psilocybin-induced trances, and I drew the comparison with similar books that have been shown to UFO abductees by beings they presume to be aliens. Thanks to ethnographers who were sometimes allowed to be present, and who made recordings and transcriptions, a large number of the sacred chants that Maria Sabina used in her "mushroom veladas" (all-night shamanic healing vigils) have survived. It is of interest that these include frequent mention of clowns, and that Maria often referred to the hallucinogenic mushrooms themselves as "little clowns."

On one night, when she consumed 13 pairs of *Psilocybe mexicana*, she described them as "Thirteen superior whirlwinds. Thirteen whirlwinds of the atmosphere. Thirteen clowns. Thirteen personalities." Later during the same *velada*, the transcript tells us that Maria cried out: "Woman lord of clowns am I, Woman lord of the holy clown am I." And towards morning: "Woman chief of clowns am I . . . Woman of the holy clown am I."

On another occasion, while giving her life story to the American anthropologist Joan Halifax, Maria recalled the time when she first consumed the hallucinogenic mushrooms and began her career as a shaman:

My soul was coming out of my body and was going toward the world that I did not know but of which I had only heard talk. It was a world like this one, full of sierras, of forests, of rivers. But there were also other things – beautiful homes, temples, golden palaces. And there was my sister, who had come with me, and the mushrooms, who were waiting for me – mushrooms that were children and dwarfs dressed like clowns . . . <sup>15</sup>

For Maria Sabina, these hallucinated experiences under the influence of psilocybin were unquestionably real, even if they took place in a world that she had never encountered before and that was not accessible to her in her normal state of consciousness. For most Western scientists, on the other hand, the otherworld Maria believed she had entered and the "dwarfs dressed as clowns" that she saw there cannot possibly have been real, because it is known that she did not go anywhere during her *veladas* but remained very much physically present throughout. Like Rick Strassman's volunteers 40 years later, who never left their hospital beds, it seems that the receiver wavelength of the Mazatec shaman's brain had been temporarily returned to pick up broadcasts from Channel DMT – and since psilocybin is closely related to DMT, as we've seen, this need not surprise us.

But what exactly is this "channel," and why should millions of years of physical evolution have equipped us to experience encounters with beings who look like clowns when the level of a hormone that is already naturally present in our brains is boosted above a certain threshold? Also, and this is not a small point, where did the idea for clowns come from in the first place? Were the visions of carnival-type figures seen by Strassman's subjects, Maria Sabina, Michael Harner, and others influenced by strictly modern and culturally contingent television and circus spectaculars? Or is it possible that the direction of influence really flows the other way, and that the inspiration for the earliest clowns came from visions and hallucinations seen in altered states of consciousness - whether entered spontaneously or under the influence of DMT-linked hallucinogens? We know that at least as far back as ancient Greece, a land rich in psychoactive plants, stage plays, farces, and mimes frequently featured performances by dwarves and children dressed up in ways quite similar to modern circus buffoons.16 Before that, the history of such theatrical figures is obscure - as well it might

be if they had emerged from occult realms that were originally accessible only in visions.

### Paradigm wars

It is impossible for Western science as it is presently structured to give serious consideration to the shamanic explanation of experiences like those of Maria Sabina and Rick Strassman's volunteers, and indeed of the experiences that I myself have had under the influence of hallucinogens. This is not because the way that shamans explain reality has ever been falsified. Nor is it because there is anything inherently weak, faulty, or illogical about their world view. The reason is simply that the opposing materialist paradigm, upon which all the progress and achievements of Western technology have been built, would face catastrophic implosion if the shamans were ever proved right.

According to the shamanic explanation, when Maria Sabina, Rick Strassman's volunteers, and I consumed hallucinogens, our bodies remained in the material world while the "plant spirits" temporarily liberated some aspect of our consciousness to travel in other, non-physical realms and to interact with intelligences there. It is perhaps a sign of how profoundly Strassman's research has changed his own world view as a scientist that he refers to DMT as "the spirit molecule" and is prepared to contemplate the possibility that humans have evolved a relationship with it precisely because it "leads us to spiritual realms": 17

These worlds are usually invisible to us and our instruments, and are not accessible using our normal state of consciousness. However, just as likely as the theory that these worlds exist "only in our minds" is that they are, in reality, "outside us" and freestanding. If we simply change our brain's receiving abilities, we can comprehend and interact with them.<sup>18</sup>

Strassman is to be commended for exploring such ideas. But he himself would be the first to point out that it was partly because of his subjects' interactions with what they took to be the inhabitants of other realms – and because it was difficult to describe the therapeutic benefit of such experiences according to the norms and expectations of Western healthcare – that he eventually decided to give up his potentially explosive research project. He was, he explained frankly, "unprepared for the overwhelmingly frequent reports of contact with beings. They challenged my view of the brain and reality."

Looking back on the project in 2001, he elaborated on this point:

When reviewing my bedside notes I continually feel surprise in seeing how many of our volunteers "made contact" with "them" or other beings. At least half did in one form or another. Research subjects used expressions like "entities," "beings," "aliens," "guides," and "helpers" to describe them. The "life-forms" looked like clowns, reptiles, mantises, bees, spiders, cacti, and stick figures. It is still startling to see my written records of comments like "There were these beings," "I was being led," "They were on me fast." It's as if my mind refuses to accept what's there in black and white. It may be that I have such a hard time with these stories because they challenge the prevailing world view, and my own.<sup>21</sup>

#### Return of the fairies and elves

Quite a number of the encounters reported by Strassman's volunteers after their DMT trips were with fairy-like or elf-like beings – sometimes explicitly named as such in the reports. For example, Cassandra spoke of meeting "the DMT elves . . . They were jovial and they had a great time giving me the experience of being loved." Eight minutes into a high-dose 0.4 mg-per-kg session, however, Karl had a slightly less friendly (and certainly more perplexing) encounter with the same type of beings:

That was real strange. There were a lot of elves. They were prankish, ornery, maybe four of them appeared at the side of a stretch of interstate highway I travel regularly . . . They held up placards, showing me these incredibly beautiful, complex, swirling geometric scenes in them. One of them made it impossible for me to move. There was no issue of control; they were totally in control. They wanted me to look!<sup>23</sup>

After her second dose on the day when she took part in the four-dose tolerance study of DMT, Sara reported:

This time the aggressive spinning colors were almost familiar. Suddenly, a pulsating "entity" appeared in the patterns. It sounds weird to describe it as "Tinkerbell-like" [i.e. fairy-like]. It was trying to coax me to go with it. At first I was reluctant, because I didn't know about finding my way back. By the time I made up my mind I did want to go with it, I could tell the drug was starting to wear off, and I wasn't "high" enough to follow it. I told it, "I can't go with you now. See, they want me back." It didn't seem to be offended and, in fact, "followed" me back until I sensed it had reached its boundary. I felt like it was saying goodbye . . . . 24

Sean also participated in the tolerance study, receiving four 0.3 mg/kg doses of DMT at hourly intervals. During the first session the following extraordinary interactive vision unfolded:

I watched a low-lying city . . . on the far horizon mutate through a variety of colors and hues, with many ill-defined "things" floating in the air above the city. Then I noticed a middle-aged female, with a pointed nose and light greenish skin, sitting off to my right, watching this changing city with me. She had her right hand on a dial that seemed to control the panorama we were watching. She turned slightly toward me and asked, "What else would you like?" I answered telepathically, "Well, what else have you got? I have no idea what you can do." Then she stood up, walked up to my forehead, touched it and warmed it up, and then used a sharp object to open up a panel in my right temple, releasing a tremendous amount of pressure. This made me feel much better than I'd felt before, even though I realized that I'd felt fine in the first place.<sup>25</sup>

As well as the presence of the green-skinned, witch-like fairy, there is more than a hint in this strange account of other themes the reader is already familiar with from earlier chapters – "shamanic surgery," for example (during which initiates frequently believe their heads have

been penetrated or opened), and the painful but often beneficial operations that aliens supposedly carry out on abductees.<sup>26</sup>

### **Playrooms**

In Chapter Seven we saw that UFO abductees are frequently brought to so-called "child-presentation rooms," to rooms where they are required to play with hybrid babies, and to rooms where they are required to nurse hybrid babies. Under the influence of DMT, Strassman's subjects did not report seeing babies, but did report finding themselves in spaces that seemed like playrooms or nurseries.

"There were some scenes or forms like in a nursery," said Gabe after receiving a high dose of 0.4mg/kg:

There were cribs and different animals, vibrant. I went to a childhood scene, or feeling. It was like I was in a stroller, kid images. It was sort of scary. I can't describe it. I could draw it maybe. It was like being in a room, as a child, with a stroller. There were cartoon-like people in the room, but they weren't what I wanted to see. <sup>27</sup>

Soon after he had received his 0.4 mg/kg dose, Aaron found himself – or at any rate his consciousness – in a sealed room. Just like so many of the featureless rooms to which UFO abductees say they are taken, and like the transparent geometrical room that my first dose of DMT projected me into, it lacked any obvious entrance or exit:

There are no doors, there's nothing to go through. It's either over here – it's dark; or over there – there are images. You just can't do anything with them. It was Mayan hieroglyphics. It was interesting. The hieroglyphics turned into a room, like I was a child. There were toys there, like I was a kid. It was like that. It was cute.<sup>28</sup>

Also on the high dose, 50-year-old Jeremiah discovered that his consciousness had vaulted into a similar room:

It was a nursery. A high-tech nursery with a single Gumby, three feet

tall, attending me. I felt like an infant. Not a human infant, but an infant relative to the intelligences represented by the Gumby. It was aware of me, but not particularly concerned. Sort of detached concern, like a parent would feel looking into a playpen at his one-year-old lying there. As I went into it I heard a sound: *hmmm*. Then I heard two to three male voices talking. I heard one of them say, "He's arrived."<sup>29</sup>

### Tales of the alien laboratory

The playrooms are the first of many strong and obvious similarities between the experiences of UFO abductees and the hallucinations of injected DMT. In the accounts given above, for example, it is already clear that the subjects frequently felt they had no control at all over the places their consciousness was being taken to. That was exactly how I felt too, and we've seen in earlier chapters that this is a common feeling and experience amongst UFO abductees – and, of course, amongst those abducted by fairies as well. Moreover, further study of the hundreds of pages of statements made by Strassman's volunteers reveals an overall atmosphere, setting, cast of characters, and sequence of procedures at the heart of their DMT experiences that overlaps to a remarkable degree with the typical progression of UFO abductions.

After a high-dose session of 0.4 mg/kg, Lucas reported:

There is nothing that can prepare you for this. There is a sound, a bzzzz. It started off getting louder and louder and faster and faster. I was coming on and coming on and then POW! There was a space station below me and to my right. There were at least two presences, one on either side of me, guiding me to a platform. I was also aware of many entities inside the space station – automatons, android-like creatures . . . They were doing some kind of routine technological work and paid no attention to me. In a state of overwhelmed confusion, I opened my eyes.<sup>30</sup>

It is exactly the same overwhelmed confusion that many UFO abductees feel when they are floated up threads or beams of light to flying saucers in which they first see ranks of robotic little creatures

busily working on incomprehensible tasks, and are then frequently taken to or simply find themselves in an examination room where medical procedures are performed on them.

"I was in a white room experiencing certain emotions and feelings that gave me a feeling of co-reality," reported Eli, one of Strassman's volunteers, after receiving a dose of 0.4 mg/kg of DMT. "The white room consisted of light and space. There were cubes stacked with icons on the surfaces . . . It was light but there was a lot of other information coming in." <sup>31</sup>

#### Aaron:

There was no turning back. After a moment or two I became aware of something happening to my left. I saw a psychedelic, Day-Glocolored space that approximated a room whose walls and floor had no clear separations or edges. It was throbbing and pulsing electrically. Rising in front of "me" was a podium-like table. It seemed that some presence was dealing/serving something to me. I wanted to know what it was and "sensed" the reply that I had no business there. The presence was not hostile, just somewhat annoyed and brusque.<sup>32</sup>

Such non-hostile but annoyed and brusque alien presences are, of course, frequently encountered by UFO abductees – who generally describe them as somewhat taller than the drone-like Grays. These beings are usually also in charge of the bizarre surgical procedures to which abductees are subject, during which they believe that their bodies have been cut open, pierced, and probed, and that implants have been placed in their eyes, brains, arms, spinal columns, or other parts of their anatomy. We cannot always be 100 per cent certain that abductees' bodies did *not* in fact go to the places where their consciousness went – because there are rarely witnesses to report one way or another. But we do know for sure that the bodies of the volunteers in Rick Strassman's project stayed in the hospital room when he injected them with DMT. Nonetheless, they too experienced probes, implants, and surgery.

"There were clinical researchers probing into my mind," reported Jim during one of his DMT experiences. "There were sort of long fiber-optic things that they were putting into my pupils." Jim knew that his body could not actually be experiencing this invasive eye procedure, because it was lying safely in a hospital bed in the University of New Mexico. His mind was experiencing it, however, and – paradoxically – seemed to have acquired some sort of bodily form of its own in whatever dimension the procedure was taking place. Jim was matter-of-fact about all this in a way that those who believe they have been abducted by aliens rarely are. "It was pretty weird," he said, "but I figured it was just the drug."

Ben stayed silent for 36 minutes during his high-dose DMT session, then reported how he had arrived in another reality and been received by the beings who inhabited it:

There were four or five of them. They were on me fast . . . They weren't benevolent but they weren't non-benevolent. They probed, they really probed. They seemed to know time was limited. They wanted to know what I, this being who had shown up, was doing. I didn't answer. They knew. Once they decided I was okay, they went about their business . . . 34

#### A few moments later he added:

I felt like something was inserted into my left forearm, right here, about three inches below this chain-link tattoo on my wrist. It was long. There were no reassurances with the probe. Simply business.<sup>35</sup>

Dimitri was well aware that his DMT experience resembled the publicly reported experiences of UFO-abductees. The instant the injection took effect:

WHAM! I felt like I was in an alien laboratory . . . A sort of landing bay or recovery area. There were beings . . . They had a space ready for me. They weren't as surprised as I was . . . There was one main creature, and he seemed to be behind it all, overseeing everything. The others were orderlies, or dis-orderlies. They activated a sexual circuit, and I was flushed with an amazing orgasmic energy . . . When I was coming out, I couldn't help but think "aliens."

### Sexual bonding

The reader will recall that there is very frequently a sexual element to the contacts that take place between aliens and humans during UFO abductions, and Dimitri was by no means unique amongst Strassman's DMT subjects in reporting similar experiences. Of particular interest is the well-established pattern, noted in previous chapters, of the UFO abductee who establishes close, loving, and often sexual bonds with a particular alien figure, sometimes explicitly identified as belonging to the opposite sex. Dimitri again:

These beings were friendly. I had a bond with one of them. It was about to say something to me or me to it, but we couldn't quite connect. It was almost a sexual bond . . . I was filled with feelings of love for them. Their work definitely had something to do with my presence. Exactly what remains a mystery.<sup>37</sup>

A very similar story was told by Rex after a low dose of DMT (0.2 mg/kg):

The beings were there and they were doing something to me, experimenting on me . . . There was a female. I felt like I was dying, then she reappeared and reassured me . . . When I was with her I had a deep feeling of relaxation and tranquility . . . She had an elongated head. 38

#### Rex also reported other interesting details:

There were rays of psychedelic yellow light coming out of the face of the reassuring entity. She was trying to communicate with me. She seemed very concerned for me, and the effects I was experiencing due to her attempts at communicating. There was something outlined in green, right in front of me and above me here. It was rotating and doing things. She was showing it to me, it seemed like, how to use this thing. It resembled a computer terminal. I believe she wanted me to try to communicate with her through that device. But I couldn't figure it out.<sup>39</sup>

It is almost as though we are the aliens to the inhabitants of the DMT world. But when we make unscheduled visits to their dimension – not as abductees but as psychedelic voyagers – do they have some way of knowing we are coming? We will return to the disturbing implications of this question in due course.

### Insects with an agenda

One of Rex's other DMT sessions included a terrifying, "demonic" encounter with "insect creatures." Elements of his description, and a similar description given separately by Aaron, remind me of nothing I saw in my two DMT sessions – but who knows what I would have seen next if I'd had more resin to burn? What Rex's and Aaron's descriptions do remind me very strongly of, however, is the being who most frightened me in my ayahuasca visions (see Chapter Three) and who I described in my notebook at the time as having the look of "some sort of huge insect with humanoid features." This is what Rex told Strassman:

When I was first going under there were these insect creatures all around me. They were clearly trying to break through. I was fighting letting go of who I am or was. The more I fought, the more demonic they became, probing into my psyche and being. I finally started letting go of all parts of myself, as I could no longer keep so much of me together . . . They were interested in emotion. As I was holding on to my last thought, that God equals love, they said, "Even here? Even here?" I said, "Yes, of course."

UFO abductees frequently report encountering aliens in the form of insects or of insect-humanoids. We've seen examples of this in previous chapters and parallel examples of the insect-like spirit beings encountered by shamans – such as the "bee-people" of the Warao of eastern Venezuela, <sup>41</sup> or Kaggen, the mantis god of the San of southern Africa. <sup>42</sup> The reader will recall that part of the hallucinogenic mission of the Warao shaman is to find a "beautiful bee-girl" and have sex with

her repeatedly in the "round white house" in the sky where these insect spirits dwell.<sup>43</sup> Interestingly, Rex's negative alien-insect DMT experience also developed in a sexual direction:

They were still there but I was making love to them at the same time. They feasted as they made love to me. I don't know if they were male or female or something else, but it was extremely alien, though not necessarily unpleasant. The thought came to me with certainty that they were manipulating my DNA, changing its structure. And then it started fading. They didn't want me to go.<sup>44</sup>

In a later DMT session Rex (like the Warao shamans) experienced his new insect friends as part of a bee-like social structure:

I was in a huge infinite hive. There were insect-like intelligences everywhere. They were in a hypertechnological space . . . They wanted me to join them, to stay with them. I was tempted . . . There was another one helping me . . . It was very intelligent. It wasn't at all humanoid. It wasn't a bee but it seemed like one.<sup>45</sup>

During his first high dose of DMT, Aaron reported seeing:

a mandala-like series of visuals, fleur-de-lis type visions. Then an insect-like thing got right into my face, hovering over me as the drug was going in. The thing sucked me out of my head into outer space. It was clearly outer space, a black sky with millions of stars. I was in a very large waiting room, or something. It was very long. I felt observed by the insect-like thing and others like it. Then they lost interest. 46

Aaron concluded that the experience was "like being possessed" by an "alien-type, insectoid, not-quite-pleasant" entity:

There is sense of someone, or something else, there taking control. It's like you have to defend yourself against them, whoever they are, but they certainly are there. I'm aware of them and they're aware of me. It's like they have an agenda.<sup>47</sup>

#### Same beings, same experiences, same worlds

When ayahuasca brought me face to face in the Amazon with what I construed as an insect-humanoid alien who wanted to abduct me, I know that I felt exactly the same sense of demonic attack and psychic intrusion that both Rex and Aaron described, the same sense of an agenda aimed at me, and the same need to defend myself.

Rex, Aaron, and I therefore represent a nice little micro-mystery all of our own - three people who do not know each other but who both experienced very similar "non-real" encounters with very similar "non-real" beings. At first glance it looks as though the mystery should be easily solved, since all three of us had received psychoactive doses of DMT immediately prior to these encounters: in my case together with a cocktail of other alkaloids delivered by the avahuasca brew; in the case of Aaron and Rex in pure form and delivered by injection. But even with DMT as an important common factor, current theories of the way the human brain supposedly concocts hallucinations cannot even begin to explain the shared details and characters in the peculiar visions that confronted us. We are, after all, completely separate individuals who have never been in contact with one another, who come from different cultures and backgrounds, and who received DMT a decade apart in starkly contrasting settings (the jungle in my case; the University of New Mexico for Aaron and Rex). It follows that if what David Lewis-Williams calls Stage 3 hallucinations are "culturally controlled" and "derived from memory," as orthodox scientists teach, 48 then there is simply no way that Rex, Aaron, and I, with so little in common, could have experienced such similar encounters with unpleasant and intrusive "alien-type insectoids."

The problem is compounded when we remember that several of Rick Strassman's other volunteers also encountered these alien-type insectoids on their DMT trips, and that encounters with insectoids are also very commonly reported by UFO abductees who have never taken DMT in their lives. I stress again that present theories about hallucinations cannot explain the recurrence of such complex common themes amongst such disparate groups of people – and doubly so when some of them are drugged and some are not.

As well as the insectoids, we've seen that Strassman's volunteers reported meeting more obviously humanoid beings during their DMT trips, who they often described as small-statured with epithets such as "the little presences," "the small gremlins," and in one memorable case, as a three-foot-tall "Gumby." Frequently set in an operating-theatre-type environment, and featuring surgical procedures, implants, etc., it must be obvious to any reader of this book that the volunteers' DMT-occasioned encounters with those little humanoids follow very much the same general pattern as UFO-abductees' encounters with aliens. There are differences, but the overall similarity of tone is striking.

# "They were hurting me, they were not human"

Confronted by this data, the skeptic might very reasonably respond that once again there is no mystery. By the 1990s, when Strassman was doing his research, so-called alien abductions had received more than three decades of massive publicity. All of his volunteers therefore must have been familiar with alien and UFO imagery from popular culture, and simply dredged up some of these stored images and notions during their hallucinating state. The same would go for my own alien visions under ayahuasca, and the machine intelligences that communicated with me under DMT – not real perceptions of usually invisible entities, not even tremendous feats of the imagination, just a spewing-out of internalized tabloid clichés.

But Strassman has a complete answer to all such arguments.

In the 1950s, a decade before any UFO abductions had ever been publicly reported anywhere in the world, a Hungarian medical doctor named Stephen Szara gave DMT to large numbers of human subjects in trials to assess its possible utility as a psychiatric drug. Similar research was also done in the United States during the distinctly preabduction 1950s by William Turner MD and Sidney Merlis MD. All these investigators asked their subjects to report their experiences during their DMT trips and, as Strassman points out, their replies were "remarkable in their foreshadowing of the stories we were going to hear almost forty years later."

On April 30, 1956, for example, Szara's patient KV was injected intramuscularly with a massive 1 mg/kg dose of DMT. After 32 minutes, she reported the characteristically shamanic experience, also shared by some UFO abductees as the reader will recall, of feeling that her heart had been removed. At 38 minutes she proclaimed: "I saw strange creatures, dwarfs or something, they were black and moved about." She also announced that she was flying, "as if I were floating between earth and sky." 53

Similarly, on June 21, 1957, one of the American DMT subjects reported a characteristic experience of both UFO and fairy abductions when she found herself "In a big place, and they were hurting me. They were not human. They were horrible."<sup>54</sup>

In 1966, a few years after UFO abductions had begun to be publicized, but long before an "insectoid" image of the aliens had become established, the famous psychedelic guru Timothy Leary took DMT. Two minutes into the experience, he described what he saw: "There squatting next to me are two magnificent insects . . . skin burnished, glowing metallic, with hammered jewels inlaid." A few moments later, he found himself exploring "A huge, gray-white mountain cliff, moving, pocked by little caves and in each cave a band of radarantennae, elf-like insects merrily working away." <sup>55</sup>

That "alien-like" and "insectoid alien-type" entities should have popped up in scientific papers in the 1950s and 1960s, doing exactly the same kinds of things to hallucinating DMT subjects as aliens would much later become famous for doing to abductees, is extremely significant. It certainly rules out any simplistic attempt to derive the alien imagery in the reports of Strassman's volunteers from the mass culture of the 1990s, and makes it more likely that the popular imagery itself is in some way derived from visionary experiences.

# Living with an endogenous psychedelic

On the basis of all this, therefore – and compressed into a nutshell – Rick Strassman's theory is that people who believe they have been abducted by aliens are those whose brains from time to time spontaneously overproduce DMT.<sup>56</sup> We are dealing here with what has rightly

been described as an "endogenous human psychedelic,"<sup>57</sup> so naturally when its overproduction exceeds a certain threshold, we can understand why its effects might be virtually indistinguishable from those experienced by normal subjects like Strassman's volunteers who had been injected with the drug – or for that matter by shamans who had consumed it in plant form.

Indeed, in my view, Strassman's simple, elegant theory does most parsimoniously and satisfactorily explain many aspects of the alien abduction phenomenon and of the closely interlinked phenomena of fairies and spirits that we have explored in Part II. But it is important to assert, and for the reader to be very clear, that Strassman is not a reductionist. Nowhere does he insist that these extraordinary experiences are simply caused by an increased level of DMT in the brain, only that the experiences are "made possible" by the DMT.<sup>58</sup> And although he professes embarrassment and consternation at volunteers' numerous reports of contact with what they took to be other "freestanding realities," we have seen already that Strassman is with Huxley, Hoffman, and James in strongly advocating the "receiver" model of the brain. He therefore makes a point of remaining open to the possibility that the beings encountered on these trips - and by UFO abductees - are absolutely real but normally opaque to us until our "receiver wavelength" is reset by DMT or other similar hallucinogenic molecules.

# Entoptic phenomena

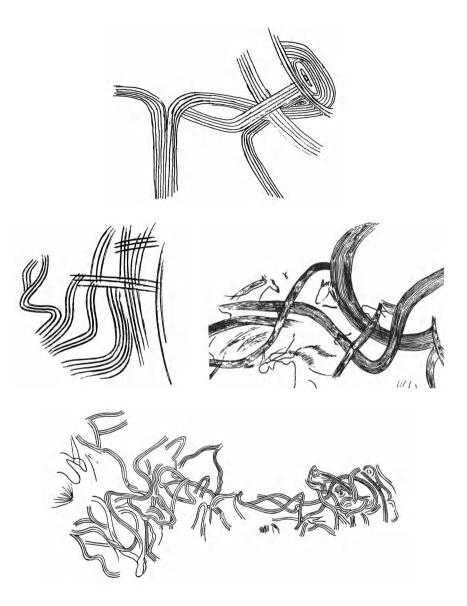
In a few of the accounts of their DMT experiences cited above, the reader may have noted that Strassman's volunteers refer to visual effects such as "complex, swirling geometric scenes" and "aggressive spinning colors." These are entoptic phenomena of the kind that appear in all three of the stages of trance envisaged in David Lewis-Williams' neuropsychological explanation of cave art. Moreover, further study of the volunteers' reports turns up many more references to imagery that is clearly and unmissably entoptic, sometimes standing alone as in Stage 1 and sometimes combined with complex "iconic" Stage 3 hallucinations.

On a relatively low dose of DMT, Vladan reported: "There were visuals at the peak, soft and geometric. There were 3-D circles and cones with shading. They moved a lot." Also, on a low dose, Rex described finding himself in the midst of "creatures and machinery," and noted that "there were brilliant psychedelic colors outlining the creatures and machinery" – a characteristic entoptic effect. Similarly, Saul experienced striking entoptic phenomena merging with fully iconic figures. He reported that "complicated and beautiful geometric patterns" had overlaid his visual field. Out of these patterns the forms of beings emerged: "I 'stared' with my inner eyes, and we appraised each other. As they disappeared back into the torrent of color, now beginning to fade, I could hear some sounds in the room. I knew I was coming down."

Other entoptic phenomena reported by Strassman's subjects are too numerous to list here but included, in no particular order, "kaleidoscopic geometric patterns," "beautiful, colorful pink cobwebs," "tremendously intricate, tiny geometric colors, like being one inch from a color television," "tunnels," "stairways," "ducts," and "a spinning golden disc." Flecks and dots of light "shooting off in all directions" were mentioned by many of the volunteers.

It provides further broad support for Strassman's theory that UFO abductees also report noticing identical entoptic phenomena at various points during their experiences. To cite just a few examples here, Patti Layne told researcher David Jacobs of seeing "stars and lines, images, geometric things" during one of her abductions. "Geometric images?" asked Jacobs. "I guess," Patti replied, "they're like lines and dots, things like that." In other words, entoptic phenomena.

John Mack's patient Shiela saw something that looked like "a huge, red stained-glass window with brown and fold lattice work separating the panes." This sounds like a construal of a classic entoptic lattice form. Anne, another of Mack's patients, sketched a specific entoptic pattern – very like the patterns known as "meanders" drawn on cave walls and ceilings in the Upper Paleolithic – that she had seen repeatedly projected onto her visual field following her abduction experiences. 66



Top, drawing by modern UFO abductee: 'When I turn the lights out at night, this has been the show for the past week. I can see it with my eyes closed as well as open.' (Mack, 1995, 308). Centre left and right, prehistoric 'meanders' – finger tracings on clay in the caves of Hornos de la Pena (Spain) and Gargas (France). Bottom, prehistoric 'meanders' on the ceiling of the cave of Altamira in Spain (Breuil, 1952).

And let's not forget the case of Carlos Diaz (see Chapter Six), who saw a UFO "made of millions of small dots of light" floating over his head. He was baffled to find, when he tried to touch the object, that his "hand went through the yellow light . . ."<sup>67</sup> In the next moments, the reader will recall, Carlos found that both he and the craft had been transported "inside a cave that was lined with stalactites" and decorated with "works of art."<sup>68</sup>

#### Back to the caves

Hallucinating an entoptic UFO inside a painted cave, Carlos brings us back, quite appropriately, to the riddle with which we began this book, described by David Lewis-Williams as "the greatest riddle of archaeology – how we became human and in the process began to make art and to practice what we call a religion." Indeed, the combination of entoptic phenomena with fully iconic figures was an important clue leading Lewis-Williams to formulate his neuropsychological theory in the first place, and to propose hallucinations seen in altered states of consciousness as the most likely inspiration for the extraordinary hidden art of the deep, dark caves of Upper Paleolithic Europe.

"Owl-Man," the "Sorcerer," "Lion-Man," "Bison-Man," "Aurochs-Man" – the supreme images of the caves are unmistakably those of *supernatural* beings, of "spirits." Our ancestors depicted them as therianthropes and fully transformed animals, because this is exactly how they encountered them in their visions, and how they continue to be construed and depicted to this day by the shamans of surviving huntergatherer cultures all around the world. In more complex and sophisticated societies, the same entities are sometimes no longer thought of as spirits. Even in the guise of fairies and aliens, however, two of their most recent manifestations in the West, we've seen in earlier chapters that they are still shape-shifters and that they frequently appear in therianthropic and animal form.

I've made the case that what we are dealing with here are not multiple, different, very strange phenomena, but *a single very strange phenomenon*, truly Protean in its character, that has been construed in

different ways by different cultures at different times, that cannot be pinned down anywhere in the material world, but that nevertheless behaves exactly as though it possesses an independent freestanding reality of its own. It is remarkable that this phenomenon has been so long-lived and that it has maintained its integrity and hidden consistency across the transformation that we have documented from spirits through fairies into aliens. It would be truly extraordinary, however, if it were found to have been at work not only in bizarre abductions and initiations, operations, and implants, hybridization programs, and interspecies love affairs, but in the dawn of art and religion – perhaps the very innovations that made us fully human and set us on our present evolutionary path.

Today such possibilities need no longer be left unexplored for lack of data. The close family resemblances that we have documented interlinking spirits, fairies, aliens, and the beings encountered on DMT trips suggest a completely new approach to the fundamental mystery of our past. By their very existence, these family resemblances build bridges across the ages and invite us to make use of the unsettling data that modern scientists have gathered on aliens and the DMT beings as a means to assess the true significance, agenda, and impact of the therianthropic spirits that so impressed our ancestors in the Upper Paleolithic.

We have seen already that the modern research reveals remarkable consistencies across a range of supposedly "non-real" experiences – consistencies that cannot be explained within the present scientific model of hallucinations. Moreover, when huge numbers of people over enormous periods of history from entirely unrelated cultures keep on experiencing the same "unreal" things – as we know has consistently and continuously been the case – then perhaps the time has come for us to stop dismissing and discounting such visions and to seek out a proper explanation for them instead.

# Dark matter, quantum worlds

One very plausible, and for me very persuasive, explanation, in the school of thought of Huxley, James, and Hoffman, is that there do

indeed exist "separate, freestanding realities" - or "parallel dimensions" of the kind quantum physics predicts - that vibrate at a different frequency to our own and thus are invisible to us except when we approach them in altered states of consciousness. These other realities seem to be inhabited by intelligent beings who are nonphysical in our dimension – although they would apparently like to acquire permanent physical forms - and who have had a long-term interest in us, interfering in and manipulating human affairs in the guise of spirit guides, supernatural teachers, fairies, and recently aliens. Perhaps it was even they, in the therianthropic forms they have continued to show to shamans ever since, who launched us on the path of modern behavior just 40,000 or 50,000 years ago? After all, one of the key functions performed by such beings, in all their manifestations, has traditionally been initiation and the bestowal of wisdom on the human seeker during a hallucinated ordeal, represented by the wounded man figure in one epoch, stories of shamanic surgery in another, and reports by abductees of alien operations today.

We've seen that Rick Strassman, for all his empirical caution, was ultimately obliged by his research to accept the possibility that DMT does provide our consciousness with access to real parallel dimensions. He speculates freely about this in his major work on the subject -DMT: The Spirit Molecule, published in 2001. "It may be," he writes, "that DMT alters the characteristics of our brains" so that we are able to perceive what the physicists call "dark matter" - the 95 per cent of the universe's mass that is known to exist but that at present remains invisible to all our senses and instruments.70 Strassman devotes a good deal of attention to the concept of "different levels of reality permeating and suffusing our own" and reminds us of the "surprisingly common" reports by his volunteers that they were *expected* by the beings they encountered on their trips, and even "welcomed back" when they returned again and again. But how could this be possible? "How might these beings be even dimly aware of our presence if we normally don't have an inkling of theirs?" Warning that "we're treading on extraordinarily thin ice," Strassman suggests:

Perhaps we are not dark for the denizens of dark matter, or parallel to those intelligent beings who have mastered quantum computing? We are limited to inferring these alternative realities exist by employing powerful mathematical treatment of massive amounts of experimental data. It may be that those who have evolved in different universes, or according to their own unique laws of physics, actually can observe us directly with their own senses or by using particular types of technology.<sup>71</sup>

So following this line of reasoning, I have no objection in principle to the possible free-standing other-dimensional existence of "spirit worlds" and "spirit beings" – at least as a working hypothesis. I'm also with Strassman on the notion that hallucinogens like DMT might allow us to undertake rational, targeted, and repeated explorations of an infinity of such worlds that might hypothetically surround us in every direction though normally unseen. Indeed, it sometimes seems to me that the actual existence of parallel non-physical realms and beings is a far more plausible explanation than anything that orthodox scientists have so far offered us for the consistent story told in alien lore, fairy lore, and spirit lore for as far back as anyone can remember.

# Seeing DNA on DMT

On my second good dose of DMT, as well as a scrolling palimpsest of scripts, signs, and numbers displayed on an ivory-colored tube in the lower third of my visual field, I had seen the forms of serpents arranged in pairs each coiled into double helices – "as though I were being allowed to peer deep into the nucleus of a cell and to witness the dance of DNA."

When I looked back on the notes of my ayahuasca experiences in the Amazon (reported in Chapter Three), I found that I had experienced an almost identical vision there:

snakes arrange themselves into patterns of interlaced wheels and spirals. Then they merge into one large mass and finally break apart into pairs of individuals that wind around each other like the DNA double helix.<sup>72</sup>



Double helix of serpents. Detail from a painting of an ayahuasca vision by Peruvian shaman Pablo Amaringo

I reread the reports that Rick Strassman's volunteers had given of their DMT experiences, and found that many of them had also spoken of DNA. In one case, already cited above, the reader will recall Rex's insistence that the insect-beings he encountered had "manipulated" his DNA and "changed its structure." Likewise, Karl saw "spirals of what looked like DNA, red and green," Cleo saw a "spiral DNA-type thing made out of incredibly bright cubes," and Sara said:

I felt the DMT release my soul's energy and push it through the DNA . . . . There were spirals that reminded me of things I've seen in Chaco Canyon [an ancient rock-art site in Arizona]. Maybe that was DNA. Maybe the ancients knew that. The DNA is backed into the universe like space travel. One needs to travel without one's body. It's ridiculous to think about space travel in little ships. <sup>76</sup>

For Eli, the experience was eerily similar to my first DMT trip:

like threads of words or DNA or something. They're all around here. They're everywhere . . . When I looked around it seemed like meaning or symbols were there. Some kind of core of reality where all meaning is stored. I burst into its main chamber.<sup>77</sup>

Likewise, Vladan was presented with symbols filled with meaning in an experience that was again close to mine:

It was almost like looking at an alphabet, but it wasn't English. It was like a fantasy alphabet, a cross between runes and Russian or Arabic writing. It felt like there was some information in it, like it was data. It wasn't just random.<sup>78</sup>

## Something supernatural about DNA?

If, hypothetically, one was the master of an astonishingly advanced biotechnology, and wished to record a vast amount of information in an indelible and as near as possible immortal form, then it would be difficult to think of a more suitable recording medium than DNA. For only DNA would stay the same through all the vicissitudes and transformations that evolution might bring about in the course of life on a planet, and if enough DNA were given over to this task, then the genome of all living creatures would still be carrying copies of the original recording billions of years later. Moreover, although a certain amount is known about the 3 per cent of our DNA gathered up in our genes, nothing at all is known about the function of the other 97 per cent – so-called "junk DNA."<sup>79</sup>

What an ideal place to store data – in a bio-genetic system of truly "supernatural" construction that already encodes the secret of life itself.

#### CHAPTER THIRTEEN

# Ancient Teachers in Our DNA?

Eyewitness reports of human encounters with "supernatural beings" have been documented as far back as the painted caves of Upper Paleolithic Europe 35,000 years ago and brought right up to date with bizarre accounts of abductions by aliens in the twenty-first century. Such reports include powerful common themes that we have explored in detail in Part II and that science is unable to explain. In particular we've seen the massive universality of the experience that spirits, fairies, and aliens (though themselves taken to be non-physical or only quasiphysical in nature) are interested in interbreeding with men and women - an interest that in every case is said to have led to the production of hybrid offspring. Equally universal is the belief that supernaturals can and frequently do present themselves in the form of therianthropes – part human, part animal. The same widespread distribution applies to the "wounded man" experience - whether construed as "shamanic surgery" in hunter-gatherer societies, "torture by fairies" in the European Middle Ages, or "alien operations" today. Finally, let us not forget the astonishing range of unusual motifs that are shared by all three domains - for example, dwarfish humanoids with large oblique eyes and oversized heads, the experience of climbing or floating up "threads of light," and the experience of abductions to sky, cave, and underwater settings.

It is striking that many of these same experiences can be reproduced in the minds of people who have consumed certain types of hallucinogens (or used non-drug trance techniques such as rhythmic

dancing, austerities, and sensory deprivation) which temporarily reset the electrochemical balance of the brain. This can be made to happen quite reliably, even under laboratory conditions as we saw in Chapter Twelve. We may therefore conclude that altered states of consciousness, which are themselves universals of human neurology, are fundamental to "supernatural" encounters.

What remains to be discovered is the true status of the visions that we all see in such altered states. Are they simply quaint "brain fiction"? Most mainstream scientists would say so – although they cannot explain why evolution should have installed identical, highly imaginative Gothic novelists in all our brains. Or could it be that these strange, complex, universal experiences with evolving storylines are in some way as *real* as those we take for granted in normal states of consciousness?

The reader knows already that I am not with mainstream science on this. I do not regard it as an a priori impossibility that spirit worlds and beings may in some significant sense be real. Nonetheless, just as my research seemed to be luring me inevitably towards that deeply anti-scientific conclusion, another theory that seemed to account equally well for all the anomalous evidence began to force itself upon me. This is the theory that DNA, the fundamental mechanism for the reproduction of all forms of life on earth, contains, or is driven by, intelligence - and that information originating with this intelligence can, under special circumstances, become accessible to our consciousness. If the information were in some way "written" or recorded on DNA that we all share, and if nature has designed us to access this information in trance states by means of our shared human neurology, then all the otherwise inexplicable commonalities, and all the universal motifs, imagery, and phenomena that are experienced by different hallucinating individuals, would make perfect sense.

#### Clever entities

It's good to be clear. By "information," I am not referring to the ordered and highly specific chemical instructions that DNA gives to our cells to assemble long chains of amino acids into proteins. This is, itself, a

miraculous process that goes on every minute of every day and that unites our life processes at the submicroscopic level with those of daisies, flatworms, blue whales, elephants, monkeys, mice, sharks, sprats, plankton, cabbages, coral, and all the rest of the biosphere. But it is not what I have in mind here. I mean actual messages, intelligent, structured communications, a system of symbols conveying meaning, as in any other language.

It does not surprise me at all that the late great Terence McKenna was the first to suggest this extraordinarily fertile idea – or that he conceived it in the Amazon after a month spent drinking ayahuasca supplemented by "heroic" doses of psilocybin mushrooms. McKenna, who died on April 3, 2000, was a radical and original thinker, an advocate of hallucinogen use for consciousness exploration, and a specialist in the ethnomedicine of the Amazon basin. His intensive ayahuasca/psilocybin experiments were done at La Chorrea in Colombia in 1971. He never gave detailed written treatment to his intuitions about DNA. However, in his classic study *The Invisible Landscape*, co-authored in 1975 with his brother, the neurobiologist Dennis McKenna, he speculated that:

information stored in the neural-genetic material might be made available to consciousness . . . by intercalation of tryptamines [e.g. DMT] and beta-carbolines [e.g. harmaline, the second essential ingredient of ayahuasca] into the genetic material. We reasoned that both neural DNA and neural RNA were involved in this process.<sup>2</sup>

Ten years later, Bruce Lamb put forward a somewhat similar suggestion, also following research in the Amazon and also in the context of ayahuasca:

Perhaps on some unknown, unconscious level the genetic encoder DNA provides a bridge to biological memories of all living things, an aura of unbounded awareness manifesting itself in the activated mind.<sup>3</sup>

Ten years later again, the Swiss anthropologist Jeremy Narby developed the concept much further and took it in several exciting new

directions in his important book *The Cosmic Serpent: DNA and the Origins of Knowledge*, first published in 1995.<sup>4</sup> When I met Narby at his home in Switzerland almost a decade after that, on May 27, 2004, I asked him to clarify his position and whether he still stood by it. "Correct me if I'm wrong," I said, "but when I read *Cosmic Serpent*, if I were to take your idea as far as it would go, it's almost that there's a message, encoded in our DNA, which we can read, or see, or interact with through ayahuasca. Is that right?"

"Or a bunch of messages. I think that we haven't even found all that goes with it."

"Who put the message there?" I asked.

"I don't have the faintest," Narby replied, "but I think it was some entity, or several entities, that were rather clever."

## The cosmic serpent: DNA and the origins of knowledge

Part of Narby's argument involves reminding us of some easily forgotten facts of the submicroscopic kingdom. A double strand of DNA ten atoms wide and nearly two meters long is coiled up inside every human cell,<sup>5</sup> and DNA is found in every cell of every living creature – the one unifying factor that runs unchanged through the whole story of the evolution of life on earth from the advent of the first bacteria to the emergence of modern humans. "DNA is a master of transformation, just like mythical serpents," says Narby:

The cell-based life DNA informs made the air we breathe, the land-scape we see, and the mind-boggling diversity of living beings of which we are a part. In four billion years, it has multiplied itself into an incal-culable number of species, while remaining exactly the same.<sup>6</sup>

Drawing on his years of field research amongst tribal peoples in the Amazon basin, and several direct experiences of his own with ayahuasca, Narby's *Cosmic Serpent* forcefully advances the seemingly extraordinary and unbelievable hypothesis that DNA is in some mysterious way "minded," and that ayahuasca opens a door to non-material levels of reality where that mind can be contacted. In Narby's

view, the famous double-helix structure discovered in 1953 by Nobel Prize-winners Francis Crick and James Watson has been rightly described by some biologists as nothing less than

an ancient, high biotechnology containing over a hundred trillion times as much information by volume as our most sophisticated information-storage devices. Could one still speak of a technology in these circumstances? Yes, because there is no other word to qualify this duplicable, information-storing module. DNA is only ten atoms wide and as such constitutes a sort of ultimate technology. It is organic and so miniaturized that it approaches the limits of material existence.<sup>7</sup>

Most orthodox evolutionary scientists might accept the *metaphor* of DNA as a technology, but would certainly not agree that it is *in fact* a technology, or that it could be in any way "minded" or "intelligently designed." In their framework, blind Darwinian forces of natural selection alone are quite sufficient to explain the structure and workings of DNA and its involvement with life; no other explanation need be sought for its miraculous miniaturization and perfection. But Narby means something much more guided:

DNA and the cell-based life it codes for are an extremely sophisticated technology that far surpasses our present understanding and that was initially developed elsewhere than on earth – which it radically transformed on its arrival some four billion years ago.<sup>8</sup>

Of course, this is an outrageous thought from the perspective of mainstream science. But it is no more outrageous than the hypothesis to which Narby reconfirmed his commitment when I met him at his home in Switzerland in 2004 – namely that as well as its more routine functions, which are in themselves miraculous and unexplained, the DNA code may conceal purposeful, intelligent messages for us, emanating from whichever "clever entities" invented the technology in the first place. Narby nowhere commits as to the exact status or provenance of these entities, but it is their messages, he hints, that have been accessed by shamans from all parts of the world for millennia. All any

of them needed to do was to find a way to tune their consciousness to the right frequency – efficiently achieved with hallucinogens like ayahuasca, as McKenna long ago suggested – and then they could decode their own DNA and learn from it everything they needed to know

## A new slant on spirit teachers

Narby reminds us that the "coded" nature of DNA is not in dispute amongst scientists, most of whom would also agree with the linguist Roman Jakobson that until the discovery of the genetic code, such encryption systems were considered to be "exclusively human phenomena – that is phenomena that require the presence of an intelligence to exist." Moreover, there is certainly room for intelligent hidden messages in the astonishing total of 125 billion miles of submicroscopic strands of DNA, 10 atoms wide, estimated to be folded up within the cells of each and every adult human body. Indeed, despite rapid advances in genetics, scientists admit that "the vast majority of DNA in our bodies does things that we do not presently understand."

As we saw at the end of Chapter Twelve, the truth is that genes, of which we now do have some knowledge, make up only about 3 per cent of our DNA, while the function of the other 97 per cent remains entirely unknown. Does it make sense to suppose, as most scientists do, that this "junk DNA" has no function at all? Or is it possible, as Narby contrarily suggests, that hidden messages, teachings, and revelations were long ago coded into it by "clever entities" – messages that Amazonian shamans have accessed for thousands of years through the use of ayahuasca?

I began to realize that if Narby's questions led anywhere, then here, potentially, was another radical way to explain the mysterious revolution in human consciousness that took place around 40,000 years ago, producing the first art and religions, and initiating the whole suite of recognizably modern behavior. Alongside the possibility of "spirit worlds" and "spirit beings" coexisting and interacting with our own three-dimensional reality, accessible to us in trance states, and intervening at crucial moments to catalyze our development, there was now

a rather different question to consider – one that my own recent DMT experiences had primed me for.

Perhaps our ancestors' discovery of trance techniques and wide-spread use of hallucinogens not only shattered five million years of mental rigidity with extraordinary and life-changing experiences, but also gave them access to *specific information*, recorded billions of years previously in their DNA, deposited there by Narby's "clever entities," to await the evolution of creatures that could make use of it. Perhaps this information was packaged by its makers in such a way as to be responsive to, and highly interactive with, the cultural preconceptions of just about any creatures above a certain level of intelligence that evolution might eventually produce. And perhaps the interactive display in the case of modern humans most frequently takes form as therianthropic "spirit teachers" – just like those man-beasts that first began to be painted on cave walls around 35,000 years ago, right at the dawn of modern human behavior.

In this case we would be dealing not with visions of "real" spirits seen by Upper Paleolithic shamans, but with the teaching devices of some sort of guidance and control system for intelligent beings, installed like a time-bomb in DNA at the moment that life began on earth, to await activation billions of years later by a combination of the right sort of brain with the right sort of electrochemical trigger. Such messages from a higher intelligence would presumably provide immense adaptive advantages, as they perhaps did during the Upper Paleolithic "revolution." They would also be democratic. Those who found the trigger, used it properly, and paid attention would receive tangible benefits.

### Plant teachers of the Amazon and the Kalahari

It is widely recognized that the Indians of the Amazon have unparalleled knowledge of the properties of jungle plants, and of their uses as medicines and poisons. One of the most remarkable aspects of this knowledge is that many of the individual plants required for the recipes are entirely inert on their own, and can only be brought into activity through long preparation and mixture with other plants. This is the

case with ayahuasca, as we saw in Chapter Three, and at the other end of the spectrum, it is the case also with the neurotoxin curare, used for thousands of years by hunters in the Amazon and now extensively adopted by Western medicine for its life-saving properties during radical surgery and anesthesia. Jeremy Narby's view is that the secrets of such substances are most *unlikely* to have been revealed by chance experimentation, no matter how long we might hypothetically allow for that to occur:

There are forty types of curares in the Amazon, made from seventy plant species . . . To produce it, it is necessary to combine several plants and boil them for seventy-two hours, while avoiding the fragrant but mortal vapors emitted by the broth. The final product is a paste that is inactive unless injected under the skin [on the tip of an arrow or spear, for example]. If swallowed it has no effect. It is difficult to see how anybody could have stumbled on this recipe by chance experimentation.<sup>12</sup>

Amazonian shamans do not claim that they stumbled upon their tribal archives of biochemical secrets by chance experimentation, or by any other such rational and quasi-scientific device. What they claim, very simply – but unanimously – is that a variety of "plant spirits," amongst which ayahuasca is paramount, have taught them everything important they need to know about the properties of other plants in the jungle, thus allowing them to make powerful medicines, to heal the sick, and, in general, to be good doctors and *vegetalistas*. Ayahuasca itself is said to be a "doctor," possessing a strong spirit, and is considered to be "an intelligent being with which it is possible to establish rapport, and from which it is possible to acquire knowledge and power." <sup>14</sup>

The anthropologist Angelica Gebhart-Sayer, who studied the Shipibo-Conibo Indians of the Amazon, notes that under the influence of ayahuasca, "the shaman perceives, from the spirit world, incomprehensible, often chaotic *information* in the form of luminous designs . . ."<sup>15</sup> As Gebhart-Sayer sees it, it is the shaman's function to decode and "domesticate" this raw, unprocessed data beamed at him

by the plant spirits by "converting it" into therapy for the tribe as a whole. Similarly, among the Campa, another Amazonian tribe, herbal recipes alone are not effective in bringing about improvements in health unless they are specified by a plant spirit encountered by a shaman in an ayahuasca trance.<sup>16</sup>

When the anthropologist Eduardo Luna interviewed mestizo shamans around the Amazonian town of Iquitos in the early 1980s, one of them, Don Celso, remarked that he himself had never had a shaman as a teacher and did not require one, because ayahuasca was an infallible source of true knowledge:

That is why some doctors believe that the *vegetalismo* [or science of the plants] is stronger than *la medicina de studio* [Western medicine], because they learn by reading books. But we just take this liquid [ayahuasca], keep the diet, and then we learn.

Another of Luna's subjects, Don Jose, also claims that the spirits of the plants taught him everything he knows. Likewise, Don Alejandro said that what he learned directly from ayahuasca very quickly surpassed his lessons from his human teacher, an old Indian shaman, because the spirits of the plants taught him so much.<sup>17</sup> "The spirits . . . present themselves during the visions and during the dreams," Luna concludes:

They show how to diagnose the illness, what plants to use and how, the proper use of tobacco smoke, how to suck out the illness or restore the spirit to a patient, how the shamans defend themselves, what to eat, and, most important, they teach them *icaros*, magic songs or shamanic melodies which are the main tools of shamanic practices.<sup>18</sup>

It is notable that in the Amazonian traditions, the "plant teachers" are said to assume not only plant forms, but also to appear as animals (the boa constrictor is a particularly common manifestation of the spirit of ayahuasca) or as human beings. Quite often they adopt the guise of "small people of beautiful and strong constitution," <sup>19</sup> a description strongly reminiscent of European fairies. Picking up on another ancient theme found across the domains of spirits, fairies, and aliens

that we have explored throughout this book, Amazonian shamans also routinely report plant teachers appearing to them in the shape of hybrids and therianthropes – "the product of the mating of human fathers with mermaids," in the words of Luna's informant Don Jose.<sup>20</sup>

Notable too, in every case, is the role of ayahuasca as a vehicle or a portal that allows the shaman to gain access to the hidden realms of information in which the plant teachers reside. This belief is prevalent throughout the indigenous cultures of the Amazon, notes Benny Shanon, Professor of Psychology at the Hebrew University in Jerusalem and one of the world's leading academic experts on ayahuasca:

In these [Amazonian] cultures, knowledge of the ultimate realities as well as all major cultural achievements are attributed to this brew . . . Ayahuasca – along with other psychotropic agents – is considered to be "the only path to knowledge." The world revealed through the consumption of these agents is taken to be real whereas the ordinary "real" world is often regarded as illusory.<sup>21</sup>

Significantly, though they attain their deeply altered states of consciousness without using any drugs, the bushmen shamans of southern Africa speak of their trance dance in much the same way that their counterparts in the Amazon speak of ayahuasca – as a vehicle for encountering spirits who deliver practical teachings to them. The reader will recall the imagery of "threads of light" and "ropes to the sky" that is so common in the bushmen accounts. According to the Kalahari shaman Bo:

Only the strongest doctors go up the rope and learn from their ancestors and the Big God. That's one of the ways we learn new songs, dances, and more knowledge about how to heal others. *They show us what plants to use for a certain sickness* or how to treat a specific person.<sup>22</sup>

Another bushman shaman, Kgao Temi, describes similar experiences:

When we dance we sometimes travel underneath the ground. There's a line that goes to the sky and a line that goes under the earth. One line takes you to the Big God and the other line brings you back. When you're up in the sky, you can see the line below you . . . The people who sit around the fire can touch your body, but you're not there. You disappear, while your body remains behind. It feels like you float up to the sky . . . The people from the past may come and take you. They teach you things and give you more power. They actually talk to you. They tell you about the dance. They also *teach you about the plants*. <sup>23</sup>

Whether construed as the apparitions of ancestors in southern Africa, or as "plant teachers" in the Amazon, it is clear that these entities, "hallucinations," "interactive recordings," "spirit beings" – whatever they may be – are everywhere experienced in much the same way. By some mechanism or other, they do actually talk to people, and many of those who listen believe that the communications thus received are from intelligent beings.

#### Westerners come to the school

Benny Shanon has drunk ayahuasca more than 130 times, and strongly affirms the widespread Amazonian perception of it as a powerful source of knowledge. As his experience with the brew increased, he says, he realized that he was "entering a school":

There were no teachers, no textbooks, no instructions, yet there was definitely structure and order to it. The teacher was the brew, the instruction was conducted during the period of intoxication without the assistance of any other person.<sup>24</sup>

During one ayahuasca session, Shanon reports experiencing a series of different visions that all pertained to one common theme – the life of nocturnal animals:

In each vision a different species appeared: jaguars, jackals, several kinds

of birds, insects and organisms smaller than insects. In each case I was shown how the animals in question behave. My eyes accommodated and I could see what the animals themselves saw. In effect, the entire set of visions was a very instructive course on animal behavior.<sup>25</sup>

Such structuring of experiences in an ordered flow, like a lesson, is quite common in Shanon's view:

As one gains more experience with the brew, one discovers that what happens to one under the intoxication is not haphazard – it seems to have an internal logic and order. It is as if there is, within the brew itself, a wise teacher who decides what one should experience and learn in each session.<sup>26</sup>

In addition to his own direct experiences of ayahuasca, which he describes extensively in his important book, *The Antipodes of the Mind*, Shanon has interviewed a large sample of ayahuasca users in South America and collated their accounts with those of a separate group of outsiders who have come to the hallucinogenic brew from many different countries.<sup>27</sup> He reports the case of a European informant, participating in a private ayahuasca session held in Europe,

who was struck by the feeling that a plant-being was in his body and that he had a strong, intimate relationship with it. This man felt that the plant was a being in its own right and that it was passing knowledge to him. This is in line with the indigenous conception of plants as teachers, a topic with which this subject was not familiar.<sup>28</sup>

In 1999, three Western molecular biologists traveled to the Amazon to participate in ayahuasca sessions with a Peruvian shaman. Two subsequently reported that they had experienced contact with "plant teachers" in the form of "independent entities" that had permanently altered the way they thought about reality. One, an American biologist whose specialist subject is the human genome, said she saw "a chromosome from the perspective of a protein flying above a long

strand of DNA." She claimed to have received a specific technical teaching from the vision concerning the hitherto-unknown function of certain DNA sequences called "CpG islands," found upstream of about 60 per cent of all human genes.<sup>29</sup>

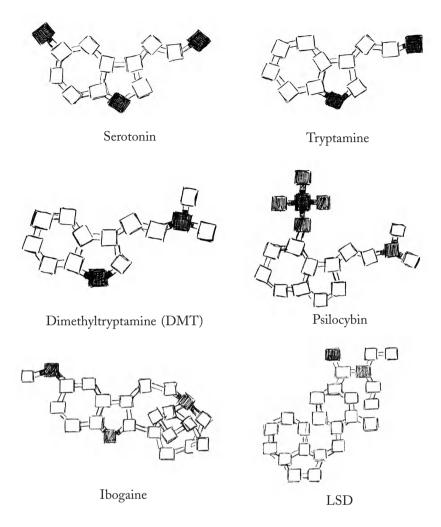
## Francis Crick, LSD, and the double helix

Those from rational, technological societies who have not drunk ayahuasca must naturally rebel at the notion of any plant teaching us about anything in the sense that is meant here – let alone teaching us about something so specific, and frankly so technical, as CpG islands and the mysteries of our own DNA. Yet it is not very hard to find evidence that something like this does in fact take place. As it happens, one very clear example concerns the discovery of the structure of DNA itself.

As the reader is already well aware, DMT, the active ingredient of ayahuasca, is a prominent member of a family of hallucinogenic and non-hallucinogenic molecules, known collectively as the tryptamines. These are the very molecules highlighted by Terence McKenna earlier in this chapter for their possible role in making "information stored in the neural-genetic material . . . available to consciousness."

We saw in Chapter Eleven that one of the best known tryptamines is the neurotransmitter serotonin, 5-hydroxytryptamine, which is itself entirely non-psychedelic. Another well-known – and definitely psychedelic! – tryptamine is psilocybin. Ibogaine, the African psychedelic that put me on my back for 48 hours, has a tryptamine core, and so too does the most famous psychedelic in the world, lysergic acid diethylamide (LSD), 30 discovered by Albert Hoffman in Switzerland in 1943 and elevated to cult status by the hippie movement in the 1960s. Peculiarly appropriately, one of the key amino acids with which DNA does its mysterious work of constructing and replicating life is tryptophan, 31 the parent molecule from which all the tryptamines, including DMT, are derived. 32

In late July 2004, the Nobel Prize-winning biologist Francis Crick, co-discoverer of the structure of DNA, died at the age of 88, and soon afterwards a little-known fact of his life hit the tabloid press. This was



Serotonin, DMT, psilocybin, ibogaine, and LSD all contain a nucleus, or basic building block, of tryptamine, derived from the amino acid tryptophan. (after Strassman, 2001, pp. 34–36)

that when he was working at the Cavendish Laboratory in Cambridge in the early 1950s, he frequently used LSD (which remained legal until the mid-1960s) as a "thinking tool" to boost his mental powers. According to a report published in London on August 8, 2004 in *The Mail on Sunday*, Crick had privately admitted to colleagues that he was under the influence of LSD in 1953 at the moment when he "perceived the double helix shape" and unraveled the structure of DNA.<sup>33</sup>



The headline in The Mail on Sunday, August 8, 2004

While he was using LSD, as he supposed, to free himself from rigid preconceptions, is it possible that the drug's tryptamine core brought Crick inadvertently into that hypothetical hall of records in our DNA to which ayahuasca gives us access, where "clever entities" long ago hid away the secrets of the universe? Since his mind was already well prepared in that direction, and his consciousness temporarily retuned to the right wavelength, could he have picked up high-quality information encoded in his own DNA . . . about the structure of DNA itself?

## Francis Crick and the mysterious origins of DNA

In the Amazon, a fellow ayahuasca drinker gave Benny Shanon this eloquent thought about the kind of knowledge that might possibly be unlocked by the tryptamine-rich brew:

God wanted to hide his secrets in a secure place. "Would I put them on the moon?" He reflected. "But then, one day human beings could get there, and it could be that those who would arrive there would not be worthy of the secret knowledge. Or perhaps I should hide them in

the depths of the ocean," God entertained another possibility. But again, for the same reasons, He dismissed it. Then the solution occurred to Him – "I shall put my secrets in the inner sanctum of man's own mind. Then only those who really deserve it will be able to get to it." <sup>34</sup>

The moral of the story is that drinking ayahuasca, or ingesting some other similar hallucinogen, may for most of us be *necessary* if we wish to open secret chambers in our own minds – but it is certainly not *sufficient*. For just as a horse may be brought to water but not made to drink, so a man or woman may consume ayahuasca without gaining any revelation – unless he or she "really deserves" it. All the shamans in the Amazon would agree, however, that revelations are there to be had if ayahuasca is used properly, and that what is needed is a prepared mind and a cool head to grasp the import of the information received and make the most of it.

Few men's minds could have been better prepared, or heads cooler, than Francis Crick's when he came to his LSD experiences. Along with James Watson and Maurice Wilkins, he broke the genetic code of DNA and won the Nobel Prize. It's hard to be more orthodox or more mainstream than that. Indeed, in a sense Crick was one of those who defined the mainstream. Where he led, generations of less inventive and less original scientists have been happy to follow. When he spoke, no matter what the subject, he was listened to. Indeed, one of his favorite topics was a matter on which it is difficult to see that he had any special qualification to pronounce judgment – namely the supposed impossibility of the soul's existence. But when Crick spoke on DNA, there can be no doubt, as the co-discoverer of its molecular structure, that he did so with special authority.

He always recognized that the big problem was not how life perpetuated itself through natural selection *after* DNA had come on the scene, but how DNA came on the scene in the first place. "At first it seems highly unlikely that this complicated mechanism could have arisen by chance," he wrote in 1966, "but it really is quite possible that some primitive version of it started in that way and although not perfect was sufficiently accurate to enable the system to get along." <sup>36</sup>

Over the next 15 years, Crick changed his tune entirely, and in 1981

he published a remarkable book entitled *Life Itself: Its Origin and Nature*, in which he set out his view that DNA could not, in fact, have arisen on earth "by chance," but rather that the seeds of life and of all future evolution, most likely in the form of simple, resilient bacteria, must have been sent here in spaceships by an alien civilization. The aliens' motive, he speculated, might have been to overcome the certain doom of a supernova explosion (or some such cataclysm) by preserving the DNA of their world in stripped-down bacterial form and sending it out into the universe in crewless, automated ships programmed to seek and crash into certain sorts of planets at certain stages of development – there to release their cargo of bacteria to begin all over again the long process involved in the evolution of fully conscious beings.<sup>37</sup>

Perhaps the early earth was one such planet? Perhaps it was seeded with bacteria sent here by intelligent beings from the other side of the galaxy who had evolved their civilization billions of years before the earth was even formed, and whose technology was astonishingly, almost magically advanced?

These are Francis Crick's speculations, not mine. But if our DNA is not "of this earth" and originated with an alien civilization in command of advanced genetic engineering technologies, as he proposes, then we cannot rule out the possibility that its scientists might have been able to record meaningful information, "messages," or "wake-up calls" in the language of DNA. If they were clever enough, they might even have transcribed the entire accumulated knowledge of their culture into DNA to await the evolution and attention of intelligent species on whichever planets anywhere in the universe the original cargoes of bacteria had happened to land . . .

#### CHAPTER FOURTEEN

# The Hurricane in the Junkyard

Francis Crick's hypothesis that life on earth was "seeded" with DNA by an alien civilization from a far-off planet is oddly similar in its essence to the cosmology of the ayahuasca-drinking Yagua Indians of the Peruvian Amazon, who told the French anthropologist Jean-Pierre Chaumeil: "At the very beginning, before the birth of the earth, this earth here, our most distant ancestors lived on another earth . . ."

Crick called his version of the Yagua belief "directed panspermia," and although it sounds a little crazy coming from a Nobel Prizewinner, he got away with it – well, because he was Crick, and because he made it clear that he was just punting a hypothesis. Besides, another scientist of almost equal eminence, though from a different field – the astrophysicist Sir Fred Hoyle – was also promoting a panspermia theory at around the same time, and also succeeded in keeping his career intact despite it. Hoyle envisaged the spores of life being carried randomly through space on great interstellar comets – rather than the intentional, intelligent dissemination favored by Crick – but what both men had in common was the strong conviction that life was already too complex when it first appeared on earth to have evolved here. Accordingly, they both believed that the first and most difficult steps – the steps from non-life to life that no scientist has ever been able to replicate – must have been taken somewhere else.

The story begins around 4.5 billion years ago when the earth's mass had formed as a planet orbiting the sun. For the next 600 million

years it remained a molten lava fireball, but by 3.9 billion years ago, cooling was sufficiently advanced to produce a thin outer crust of solid rock.<sup>3</sup> It is supposed that around the same time, pools of water enriched with minerals began to take shape beneath an atmosphere of simple gases. In these pools of primeval, prebiotic "soup," many scientists believe that the first very primitive life-forms appeared suddenly and almost instantaneously as a result of the accidental collision of molecules.<sup>4</sup> Others, Crick amongst them, argue that "the odds against such instant life are beyond the astronomical – more unlikely than the assembly of a Boeing 707 by a hurricane in a junkyard."<sup>5</sup>

Whatever one's view, the fact of the matter – on which scientists are generally agreed – is that life appears to have spread across the earth almost as soon as a prebiotic soup could be supported. The crust had not formed fully until about 3.9 billion years ago, yet by 3.8 billion years ago – a window of just 100 million years – there is abundant though secondary evidence that the planet had already been colonized by bacterial life. This evidence becomes firm at 3.4 billion years ago, the date of the oldest fossilized bacteria so far discovered – still barely half a billion years after the earth's first rocks had formed.

#### Source code

Crick was a biophysicist, and no mean mathematician, and he had statistical objections to the notion that life got its start on "this earth here" in a period of half a billion years or less. He had no problems with the notion of a prebiotic soup, but he did not see how the "formation from the soup of a primitive, chemical, self-reproducing system" – i.e. the beginnings of the DNA/RNA system that he played so large a part in decoding – could have occurred spontaneously, or even at all, in the relatively short time available. The argument here is not an anti-evolutionary one. Once such a system was in place, as it appears to have been from the very beginning of life on earth, once individual organisms could inherit "adaptive" characteristics which improved their survival chances, it is easy to see how Darwinian natural selection would begin to interact with DNA, favoring different traits in different circumstances and thus providing the fundamental mechanism for the

evolution of all the myriad forms of life that presently girdle the planet. But Crick's difficulty had to do with how the system got up and running in the first place – even if it was granted much more than half a billion years to do so. No matter how long he allowed in his models, the odds against this kind of organized complexity taking shape *on its own* remained vanishingly immense.

To understand why, we need to understand a little bit about the family of molecules known as the proteins, on which much of the structure and metabolic machinery of all living cells are based, and we need to know more about DNA.

"A protein molecule is a macromolecule, running to thousands of atoms," explains Crick:

Each protein is precisely made, with every atom in its correct place. Each type of protein forms an intricate three-dimensional structure, peculiar to itself, which allows it to carry out its catalytic or structural function. This three-dimensional structure is . . . based on one or more "polypeptide chains," as they are called . . . [which the cell constructs] by joining together, end to end, a particular set of small molecules, the amino acids . . . Surprisingly just twenty kinds of them [amino acids] are used to make proteins, and this set of twenty is exactly the same throughout nature . . . A protein is like a paragraph written in a twenty-letter language, the exact nature of the protein being determined by the exact order of the letters . . . Animals, plants, microorganisms and viruses all use the same set of twenty letters . . . The set of twenty is so universal that its choice would appear to date back to very near the beginning of all living things. 9

A second chemical language of equal antiquity can be seen in the nucleic acids, DNA and RNA.<sup>10</sup> Classified amongst that broad category of naturally occurring and synthetic compounds known as the polymers, they are giant chain molecules, each of which is characterized by repeating patterns – "bases" – of only four chemical elements. For RNA, these are adenine, cytosine, guanine, and uracil (represented by their initial letters A, C, G and U). For DNA, the first three bases are the same – namely adenine, cytosine, and guanine, while the fourth

base is thymine (T), such a close relative of uracil that it creates no incompatibility in the constant interactions that take place at the cellular level between strands of DNA and strands of RNA.<sup>11</sup>

These two polymers (with DNA usually "in command" and RNA playing subordinate, "messenger" functions) carry all the genetic information required to construct living organisms, and are the fundamental templates of inheritance.<sup>12</sup> Moreover, DNA and RNA, with their four bases, remain exactly the same and fulfill exactly the same functions in all living things, whether bacterium or elephant, flea or dog, jellyfish or acacia tree, cabbage or butterfly, minnow or whale, worm or man, four billion years ago or today. All that changes is the order of the letters A, C, G, and T in the genetic code written into the DNA of each organism, and of course the amount of DNA that each organism has. Thus, the intestinal bacterium E. coli consists of only a single cell, within which is coiled a half-millimeter-long ribbon of the DNA polymer;<sup>13</sup> by contrast, as we have seen, every one of the thousands of billions of cells making up the human body contains two meters of the same DNA - obviously loaded with many more paragraphs of genetic code than poor little E. coli has room or need for. Still, a great deal of information is required to code for even the simplest forms of life. Mycoplasma genitalium is the smallest bacterium known to science, but nevertheless requires enough DNA to carry its genetic code of 580,000 letters. The much more extensive genetic code that specifies a human being consists of approximately three billion letters, strung out along every one of those two-meter-long ribbons of DNA coiled inside every one of our cells.<sup>14</sup>

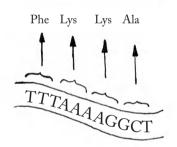
The great biological breakthrough of the 1960s, again in large part thanks to Crick's work, was the unraveling of this genetic code – the small dictionary "similar in principle to the Morse Code," as Crick put it, "which relates the four-letter language of the genetic material to the twenty-letter language of protein, the executive language."<sup>15</sup>

Without going into this submicroscopic alchemy in any depth, let's just state briefly that meaningful combinations of any three of DNA's four chemical letters mobilize cells to join amino acids together in a particular order so as to synthesize specific proteins – thus determining the final shape, form, and functioning of every organism according to

its own inherited code. Given the vast apparent diversity of life on earth, I find myself newly amazed every time I am reminded that exactly the same breathtakingly simple cipher can be reshuffled in a sufficient number of ways to specify us all, with only the order of the letters making the difference between geranium and giraffe, ant and elephant, ape and man (exactly as they do in written words, of course). But it all comes down to math, as Crick explains:

Since the nucleic acid language has just four distinct letters, there are sixty-four possible triplets (4 x 4 x 4). Sixty-one of these "codons," as they are called, stand for one amino acid or another. The other three triplets stand for "end chain." <sup>16</sup>

As we have already seen, only 20 amino acids are actually used by living cells in the construction of proteins. Inevitably, therefore, there is quite a lot of "ambiguity" – with most triplets coding for more than one amino acid and different triplets coding for the same amino acid. It is not known how cells decide on the right amino acid when several



Codons specifying amino acids: TTT = phenylalanine; AAA = lysine; AAG = lysine; GCT = alanine (After Calladine et al., 2004, page 13).

alternatives seem to be equally specified. Indeed, and I mention it without drawing any inference, there are only two amino acids that DNA treats as too important for any ambiguity to be tolerated, since it specifies them unequivocally with a single codon in each case. One is methionine. The other is tryptophan, the source molecule of all the tryptamine hallucinogens.<sup>17</sup>

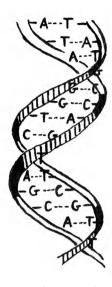
#### Miracle

It should be obvious from the above that both nucleic acids and proteins, which in all cases are very large, complex macromolecules, are required for anything that we might call life, at least on this planet. Crudely, the nucleic acids are needed because they carry the genetic code and they can replicate themselves – two things that proteins cannot do. Proteins, on the other hand, are needed for all the dynamic body-building tasks of cells, including the construction and replication of DNA itself. Without the inherited instructions already contained in DNA – "pick this amino acid," "put it together with that one," "stop," etc., etc. – no protein chains would be synthesized, and cells could not do their work. But since DNA could not be made and could not replicate itself in the absence of proteins, we are faced with a chicken-and-egg situation.

What bothered the statistician in Crick was the absolute improbability of even a single fully assembled protein made up of a long chain of amino acids emerging as a result of chance – no matter how nutritious the prebiotic soup or how many billions of years the ingredients were allowed to stew. Based on an average protein about 200 amino acids in length (others are much bigger), he calculated the odds of this happening as just one chance in a I followed by 260 zeros. To provide some sort of benchmark, all the atoms in the entire visible universe (not just our own galaxy) amount to a I followed by 80 zeros – quite a paltry number by comparison with the odds against the chance assembly of a single protein. How much less likely would it be, therefore, that life itself – which even at the bacterial level calls for complex cellular mechanisms and makes use of many proteins – could have gotten started through the chance collisions of molecules?

This sense of an amazing ordered complexity, right down to the atomic scale, is reinforced by a closer look at DNA's double-helix structure. Remember that in every human cell, each cell being one millionth the size of a pinhead, there is a double "ribbon" of DNA two meters long and ten atoms wide. Indeed, the reduction factor is even greater than that required to stuff two meters into a pinhead, since the entwined strands of DNA are entirely housed in the cell

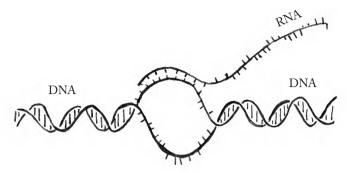




Because of the "upside-down" symmetry of its coiled strands, the DNA molecule is frequently compared to two identical serpents wound around each other and facing head to tail

nucleus, which is much smaller than the cell itself, with a diameter of just ten micrometers. The result is a feat of compaction that has been compared to folding fifty miles of kite string into a shoe box. The two identical polymer ribbons are generally wound around each other like two serpents facing head to tail, each an "upside-down" duplicate of the other and joining at their bases in a specific order (A always with T, C always with G). Scientists correctly speak of them as the "master copy" and the "back-up copy" because if any errors are introduced on one ribbon, the cell has a mechanism for repairing them with reference to the other.

Strung out along the DNA polymer ribbons, as we have seen, the genetic information in each cell is recorded chemically in detailed base sequences that code for the synthesis of proteins. The beauty and complexity of the system is that these instructions are not implemented directly from the cell's DNA archive, but are first copied (the technical term is "transcription") onto single-stranded RNA molecules ("messenger RNA"), which then initiate the synthesis without the need for further reference to the master and back-up copies safely stored inside the double helix.



Transcription of information from DNA onto single-stranded "messenger" RNA. (After Calladine et al., 2004, page 65)

"It is quite remarkable," notes Crick, "that such a mechanism exists at all, and even more remarkable that every living cell, whether animal, plant or microbial, contains a version of it." As with the 20-letter protein code, to which it is intimately linked by its amazing "translation" mechanism, this universality tells us that the genetic code is extremely ancient. Indeed it, and the DNA/RNA system which embodies it, must have been present in the first living organisms from which we are all descended. Yet the system itself is built of component parts and, in Crick's view, "is far too complex to have arisen at one blow. It must have evolved from something simpler." 22

The problem is that there is no evidence for that simpler thing – no evidence that any such evolution took place anywhere on earth before the spread of the first DNA-based bacteria between about 3.9 billion and 3.4 billion years ago. The implications are obvious, but as an arch-rationalist and committed atheist, it clearly pained Crick to admit that "the origin of life appears at the moment to be almost a miracle, so many are the conditions which would have needed to be satisfied to get it going."<sup>23</sup>

Perhaps it was in subconscious rebellion against any sort of spiritual explanation of this "miracle" that Crick came up with his panspermia theory. He did not believe in the supernatural, but the evidence convinced him that the four-letter DNA "language," together with the 20-letter protein "language," and the translation mechanism that links the two, were most unlikely to have arisen naturally on earth through chance collisions of matter. In some sense, the system appeared to have

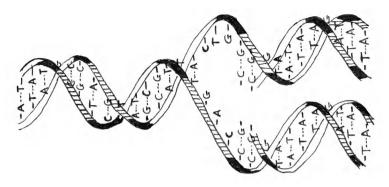
been engineered, even "created," *before* it ever went into action here. But by whom? Crick would have been going against his own character to consider the possibility that it might have been a supernatural entity of the kind that most people call God. He much preferred the scenario of intelligent aliens on a distant planet sending rocket-loads of bacterial DNA out into space targeted at promising solar systems.

## Recording the music of life

It is rather odd to think that our bodies are built and our parts function according to a complex set of coded chemical instructions, half of which we have inherited from our mothers and half from our fathers. Then, as we delve deeper, we realize that they too inherited half their DNA from their mothers, half from their fathers – and so on, counting along the chain of generations all the way back to the beginning of life on earth. The one constant factor, from the first bacterium more than three and a half billion years ago to the most exalted members of the modern human race, are these little polymer ribbons of self-reproducing "recording tape" that make up the DNA system.

We have already noted that inside every human cell, a two-meter length of this "tape" is coiled up containing the complete genetic information for the construction of a human being. As a polymer, the tape itself is chemically related to the vinyl records on which the hit songs of the 1960s were played, but the information recorded on it is the endlessly duplicable, endlessly renewable music of life:

Growth occurs by the process of cell division: each cell divides into two new cells, and these cells in turn divide, and so on. Just before any cell divides, it duplicates all of its DNA, so that every new cell contains a complete set of DNA, which again contains all the genes of the organism. Only a small fraction of the genes present on this DNA are activated in any given type of cell . . . A little thought indicates that this scheme for providing every cell with a complete set of DNA is, in fact, an extremely simple way of providing the necessary information, in all places where it is required – even though, of course, the



Just before any cell divides, it duplicates all of its DNA, so that every new cell contains a complete set of DNA which again contains all the genes of the organism (after Calladine et al., 2004, p. 10 and 65)

scheme requires a vast amount of repetitive copying and duplicating of DNA.<sup>24</sup>

The theme of apparently redundant copying and duplicating is one that comes up again and again. First there is the double helix itself – an original and a copy. Then there is the role of RNA, busily copying specific segments of code from DNA in order to initiate protein synthesis. In any one cell at any one moment, the end result is that most of those highly meaningful clusters of code on the DNA ribbons that we call genes have no function whatsoever. They are "off-line":

Thus cells which develop into an eye use only the genes which program for the growth of eye cells. How cells "know" which kind of organ they belong to is a large and only partly understood area of research.<sup>25</sup>

What is clear is that it seems to be part of the normal functioning of DNA for most of it to be off-line most of the time, with only specific segments being activated for protein synthesis. Moreover, it would be wrong to think that DNA's only function is to make proteins according to the inherited instructions of the genetic code. On the contrary, even within genes, less than I per cent of the bases contain programs to synthesize specific proteins.<sup>26</sup> The issue looms larger when

we remember that genes themselves make up only around 3 per cent of the DNA in each cell. Figures vary, and some authorities assign 5 per cent, others as much as 10 per cent of DNA to genes – but even on the highest estimate, it still means that "the vast majority of DNA in our bodies does things that we do not presently understand."27 All that we know for sure about these huge libraries of DNA – remember, we are speaking of between 90 and 97 per cent of the total - is that they contain immense amounts of information written in exactly the same language as the genetic code, but in this case not coding for the construction of proteins or any other recognized function. Some areas of such "non-coding" text consist of long sequences of bases repeated over and over again, sometimes thousands of times, apparently uselessly. Understandably, therefore, scientists for a long while had the idea that this must be "junk DNA," superfluous, meaningless code, with no function, that survived simply because it was automatically replicated whenever cells divided.

But why would natural selection preserve such a huge volume of useless gibberish and go to all the trouble of reproducing it in every living cell?

Coming at the problem from many different directions, scientists today are finding that the answer is quite straightforward. The old throwaway theory is wrong. So-called junk DNA does in fact play a vital role in regulating cellular processes, and is every bit as important to the overall health and functioning of the organism as the better-known coding sections. But this is not the place to describe the medical implications of the expanding scientific exploration of noncoding DNA. What I'm interested in is something else, discovered by scientists as a chance by-product of other inquiries, about the information recorded on these long and so far still mainly mysterious segments of polymer.

It has to do with the patterns in which that information is organized.

## The message of Zipf

All human languages have a very strange and most unexpected secret in common. It is called Zipf's Law, after the linguist George Zipf, who discovered it in 1939. He studied texts in many different languages and ranked the words in order of frequency. What he found, which has since proved to be true whether the language is English or Inuit, Japanese or Xhosa, Arabic or Urdu, is that a direct, exact, unvarying and utterly counter-intuitive mathematical relationship exists between the rank of a word and the actual frequency of occurrence of that word. No matter which text he selected, when Zipf created a histogram that plotted word frequency against word rank, the surprising result was a straight line "with a slope of -1 for every human language."<sup>28</sup>

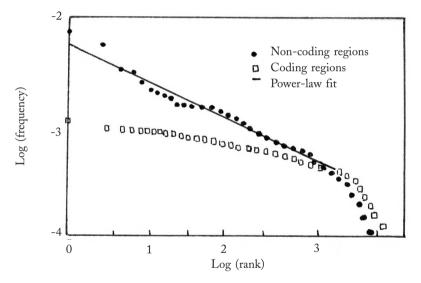
In order to grasp the general principle here, imagine a book of any given number of words, 60,000, or 114,000, or any number, it doesn't matter. If the most common word in the book – i.e. the word with the rank of one – appears 10,000 times, then you can be certain that the tenth most common word (i.e. ranked ten) will appear 1,000 times and the one hundredth most common word will appear just 100 times. The numbers will vary, obviously, from text to text dependent on overall length, but the exact mathematical proportions between rank and frequency will always turn out to be the same in any human language at any time. This, in a nutshell, is Zipf's Law.<sup>29</sup>

Now here is the even stranger thing. In the mid-1990s, researchers from Boston University and Harvard Medical School examined 37 DNA sequences containing at least 50,000 base pairs each, as well as two shorter sequences and one with 2.2 million base pairs. Where possible, they evaluated both coding and non-coding regions. They noticed that distinct patterns of three, four, five, six, seven, and eight base pairs – comparable to individual "words" – existed in all the sequences. This led them to apply two standard linguistic tests to the material. One of these was Zipf's test, and following Zipf's own method, the DNA "words" were ranked in order of frequency, and a histogram plotting the rank of each word against the actual number of times that it appeared in each "text" was drawn up.

In every case where coding regions were evaluated, they turned out *not* to obey Zipf's Law. This is precisely as one would expect, since the coding regions are just codes, not languages – and are better thought of as templates for the construction of particular proteins.<sup>31</sup> "The coding part has no grammar," explains lead researcher Eugene

Stanley. "Each triplet [of bases] corresponds to an amino acid [in a protein]. There's no higher structure to it." 32

So far so predictable, and so reassuring. Of course our DNA doesn't contain intelligent messages and isn't trying to communicate them to us in a language! If it did, all the basic principles of modern evolutionary science would be turned head over heels! Still, what happened next was most unexpected – "really remarkable," in Eugene Stanley's appraisal: "There's no rhyme or reason why that should be true." This really remarkable and totally unexpected discovery was that in every case where non-coding regions of DNA had been evaluated, they turned out to demonstrate a perfect Zipf Law linear plot. If these DNA sequences had been books filled with pages of indecipherable printed



Plotting frequency against rank for arbitrary "words" in non-coding DNA yields the linear plot found in human languages. By contrast, coding DNA does not conform to this law (After Eugene Stanley, *Science*, 25 November 1994).

letters, then this result would oblige us to conclude that the letters were not random alphabet soup but words in an organized language. Stanley didn't shy away from the implications of this. In his opinion, the non-coding DNA sequences do contain "a structured language fundamentally unlike the coding in genes." Even though it doesn't code for proteins, we therefore need to consider the possibility that

"the 'junk' DNA may carry some kind of message."36

Such a daring proposition receives further support from the second linguistic test that the team also applied to the DNA sequences. Developed in the 1950s by information theorist Claude Shannon, this test distinguishes texts written in true languages from texts written in alphabet soup by quantifying the "redundancy" of any string of characters. The test works, and is universal, because "languages are redundant sequences . . . You can fill in a typographical error by noting nearby characters. A random sequence, in contrast, has no redundancy." <sup>37</sup>

Again, when the test was applied to coding regions of the DNA, these were shown *not* to have the properties of a human language – as we would predict. The genetic code is not, and cannot be, a redundant sequence in which errors can be corrected with reference to the general context; on the contrary, geneticists are well aware that even a single mistake involving a single base pair on a single gene can scramble the code and produce catastrophic abnormalities. By contrast, the researchers found that the *non-coding* sections of DNA "revealed a surprising amount of redundancy – another sign that something was written in these mysterious stretches."<sup>38</sup>

In short, these completely unexpected discoveries allow us to contemplate something astonishing. The chemical "writing" on so-called junk DNA may not only possess "all the features of a language,"<sup>39</sup> but may in fact *be* a language like any human language. When I contacted Professor Eugene Stanley at Boston University in June 2005 to ask if he still stood by his electrifying 1994 findings, or if they had subsequently been refuted, he told me: "You bet I stand by them! Nothing has been refuted."

## The ancient anonymous author

As a general rule of thumb, the more complex an organism is, the more non-coding DNA it will have. There are, however, a great many simple organisms that possess a great deal of non-coding DNA, and even the simplest organisms have some. Indeed, these long sequences of apparently meaningless code are found so widely distributed

amongst all categories of living things that they may even descend from the DNA of our remotest common ancestors – those first single-celled replicating bacteria that colonized the earth almost four billion years ago.<sup>40</sup>

We have much more in common with primitive organisms and lower animals than we imagine. An Australian National University study of 1,300 DNA sequences from the coral *Acropora millepora*, published in December 2003, found more than 500 coding and noncoding areas that were also present in humans. Similarly, in 2004 researchers at the University of California showed that long sections of the non-coding DNA of mice and humans are identical despite 50 million years of divergent evolution from their last common ancestor. It absolutely knocked me off my chair, comments Professor Haussler, the leader of the University of California team. It's extraordinarily exciting to think there are these ultra-conserved elements that weren't noticed by the scientific community before.

What I find much more exciting, however, is the possibility envisaged by Eugene Stanley and his colleagues at Boston and Harvard that "some kind of message" might be written on these ultra-conserved sequences of code that occupy up to 97 per cent of our DNA but have no known function. It is difficult to see how the accidental processes of chemistry alone could have produced the intense language-like organization embedded in the so-called junk sequences. But if it really is some kind of message, rather than a freak of chance and nature that just looks like a message, then who, or what, might have written it? For those who feel uncomfortable with the intervention of gods or spirits in our affairs - and most of all in our creation - Francis Crick's theory of directed panspermia provides what looks like a thoroughly reasonable, "nuts-and-bolts" alternative. Yet let's recall that Crick, despite being one of the great rational atheists of the twentieth century, received his vision of the structure of DNA while he was in an LSD trance, and ultimately felt obliged to conclude that the double helix must have been introduced to this planet on board the spaceships of an advanced alien civilization.

#### The teachers within

It is bizarre not only that Crick's hypothesis reflects the ayahuascainspired mythology of the Yagua of the Amazon, as we saw at the beginning of this chapter, but also that a number of Westerners who did not know one another or compare notes, and who experimented with ayahuasca or with pure DMT at different times, nevertheless arrived separately at what were essentially very similar visions involving DNA. In Chapter Twelve we saw that several of the volunteers in Rick Strassman's DMT project at the University of New Mexico experienced intense visions featuring "threads of DNA" and "spirals of DNA." In Chapter Thirteen the reader will find the case of the American biologist who received detailed images of specific DNA sequences under the influence of ayahuasca, and in Chapter Three I reported my own ayahuasca visions of "snakes that wind around each other like the DNA double helix."

Indeed, this theme seems to be everywhere abundant amongst people who have encountered hallucinogens, like DMT, LSD, psilocybin, and ayahuasca, which have a tryptamine core. In 1961, the American anthropologist Michael Harner was one of the first Westerners to participate fully in an indigenous ayahuasca ceremony in the Amazon – in his case in a Conibo Indian village beside a remote lake off a tributary of the Rio Ucayali. After drinking a large dose of the bitter hallucinogenic brew, he received a spectacular vision in which he saw dragon-like creatures that came to earth fleeing something, perhaps an enemy, "out in space" after a journey that had lasted for "eons":

The creatures showed me how they had created life on the planet in order to hide within the multitudinous forms and thus disguise their presence. Before me, the magnificence of plant and animal creation and speciation – hundreds of millions of years of activity – took place on a scale and with a vividness impossible to imagine. I learned that the dragon-like creatures were thus inside all forms of life, including man. They were the true masters of humanity and the entire planet, they told me. We humans were but the receptacles and servants of

these creatures. For this reason they could speak to me from within myself. In retrospect one could say they were almost like DNA, although at that time, in 1961, I knew nothing of DNA.<sup>46</sup>

Much later, in the 1990s, Jeremy Narby's experiences of ayahuasca evoked a similar chain of thought. During his first session with the brew he reports that he suddenly found himself

surrounded by two gigantic boa-constrictors that seemed fifty feet long. I was terrified. These enormous snakes are there, my eyes are closed and I see a spectacular world of brilliant lights, and in the middle of these hazy thoughts, the snakes start talking to me without words. They explain that I am just a human being.<sup>47</sup>

Despite superficial differences, it seems to me that Narby and Harner's avahuasca experiences have much in common with Crick's LSD experience, and his subsequent elaboration of the directed panspermia theory. In essence, what all three seem to envisage is a control system for the human race that is not of this earth, that is serpent-like in form, that now dwells inside us, and that is superior to all of us. Crick calls it the double helix, coils it up inside bacteria, and has it sent here from across the galaxy on alien spaceships. For Harner it is creatures that he sees as dragons that have likewise come to earth from space after a journey of "eons," that have found a way to perpetuate themselves here inside all life, and that are the "true masters of humanity." Narby is put in his place by twin serpents, notes that the double helix of DNA resembles "two entwined serpents," 48 calls it "an extremely sophisticated technology . . . that was initially developed elsewhere than on earth,"49 and goes on to write a book called The Cosmic Serpent, in which the notion that DNA may be minded, and may encode intelligent messages that we can access in altered states of consciousness, receives its fullest elaboration.<sup>50</sup>

I feel that the possibility cannot be discounted that these insights into the mysteries of DNA that have been given to scientists and anthropologists, just like the insights into the properties and combinations of plants that ayahuasca gives to shamans in the Amazon, may

not be accidental. If our DNA is in any way an artifice of technology, then there is every reason to suppose that its makers would expect such a technology to result in the evolution of beings of high intelligence – sooner or later. In that case, the one certain way to send those future beings a message, no matter what unpredictable paths their mental and physical development might follow, would be to encode it in their DNA – indeed in the most basic elements of DNA that everyone and everything would have to possess some of, but that might accumulate preferentially in higher organisms.

Making up 97 per cent of the total DNA library in the cells of modern human beings, this is why the "junk" non-coding sequences with their mysterious language-like properties are so interesting. It may be the case that hallucinations of the sort that convey veridical knowledge about DNA or about plants, or about how to cure a certain sickness, or about the nature of reality, are as effective a technology as bio-engineering and genetic manipulation for exploring the true potential of the legacy stored inside all our cells. It may be, in other words, that the ancient teachers of mankind have been inside us all along but that we must enter altered states of consciousness in order to hear what they have to say.

# PART IV The Religions

#### CHAPTER FIFTEEN

# The Hidden Shamans

Since it first began to leave definite traces in the archaeological record in the painted caves around 35,000 years ago, religion has undoubtedly been one of the two or three great influences on human behavior – as universal and as far-reaching in its effects as the struggle to meet basic physical needs.

Its importance in our affairs is not a thing of the past.

Despite the advance of science, which has no space and no patience for the supernatural, roughly two out of every three human beings alive today continue to hold strong beliefs in supernatural entities and in the existence of spirit worlds. Hindus and Buddhists recognize the veridical existence of limitless non-physical realms, entities, intelligences, and states of existence. Traditional Jews believe that Moses talked to God face to face, as the Torah states, and received from him "heavenly writings" in the form of the Ten Commandments. Muslims are taught that Muhammad had encounters with a majestic supernatural being, later identified with the Angel Gabriel, whose apparitions were preceded by "a peculiar sound like the tinkling of bells," and who subsequently revealed to the Prophet the entire text of the Koran.<sup>1</sup> Interestingly, with a mind to the UFO abductees and shamans we have met in previous chapters who believe they were shown a book that is kept in the otherworld, and gained some revelation from it, Muslims believe that the Koran is "a perfect transcription of an eternal tablet preserved in heaven."2 Another parallel is found in the Mormon belief that Joseph Smith received his revelation from an angel named Moroni, who gave him the text of the Book of Mormon on golden plates that later vanished into thin air <sup>3</sup>

Mani, eponymous founder of the Manichean religion in the third century AD, claimed to have received all his teachings from an angel who first visited him when he was 12 years old and told him he had a mission to educate mankind. This being, who inspired Mani throughout his life, frequently appeared to him like a dazzling "flash of lightning."4 Likewise, St. Paul, the great apostle of Christianity, began his mission with a supernatural encounter on the road to Damascus when suddenly he heard a voice accompanied by "a light from heaven" so bright that it knocked him to the ground and blinded him for three days.5 The shamanic theme of Paul's initiation fits comfortably into the framework of the Christian religion as a whole - founded as it is on a belief in the life, death, and resurrection of a supernatural being whose father was a god, whose mother was a mortal woman, and who was thus, himself, a particularly special, important and effective kind of spirit-human hybrid. Christians generally also believe in angels, the Devil, demons of various sorts, and other supernaturals such as the Blessed Virgin Mary and the Holy Ghost. The heaven and hell of Christianity are of course spirit worlds.

We cannot escape it. Belief in the supernatural – whether manifested through spiritual beings thought to have been born in human form, or through revelations to particular humans – has been responsible for social, political, economic and cultural developments "of monumental significance in the history of mankind." Moreover, we need only watch a few minutes of international television news daily to realize what a huge force religion *still* is, how deeply and effectively it controls the minds of billions of people all around the globe, and the extent to which it underlies the hot political issues of our times. Few scientists would agree with the suicide bomber's firm belief that when he blows himself up his soul will go straight to paradise, but none would argue with the power of such beliefs to shape events in the "real world."

#### The bureaucratization of shamanism

Since religious beliefs are so important, it should not be controversial to state clearly what the evidence shows about their ultimate source and inspiration. And what the evidence shows, if we probe deeply enough into the foundations of all the world's great religions, is that they rest upon a bedrock of supernatural encounters and experiences involving powerful and charismatic individuals with the gift to communicate what they knew to others. Although such beliefs quickly crystallize into dogmas passed on from generation to generation, it is clear, even from the few examples given above, that they were not originally conjured out of thin air, or arrived at through scholarly study, or deliberately devised to assuage supposed human needs, but that they arose in every case solely out of attempts to describe, depict, and explain the supernatural experiences of their founders – who were, by any standards, shamans of the highest order. The evidence we have explored in these pages suggests that experiences of this sort have been inextricably tangled up with altered states of consciousness since the dawn of human culture, and indeed that they derive from, and in fact are only attainable in, such profoundly altered states - more often than not induced by the consumption of psychoactive plants. Anthropologist Weston La Barre goes so far as to assert that:

All our knowledge of the supernatural derives *de facto* from the statements made by religious visionaries and ecstatics, i.e. prophets and shamans . . . Priests only administrate the ecclesia established on this supernatural basis . . . <sup>7</sup>

I find La Barre to be entirely correct and highly perceptive in this observation. In the case of all the great religions of the modern world, the original supernatural experiences and revelations of their founders are now so far in the past that salaried priests, ministers, rabbis, mullahs, and bishops have taken over entirely – presenting themselves not just as administrators but as true and exclusive intermediaries between humanity and the otherworldly powers. The reader is well aware by now that this role of intermediary is the shaman's role. Unfor-

tunately for us, however, the imposing bureaucrats and managers whose modern monopoly over our relationships with the otherworld has often been brutally built up by centuries of repression are not shamans. On the contrary, they are no more likely than most members of their congregations to encounter spirits in their daily experience. They teach, and adhere, often rigidly, to what they themselves have been taught about the supernatural, but they have no special abilities and have practiced none of the special skills needed to penetrate supernatural realms and return with hard-won knowledge of real value to their communities. Unless they happen to belong to the 2 per cent of our species who are capable of spontaneously entering trance states, or consume psychoactive drugs, or use arduous physical techniques such as long sessions of rhythmic dancing (like the San of southern Africa) in order to induce such states, we can therefore be quite certain that these functionaries will never present us with any new supernatural experiences of their own to refresh the original inspirations, revelations, and insights of the religions they now direct and control. Perhaps this is part of the tragedy of the modern world - that the blind have for so long been allowed to mislead the blind about the very experiences and revelations that may have made us human in the first place.

What hope do we have of rediscovering the truth? In a sense it is always there waiting for us. Indeed, it is our birthright. Shamanic ecstasy lies at the root of all religions and, as Weston La Barre admits, "the nature of the shamanic ecstasy may be illuminated by attention to ancient hallucinogens . . ." That has been the path pursued throughout this book. By paying close attention to ancient hallucinogens, we have attempted to illuminate the contribution of shamanic ecstasy to the emergence of modern human behavior. In the process we have also been drawn inevitably to explore the full spectrum of human consciousness and the multiple realities it is capable of recognizing — rather than confining ourselves to "the carefully selected utilitarian material," wrongly perceived as "a complete or at least sufficient picture of reality," that is normally admitted into consciousness by the "reducing valve" of the brain. 9

The reducing valve is plainly necessary, as Aldous Huxley observed (see Chapter Five), to prevent us

from being overwhelmed and confused by a mass of useless and irrelevant knowledge, by shutting out most of what we should otherwise perceive or remember at any moment, and leaving only that very small and special selection which is likely to be practically useful . . . <sup>10</sup>

At the same time as equipping us with such an obviously adaptive device, however, and presumably for some equally important reason, evolution has also given us access to a variety of shamanic techniques by which almost anyone can bypass the reducing valve in his or her own brain. It is a sad irony that these "gratuitous graces," which our ancestors originally used with caution and reverence to explore and learn from non-ordinary reality, have, with the passage of much time, been diverted by bureaucrats into the spiritual dead end of the "ecclesia," where everything is dogma, endlessly repeated, where almost no one has first-hand experiences of the supernatural any more, and where nothing new may be discovered or learned.

#### **Primitive Christians**

Arguably, the rot sets in from the moment that any culture begins to devalue its shamans as madmen and visionaries, to deny the legitimacy of direct revelation amongst "ordinary people," and to place its spiritual trust in a sacerdotal class who can only teach what they have been taught by others rather than what they themselves have experienced.

Let's take a closer look at Christianity, by way of example. After its foundation around 2,000 years ago it was, at first, an overtly shamanistic religion. This is hardly surprising since Christ was so obviously and so profoundly a shaman. It is not only his pedigree as a half-human, half-divine hybrid that makes him so, or his heaven-sent gifts as a healer. His ordeal of crucifixion and piercing, followed by death and subsequent resurrection as a spiritualized being equipped with the power to save souls, is essentially the story of the wounded man – the story that is told by all shamans everywhere of their own initiatory agonies, death, and resurrection.

The primitive Christians who called themselves Gnostics believed

that salvation was to be attained through a special sort of "knowledge of the true nature of things" that could not be taught but only revealed directly to the initiate. They also believed, like the Jivaro of Ecuador, that this material world in which we live is essentially an illusion in which the soul is trapped, and that the only way for us to see reality is to enter the visionary state. We do not know how the Gnostics did it (although some certainly practiced severe austerities, and there is inferential evidence of a mushroom cult). In the case of the Jivaro, however, there is absolutely no doubt that hallucinogens – principally ayahuasca – are used. As anthropologist Michael Ripinsky-Naxon explains:

The spiritual and metaphysical contingencies of the energies of life may be tapped, the Jivaro believe, by means of hallucinogens. The spiritual realm, this antipodal World, thus entered, is where knowledge of the great cosmic causality can be apprehended. All the events that occur inside the Otherworld are manifestations of the "true" reality and exert an impact on the daily, physical aspect of existence. Consequently, the everyday non-visionary life is considered "fake" and "false." <sup>12</sup>

During approximately the first to sixth centuries AD, this was precisely how the Gnostics, and their "pagan" cousins the Hermeticists, described the human predicament in a world of material illusions:

All things on earth then, my son, are unreal; but some of them – not all, but some few only – are copies of reality. The rest are illusion and deceit, my son, for they consist of mere appearance. When the appearance flows in from above, it becomes an imitation of reality. But apart from the working of power from above it remains an illusion.<sup>13</sup>

All things which the eye can see are mere phantoms, and unsubstantial outlines; but things which the eye cannot see are the realities.<sup>14</sup>

You must understand that that which ever is, and that alone, is real. But man is not a thing that ever is; and therefore man is not real, but is only an appearance.<sup>15</sup>

One can find many similarly subversive statements about the sham nature of daily reality, and the contrasting truth of the visionary realm, in the Hermetic texts and in the library of original Gnostic texts rediscovered at Nag Hammadi in Upper Egypt in 1945. 16 Such views, however, were ferociously repressed from the fourth century AD onwards by the emerging Catholic Church, whose priests preached blind faith in an extremely crude, "down-to-earth," and literal interpretation of the tightly censored range of Christian scriptures that became the canonical New Testament. Far from encouraging the personal search for revelation (and thus escape from illusion) amongst their "flock," these Catholic "men of God" presented themselves and their Church as the exclusive channel by which divine wishes reached the masses and by which the masses could communicate with the divine. Indeed, so determined were they to maintain and enforce their spiritual monopoly that by the thirteenth century the Pope had made it illegal across large parts of Europe for lay persons to possess any of the books of the Old and New Testament "with the exception of the Psalter, the Breviary and the Book of Hours of the Blessed Virgin." Even these could only be owned if they were in Latin. To attempt to translate them into any vernacular tongue that might be understood by the masses, and worse still to circulate vernacular copies, was considered heresy and called for the attentions of the Inquisition.<sup>17</sup>

#### Shamanic survivals from Santa Claus to St. Sebastian

Against such a background of censorship and persecution, including the execution of tens of thousands of Gnostic "heretics" during the Albigensian Crusades in the twelfth and thirteenth centuries, <sup>18</sup> I'm not surprised that Christians today are mostly unaware of the shamanic roots of their religion.

Yet by no means all of those roots have been amputated.

An example is the way that Christmas Day, arguably the most important annual Christian festival, has been thoroughly overtaken in the public imagination by strange non-Christian ceremonials and symbolism involving the figure of "Santa Claus." American

ethnobotanist Jonathan Ott proposes that what all this goes back to are ancient shamanistic cults amongst the reindeer-herding tribes of Siberia, in which the hallucinogenic red and white fly agaric mushroom was consumed to induce visions – particularly around the time of midwinter:

The winter dwelling, or yurt, had a smokehole in the roof, supported by a birch pole. At the midwinter festivals, the shaman would enter the yurt through the smokehole, perform his ceremonies, ascend the birch pole and leave. Santa Claus is robed in red and white, the colors of the fly agaric. He enters and leaves by the chimney, and he has reindeer. Santa Claus also flies, an accomplishment that he shares with the shaman.<sup>19</sup>

Numerous shamanic vestiges are also to be found in the cults of saints that are so popular amongst both the Catholic and Orthodox branches of Christianity. For instance, the Greek St. Christophoros is often portrayed in Orthodox Christian art in therianthropic form with



The Greek St. Christophoros (after Ripinsky-Naxon, 1993, p. 3)

the body of a man and the head of a dog.<sup>20</sup> Moreover, it is common for individuals to relate themselves especially to a particular saint who they believe intercedes on their behalf with the supernatural powers.

All of this, of course, would be intensely shamanic even if it were not for the additional fact that most saints are saints in the first place by virtue of having performed feats of healing or other miracles that would clearly mark them out as shamans in hunter-gatherer societies. Very often, too, if we examine the life story of a saint, we will find that he or she has been through a recognizably shamanic initiatory ordeal.

There are hundreds, if not thousands, of such cases, of which the most famous and most obvious is St. Sebastian, who was supposedly made a target by Roman archers and then beaten to death with clubs. Always depicted in Church art as a naked youth pierced by multiple



Left, wounded man of Pech Merle; center, St. Sebastian; right, wounded man of Eastern Free State, South Africa

arrows, Sebastian should be instantly recognizable to readers of this book as another form of the ubiquitous image of the wounded man that has been with us since the Upper Paleolithic.<sup>21</sup>

St. Ursula underwent the same ordeal of piercing by arrows.<sup>22</sup> Similarly, St. Justina is depicted as a damsel with both breasts pierced by one sword<sup>23</sup> while St. Stanislaus is always shown as a bishop being "hacked to pieces" at the foot of an altar<sup>24</sup> (a direct echo of the traditions of shamanic dismemberment from all around the world that we have examined in previous chapters). Though never physically martyred, the sixteenth-century Spanish nun St. Theresa of Avila also underwent a classic shamanic ecstasy of piercing and agonizing pain:

To my left I saw an angel in bodily form  $\dots$  its face so fiery that it seemed to belong to the highest of angels, who appear to be all flame  $\dots$  In its hand I beheld a long golden spear at the point of which a small flame seemed to flicker. I felt as if the angel pierced that spear several times through my heart, that it penetrated to my bowels, which were extracted when the spear was withdrawn, leaving me all aflame with an immense love of  $God.^{25}$ 

## Sisters in vision and prophecy

Very often, the Church reacts badly at first to potential saints, seemingly dumbfounded as to what to do with them, but ultimately finds ways to capture and channel their energies within the dominant orthodoxy. Jeanne d'Arc, who communed directly with the spirit world and its supernatural inhabitants, "and thereby miraculously saved her king and her country," was ultimately executed for her shamanic powers when the ecclesiastical authorities determined that her "voices" (modern psychiatrists would call them auditory hallucinations) were those of demons, not of angels. In an act of infamy that has rung down the ages, Jeanne was burnt at the stake at Rouen, France, on April 30, 1431. In April 1909, almost five centuries later, the Catholic Church belatedly decided that her spirit guides had after all been angels, not demons, and this great shaman of the late Middle Ages was at last canonized as a saint. 27

What is less well known about Jeanne d'Arc is that when she stood before the Inquisition accused of witchcraft, the first questions her interrogators asked were if she had "any knowledge of those who went to Sabbath with the fairies" or if she had not assisted "at the assemblies held at the fountain of the fairies, near Domremy, around which dance malignant spirits." <sup>28</sup>

Another matter the inquisitors were eager to learn about was a certain "fairy tree" that Jeanne was accused of frequenting. She did not deny knowledge of it and said that it was called the Tree of the Mistress, or sometimes the Tree of the Fairies, because long ago in the past fairies had been at the tree. She reported that girls used to hang bunches of flowers on the tree and admitted that she herself had

"made wreaths there for the picture of our Lady of Domremy." Near the tree was a spring, Jeanne added, "where sick people would scoop up water to drink; the water healed them."<sup>29</sup>

Dianne Purkiss, who teaches English at Oxford University, has taken a special interest in these fairy connections, and points out that Jeanne d'Arc's testimony before the Inquisition often seems to mix up standard vernacular terms for the fairies – "white ladies," "good ladies" - with those for the Virgin ("Our Lady"). 30 Nor is this confusion confined to Jeanne d'Arc or to the fifteenth century. Exactly the same thing happened hundreds of years later on another occasion, when recognizably shamanistic experiences and supernatural encounters erupted in the face of an initially reluctant Catholic Church. This is the case from the mid-nineteenth century, briefly cited in Chapter Eleven, of Bernadette Soubirous. Her visions of a supernatural entity later identified with the Virgin Mary were much more quickly coopted and accommodated by the Vatican than those of Jeanne d'Arc, and led to the foundation of the world-famous shrine at Lourdes in the French Pyrenees, which is today visited by more than two million Christian pilgrims every year, and where miracles of healing through contact with the waters of a sacred spring are still regularly reported. Although the copious publicity about Lourdes that the Church now churns out attributes these miracles to the powers of the Blessed Virgin Mary, the truth is that the place had a miraculous reputation long before any such association was made. As Diane Purkiss explains, this reputation derived from a much more ancient connection with the supernatural beings that we know as fairies:

Jeanne did not have much luck conflating the Virgin Mary with "the good ladies," but her much later sister in vision and prophecy, Bernadette Soubirous, was to be more fortunate. Like Jeanne, Bernadette frequented a site linked with the fairies, and like Jeanne, she saw visions of a beautiful lady there. Like Jeanne, Bernadette associated the sight with a healing spring, a spring in which the sick could shed their illness. Like Jeanne, Bernadette chose a term redolent of fairy lore to describe what she saw, saying that her lady was *uo petito damizela*, a little lady; Pyrenean fairies were often dwarfish . . . Unlike Jeanne, her visions were

accepted and her healing spring became the fountain at Lourdes. Of course, nothing was said about the fairies by the Church, but the influence of Pyrenean fairy stories is evident at Lourdes.<sup>31</sup>

The influence of Pyrenean fairy stories? I think Purkiss makes the wrong emphasis here, since she does not address the question of where the stories themselves originally came from. I hope I have conclusively demonstrated in Part II that such traditions are for the most part not made up, but reflect genuine personal experiences, widely reported down the ages, of supernatural beings seen in altered states of consciousness and construed sometimes as spirits, sometimes as aliens and sometimes as fairies, depending on the epoch and the local cultural context. It is not a big jump from the Fairy Queen to the Blessed Virgin Mary, and the really interesting issue here is that Bernadette Soubirous clearly saw something that shook her to the core, as powerful hallucinations often do, and that quickly led to real effects in the real world touching the lives and beliefs of huge numbers of people and delivering to many the characteristically shamanic gift of healing.

## "I beheld a lady dressed in white . . . "

When she had her first vision, on February II, 1858, Bernadette was a half-starved, asthmatic 14-year-old from a very poor family, out that cold day with her friends Toinette and Baloume to collect firewood and any animal bones that they might find on richer people's rubbish tips. Following advice on where to look given to them by an old woman who crossed their path, they eventually found their way to the banks of a stream running alongside the Hill of Espeluges, where a cave was long ago cut by water into the imposing rocky outcrop known as Massabielle.<sup>32</sup>

The cave and the massif had a mysterious glamour and, as Diane Purkiss points out, were "linked with the fairies." Now, as everyone knows, fairies sometimes unpredictably present those they favor with gifts. Perhaps this was why the children were not too surprised to see that a great "mass of wood and bones" – exactly what they were out

looking for – lay stacked up in the mouth of the cave. All they had to do was cross the icy waters of the stream and the prize they sought would be theirs.<sup>33</sup>

Toinette and Baloume did not hesitate. They pulled off their shoes and stepped at once into the stream. As Bernadette later told the story:

My two companions began crossing the water in front of the Grotto. They began crying. I asked them why they were crying. They replied that the water was bitterly cold. I remained alone on the other side.<sup>34</sup>

Desperately wanting to cross the stream herself, and yet afraid of catching a chill that might set off her breathing difficulties, Bernadette's rising sense of urgency and distress becomes palpable in her account at the moment that Toinette and Baloume reach the other side and unkindly decline to make special arrangements to get her across with dry feet:

I asked them to help me by throwing stones in the water so that I could cross without taking off my shoes. They told me to do as they had. Then I went a little further down to see if I could get across with my shoes on. I could not. I came back towards the Grotto and I started taking off my shoes . . .  $^{35}$ 

At this point, physically weak, hungry, anxious, agitated, and contemplating the impending shock of cold water, it is obvious that Bernadette Soubirous was a prime candidate for an instant altered state of consciousness even if she had not been (as she almost certainly was) one of the 2 per cent of all human populations who are able to enter trance spontaneously. "Hardly had I taken off my first stocking," she tells us:

when I heard a sound as though there had been a rush of wind. I looked around towards the meadow. I saw the trees quite still. So I continued to take off my shoes. Again I heard the same noise. I looked up towards the Grotto. I beheld a Lady dressed in white. She wore a white dress, a blue sash and a yellow rose on each foot . . . 36

In another, more detailed account of that vision, Bernadette said that she "lost all power of speech and thought" after hearing the rushing wind noise the second time. Turning her head towards the Grotto, she saw

at one of the openings of the rock a rosebush, one only, moving as if it were very windy. Almost at the same moment there came out of the interior of the Grotto a golden-colored cloud, and soon after a Lady, young and beautiful . . . the like of which I had never seen, came and placed herself at the entrance of the opening above the rosebush . . . <sup>37</sup>

The "Lady," who appeared to Bernadette at the same spot 17 more times over the next five months, wore the typical garb of a fairy (though coupled in this case with a politically expedient rosary). It was only much later that she became identified with the Virgin Mary. On her way home with Toinette and Baloume after the first vision, Bernadette reports quite explicitly: "I told them I had seen a Lady in white, but that I did not know who it was . . ." Even the term "Lady in white" is a giveaway in a land where, as we saw above, fairy women were commonly referred to as "white ladies."

Just as spirit-helpers in the Amazon today teach hallucinating shamans the properties of plants, so on February 25, 1858, "Our Lady of Lourdes" instructed Bernadette concerning a certain "herb": "Go and drink from the fountain and wash yourself and eat of the herb which is at the side . . . ['Vous mangerez de l'herbe qui est à côté . . .']"<sup>39</sup> I can't help wondering what sort of "herb" was growing that day by the side of the Grotto of Massabielle at Lourdes, and whether what was meant might not have been some crop of psychoactive mushrooms such as *Psilocybe semilanceata* that might help explain Bernadette's repeated sessions of pure shamanic ecstasy.

There is another curiosity, too, that I don't want to make too much of but will simply mention in passing. Lourdes stands near the geographical center of that large area of south-west France and northern Spain where the greatest number of painted caves dating from the Upper Paleolithic have been found. The hill of Espeluges at Lourdes, at the foot of which the Grotto is located, also contains

another much larger cave, situated about 65 meters higher up, that was used by our ancestors during the Upper Paleolithic.<sup>40</sup> In 1889 Church authorities decided to build a chapel inside this cave, and in the process removed over 2,000 cubic meters of deposits from its floor, which were then scattered over nearby land. Archaeologists note that "many items of Paleolithic portable art [were] subsequently discovered in these discarded sediments."<sup>41</sup>

It seems at least permissible to guess, therefore, that the Grotto of Lourdes that Christians today describe as "an open door to another world," and that we know was linked with fairies in medieval times, could possibly have been part of some shamanic pilgrimage tradition as far back as the Upper Paleolithic. Perhaps the place itself has special qualities that science doesn't understand, but that have been discovered empirically, and forgotten, and rediscovered again and again by successive societies and cultures down through the ages.

# Michael Persinger and electromagnetism

The last point is pure speculation, nothing else. Nonetheless, it rests on almost 30 years of solid research by Dr. Michael Persinger, head of the Neuroscience Laboratory at Laurentian University in Ontario. His work has shown that certain almost imperceptible electromagnetic fields, often associated with earthquakes and other seismic events, seem to interact with the human brain in such a way as to trigger temporarily altered states of consciousness every bit as deep and every bit as "hallucinatory" as those induced by drugs like DMT, psilocybin, and LSD. These effects are especially pronounced in the case of individuals with unusually excitable temporal lobes.<sup>43</sup>

Persinger's theory provides a neat, one-stop explanation for why large numbers of people gathered together in the same location sometimes all start to hallucinate at once and often say that they have seen broadly the same things, though some more intensely than others. Indeed Persinger's volunteers, subjected to strong electromagnetic fields under laboratory conditions, routinely report hallucinations of small beings standing near them.<sup>44</sup> As with my approach to drugs, my use of the word "hallucination" here implies

no judgment concerning the actual reality status of what is seen. Just as DMT may conceivably retune the receiver wavelength of our brains to "pick up" veridical alternative realities, so too it is conceivable that electromagnetic fields might have the same effect. Even Persinger, who is himself not much in favor of spirit worlds, has to admit the possibility that:

The experience [occasioned by an electromagnetic field] actually represents what was perceived. From this perspective, the experience reported by the thousands of normal people who have reported visitation phenomena – from the Virgin Mary to the most repugnant alien – are primarily veridical. The similarity of experiences would be due to the similarity of actual stimuli that produce them rather than to a mundane stimulus that simply activates an intrinsic pattern of complex neuronal activity.<sup>45</sup>

Persinger does not seem to be aware of the pitfalls that confront this preferred notion of his – i.e. supposedly "intrinsic" patterns of complex neuronal activity, somehow installed in the human brain, that when suitably stimulated yield experiences like abductions by aliens or encounters with the Blessed Virgin Mary. If such intrinsic patterns exist, which no scientist has yet demonstrated, then we have to be able to explain how they evolved, and that, as we will see in Chapter Seventeen, is no easy matter and leads us into a mystery every bit as deep as any spirit world. It is to Persinger's credit, however, despite his reductionist instincts, that he does not entirely rule out the shamanic alternative that spiritual beings seen in altered states of consciousness may in some way be real, noting that "in the pursuit of the Unknown, all possibilities must be considered."

#### The shaman and the Fairy Queen

Probably we will never know how Bernadette Soubirous induced her altered states of consciousness, but we have the reports of eyewitnesses that she frequently fell into a deep trancelike condition during her encounters with "the Lady" (whatever the true identity of this super-

natural being). These trances, or raptures, were very much like those of a shaman communing with spirits. For example, on March 4, 1858, in the presence of a crowd estimated at 10,000 (none of whom saw the Lady), "Bernadette went into a rapturous ecstasy that lasted well over an hour in an atmosphere of fervor and peace . . ."<sup>47</sup> Earlier, on February 24, she had put on an even more classically shamanic display to a smaller audience of around 200 (who again did not see the Lady themselves):

After a few minutes, Bernadette's face saddened, her eyes filled with tears; then she was seen to advance on her knees into the hollow of the Grotto which opens out on the outside recess. The child conversed with the Lady who was now quite near her. She smiled. Thus, for some minutes Bernadette came and went from the outside into the interior of the Grotto; on her face tears changed into smiles and smiles into tears. Now and again she would kiss the ground.<sup>48</sup>

Just as the shaman intercedes with spirits to bring the gift of supernatural healing to his people, so we can see that Bernadette's encounters at Lourdes with the mysterious "Lady in the cave" led ultimately to the bestowal of a potent healing grace upon mankind. Indeed, the miracles that happen every day to ordinary human beings as they bathe themselves in the spring waters that flow forth from the Grotto seem to me to be prime examples of a very powerful and ancient kind of "shamanic healing" in action.

I visited Lourdes on an ordinary midweek in June 2004, yet I found myself amongst more than 8,000 hopeful, damaged people, many in wheelchairs, or on crutches, or supported by friends and relatives, as they made their way painfully down the long processional avenue that leads to the great Basilica, to the Crypt where the relics of St. Bernadette are now kept, and to the sanctum sanctorum of the Grotto itself. Night was falling, and we all held candles as we spilled into the large square in front of the Basilica. There, volunteers marshaled us into a winding, sinuous procession that gradually and majestically coiled forward to form the glowing body of a gigantic serpent of light with its tail towards the secular world of the town and its head already

penetrating the otherworld of the ancient cave where Bernadette received her visions.

A tiny point of illumination amongst so many flickering sparks, I drank the waters of the healing spring, bathed my face and hands and, entering at last within the mouth of the Grotto, felt drawn to touch its craggy stone walls, worn smooth as any marble by the generations of pilgrims before me who had responded to the same instinct. I was powerfully reminded that sections of wall in many of the Upper Paleolithic painted caves, and in the rock shelters of southern Africa, are worn smooth in exactly the same way – and perhaps for exactly the same reason.

Some who have been healed at Lourdes have left written messages of gratitude inside the Crypt close to Bernadette's relics. Their simple statements and affirmations bear witness to a form of direct experiential contact with supernatural powers that long pre-dates Christianity:

Grateful. Cured of deadly cancer 1974, John B. Flachs, Hamilton, Canada.

Merci NDL [Notre Dame de Lourdes] pour avoir sauvée notre maman, mai 1980.

Deep gratitude for favors obtained through our lady. M. Argier.

Thank you. Anne, Angela and Joseph Chang-Hoi Phin, June 18, 1979.

One need not remain very long at Lourdes to realize that the quest for direct intervention, help, and healing from the realm of the spirits that anthropologists most commonly associate with shamanism in hunter-gatherer societies is still a strong force in the modern world. Bernadette's role was fundamentally that of a shaman, and – far from being supplanted by Christianity – it is the Fairy Queen who still rules in the enchanted realm of the Grotto.

## The Virgin in the flying saucer

During the course of the twentieth century, shamanic visions and encounters like those of Jeanne d'Arc and Bernadette Soubirous with entities described as "ladies in white," who were later officially coopted by the Church as true apparitions of the Virgin Mary, seem to have occurred at a steady rate of around three per year; however, there are no figures on the number of sightings that have not been reported to the Church or that the Church rejects. <sup>49</sup> The overlap between such direct experiences of supernaturals and the entities formerly known as fairies is obvious and, as we have seen, is often made explicit by the visionaries themselves. It is therefore of interest that the Virgin Mary phenomenon also has strong overlaps with UFOs and the sorts of supernatural entities who might just as easily be described as "aliens."

Limitations of space forbid a lengthy treatment here, and two brief examples will have to suffice.

The village of Knock in County Mayo, Ireland, became a world center of Christian pilgrimage after a group of "shining" beings, one of whom the Church later accepted as the Virgin Mary, appeared there on the evening of August 21, 1879. The apparition was witnessed from different sides of the field in which it occurred by three men, six women, two children, and three teenagers. What they reported would be taken as a UFO sighting today, since it began with the arrival of "a large globe of golden light" which settled somewhat above the grass of the field. Within the light could be seen three glowing and radiant human-like beings - two men and a woman. One of the men, construed as St. John, was said to have had the manner of a teacher and held an open book in which the lines and letters could be seen - a common enough theme of UFO abductions and shamanic encounters with spirits. Another figure, construed by all the witnesses as the Virgin Mary, was a classic "lady in white"; her robe was described as "strikingly white" and she wore in addition "a large white cloak." Bridget Trench, one of those who was there that night, rushed to embrace her feet, but found her to be non-physical:

I felt nothing in the embrace . . . yet the figures appeared so full and so lifelike and so lifesize that I could not understand it and wondered why my hands could not feel what was so plain and distinct to my sight.  $^{50}\,$ 

Almost immediately afterwards, as at Lourdes, healing miracles began to occur at Knock and have continued to this day.<sup>51</sup>

The second example of "UFO crossover" is the so-called Miracle of Fatima in 1917. In this famous case, Lucia, Francisco, and Jacinta, three Catholic children of the small town of Fatima in Portugal, had repeated encounters over a period of six months with a mysterious supernatural being who said she was "from Heaven," and who they naturally construed as the Virgin Mary.<sup>52</sup> Their fame quickly grew, and on a number of occasions the encounters occurred in the presence of crowds of tens of thousands of people – many of whom saw visions and reported effects identical to those associated with modern UFO sightings.

It is worth noting that before the Fatima apparitions began on May 13, 1917, Lucia, Francisco, and Jacinta had each reported other supernatural encounters. On several occasions during 1915, when she was eight, Lucia had been visited by "a transparent white cloud and a human form." Likewise, in 1916, when they took shelter from a rainstorm in the mouth of a cave near Fatima, all three of the children had a close encounter with a being who they construed as an "angel." He appeared to them initially as a bright light, "whiter than the driven snow," amidst a strong wind that shook the trees above their heads — a description that could apply to many modern UFO sightings. Coming closer, he then revealed himself in the form of "a young man, transparent and resplendent with light." <sup>54</sup>

All the subsequent Virgin Mary apparitions that the children experienced between May 13, 1917 and the miraculous climax of these events on October 13, 1917 took place in a wide, amphitheatre-like meadow near an ancient sacred spot called *Cova da Iria* (the Cave of St. Irene). On the first occasion, the children were tending their sheep in an adjoining pasture when they saw a blinding flash of light over *Cova da Iria*. They ran towards the light, which hovered above a tree, and

in its midst they saw the figure of "a little woman" who instructed them to return every month to the same place.<sup>55</sup>

On June 13, 1917, a crowd of 50 spectators gathered in the hope that the Virgin Mary would appear again as promised. Though none were rewarded with visions of the Virgin themselves, they did see the children transported in shamanic ecstasy, while Lucia, the eldest of the three, appeared to address an unseen entity. Only Lucia could hear the Virgin's replies, although one member of the crowd reported being aware of a very faint voice like the buzzing of a bee<sup>56</sup> (such buzzing sounds are often associated with shamanic altered states of consciousness and are frequently construed as bees). At the end of the dialogue, all witnesses heard a loud bang and reported the presence of a small cloud surrounding the tree above which the children had seen the Virgin.<sup>57</sup>

On July 13, 1917, more than 4,000 witnesses were present for the third apparition and reported phenomena that Jacques Vallee regards as "specific enough to be compared to UFO data." As on the second occasion, these phenomena included "a buzzing or humming sound, a decrease in the Sun's glow and heat, a small whitish cloud about the tree of apparitions, and a loud noise at the Lady's departure." <sup>59</sup>

On August 13, 1917, the children were not present to witness the scheduled appearance of the Virgin, as they had been imprisoned by a local official who believed the whole thing to be a hoax. They were released by a mob later the same day, but in the meantime the 18,000 people who had gathered in the meadow at *Cova da Iria* heard a loud explosion followed by a bright flash and saw a small white cloud gather about the tree before dissipating a few moments later. Nature took on psychedelic tones. Clouds in the sky changed color in weird ways, rapidly alternating from crimson, to pink, to yellow, to blue. Witnesses spoke of "colored light, like a rainbow on the ground," of "clouds around the sun reflecting different colors on the people," and of "flowers" falling from the sky. Manuel Pedro, one of the eyewitnesses, reported *una especie de globo luminoso girando nas nuevas* – "a luminous globe spinning through the clouds."

Alongside a crowd of 30,000, two Jesuits who had come to debunk the apparitions witnessed the events of September 13, 1917. By the end of the day they were skeptics no longer, convinced of everything the children claimed. In their report, they describe how "a globe of light was seen by all, advancing slowly down the valley, from east to west, toward the children," how it came to rest on the tree where the Virgin always appeared, how a white cloud formed, and how "petals" began to fall from the sky:

As the people stare at this strange sight they soon notice that the falling, glistening globules, contrary to the laws of perspective, grow smaller and smaller as they near them. And when they reach out their hands and hats to catch them they find they have somehow melted away.<sup>61</sup>

It would be hard to think of a better description of a hallucinatory fog of entoptic dots and dashes raining down from the sky. Meanwhile, the three children were in touch with the figure of the little woman inside the globe of light, and received a communication from her – on October 13, a miracle would occur. The globe then ascended and vanished into the sun. <sup>62</sup> Afterwards, one of the priests described it as "a heavenly vehicle that carried the Mother of God from her throne above."

The final apparition, on October 13, 1917, was attended by a crowd of 70,000, none of whom saw the Virgin or heard a word she said to the children. What all the spectators did see was "the miracle": "a revolving, multicolored disk in the sky which plunged downwards and then, again, upwards into the sun."<sup>64</sup> This large flying disc, which many believed would crash into their midst, was described at the time as having pursued a zigzag course – the characteristic "falling-leaf trajectory" of UFO sightings, as Jacques Vallee points out.<sup>65</sup> Another intriguing parallel is that a witness who studied the disc with binoculars reported seeing "a ladder and two entities" emerging from it.<sup>66</sup>

As had been the case at Lourdes, and as at Knock, there was a shamanic aftermath to the apparitions at Fatima: hundreds of people with previously life-threatening illness reported miraculous, spontaneous cures.<sup>67</sup>

#### Ezekiel on ayahuasca?

Much more evidence could be given of the shamanic vestiges, active shamanic practices, and unmistakably shamanic experiences wrapped up in modern Christianity, but this is not intended to be a comprehensive survey of these ancient influences on any one religion. My purpose is rather to convey to the reader the broader truth, suggested earlier, that if we scratch the surface of *any* religion deeply enough we will sooner or later come to shamanism and to the distinctive supernatural realms, beings, and phenomena that shamans everywhere encounter in their visions.

Before Christianity there was Judaism, and before the New Testament there was the Old Testament. In these more ancient sources, too, shamanic allusions, supernatural beings, and visionary flying discs abound.

We have already seen in Chapter Eight the direct comparison made in 1958 by the psychologist Carl Gustav Jung between the so-called "wheels of Ezekiel" and modern UFOs. The Old Testament description (Ezek I: 4–19) involves a whirlwind and fire, as well as mysterious creatures on board great wheels that "lifted them up from the earth." In 2003 Benny Shanon, Professor of Psychology at the Hebrew University in Jerusalem, drew attention to these same verses in the Book of Ezekiel, but from a different – though by no means contradictory – perspective. In his view, they describe hallucinations very similar to those seen under the influence of ayahuasca. The reader will recall that Shanon has himself consumed ayahuasca more than 130 times and is well qualified on this subject, having, in addition, interviewed hundreds of other users and collated their reports. He points out that

Ezekiel opens his text with noting that the heavens opened. Many powerful ayahuasca visions present the same pattern. Like Ezekiel who recounts that "the heavens opened, and I saw visions of God" [Ezek I:1], drinkers of the brew report that the heavens opened and celestial and heavenly scenes were revealed to them.<sup>68</sup>

Shanon argues that the descriptions of light and fire in Ezekiel parallel ayahuasca visions.<sup>69</sup> Additionally:

The materials prevalent in ayahuasca visions are gold, brass, gilded wood, crystal (especially palaces of crystal), precious stones and fine textiles. In the Ezekiel vision gold and textiles are not mentioned. Apart from these, all the materials common in ayahuasca visions are encountered in Ezekiel. Furthermore, all the materials mentioned in the Ezekiel vision pertain to the set of materials commonly seen in ayahuasca visions.<sup>70</sup>

Large revolving wheels and disembodied eyes are other features common to Ezekiel and to ayahuasca visions,<sup>71</sup> as are therianthropes:

The creatures described in Ezekiel have four faces – those of a human being, a lion, a bull and an eagle. Furthermore, while having "the likeness of a man," these creatures were winged and their soles of feet were those of a calf. *Chimeras* (in the extended sense of the term) or *hybrid* creatures – that is, creatures which are half-human, half-animal – are very common in ayahuasca visions. Such creatures encountered in the corpus I have collected include hybrids of, on the one hand, humans and, on the other hand, fish, felines, reptiles, birds, and canines. Also frequent are creatures who ordinarily do not have wings but in the visions do – e.g. winged horses and winged elephants. In my corpus there are also several instances of creatures with multiple faces. Usually, these creatures are encountered in heavenly scenes in which the ayahuasca drinker [as was the case with Ezekiel] feels that some ultimate secrets are being revealed to him or her . . .<sup>72</sup>

To cut a long story short, Shanon puts forward a tentative hypothesis that the origins of the Hebrew religion are rooted in experiences of this sort (Moses' "burning bush" vision is another archetypal example) made possible – as they still are today in the Amazon – by the consumption of psychoactive plants. He points out that combinations of acacia (Mimosa tortilis and Mimosa raddina) with Peganum harmala (the plant known as Syrian rue) "produce a biochemical

configuration identical to that produced by the Amazonian plants of which ayahuasca is made."<sup>73</sup>

Since the relevant acacia and Syrian rue species grow widely in several arid areas of the Middle East, including the Land of Israel, the Sinai Peninsula, and Mesopotamia, Shanon argues that hallucinatory experiences arising from the use of these plants cannot be ruled out and are, on the contrary, strongly indicated by the character of many of the visions reported in the Old Testament.<sup>74</sup>

## Drugs and genuine religious experiences

It is Benny Shanon's controversial view that "all the paradigmatic characteristics of the mystical experience are encountered with ayahuasca . . ."

He also asks if the "meaning and value of religious and spiritual experience induced by the ingestion of psychoactive agents" are "comparable to the experiences of mystics attained without external agents," and replies: "My empirical study of ayahuasca leads me to answer with a categorical 'yes."

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It may at first seem absurd that anything like a genuine religious experience could be induced by activities as simple and apparently as materialistic as eating, drinking, or smoking certain species of plants. But we should feel less surprised when we remember that the plants in question contain chemicals intimately related to brain hormones and neurotransmitters such as dopamine and serotonin. Although the neurological details are difficult to grasp, the fact is that these chemicals, and others like them, are intrinsic to all the functions of our brains, while our brains in turn are involved in everything we experience – even if we choose to define some of those experiences as real and some as non-real. Whether we like it or not, in other words, and whether or not we augment them or tinker with their balance in any way, it is beyond serious dispute that these chemicals already play a fundamental role in spontaneous (i.e., non-drug-induced) religious experiences. And since such spontaneous experiences occasioned by brain chemistry are regarded as genuine, then there is no reason why the deliberate induction of the same brain chemistry with hallucinogens should result in experiences that are any less genuine.

It was for this exact reason that Aldous Huxley, who had no doubt of their mystical and religious value, often referred to hallucinogens as "gratuitous graces." Those of us reared in puritanical moral climates might feel that we cannot possibly deserve something so wonderful and enlightening as a religious experience without working and suffering for it, but this is not a logical position. Besides, no matter how powerful the hallucinogen we may consume, the truth is that we will *not* have a religious experience with it unless we have prepared ourselves properly and have indeed made ourselves in some way deserving.

Huston Smith, the renowned American scholar of religions, agrees that many drug experiences may be entirely lacking in religious features: "They can be sensual as readily as spiritual, trivial as readily as transforming, capricious as readily as sacramental." Nevertheless, he reports recent research which demonstrates that under the right circumstances with properly prepared subjects, drugs can and do

induce religious experiences that are indistinguishable from such experiences that occur spontaneously... The way the statistics are currently running, it looks as if from one-fourth to one-third of the general population will have religious experiences if they take certain drugs under naturalistic conditions... Among subjects who have strong religious proclivities, the proportion of those who have religious experiences jumps to three-fourths. If such subjects take the drugs in religious settings, the percentage soars to nine out of ten.<sup>79</sup>

I feel compelled to re-emphasize at this point that my full acceptance of the role that brain chemistry plays in consciousness does not mean that I think brain chemistry *causes* consciousness or that religious experiences – whether or not induced by hallucinogens or other means – are necessarily "made up" in the brain. I see no evidence for such reductionism. The alternative model that I have adopted throughout this book is of the brain as a biochemical and bioelectric *receiver* that may be "retuned" by a variety of techniques to allow attention to be paid to other levels of reality not normally accessible to our consciousness. Those "retuning" techniques include the use of

hallucinogenic drugs combined with the skilful manipulation of the "set" and "setting" of participants to generate maximum sensitivity and openness on their part.<sup>80</sup>

Inspired by the progress that David Lewis-Williams has made cracking the visionary code of Upper Paleolithic cave art, more and more scholars are coming to suspect that the answers to some of the greatest mysteries of antiquity may lie in further research into the role of hallucinogens in triggering spiritual experiences. It seems that very often those experiences were sought in theatrically staged subterranean settings, selected, like the caves themselves, to maximize visionary "retuning" of the brain. Specific suggestions have been made on the basis of large bodies of convincing evidence that the religions of ancient Greece, ancient India, ancient Egypt, and the ancient Maya of Central America – to name but a few – were rooted and grounded in direct spiritual experiences that the devotees themselves attained through the use of psychoactive plants. If this is so, then one would expect to find many strong shamanistic traces in all of these religions.

Perhaps not surprisingly, it turns out that we do, and that in a number of cases it is even possible to identify the specific hallucinogens that were used.

# The end of life and its god-sent beginning

Barely half an hour's drive outside the modern city of Athens lies the ancient shrine of Eleusis, humbled and in ruins now but once the center of the most famous "mystery cult" of antiquity, dedicated to the myth of Demeter and Persephone. The myth tells the story of Demeter's journey to the underworld to claim back from death the soul of her daughter Persephone – a shamanic mission that honors the forces of life, rebirth, and regeneration. So vital were these forces held to be that once a year, in our month of September, thousands of pilgrims from all parts of Greece used to converge on Eleusis, where it was believed that the living Persephone had burst forth from the earth. The terminus of their journey was the great Telestrion, the darkened Hall of Initiation, with its forest of columns, focused around an inner enclosure known as the Anaktoron, from which, at the climax

of the ceremonies, a figure apparently materialized "in the midst of a great light."<sup>81</sup> The figure was often construed as that of Persephone "returning from the dead with her new-born son conceived in the land of death."<sup>82</sup>

It is hard work to discover anything else about the visions that the pilgrims saw at Eleusis. The shrine was astonishingly successful at guarding its mystery over a period that some authorities suggest may have been as long as 2,000 years of continuous functioning, before it was finally closed by Christian diktat in the fourth century AD.83 Hundreds of thousands passed through its gates down the ages, including some of the most famous names of Classical Greece, such as Plato, Aristotle, and Sophocles, but almost everyone stayed very quiet about what they had seen - which, indeed, they were obliged to keep secret "on pain of death or banishment."84 We have few specifics, therefore, but from many of the pilgrims there have survived more general reports telling us that the rituals at Eleusis and the visions seen there were transformatory, and that afterwards they were never the same as before. Very commonly, they claimed to have utterly lost their fear of death and to be prepared for life beyond it in the land of shadows. In the words of Sophocles after his initiation at Eleusis: "Thrice happy are those of mortals, who having seen those rites depart for Hades; for to them alone is granted to have a true life there. For the rest, all there is evil."85 The poet Pindar likewise said that what he had seen validated the continuity of existence beyond the grave, and that he had learned great truths from his experience at Eleusis: 86 "Happy is he who, having seen these rites, goes below the hollow earth; for he knows the end of life and he knows its god-sent beginning."87

As archaeologist George Mylonas puts it, when we read these and many other similar statements by the great and nearly great of the ancient world:

We cannot help but believe that the Mysteries of Eleusis were not an empty, childish affair devised by shrewd priests to fool the peasant and the ignorant, but a philosophy of life that possessed substance and meaning and imparted a modicum of truth to the human soul. That belief is strengthened when we read in Cicero that Athens has given

nothing to the world more excellent or divine than the Eleusinian Mysteries. Let us recall again that the rites of Eleusis were held for some two thousand years; that for two thousand years civilized humanity was sustained and ennobled by those rites. Then we shall be able to appreciate the meaning and importance of Eleusis . . . 88

Mylonas was certain that the shrine succeeded so spectacularly for so long because it "satisfied the most sincere yearnings and deepest longings of the human heart" but neither he nor anyone else, when he wrote these words in 1961, really had the faintest idea how it managed to pull off a trick like that. It's all very well to hint that it must have had something to do with "a philosophy of life," but a philosophy takes time to absorb, while it is clear that what all the pilgrims were struck and transformed by at Eleusis was a powerful and immediate experience that they went through during their night inside the Telestrion and that seems to have included visions seen, sounds heard, and supernatural beings encountered.

The oath of secrecy, and the passage of thousands of years, means that the pickings are thin in the relatively limited range of primary sources on Eleusis that have come down to us. Nevertheless, scattered here and there, some clues have survived that have helped researchers build up a clearer picture of what was really going on inside the Telestrion. Aristotle confirms that it was "an experience rather than something learned." The pilgrim Sopater tells us that he saw a *schema ti*, "a form or appearance of some kind hovering above the ground." Plato was perhaps a little more explicit when he spoke of *phantasmata* or ghostly apparitions, while Pausanias records that the initiation hall "became filled with spirits." Also relevant are the physical symptoms reported by many:

fear and trembling in the limbs, vertigo, nausea, and a cold sweat. Then there came the vision, a sight amidst an aura of brilliant light that suddenly flickered through the darkened chamber. Eyes had never before seen the like . . . The division between earth and sky melted into a pillar of light. 93

## Spot the hallucinogen

What are we to make of this distinctive constellation of symptoms combining spectacular visions with physical malaise? Carl A.P. Ruck, Professor of Classical Studies at Boston University, is in no doubt:

Clearly an hallucinatory reality was induced within the initiation hall and since at times as many as three thousand initiates, a number greater than the population of an ordinary ancient town, were afforded such a vision annually on schedule, it would seem obvious that some psychotropic drug was involved.<sup>94</sup>

Together with the world-famous mycologist R. Gordon Wasson, and Albert Hoffman, the discoverer of LSD, Ruck was the third member of a team of eminent scholarly detectives who spent much of their spare time during the first half of the 1970s trying to solve the mystery of Eleusis. They drew attention to the well-documented fact that on entering the Telestrion every pilgrim was obliged to drink "a special potion, the *kykeon*, that was an essential part of the Mystery." <sup>95</sup>

Could the potion have been psychedelic? Fortunately, its ingredients are recorded in a Homeric hymn to Demeter dating to the seventh century BC, so we ought to be able to find out. At first glance they don't look anything like hallucinogens, being listed rather innocently as barley (alphi), water, and mint (glechon). However, Gordon Wasson, the mycologist on the research team, knew that barley and other wild and cultivated grasses often support a fungal parasite called ergot that does contain hallucinogenic alkaloids. Indeed, it was from precisely the same fungus that Albert Hoffman had first synthesized LSD in 1943. Hoffman tells the story as follows:

In July 1975 I was visiting my friend Gordon Wasson in his home in Danbury when he suddenly asked me this question: whether Early Man in ancient Greece could have hit on a method to isolate an hallucinogen from ergot which would have given him an experience comparable to LSD or psilocybin. I replied that this might well have

been the case and I promised to send him, after further reflection, an exposition of our present knowledge on the subject.<sup>97</sup>

It took Hoffman two years and much laboratory work to complete his task, since ergot-contaminated rye was known as a dreaded poison in the Middle Ages and he had to satisfy himself that it would have been possible for the priests of Eleusis to isolate the hallucinogenic alkaloids from the toxic and deadly ingredients. What he discovered is that ergonovine and lysergic acid amide, the two principal hallucinogens in ergot, are both water-soluble, whereas the poisonous alkaloids such as ergotamine and ergotoxin are not. Throughout Greece ergot is a parasite of barley, which we know was one of the ingredients of the *kykeon*, and in Hoffman's opinion it would have been relatively easy for the priests to extract the visionary alkaloids: "The separation of the hallucinogenic agents by simple water solution from the non-soluble ergotamine and ergotoxin alkaloids was well with the range of possibilities open to Early Man in Greece."

Although the priests of Eleusis were dedicated in particular to the cultivation of wheat and barley in the name of Demeter, goddess of grains, Hoffman points out that an even easier method than washing contaminated barley was available to them. *Paspalum distichum*, a wild grass that grows throughout the Mediterranean basin, supports *Claviceps paspali*, a species of ergot

which contains only alkaloids that are hallucinogenic and which could even have been used directly in powdered form . . . In the course of time the hierophants could easily have discovered *Claviceps paspali* growing on the grass *Paspalum distichum*. Here they would be able to get their hallucinogen direct, straight and pure. But I mention this only as a possibility or a likelihood, and not because we need *P. distichum* to answer Wasson's question . . . The answer is yes. Early Man in ancient Greece could have arrived at an hallucinogen from ergot. <sup>99</sup>

Any remaining doubt that the sacred potion of Eleusis was indeed a psychoactive brew was dispelled when the researchers came across evidence of a notorious scandal...uncovered in the classical age, when it was discovered that numerous aristocratic Athenians had begun celebrating the Mystery at home with groups of drunken guests at dinner parties.<sup>100</sup>

The revelers included Alcibiades, the brilliant but unscrupulous politician and military commander who was convicted of profaning the Eleusinian Mysteries in 415 BC and defected to Sparta rather than face the death penalty. As well as showing the seriousness with which such matters were treated by the Athenian authorities, the significance of this story lies in what it tells us about the true nature of the visionary experiences at the heart of the Mysteries. We now know that these experiences were not exclusive to the sacred precincts of Eleusis, but could even be enjoyed at private dinner tables by the simple expedient of drinking the *kykeon*. The conclusion that they were drug experiences is more or less inevitable, as is the obvious parallel with the modern recreational use of once sacred plant hallucinogens.

Last but not least, it is surely significant that Demeter herself, the goddess of Eleusis, was sometimes known by the name of *Erysibe*, which means, literally, "ergot," while we read three times in the Hymn to Demeter that her robes were "purple dark," the color of the fruiting bodies of ergot. 104

For all these reasons, and many more, Professor Ruck concludes: "I and my colleagues interpreted the Eleusinian mysteries as communal shamanic ceremonies involving the ingestion of drugs." <sup>105</sup>

# The mystery of soma

As becomes apparent under modest magnification, "fruiting bodies" of ergot are in fact clusters of tiny purple mushrooms. Another much larger species of mushroom, *Amanita muscaria* – the fly agaric – has been identified by the mycologist R. Gordon Wasson, together with Indologists Stella Kamrisch and Wendy O'Flaherty, as the most likely candidate for the mysterious soma, the famous consciousness-altering drug of ancient India's Vedic scriptures. <sup>107</sup>

The Rigveda, the oldest of the four Vedas that stand at the root of modern Hinduism, is thought to date back more than 3,000 years and

perhaps a great deal longer. It describes soma as a god, as a plant, and as a beverage extracted or pressed from that plant. The Vedas run to millions of words, and tens of thousands of them are devoted to soma, but we need only cite a few lines here to convey the sense of its hallucinogenic attributes and its unmistakably shamanic undertones:

Like currents of wind, the drinks have lifted me up. Have I not drunk soma?

One of my wings is in heaven, the other trails below. Have

I am huge, huge! Flying to the clouds. Have I not drunk soma?<sup>109</sup>

In the navel of the earth [is situated soma], which is also the mainstay of the sky.<sup>110</sup>

The filter of the burning [soma] has been spread in heaven's home. Its dazzling mesh was spread afar . . . <sup>111</sup>

We have drunk the soma, we are become Immortals, we have arrived at the Light, we have found the Gods.<sup>112</sup>

The identity of the original soma plant had long been forgotten by Hindus by the beginning of the historical period. The problem first came to the attention of Western scholars in 1784, when it was mentioned in a note to a translation of the Bhagavad Gita, and has been the subject of heated discussions ever since. Wasson's evidence linking soma to the hallucinogenic fly agaric has, however, proved the most convincing. Briefly:

- The Vedic descriptions of the soma plant make no mention of leaves, roots or branches; 113 a mushroom best fits this bill.
- The Vedas frequently refer to soma as *aja ekpad* (literally "not-born, one-foot"). This strongly suggests a mushroom, "which, springing up mysteriously and without seed could be said to be 'not-born.'

Likewise, if thought of anthropomorphically, its stipe (stem) could be conceived of as 'one-foot."<sup>114</sup>

- Soma's color is sometimes described with the word *hari* in the Rigveda, meaning bright red to tawny brown. Bright red is the color of fresh fly agaric, while the dried mushrooms are tawny brown. 115
- It is very likely that the mushrooms were preserved dried (in which state they anyway undergo certain chemical reactions that make them more potent) and when needed were rehydrated with water, milk, or ghee before being "pressed" out, just as described in the soma ritual.<sup>116</sup>
- The word most frequently used in the Rigveda to describe the effects of soma has "a range of meanings including delight, intoxication, and inspiration. It also refers to the heavenly bliss of the gods and ancestors and is, in the context of soma, best translated and interpreted as rapture or elation."

Much other evidence too detailed to enumerate here is also cited. But even setting aside all this, there is one passage in Rigveda IX:74 which on its own appears to contain sufficient detail to vindicate Wasson's thesis that soma is *Amanita muscaria*. The passage reads as follows:

Soma, storm cloud imbued with life, is milked of ghee, milk. Navel of the Way, Immortal Principle, he sprang into life in the far distance. Acting in concert, those charged with the Office, richly gifted, do full honor to soma. The swollen men urinate the on-flowing soma. 118

The reason that the Vedic image of priests with swollen bladders pissing out "the on-flowing soma" is so firmly diagnostic of *Amanita muscaria* is that the fly agaric is the only psychoactive plant that retains, or even strengthens, its activity after being passed through the "filter" of the human digestive system. It can thus be said quite accurately to flow onwards into urine. Wasson's wide research on hallucinogenic fungi throughout the world had taken him on field trips amongst the shamans of Siberia long before he became interested in India, and he was therefore very well aware that in Siberia:

He who drinks the juice of the hallucinogenic mushroom saves his urine, and others drink this urine with inebriating effect, perhaps heightened, for there is reason to think that certain nauseating ingredients in the original mushroom are filtered out in passing through the human organism. This use of the urine can be repeated over and over again, it is said, until it has passed through five human bodies, when at last it loses its virtue.<sup>119</sup>

Wasson further points to other passages in the Rigveda in which the god Indra is said to drink soma, "pissing it out day by day," and in which the mythical Rudras in the shape of horses seem to have pissed soma likewise. But this is all very curious, unless we are prepared to accept that an ancient hallucinogenic cult exploiting the well-know shamanic virtues of the fly agaric mushroom provided the visionary spark out of which the Vedas first emerged fully formed in remote prehistory. Wasson's logic is, in my view, impeccable:

When one drinks tea, or coffee, or milk, or beer, one later urinates, not tea, coffee, milk or beer, but urine. Why does Indra, why possibly do the Rudras, urinate soma? How did the Vedic priests learn that somaurine was also soma unless they had drunk it?<sup>121</sup>

#### A vital role

Professor David Lewis-Williams, the originator of the neuropsychological theory of cave art, has faced stiff resistance from a faction of archaeologists who appear to object *in principle* to the notion that the first religious experiences of our ancestors were induced by hallucinogens. It is not surprising, therefore, that there has been hostility towards those scholars like Gordon Wasson, Albert Hoffman, and Karl Ruck who have argued that shamanic plants and practices continued to play a vital role long after the prehistoric period was over and are directly implicated in the genesis of all the great historical religions.

I have already made it clear that my purpose here is to sketch out rather than to record in detail the shamanic and hallucinogenic themes that weave their way continuously through the entire fabric of human religion since it first manifested its presence in the painted caves and rock shelters 35,000 years ago. There is neither space nor need to trace these threads in every religion, but it will repay our effort to look a little more deeply in the next chapter at the case of ancient Central America, where the spiritual role of hallucinogens has never been in doubt, and the case of ancient Egypt, where the most elaborate steps were taken to induce altered states of consciousness, and the most sublime and mysterious shamanic religion of historical antiquity was practiced.

#### CHAPTER SIXTEEN

### Flesh of the Gods

The high civilizations of ancient Egypt and of the ancient Maya maintain an air of occult mystery. We would like to know what made them tick, get inside their souls. We are familiar with their numinous religious art and its capacity to transport us to other realms. We have experienced the magnetism of their majestic and extraordinary sacred architecture. We have read their surviving scriptures. Even so, full understanding remains elusive. We sense that they were engaged in the exploration of some tremendous mystery, and much suggests that it had to do with the quest for life after death, but our prevailing scientific biases make it hard for us to accept that they could ever have had any realistic hope of attaining their desired goal of immortality.

The ultimate origins of these great and mysterious religions of antiquity, one in the Old World, one in the New, are lost in time, but it is certain that at some stage, and for a very long while, shamanism, the techniques of shamanism, the experiences of shamanism, and the discoveries of shamanism played a central role in the evolution of both of them.

As I make this statement, I can already hear the academic critics, who have digested many books but few hallucinogens, protesting that what went on amongst the ancient Egyptians and the ancient Maya cannot be described as shamanism because their societies were urbanized, sophisticated, etc., etc., and shamanism is the religious domain of hunter-gatherers. But we have been through these arguments before.

Shamanism is not confined to specific socio-economic settings or stages of development. It is fundamentally the ability that all of us share, some with and some without the help of hallucinogens, to enter altered states of consciousness and to travel out of body in non-physical realms – there to encounter supernatural entities and gain useful knowledge and healing powers from them.

#### The hero twins, Osiris, and the journey of the dead

We have seen again and again in previous chapters how it is diagnostic of the shamanic initiatory trance that it should involve a spiritual ordeal – often construed as an otherworld journey culminating in tortures of piercing, dismemberment, and skeletonization followed finally by the "rebirth" of the newly energized and empowered shaman.

The Popol Vuh, a book of the ancient Quiche Maya of Guatemala that survived the Conquest and the Inquisition, tells just such a story when it describes the descent of the hero twins Huhnapu and Xbalanque into the netherworld, Xibalba, sometimes depicted as a true underworld and sometimes as a "nocturnal celestial dome over the earth." Interestingly, the twins' adventure begins when they are abducted from their home by the "messengers" of Xibalba – supernaturals described as owls whose "horrible faces frightened people." The reader will recall from Chapter Six that fearsome-looking owls play a role as spirit messengers in other shamanic cultures, that images of owl therianthropes are found in the painted caves of Upper Paleolithic Europe, and that modern UFO abduction experiences frequently begin with the appearance of owls with huge black eyes.

As is usually the case with shamans in altered states of consciousness, Huhnapu and Xbalanque transform themselves into therianthropes in order to confront the perils of the spirit realm and are shown in Mayan art with patches of spotted jaguar skin on their faces and bodies.<sup>3</sup> We need not rehearse here the many trials, travails, and tortures that they undergo in such places of supernatural terror as "the Dark House," "the Rattling House," "the Jaguar House," "the Bat House," and "the Razor House." At the end of it all, finally and predictably, they allow themselves to be defeated by the evil spirits of



The hero twins (drawing by Karl Taube)

Xibalba<sup>5</sup> – the shaman's classic "submission to a higher order of knowing." They are then "cooked in an oven and their bones ground and tossed into the river."

Needless to say, a very similar fate was experienced by many of the shamans from all around the world whose accounts we examined in earlier chapters, and, like those shamans, Huhnapu and Xbalanque were naturally reborn after an interval and returned with supernatural powers to vanquish wickedness and disease amongst their people and to heal the earth.<sup>8</sup> For these reasons, the anthropologist Michael Ripinsky-Naxon believes that "Xibalba actually means the Otherworld as perceived through shamanistic visions."

We may also make a direct comparison here with the well-known story of the god Osiris, in ancient Egypt, who was killed and hacked to pieces by his brother, the evil god Set – always depicted as a therianthrope with a human body and the head of an unknown animal with distinctive ears and a long snout. Set buried the 14 parts of the dismembered body throughout the land of Egypt, but then the Goddess Isis retrieved them and with her magic brought Osiris back to life for long enough to engage him in copulation and conceive their child – the hawk-headed therianthrope Horus. Thereafter Osiris became king of the afterlife-realm known as the Duat, through which the souls of the dead must journey after death.

In ancient Egyptian cosmology, this realm was not located underground, but in the sky – as are many shamanic netherworlds. Indeed, as Robert Bauval and I have shown in previous books, the Duat was viewed quite specifically as the sky region, divided by the Milky Way,

lying between the constellations of Orion and Leo. The figure that we call "Orion" was identified directly with Osiris. 10

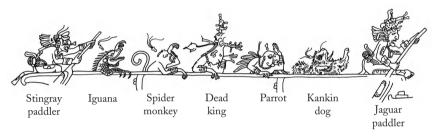
The Maya were interested in exactly the same region of the sky, and connected it to similar ideas of afterlife journeys and spiritual rebirth. On a mural in the Temple of the Foliated Cross at the Mayan site of Palenque in Mexico's Chiapas province, we see the Milky Way represented by a maize tree rising from "the place of Creation near Orion."

The Milky Way is flanked by two figures – the spirit of Lord Pacal, the deceased former ruler of Palenque, and his son and successor Chan-Bahlum, who are shown in shamanic communion with one another. As the father ascends to the heavens, the son is transformed from "the status of heir apparent into king." At the same time, it is understood that the deeds and rituals performed by the son are essential if the father's hoped-for rebirth amongst the stars is to be achieved. Indeed, this is the whole point and message of the mural – a message that has been described by David Friedel, Linda Schele and Joy Parker as "the central mystery of Maya religion."

It is extremely curious that an identical mystery lies at the heart of the ancient Egyptian royal rebirth cult in which the deceased pharaoh is cast in the role of Osiris and the pharaoh-to-be plays the role of Horus, the son who assures his father's rebirth in the spirit world. <sup>14</sup> In Egypt, as amongst the Maya, the stellar context involves Orion and the Milky Way. In Egypt, as in Mexico, a journey through the netherworld must be undertaken by the deceased. <sup>15</sup>

A key shamanic function in virtually every culture where shamanism has been studied is that of the psychopomp, guiding the souls of the dead. In ancient Egypt this function was memorialized in permanent form by the so-called Books of the Dead, which serve as Baedekers for the soul's journey through the Duat netherworld. As we will see later, these remarkable texts, which we cannot doubt are direct records of the *experiences* of shaman priests on out-of-body journeys in deeply altered states of consciousness, instruct the deceased on how to overcome the dangers of the afterlife journey, enable him to assume the form of several mythical creatures, and equip him with the passwords necessary for admission to the various stages, or levels, of the scary parallel universe called the Duat.

Is it a coincidence, or is it because the same parallel universe could be accessed by all humans in an appropriately altered state of consciousness, that the peoples of ancient Central America preserved a similar vision of the perils of the afterlife? There the netherworld was said to consist of nine strata through which the deceased would journey for four years, overcoming dangers and obstacles on the way. In both ancient Central America and ancient Egypt, it was believed that certain segments of the deceased's afterlife voyage were made in a boat accompanied by "paddler gods" who ferried him from stage to stage. The tomb of Double-Comb, an eighth-century ruler of the Mayan city of Tikal, was found to contain a representation of this scene. Similar



Paddler gods in the barque of Double-Comb, who ruled the Mayan city of Tikal in the eighth century (drawing by Linda Schele © David Schele, courtesy FAMSI).

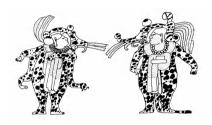
images appear throughout the royal tombs in ancient Egypt's Valley of the Kings. <sup>19</sup> The passengers in the canoe in which Double-Comb makes his final journey include deities in the form of a dog, a bird, and a monkey. The passengers in the barque of the dead pharaoh frequently include Horus, who has the head of a bird, Upuaut, the "Opener of the Ways," who has the head of a dog, and Thoth, the god of wisdom, who sometimes appears in the form of an ape and sometimes as a bird-headed therianthrope (an ibis). <sup>20</sup>

# Baby Jaguar

In the Americas, there is firm archaeological evidence dating back almost 10,000 years for the use of hallucinogenic plants to alter consciousness, and there is no doubt whatsoever, as we will see, that

the Maya were sophisticated users of hallucinogens with an extensive shamanic pharmacopoeia at their disposal. As virtually every chapter of this book has demonstrated, visions of therianthropes – half-man, half-beast hybrids – are amongst the imagery most consistently seen in altered states of consciousness. It is therefore only to be expected that Maya art should feature therianthropic transformations – as indeed it does, very frequently in specifically visionary contexts.

Thus, for example, certain painted murals from the Classic period show strange ceremonial dances which scholars believe "combine the





Transformation into jaguars (left) and monsters (right) in shamanic pageants of the ancient Maya (drawings by Linda Schele © David Schele, courtesy FAMSI)

dynamics of pageants with the mystical transformation of human beings into supernaturals by means of visionary trance."<sup>21</sup> The dancers are shown just as we know that shamans all around the world still experience themselves today – i.e. partially transformed into animals such as jaguars and birds of prey, and in some cases into fantastic



Mayan lord entering hallucinatory state reaches for vision-serpent emerging from the conch shell in front of him (drawing by Linda Schele © David Schele, courtesy FAMSI)

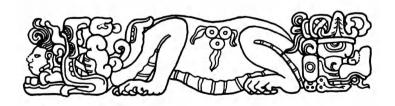
monsters.<sup>22</sup> Similarly, a carved conch shell shows a Maya aristocrat of the Late Classic period (around AD 600–800) smoking a thin hallucinogenic cigar. Out of the back of his elongated skull, a second head appears to grow – that of a deer – and he reaches for a "vision-serpent" emerging from the conch shell in front of him.<sup>23</sup>

Gigantic rearing "vision-serpents" with the bodies of huge snakes and human heads are a repeated theme of Mayan art of all periods. <sup>24</sup> Again



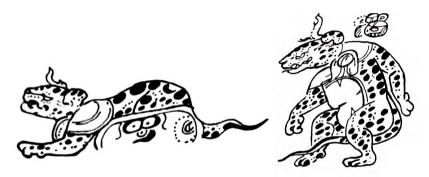
Vision-serpent and penitent (drawing by Ian Graham)

they are frequently presented in contexts that leave little doubt that altered states of consciousness were involved, since associated human figures are often shown smoking or otherwise consuming hallucinogens, or self-torturing – another tried-and-tested shamanic technique for inducing visions. The Celestial Monster of Maya cosmology is a



The Celestial Monster, a hybrid of human, reptilian, and animal parts (drawing by Linda Schele © David Schele, courtesy FAMSI)

hybrid of many reptilian and animal parts. It has two heads, one of which is fully human.<sup>25</sup> J. Eric Thompson, the leading authority on Mayan religion, notes that most Mayan gods "show a blending of human and animal forms . . . The god of number nine, for instance, borrows both snake and jaguar features" – the latter in the form of feline whiskers and spots on his otherwise human chin.<sup>26</sup> Similarly, the Mayan divinity known to archaeologists as God III "walks and acts like a human" but is depicted fully transformed into a jaguar with a water-lily blossom or leaf (the hallucinogenic significance of which we will come to in a moment) placed on top of his head. Supposedly brought to life by the



God III, a jaguar who walks and acts like a human (drawings by Linda Schele © David Schele, courtesy FAMSI).



Water-Lily Jaguar (drawing by Linda Schele © David Schele, courtesy FAMSI).

mating of a human mother and a spirit-jaguar father,<sup>27</sup> his other manifestation, particularly common in the Early Classic period, is in the very peculiar form of "Baby Jaguar": "His features are subject to some variation, although he is always anthropomorphic. His feet and hands may be human or feline, but he always has a jaguar tail."







God III manifesting as Baby Jaguar (drawings by Linda Schele © David Schele, courtesy FAMSI)

Baby Jaguar is a reminder of how ancient such hallucinatory notions of spirit-human hybrids are in the amazing prehistoric religions of Central America. Before the Maya, going back some 3,000 or 4,000 years, we come to an even more mysterious people who built pyramids in the jungles along the Gulf of Mexico in what are now the states of Veracruz and Tabasco. Known to archaeologists as the Olmecs, they frequently depicted themselves in sculptures as human beings





Olmec jaguar-human therianthropes

partially transformed into jaguars; very often, baby were-jaguars were shown.<sup>29</sup> David Gillette, a student of Central American religions, draws the following conclusions:

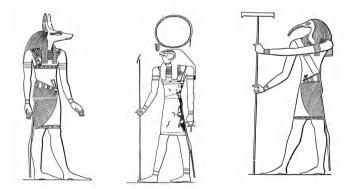
A being of mystery and terror, the jaguar was almost certainly the Olmecs' primary deity. He appears to have been for them what he was for the later Maya, a vision from the demon-haunted regions . . .

The Jaguar-god lived in caves, especially those with pitch-black pools of water in their lower reaches. These pools of water in the deep interior of caves were entrances to the realm of death and spiritual transformation.<sup>30</sup>

Pitch-black pools . . . Deep, dark caves . . . These, we know, have been seen as portals to the otherworld by shamanic cultures since before history began. Likewise, the part-animal, part-human infant, the changeling, the attempt by aliens or spirits or fairies to mingle their supernatural essence with human DNA, are all very archaic and at the same time very modern themes.

### The player in the maze of serpents

Ancient Egyptian art abounds in eerie and disturbing therianthropes of the kind that are only seen in profoundly altered states of consciousness, and of which our ancestors have been making images since the Upper Paleolithic. In the case of the Maya, it is not controversial to state that hallucinogens were used to induce these trance states, since the role of psychoactive drugs in their society is relatively well known and documented. But with the ancient Egyptians, too, as we will see, there is strong evidence to suggest that therianthropes like dog-headed Anubis, lion-headed Sekhmet, crocodile-headed Sobek, hawk-headed Horus, and ibis-headed Thoth (who could also manifest in the form

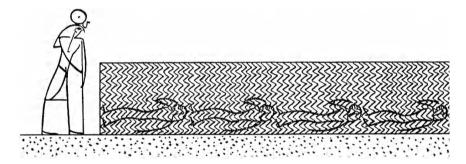


Left Anubis, dog-headed guide of human souls in the underworld; center Horus; right Thoth

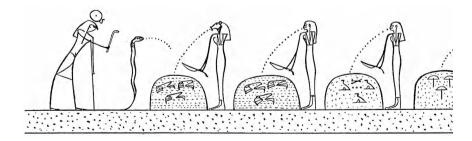
of an ape) were not concoctions of pure imagination but were seen and experienced by shaman priests in altered states of consciousness who sought to explore and map the netherworld and win useful knowledge from the supernatural beings residing there.

Nor is it simply a matter of therianthropes, the ubiquitous presence of which can be confirmed by any tourist visiting the majestic temples and tombs arranged along the banks of the sacred river Nile. Other hallucinatory themes with which we have become familiar are also found everywhere in ancient Egyptian religious art. These include extensive entoptic patterns of stars, zigzags, dots, and grids,<sup>31</sup> flying discs (from which rays ending in human hands are sometimes seen to project),<sup>32</sup> spirit beings, disembodied eyes, and an extraordinary menagerie of gigantic serpents, many of which are coiled around each other, or have multiple heads, or spit fire, or are elaborately winged and feathered.

The ancient Egyptian text known as *Per em am Duat*, the *Book of What is in the Duat*, plunges the reader into a nightmare maze of

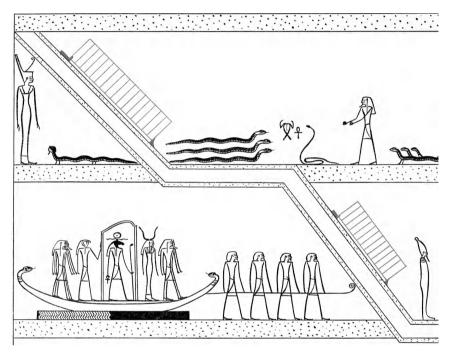


Water-filled tunnel in the Duat



Serpent and spirits spitting fire into pits of fire in the Duat

narrow, often water-filled tunnels and secret chambers, caverns where monsters lie in wait, and pits of fire. I have viewed an almost complete version of this extensively illustrated text arranged around the ovoid walls of the tomb of Thuthmosis III in the Valley of the Kings. The scenes depicted, though 3,500 years old, have something of the feel of a computer or video game, while many of the beings shown are "wire people" and stick figures of the kind frequently reported by modern lab volunteers during DMT sessions. Overall there is a sense of quest



Fourth Division of the Duat. The scenes depicted, though 3,500 years old, have something of the feel of a computer or video game, with a maze-like underworld of tunnels and corridors. Many of the beings shown are "wire people" or "stick figures" of the kind frequently reported by modern lab volunteers during DMT sessions.

as the "player" – supposedly the soul of the deceased pharaoh – battles his way through the perils of the Duat to win immortal life in the Judgment Hall of Osiris. He knows what to expect, has the passwords to all the gates, can subdue all the monsters because others – the great shaman priests and ultimately Osiris himself – have been

there before him and mapped out the way: "Whosoever knoweth these things shall, when he passeth by these beings, not be driven away by their roarings and he shall never fall down into their caverns."<sup>33</sup>

Today, people who drink ayahuasca sometimes report a similar maze-like underworld of tunnels and corridors, with or without the threatening beings. Alex Polari, a *padrinho* of the Santo Daime ayahuasca church in Brazil, describes the following Duat-like experiences under the influence of the sacred brew:

I found myself in the salon of a castle illuminated by torches. The salon was oval, slightly oblong, with semicircular doors, placed at regular intervals. At the same time I saw an atrium situated in front of the principal wall... Thousands of tunnels, galleries, corridors, secret doors, staircases, inclined planes crisscrossed . . . These led to sumptuous palaces, lofty halls, sarcophagi, caverns or temples. In some of these were sentinels appearing as medieval figures.<sup>34</sup>

The strange, intelligent serpents whose images are found in every one of the 12 divisions of the Duat also turn up, in all their different



Vision-serpents of the Duat.

manifestations, in modern ayahuasca visions. The experienced ayahuasca drinker Benny Shanon reports:

About a third of the serpents I have seen were mythical or nonnaturalistic in one way or another. Some of the serpents were adorned with flowers or shining scales. Phantasmagoric serpents like those of mythology were also reported by my informants. Amongst these were gigantic serpents, serpents characterized as "cosmic," winged serpents, and ones made of or emitting fire. At times, serpents appear entwined in pairs, with one serpent coiled around another.<sup>35</sup>

Before ayahuasca enabled me to make my own first explorations of visionary realms, I used to study ancient Egyptian art for its beauty, for the secrets I hoped it might reveal about the origins of Egyptian civilization, and for what I believed was simply its extraordinary imaginative power. Now, having encountered my own vision-serpents, having witnessed miraculous transformations of animal and human forms that spoke to me of the unity of all being, having met and interacted with spirit entities, and having peered in my own limited way into the abyss of the supernatural, I look at the tomb paintings and temple reliefs with new eyes. By all means there is a system here, set rules, a canon. There are even definite schools and styles, long-lasting conventions, automatic habits in the art. Nevertheless it seems obvious to me that the original inspiration behind every depiction of supernatural realms and beings that the ancient Egyptians left us can ultimately be traced back to the visions received by shaman priests in deeply altered states of consciousness.

#### Shaman priests

Let me be clear again about what I mean by shaman priests, since I know that the term is an anthropological contradiction in terms. My focus is on the role of altered states of consciousness in the origins of religion, in the cultivation of authentic religious experiences, and in the inspiration of religious imagery. My own opinion is that once "religions" abandon, forget, or even outlaw the deliberate induction and use of altered states of consciousness, then they lose contact with their roots and wellsprings, and great ugliness and materialism can be expected to ensue. In hunter-gatherer cultures, in close touch with the powerful rhythms and energies of nature, this is least likely to happen, and the shamanic adventure thrives. But as societies grow more complex, it is easy to see how techniques of ecstasy could fall into

disuse and even disrepute, and how secrets of knowledge acquired through millennia of shamanic exploration of visionary realms and contact with "supernatural" beings might thus be lost.

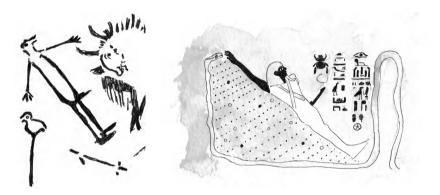
If we want examples of the sort of societies where that has already happened, or is happening now, then the highly technological and industrialized nations of the northern hemisphere come immediately to mind. On the other hand, if we seek an example of a society which achieved an advanced level of civilization, sophistication, urbanization, and economic development (built on a secure agricultural base), but which at the same time did *not* sever its shamanic roots – and on the contrary celebrated them from first to last – then we need look no further than ancient Egypt.

It is in this context, therefore, that I speak of shaman priests. I am referring to functionaries, associated with the great temples and cult centers of Egypt, who might properly be called priests in the modern sense of the term, who lived with the economic and social realities of an advanced urban civilization, but who were also masters of ancient techniques of ecstasy through which they entered trance and systematically explored the experiences that became accessible to them as a result. When they did this, they were doing the same things that shamans all over the world have always done, projecting their consciousness into the same non-physical dimensions, and meeting the same non-physical beings there – the animal spirits, the therianthropes, the vision-serpents, and the monsters that have been with us since the dawn of art and religion in the Upper Paleolithic.

A graphic representation of this sort of direct tie with the Upper Paleolithic has been provided by anthropologist Felicitas Goodman. She has made a special study of the use by shamans from surviving hunter-gatherer cultures of ecstatic body postures in trance, meditation, dance, etc., often having her students try the postures out to the rhythmic beat of a rattle. There are strong reasons to suppose that the striking bird-man therianthrope in the Upper Paleolithic cave of Lascaux in south-west France represents a shaman undergoing hallucinatory transformation into his spirit form as a capercaillie grouse. But to this Goodman adds that we should also pay attention to his posture, leaning back at an angle of 37 degrees:

This very precise angle was a hallmark of spirit-journey postures performed by hunters, especially for journeying to the sky world . . . This same posture turned up twelve thousand years later in Egypt in a drawing of Osiris [who] underwent a typical shamanic initiation in which his body was dismembered and then reassembled by his sister before he made his spirit journey to the Upper World. 36

Please note that although they are shown in contexts which suggest that they are "dead" (charged down by a bison in the case of Lascaux, mummified in the ancient Egyptian example), the figures depicted in



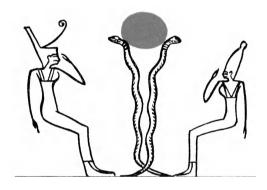
Left, detail from the shaft scene at Lascaux; right, ithyphallic figure of Osiris

both these works of art are both equipped with prominent erections.<sup>37</sup> Such an "ithyphallic" condition is frequently experienced by shamans entering trance, who associate it with the "boiling" excitation of "supernatural potency" in the abdomen. "Osiris," Goodman concludes, "was a shaman" and:

the figure . . . seems to point to the fact that shamanism in this form once predominated around the Mediterranean, from southern France all the way to Egypt. What is remarkable is that these elements were preserved in northern Africa over such an enormous time span, especially in light of the fact that we are dealing with two different cultural types. The shaman of Lascaux was without doubt a hunter, like everyone during that period. Osiris, on the other hand, changed into a god of

the much more recent agriculturalists on the Nile without, however, losing his original character. Nor indeed did the Egyptians lose the knowledge about the correct posture, especially about the proper angle of ascending to the sky world.<sup>38</sup>

But it is not necessary to suggest some kind of long-term dissemination and transmission of "shamanic knowledge." Such knowledge can be rediscovered anywhere, at any time, whenever and wherever people are able to acquire reliable means to enter altered states of consciousness, and begin to learn the lessons that seem to be available to our species there. Very often, for mysterious reasons, whether



Serpent teachers of ancient Egypt: a scene from the Book of What is in the Duat

in modern drug experiments or in traditional shamanic settings, the ancient teachers of these lessons present themselves in the form of serpents.

#### The water lily, the mandrake, and the poppy

Ayahuasca is the "serpent drug" par excellence, and we saw in the last chapter that the availability of an "ayahuasca analogue" in the Middle East and north Africa cannot be ruled out, since plant species containing suitable ingredients do grow in the region. At present, however, it is not proven that the ancient Egyptians understood anything about how to potentiate the hallucinogenic effects of these

plants – by no means an easy or an obvious process. Still, a hint that their pharmacological knowledge – and contacts – might have been wider than most historians are prepared to allow is provided by the widely reported presence in ancient Egyptian mummies of cocaine and nicotine, psychoactive drugs derived from supposedly indigenous South American plants that the Egyptians of 3,000 years ago should have had no access to.<sup>39</sup> A bitter academic dispute still rages around these findings, which are far from being universally accepted, but of course if they do turn out to be correct then there could be no objection in principle to the notion that other psychoactive plant alkaloids from the Americas might also have found their way to Egypt in antiquity. Any hypothetical use of DMT, whether from an Old World or a New World source, would leave no trace in mummies, since excess levels of DMT are eliminated from the human body within two hours of ingestion.

Though fun to conjure with, the possibility of a prehistoric trans-Atlantic drug trade is deeply improbable and cannot be used to bolster the hypothesis that ancient Egyptian religious imagery could have been derived from altered states of consciousness induced by hallucinogens. As it turns out, however, the hypothesis has no need to rely on such exotic sources, since a perfectly suitable visionary drug was available locally and its properties were well known to the ancient Egyptians. William Emboden, Professor of Biology at California State University, has established that the blue water lily, Nymphaea caerulea, a plant that is indigenous to the Nile and prominent in ancient Egyptian art, has pronounced narcotic, hypnotic, and hallucinogenic effects.40 In a series of thoroughly argued scientific papers and books, he has also presented convincing evidence that it was used, along with opium, and with the mandrake (Mandragora officinarum, already known in Europe for its visionary qualities), to produce "a form of shamanistic trance":41

Analysis of the ritual and sacred iconography of dynastic Egypt, as seen on stelae, in magical papyri, and on vessels, indicates that these people possessed a profound knowledge of plant lore and altered states of consciousness. The abundant data indicate that the shamanistic priest,

who was highly placed in the stratified society, guided the souls of the living and the dead, provided for the transmutation of souls into other bodies and the personification of plants as possessed by human spirits, as well as performing other shamanistic activities.<sup>42</sup>

There is not space here even to hint at the wealth of evidence that Emboden adduces to support his case. Briefly, he draws attention to many scenes in ancient Egyptian art in which mandrake roots and



After Emboden, 1989, p. 62: "Meriton, consort to Semenhkara, offers him two mandrake fruits and a bud of narcotic blue water lily. More of these flowers are held in her left hand."

buds of the narcotic blue water lily are offered in a context of ritual healing, very frequently in association with "emblems of opium poppy capsules." He also points out that opiate residues have been found in an unguent vessel from an Eighteenth Dynasty tomb:

To this end, it must be noted that when the Tomb of Tutankhamen was looted [in antiquity], an estimated 400 liters of fluid in sealed vessels had been taken, in preference to the gold, the decorative arts, and the vessels themselves. It was believed by the looters that these vessels contained *didi*, the elixir of life. Certainly the combined narcotic properties of water lilies, mandrakes, and the opium poppy would provide the most potent vehicle (*didi*) to a profound hypnotic

state terminating in an extended period of somnolence. The symbolic death so necessary to shamanic tradition would be provided by any one of these plants. Sleep is the symbolic death that permits miraculous resurrection.<sup>44</sup>

A similar association is made in the tomb of Nebamun at Thebes (modern Luxor), where we see a widow with bared breasts squatting before the figure of her deceased husband. Springing from the base of the figure, Emboden draws our attention to

a vegetal column of narcotic blue water lilies and poppy capsules (incised to release their narcotic latex) bound together and crowned with three palm fronds. It is difficult to imagine a simply fortuitous combination of narcotic water lilies and poppies. As for the palm, it was the source of palm wine and could have provided a solvent for the poppy and *Nymphaea* derivatives.<sup>45</sup>



After Emboden, 1989, p. 71: "A scene of ritual mourning in which dust is thrown upon the head of a grieving widow as she squats before a totem of narcotic blue water lilies and incised capsules of opium poppies.

Both facilitate a trance state appropriate to mourning."

Emboden highlights the fact that in the foundation myth of the Heliopolitan cult responsible for the rituals at the Pyramids of Giza, a central notion is that of

the creation of order out of chaos (*Nun*) in which the dark pool of nothingness gave rise to a blue water lily from which the first being arose. This legend explains the relationship between the order of the entire civilization and the sacred quality of the narcotic blue water lily.<sup>46</sup>

Also of importance is a chapter in the ancient Egyptian *Book of the Dead* entitled "Transformation into a Water Lily":

Horus is seen being petitioned by an offering of two vessels with pouring spouts. Above them are gigantic *N. caerulea* flowers . . . The water lilies indicate that these are the *didi* or elixir in the jars under them. The indication is clear that the holy water lily or narcotic water lily belongs to Horus . . . As master of the sky, Horus becomes the perfect emblem of soul flight in shamanistic ecstasy. He is portrayed in his alter ego as half falcon and half man.<sup>47</sup>



After Emboden, 1980: 'Horus is propitiated by an offering of two vessels whose contents are made known by overlying *Nymphaea caerulea* flowers.'

Emboden's conclusion from his study of this and many other works of art, and his own direct experiences of the psychotropic effects of the plants themselves, 48 is that:

In the Egyptian dynasties, the essence of shamanistic trance provided by the hypnotic constituents of the mandrake and the narcotic blue water lily are clearly in evidence. They continue to be portrayed and appear in magical writings from the Fourth Dynasty until the fourth century BC. The constituents of these plants are able to provide the requisites for shamanistic trance . . . that separates the sacred from the profane and the soul from the body. The spiritual death, journey, revelation, and resurrection are implicit in both writings and murals.<sup>49</sup>

### Water Lily Jaguar and the Mayan mushroom stones

Emboden's interest in the hallucinogenic effects of the Egyptian water lily had been stimulated by his own previous studies of the use by the ancient Maya of a closely related species of water lily (*Nymphaea ampla*) for exactly the same visionary purposes. He draws attention in particular to the famous Mayan murals at Bonampak in Mexico, where water lilies are shown associated with the noses and foreheads of dancers. These images, he says, "are so like some of the Egyptian murals that the similarity is startling."<sup>50</sup>

Many other Mayan artworks also depict identical themes. For example, a representation of one of the hero twins on their journey through the netherworld of Xibalba shows him wearing a water lily headdress. Likewise, as we saw earlier, God III, in his therianthropic manifestation as Water Lily Jaguar, wears water lily pads on his head. A Late Classic vessel from Yucatán carries a polychrome depiction of a lake filled with gigantic water lilies and water lily pads intimately connected with a great serpent that inhabits the lake. A polychrome pottery vase has a principal hallucinatory motif of a giant undersea serpent with the tail of a fish, the body of a snake and a fantastic head . . . The figure to the right . . . is a shaman with two musical horns, below which is a giant *Nymphaea ampla* bud. Recalling the universal shamanic metaphor of "undersea" or "underwater" in



Water Lily Jaguar as he is depicted in the Dresden Codex, one of the very few Mayan hieroglyphic works to have survived the orgy of book burnings ordered by Spanish missionaries following the Conquest

alluding to deep states of trance, it is interesting that the Bonampak murals have a similar hallucinatory theme that is similarly linked to *N. ampla*:

Grotesque personages are involved in a sort of undersea dance ritual. Water lilies are on their foreheads and are associated with fish. One of the figures holds his hands aloft which are the giant claws of a lobster . . . As Dobkin de Rios has suggested, plant and sea familiars are common New World drug-linked motifs. <sup>55</sup>

We need not dwell further on the hallucinogenic iconography of the water lily amongst the ancient Maya – who also used many other vision-inducing drugs, some of which they likewise depicted in their art. It is certain that *ololiuqui* (Morning Glory) seeds, which have powerful LSD-like effects, were amongst the diverse pharmacopoeia they resorted to.<sup>56</sup> Psychoactive mushrooms, more than 20 species of which grow in the region,<sup>57</sup> were likewise of great importance for shamanic journeying, which perhaps explains why the Quiche Maya of Guatemala, the authors of the Popol Vuh, had a specific phrase, *xibal-baokx*, meaning "mushroom of the underworld." Mushrooms appear





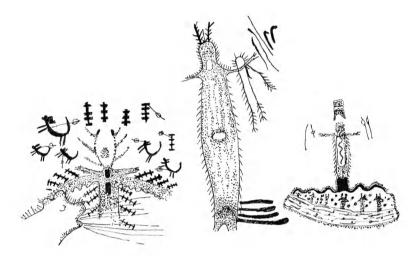
Stones carved in the form of hallucinogenic mushrooms by the ancient Maya of Guatemala

in the Popol Vuh in direct association with religious activity,<sup>59</sup> and archaeologists have found hundreds of stone sculptures and ceramic models of mushrooms in ancient Mayan tombs and religious sites in Guatemala, El Salvador, Honduras, and Mexico dating back to the first millennium BC.<sup>60</sup> "It is now clear," writes Richard Evans Schultes, Director of the Harvard Botanical Museum, "that whatever the use of these 'mushroom stones,' they indicate the great antiquity of a sophisticated sacred use of hallucinogenic mushrooms."

## "They would see a thousand visions, especially serpents . . ."

Looking beyond the areas of Maya habitation, archaeologists and botanists agree that hallucinogens have in fact played a formative role in the religious beliefs, rituals, and imagery of almost all the indigenous peoples and civilizations of Central America, Mexico, and neighboring parts of the United States. Indeed, despite the odds against the survival of physical evidence of hallucinogen use, substantial caches of the intensely psychoactive red bean-like seeds of a shrub known as *Sophora secundiflora*, dating back more than 9,000 years in some cases, have been found in painted caves across much of north-central Mexico and Texas. Along the Lower Pecos River in Texas, they are associated with a remarkable complex of 8,000-year-old rock paintings of spirit beings, therianthropes, and entoptic patterns. Even before Lewis-Williams, archaeologists were largely

agreed on a shamanistic interpretation of these paintings, relating their content to "hallucinogenic and divinatory red bean rites." It is significant that in some sites, *Sophora secundiflora* seeds (more commonly known as "mescal beans") have been excavated from successive occupation layers dating from 7000 BC to the eleventh century AD. Moreover, there are ethnographic reports from the nineteenth and early twentieth centuries of American Indians still using the seeds to pursue "delirious exhilaration" in a religious context. 64



8,000-year-old rock paintings of spirit beings, therianthropes, and entoptic patterns, Lower Pecos River, Texas.

If we study the murals and reliefs at the pyramids and temples of Teotihuacan, 30 kilometers north of modern Mexico City, we see depictions of hallucinogenic plant species and psychoactive mushrooms everywhere coupled with fantastic and monstrous imagery. The internationally renowned mycologist R. Gordon Wasson has concluded that "for centuries Teotihuacan was a vast sanctuary dedicated to the two or three hallucinogens that enjoyed superlative prestige."

Teotihuacan flourished around the time of Christ and reached its zenith by AD 700, afterwards rapidly falling into decline. By the early sixteenth century, when Europeans engaged in the bloody business of conquest first encountered the fierce Mexican people known as the Aztecs, the Pyramids of the Sun and the Moon at Teotihuacan were

in ruins and the painted temples were overgrown and ghostly. The cults of sacred plants and mushrooms celebrated in the Teotihuacan murals were, however, not forgotten but continued to provide the principal means by which the peoples of the region established and maintained their contacts with the spirit world.

The Aztecs made particular use of *ololiuqui*, the LSD-like Morning Glory seeds mentioned earlier, and also of several different species of psilocybin mushrooms, which they knew by the name of *teonanactl*, "flesh of the gods." From the writings of the friars and other commentators who came alongside the Conquistadors and who witnessed Aztec use of these and other drugs, scholars agree it is clear that

sacred hallucinogens played an important part not only in divinatory or curing practices on the "folk level" of religion and ceremonial, but also in the far more complex beliefs and rituals of the priestly hierarchies that served the demanding gods of Aztec Tenochtitlan and its allies and tributaries.<sup>67</sup>

The descriptions of the sixteenth-century chroniclers speak for themselves:

*Ololiuqui* . . . deprives all who use it of their reason . . . The natives communicate in this way with the devil . . . and they are deceived by various hallucinations which they attribute to the deity which they say resides in the seeds.<sup>68</sup>

They all went to eat raw mushrooms, on which food they all went out of their minds . . . With the force of those mushrooms they would see visions and have revelations of the future, the Devil speaking to them in that drunken state.<sup>69</sup>

At the very first, mushrooms had been served . . . When the mushrooms took effect on them, then they danced, then they wept. But some, while still in command of their senses, entered and sat there by the house on their seats; they danced no more but only sat there nodding . . . And when the effects of the mushrooms had left them, they

consulted among themselves and told one another what they had seen in vision  $\dots$ <sup>70</sup>

When the priests wanted to communicate with their gods, and to receive messages from them, they ate this plant [ololiuqui] to induce a delirium. A thousand visions and satanic hallucinations appeared to them . . . <sup>71</sup>

It was their custom to dance and get drunk on some mushrooms in such a manner that they would see many visions and fearful figures.<sup>72</sup>

A particularly detailed account was left by Fray Toribo de Benavente:

They had another way of drunkenness . . . and it was with some fungi or mushrooms . . . Eaten raw and being bitter, they drink after them or eat with them a little bees' honey; and a while later they would see a thousand visions, especially serpents . . . These mushrooms they called in their language *teunanacatlth* [*teonanactl*], which means "flesh of god," or the devil whom they worshipped.<sup>73</sup>

One can see from their reactions that the friars instinctively disliked the direct communication with the spirit world that the sacred plants seemed to give the Mexican shamans. Naturally the Church and the Inquisition launched a systematic campaign after the Conquest to





Left, from the Magliabechiano Codex, illustration depicting a man eating hallucinogenic mushrooms. A terrifying supernatural figure stands behind him. Right, from the Florentine Codex, illustration of "Satanic" mushrooms.

stamp out the religious use of hallucinogens entirely and to blacken the name and distort the memory of those who had venerated them. Historians thought that the campaign had been a complete success until 1955, when R. Gordon Wasson and his colleagues discovered a psilocybin mushroom cult still active amongst the Mazatec Indians of the mountainous country around Oaxaca. Far from being cruel and diabolic, this shamanistic cult was gentle, wise, and dedicated to healing.<sup>74</sup>

#### Teaching man that which he knoweth not

We have already met Maria Sabina, the shaman of the little village of Huatla de Jimenez near Oaxaca who Wasson made famous with an article about her that he published in Life magazine in 1957. We saw in Chapter Six that on one occasion, following the consumption of a large dose of psilocybin mushrooms, she traveled more deeply than ever before into the otherworld. There she met a spirit being who had guided her on previous visits, but this time he "had in his hands something that he did not have before, and it was a big Book with many written pages." He told her: "I am giving you this Book so that you can do your work better and help people who need help and know the secrets of the world where everything is known." This seemed most inappropriate to Maria as she thumbed through the "many written pages" of the book: "Unfortunately I did not know how to read. I had never learned and therefore that would not have been any use to me." At the very moment this thought crossed her mind, however, "I realized I was reading and understood all that was written in the Book and that I became as though richer, wiser, and that in one moment I learned millions of things. I learned and learned."75

I am reminded of the way, in another time and place, that the illiterate Prophet Muhammad was ordered to read by the spirit being who he identified with the Angel Gabriel. "I am not a reader," the prophet protested:

Then he took hold of me a third time and squeezed me as much as I could bear, and said: "Read! In the name of the Lord who created;

created man from a clot of blood. Read! For the Lord is most beneficent, He hath taught the use of the pen; He hath taught man that which he knoweth not."<sup>76</sup>

Amongst the ancient Egyptians it was the god Thoth, a bird therianthrope with the body of a man and the head of an ibis, who was said to have taught the skills of reading and writing, while the shaman god Osiris was remembered as a great civilizer and the inventor of agriculture. In the same way the Mayan god Kukulkan, whose name means "Feathered Serpent," was regarded as the supreme organizer, "the former of laws and the teacher of the calendar."

These are not isolated examples, and once again the question arises – who are these ancient teachers, who some call "gods," and some call "aliens" or "spirits," who come to us in altered states of consciousness with strange messages that have shaped the course of human history?

# PART V The Mysteries

#### CHAPTER SEVENTEEN

# Doors Leading to Another World

I began this inquiry with a question about religion. When and where did our ancestors first start to believe that they could encounter supernatural realms and beings?

Although I predict that older evidence will ultimately be found in Africa, there is presently little dispute that the painted caves of Europe contain the oldest clear *surviving* evidence of the beliefs in "spirit worlds" and "non-real beings" that lie at the heart of all religions – no matter how far they may subsequently have evolved away from their shamanic roots. If I wanted to know about mankind's first supernatural encounters, therefore, I realized that I was going to have to learn about the caves, and their extraordinary, transcendental art, and about other ancient rock-art systems around the world.

I quite soon discovered that real knowledge about prehistoric cave art could be divided into two periods. Before Professor David Lewis-Williams began to publish widely in the 1980s, there was no good explanation of the art at all. By 1988, thanks to his work, there was an excellent explanation that made perfect sense. Precisely because it makes such good sense, though it has been opposed by a strident minority, his "neuropsychological theory" quickly won the support of the majority of archaeologists and anthropologists specializing in this field.

For reasons that I have set out at length in Parts I of this book, I myself am convinced that Lewis-Williams is broadly correct: the visions and experiences of altered states of consciousness, brought on

by classic shamanic techniques such as rhythmic dancing or the use of plant hallucinogens, were indeed, as he proposes, the source of inspiration for the first religious ideas of mankind. Beyond this basic but extremely important point, I disagree with just about everything else Lewis-Williams has to say. In particular, I disagree vehemently with the certainty he professes that the spirit worlds and beings encountered while hallucinating are not in any sense real. Likewise, he is just plain wrong to argue that only entoptic phenomena – which he attributes to the structure of the nervous system – are universally experienced in altered states, while what he calls "fully iconic" Stage 3 hallucinations are entirely artifacts of the individual's memory and cultural background and thus differ enormously from person to person, from epoch to epoch, and from location to location.

On the contrary, even when Lewis-Williams first published on this subject, there existed a number of puzzling cases in the neuropsychological literature attesting to the universality of Stage 3 hallucinations as well. These cases were and remain puzzling precisely because they are so similar to one another despite the different cultural backgrounds and different personal memories of the individuals experiencing them. As early as 1977, for example, Dr. R.K. Siegel, one of the leading U.S. psychiatric researchers in this field, published an important study in the journal Scientific American reviewing some 500 hallucinations induced by LSD. Although Lewis-Williams generally relies on Siegel, he seems to have overlooked this study, in which no less than 79 per cent of the subjects reported very similar complex imagery, including religious images and symbols, images of small animals, and humans.<sup>1</sup> As critics point out: "This finding strongly suggests that some of the complex imagery ('iconic' hallucinations per Lewis-Williams) seen upon electrical or chemical stimulation of the visual associational cortices and temporal lobes is hard wired."2

Lewis-Williams was also well aware, and indeed makes much of it in his writings, that therianthropic, part-man, part-beast figures are a universal feature of rock art in many different lands because they are universally experienced in deeply altered states of consciousness. However, he does not seem to recognize that what he is effectively admitting here is that at least some "iconic" Stage 3 hallucinations are

apparently *not* strongly culturally constrained. Everyone sees therianthropes even if the therianthropes they see are part man, part bison in Upper Paleolithic Europe, part man, part eland in southern Africa, or part man, part jaguar in the Amazon jungle.

In 1990, Robert Bednarik of the Australian Rock Art Association took Lewis-Williams to task for overlooking evidence of this sort. In a critique published in the journal *Current Anthropology*, he drew attention to research which has demonstrated that certain universal themes appear in the ayahuasca visions of people from quite different cultures, and suggested that further investigation of such shared "non-real" experiences might demonstrate the existence of "a collective unconscious of 'iconic form constants."<sup>3</sup>

On the basis of everything I've learned, I'm certain Bednarik is right to draw attention to the mysterious universality of Stage 3 hallucinations. But to say that they derive from a "collective unconscious of 'iconic form constants" is to say that we all unknowingly share immense quantities of bizarre otherworldly images of utterly unfamiliar places and beings that have somehow been "hard-wired" into our brains. This, of course, is not an explanation of the problem but merely a description or reformulation of it. What we really want to know is why so many people all around the world see the same "nonreal" things once they enter altered states of consciousness. Furthermore, though Bednarik would undoubtedly be aghast at the comparison, his idea that we each possess a hard-wired neural archive filled with "iconic form constants" depicting supernatural realms and beings comes remarkably close to Jeremy Narby's off-beat notion that intelligent interactive video messages have been recorded on DNA by some higher intelligence and that we all see the same things because we are all one at the level of DNA.

#### Eyewitnesses to the non-real

I began to understand that this problem of human experiences of the supernatural – judged to be non-real but nonetheless universal and apparently very ancient – lay at the heart of the matter I was investigating. It was precisely such experiences, documented in the painted

caves, and duplicable by drugs such as ayahuasca, psilocybin, ibogaine, and DMT, that seemed to have accompanied mankind's leap into fully modern symbolism.

The next step was to look elsewhere – in folklore, in mythology, in the annals of ancient and contemporary religions, and in modern news sources – for other examples, not necessarily connected with drugs, of complex, detailed but supposedly non-real experiences reported in very similar terms by large numbers of people with nothing in common.

For practical reasons it was necessary to impose constraints on the scope of my inquiry, and in particular to make some difficult decisions about which aspects of it to write about and which to keep to myself. But because of the direction from which I had approached the problem, my primary research focus was inevitably on the "spirit world" that our ancestors depicted for the first time in prehistoric rock art, that continued as the great obsession of the high religions of antiquity, and that is still actively experienced to this day in surviving shamanic cultures as far afield as central and southern Africa, Australia, and the Amazon rain forest. Then there was the modern supernatural phenomenon of encounters with and abductions by UFOs and aliens, and in between the two there were the fairies and elves, the imps and goblins, the nymphs and sprites that were so commonly encountered in Europe from medieval through to Victorian times.

Once I started digging, I found clues leading in all directions and multiple interconnections linking areas of inquiry that I had previously thought to be entirely separate. Most important of all, in a field of study that has been neglected by modern researchers, I found that the ethnographies and folklore collections were stuffed with statements by earnest and sincere eyewitnesses describing supernatural beings and events.

Many scientists dismiss such accounts as hoaxes, or delusions, or "mere hallucinations," and don't consider the possibility that the eyewitnesses might have seen something real. However, this is a kneejerk response driven by deeply embedded materialist prejudices about the nature of reality rather than by any solid research or evidence. I therefore decided to undertake a thorough examination of some of

these bodies of eyewitness testimony – not a patronizing sociological or psychological study of mass delusions (just about the only approach that scholars have made to this material up to now), but an attempt at a deliberately open-minded appraisal, ruling out nothing in advance. I hoped if I treated the evidence with respect, and allowed it to speak for itself, that it might have something useful to teach me about the supposed supernatural realms and beings to which it refers – which I myself had experienced and which I was willing to accept might *not* be real, or might, on the other hand, be real in some way that scientists did not presently understand.

What I found, set out in Chapters Five to Ten, is that essentially the same pattern of bizarre non-real experiences has been consistently reported over and over again by people from all parts of the earth and all periods of history. Furthermore, despite the glaring underlying similarities, there is clear evidence of evolution in these experiences - notably in the way that the issue of hybrid infants is handled and in the way that the technology for navigating between worlds, and even the clothing worn by the "beings," seems to change and develop. It seems to me completely obvious that when people from all times and places consistently and reliably report the same non-real experiences - especially experiences as extraordinary and distinctive as those typically associated with spirits, fairies, and aliens - then it is not good enough to say that all the eyewitness are "mad" or deluded. Nor will it do to dismiss their testimony on the grounds that they must be hallucinating, since that does not explain but merely restates the problem - which is, of course, that they are all hallucinating the same things! The real issue that science keeps dodging is why they are all hallucinating the same things. Why do the same otherworlds and spirit beings keep appearing, and what does this all mean?

# Hard-wired program

I was already aware of how closely "supernatural" experiences are linked to altered states of consciousness, very often brought on by the use of plant hallucinogens. This is, after all, the entire basis of shamanism in the Amazon. But it was only when I began to study Rick Strassman's work that I realized how such experiences could be occasioned virtually instantaneously by DMT – which turns out to be a substance that the human brain produces naturally in minute quantities. Even in laboratory and hospital settings, as we saw in Chapters Eleven and Twelve, a dose of DMT above a certain minimum threshold is guaranteed to send just about anyone on a very rapid, intense, and convincing otherworld journey. Moreover, despite some notable differences, which we will return to in a moment, it is, once again, essentially the same otherworld to which shamans and alien abductees and those taken by the fairies have always been transported.

Rick Strassman and others working in this field repeatedly warn that the ease with which DMT can *facilitate* such experiences does not mean it has *caused* them. On the contrary, we have seen that there is considerable support at top scientific levels for the notion of the brain as a receiver that may be temporarily retuned by hallucinogens to pick up frequencies that normally fall below the threshold of our senses. Theoretically, if it were possible for beings to exist at those frequencies, we would be unable to see them without the assistance of a substance like DMT – though they might be "round us thick as flies" (as used to be said of fairies).

Yet my own experiences with DMT also suggested another possibility. Despite their general similarities to other alternative realities I had encountered in trance states, I was haunted by the mechanical, artificial, and even technological overtones of the DMT "world." Unlike the rich, abundant supernatural life revealed by ayahuasca, the effect of pure, concentrated dimethyltryptamine had felt less like an encounter with spirits and more like a personal session with an interactive computer program designed to tailor its output to my individual psychology, habitual perceptions, and cultural background.

Now, the weird thing, as we saw in Chapter Twelve, is that everyone else who takes DMT seems to engage with the same computer program – as though it is somehow hard-wired into all of us. Such an interactive system, just conceivable somewhere far beyond the limits of twenty-first-century biotechnology, would require vast amounts of information, stored within our bodies on





Top, the "twisted cord" of DNA, a physical medium within our bodies for the storage of vast amounts of information. Above, "Those who carry the twisted cord," from the ancient Egyptian *Book of Gates* (tomb of Rameses VI).

some physiological medium that would allow it to be accessible to consciousness. We saw in Chapters Thirteen and Fourteen that this is not impossible, that there are good reasons to believe our DNA did not evolve on this planet, and that neural DNA with its billions upon billions of supposedly "junk" letters is an obvious candidate to carry an interactive message written by "clever entities," as Jeremy Narby suggests.

## Video-game people

If we continue for the moment to speculate – with Narby – that along-side all its other miraculous properties, our DNA contains interactive recordings of intelligent messages that we can activate in altered states of consciousness, then the hybrid and therianthropic imagery documented in visionary experiences from the painted caves of Europe 35,000 years ago to the Amazon jungle today make deep symbolic sense. How better could an intelligent, interactive system express the essence of DNA as a fundamental animating principle uniting the attributes of all living things than to summon up virtual teachers that merge the characteristics of different species into composite beings? It is perhaps no accident, while about half the group saw aliens and flying saucers in one form or another, that many of Rick Strassman's volunteers also reported encounters with therianthropes during their

DMT sessions, mostly in the form of insect-like or reptilian hybrids who seemed to be driven by a bizarre urge to *teach* them. Chris, for example:

It was wild. There were no colors. There was the usual sound: pleasant, a roar, a sort of an internal hum. Then there were three beings, three physical forms. There were rays coming out of their bodies and then back to their bodies. They were reptilian and humanoid, trying to make me understand, not with words, but with gestures. They wanted me to look into their bodies. I saw inside them and understood reproduction, what it's like before birth, the passage into the body.<sup>4</sup>

Note the theme of reproduction – with the added twist that what is being envisaged is the passage *into* the body, "before birth," presumably of some non-physical entity. Was the lesson Chris says he was given about this "mechanism" just brain fiction with no broad significance at all? Was it a revelation, vouchsafed to him by "spirits," of some fundamental truth about the nature of physical existence? Or could it have been an encounter with high-tech virtual teachers in his own DNA?

Gabe, another of Strassman's volunteers, reported "an initial sense of panic" on receiving an intravenous dose of 0.4mg/kg of DMT:

Then the most beautiful colors coalesced into beings. There were lots of beings. They were talking to me but they weren't making a sound. It was more as if they were blessing me, the spirits of life were blessing me... At first it felt like I was going through a cave or tunnel or into space, at a fast rate, definitely. I felt like a ball hurtling down to wherever it was.<sup>5</sup>

If modern lab volunteers conclude that the beings they encounter in altered states of consciousness are "spirits" (and meet them in caves and tunnels!), then it is easy to understand how our ancestors in similar trance states – whether induced by drugs or other techniques – would have come to similar conclusions. But by no means all the volunteers in Rick Strassman's study believed they were dealing with spirits, and

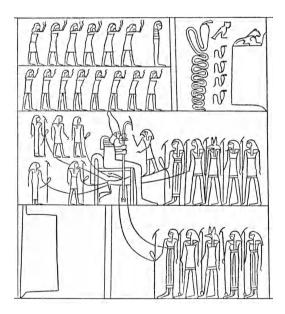
the descriptions that many gave of their experiences accord equally well with the "virtual teacher" hypothesis.

Sean:

I couldn't watch it all, it was so busy. Something asked me, "What do you want? How much do you want?" I answered that I wanted to see fewer things, but more of it. That reduced the intensity of the busy, crackling, colorful Chinese-like panels. It became more manageable and focused. I'm feeling freer about going out there. I'm not lost. I'm asking questions and getting answers.<sup>6</sup>

On another day, after receiving the third of four consecutive doses of DMT, the same subject reported:

The trip started with an electric tingling in my body, and quickly the visual hallucinations arrived. Then I noticed five or six figures walking



Scene from the ancient Egyptian *Book of Night*, Tomb of Rameses VI (circa 1150 BC). The existence of such archaic depictions suggests that "wire people" and "stick people" of the kind repeatedly seen by subjects under the influence of DMT do not result from the influence of modern "cartoons" and video games, but are part of a mystery buried deeply in the structure of the human mind.

rapidly alongside me. They felt like helpers, fellow travelers. A humanoid male figure turned toward me, threw his right arm up toward the patchwork of bright colors, and asked, "How about this?"<sup>7</sup>

On his fourth dose, Sean found himself in a place with

wire people everywhere riding bicycles, like programmed people, like video-game people . . . I watched them. They were blue-green, running all around me . . . 8

Another participant in the same four-dose tolerance study was Sara. "This time, I quickly blasted through to the 'other side," she said soon after receiving her third injection:

I was in a void of darkness. Suddenly, beings appeared. They were cloaked, like silhouettes. They were glad to see me. They indicated that they had had contact with me as an individual before. They seemed pleased that we had discovered this technology . . . They wanted to learn more about our physical bodies. They told me humans exist on many levels . . . 9

Sara's experience after her fourth dose seemed to continue the scenario from the third:

I went directly into deep space. They knew I was coming back and they were ready for me. They told me there were many things they could share with us when we learn how to make more extended contact. I wish you [Strassman] didn't control who gets DMT!<sup>10</sup>

#### In a later interview she added:

I have the feeling of remembering those entities. My experience of them was so real it doesn't fade with time like other things do. They want us to come back and teach us and play with us. I want to go back and learn.<sup>11</sup>

Dimitri said that he was "confused and in awe" about the beings he encountered during his DMT trips:

I knew that they were preparing me for something... They had things to show me. But they were waiting for me to acquaint myself with the environment and movement and language of this space...<sup>12</sup>

Jeremiah felt that DMT was "not like some kind of drug":

It's more like an experience of a new technology than a drug. You can choose to attend to this or not. It will continue to progress without you paying attention. You return not to where you left off, but to where things have gone since you left. It's not a hallucination, but an observation. <sup>13</sup>

But an observation of what? Neural pulp-fiction? Or real spirits from parallel otherworlds? Or virtual teachers broadcasting interactive lectures at us from our DNA?

Or none of the above?

# The baffling of Strassman and Shanon

Implausible, mind-boggling, universal experiences of the kind described repeatedly in this book do not often attract serious academic attention. Those few scientists who have studied them in depth, however, have concluded that there is something deeply mysterious about their universality, and that it in fact raises profound questions about what is real and what is not. Rick Strassman, for one, admits to being "baffled and nonplussed" during his DMT research by the

surprising and remarkable consistencies among volunteers' reports of contact with nonmaterial beings . . . [in an] "alien" realm . . . or high-technology room. The highly intelligent beings of this "other" world are interested in the subject, seemingly ready for his or her arrival and wasting no time in "getting to work" . . . They . . . communicated with the volunteers, attempting to convey information by gestures, telepathy,

or visual images. The purpose of contact was uncertain, but several subjects felt a benevolent attempt on the beings' part to improve us individually or as a race.<sup>14</sup>

As a clinical research psychiatrist, Strassman says, it was natural for him to presume that the regularity and consistency of these reports, as well as their strong sense of reality, must arise from some biological cause. He speculated that the DMT was "activating certain hard-wired sites in the brain that elicit a display of visions and feelings in the mind. How else could so many people report similar experiences: insect-like, reptilian creatures?" However, the volunteers were not satisfied with such reductionism: "Yes,' they would reply, 'but what about what I just saw and felt? Are there brain centers for clowns, elves and aliens? Where did this really come from?"

In due course, Strassman found himself agreeing that there was a problem. "Why do we all have DMT in our brains?" he asked:

Why is there a compound . . . that generates experience of "aliencontact," death, space-travel, and other extraordinary effects?<sup>17</sup> How could anyone believe there were chunks of brain tissue that, when activated, flashed encounters with beings, experimentation and reprogramming?<sup>18</sup>

as extraterrestrials" were often "seen along with spaceships."23

What are we to make of such unexpected universal themes? "A reductionist would argue that the commonalities in the ayahuasca experience are directly due to neuroanatomical structures and brain processes," says Shanon, "and hence should be explained in biological terms":<sup>24</sup>

While at first glance such an explanation may seem most natural, further reflection reveals that it is far from being obvious. Would we say, for instance, that the recurrence of visual elements such as serpents and jaguars, palaces and works of art are due to there being specific brain centers in which such information is stored? . . . It seems to me that, at least in the present state of our understanding of the brain and nervous system, the postulation of such specific centers simply does not make sense.<sup>25</sup>

What most directly affronts common sense is the notion that natural selection could ever have allowed the evolution of such a potent and spectacular hallucinatory mechanism, requiring those equipped with it to become helpless in the real world and to devote close attention to non-real things instead. If the materialist view of the universe is correct, then it is hard to see how attending to *anything* "non-real" could have been at all adaptive or could have contributed usefully to our ancestors' chances of survival – especially in the early days when such an adaptation would have had to provide spectacular immediate payoffs in evolutionary terms if it was to become established in the genome.

On the other hand, if the shamanic view of the universe is correct, then attending closely to spirit realms and beings makes complete sense, as does their universality, even if Western scientists persist in their delusion that such realms and beings are not real. Moreover, as Rick Strassman reminds us:

By conceiving of the brain as a receiver of information . . . one can accommodate the biological model of changing brain function with a chemical. At the same time, it allows for the possibility that what is being received, while not usually perceptible, is consistently and veri-

fiably existent for a large number of individuals. It may, indeed, reflect stable, free-standing, and parallel planes of reality.<sup>26</sup>

Benny Shanon likewise admits that his ayahuasca experiences brought him, for the first time in his life, "to doubt the validity of the Western world view." But, unlike Strassman, he rejects the notion that visionary experiences might in some way be "transmitted" from other dimensions. The thesis that he is in the process of developing is that human creativity, which "in principle . . . is without limits," is the key mechanism at work in ayahuasca visions. The problem, however, is that

there are some specific contents that are more likely to be created than others. These . . . do not reflect the life history, knowledge or concerns of the individual drinker, and they even seem not to be specific to members of any particular cultural group. Thus it appears that human beings, while not storing in their memories ideas of these specific semantic contents, are built in such a fashion as to be likely to imagine them . . . <sup>29</sup>

As a scientist, Shanon resists any attempt to explain such universal "creations" with reference to the supernatural. He prefers to address what he calls "the enchanted nature of the ayahuasca experience" in a way that respects the "frames of thought and canons of judgment that define my own cultural and professional heritage." Only if this proves fruitless, and

if the commonalities in the ayahuasca experience cannot be accounted for in ordinary psychological terms, then perhaps we have no choice but to shift from the internal domain to the external one and consider the possibility that these commonalities reflect patterns exhibited on another, extra-human realm.<sup>31</sup>

#### Internal domain?

The upshot is that Strassman and Shanon, the top scientists in this field, are able to offer theories, but not definitive explanations, for the

mysterious universal hallucinations of spirit beings that our ancestors first depicted in rock art 35,000 years ago, that took the form of gods and goddesses for the high civilizations of antiquity, that were known as fairies, elves, demons, and goblins in the European Middle Ages, and that continue to manifest in the twenty-first century as spaceships and aliens while still retaining much of their ancient "enchantment" and many of their traditional disguises. Nothing that Strassman and Shanon have discovered rules out the possibility that these complex hallucinations, and the distinctive themes such as therianthropy, hybridism, abduction, and the wounded man that run through them from the earliest times until today, might represent veridical encounters with alternative realities. But despite the complexity of such hallucinations, both authorities also acknowledge that they might in some way have been generated inwardly – although they can offer no suggestion as to what process, evolutionary or otherwise, could have brought this about.

Let's first address this problem of the universal inward generation of non-real perceptions.

The one thing that is clear is that "coincidence" – that much overused longstop of materialist arguments – cannot explain the massive universality of the many supposedly "non-real" human experiences described in this book. If we are to think of them all as inwardly generated hallucinations, therefore, then we are more or less obliged to conclude, as some academics do, that evolution has hard-wired the bizarre images, sensations, and information that they contain into the modern human nervous system. The moment that we try to take this proposition seriously, however, we collide with the evolutionary paradoxes outlined earlier – namely the difficulty of seeing why natural selection should have favored the survival of such a large amount of useless information (along with ingenious means for its admission into consciousness), and how it could possibly manifest itself in the form of universal images, ranging from therianthropes to aliens and angels to demons, in settings as diverse as caves and UFOs.

The problem looms larger if we ask *when* the alleged hallucinatory database was downloaded onto the human genome (from where, thereafter, it would be duplicated in every newly made human being). Those

who want us to believe that blind evolutionary forces acting on their own could have compiled such a database and impressed it permanently on all our brains ought to have an instant answer to this question, but, as it turns out, they do not.

We have seen that there are no grounds for attributing the human ability to hallucinate to any kind of neurological change. Although the earliest surviving evidence that our ancestors were experiencing hallucinations comes in the cave paintings of 35,000 years ago, it is the scientific consensus that our species had undergone no neurological evolution whatsoever for at least 160,000 years before that. The brains of the great shaman artists of the Upper Paleolithic did not differ in terms of size, complexity, or structure from the brains of the most ancient anatomically modern humans so far discovered, who lived in Ethiopia around 196,000 years ago. The difference was all in behavior, with the symbolic abilities that are expressed so fluently in the cave paintings being found to lie at the root of all the significant innovations – then and since – that have elevated human beings above animals and allowed us to take our destiny into our own hands.

From this we may deduce that any evolution required to install the ability to hallucinate on our mental hard-drives must have occurred much further back in the human story than just 35,000 years ago, or even 196,000 years ago, but that this ability was for a long while inconsequential in its effects. I have argued that a small percentage of every human population has always been able to hallucinate spontaneously without the need for drugs or other shamanic techniques, but that most of our ancestors probably didn't know how to "switch on" this ability in any sort of systematic way until such a time as they encountered and began to make deliberate use of psychoactive plants and fungi. I am certain that the ability was already present amongst our pre-sapient hominid ancestors all the way back to the Australopithecines, and I do not doubt that they would from time to time have encountered and enjoyed psychoactive plants without being transformed by them in the way that later humans would be.

Indeed, scientists have established that the ability to hallucinate is not confined to humans, but is shared by other mammals and also by birds, bees, butterflies, ants, and spiders, many of which are known actively to seek out psychoactive substances.<sup>33</sup> Of course we cannot know what mental events they experience - or even if they experience any mental events at all - under the influence of a drug. What we can be sure of is that their behavior changes. Spiders on LSD, for example, weave fantastic, convoluted, "arabesque" webs. There are ants that become addicted to the abdominal secretions of a certain beetle, specimens of which they collect, nurture, and breed so as to ensure a permanent supply on hand in their colonies. Once under the influence of the secretions, the ants stagger around and abandon their normal work. Similarly, moths in Arizona favor the nectar of hallucinogenic datura flowers over all other sources available. After visiting just a few flowers they become clumsy, researchers report, and often "miss the target and fall onto the leaves or the soil. They right themselves slowly and awkwardly. When they take to flight again, their movements seem erratic, as if they were confused. But the moths seem to like this effect and return to suck more nectar from those flowers."34

That such different categories of creature as insects and humans should both turn out to have an evolved ability to alter their state of consciousness with hallucinogens is highly significant. It means that the actual process by which the ability to hallucinate was "naturally selected" and imprinted on DNA (so that it could thereafter be replicated from generation to generation) must have taken place before the last common ancestor of them all. This is so because the Arthropoda (the line to which insects and spiders both belong) and the Chordata (the line to which humans and other vertebrates belong) have been separate from the very first records of multicellular life, and their last common ancestor is thought to have lived around a billion years ago. It is a hypothetical creature, for no physical remains have survived, and the general view amongst biologists is that it would have been little more than a ball of cells without eyes, a face or a brain. 35 Nevertheless we can be certain that some such creature did exist, and that its descendants separated and formed the Arthropod and Chordate lines because of the astonishing similarities that still connect insects and humans at the genetic level. Thus, for example, although the compound eyes of a fly and the camera-like eyes of a human being are completely different in structure their development turns out to

be controlled by exactly the same group of genes – i.e. segments of DNA that are identical in both flies and humans. Likewise the same gene clusters that regulate the differentiation of the paired and segmented antennae of the head region in fruit flies are also involved in the differentiation of the head region in mice and humans. The implication is that these genes were "retained in invertebrates and vertebrates from a common ancestor that lived eons upon eons ago."

By the same logic, the genes that control the response of insects and humans to hallucinogens must also have been present in the common ancestor of both. That hypothetical faceless, eyeless, and brainless ball of cells bobbing mindlessly in the waters of the primeval ocean a billion or more years ago must, in other words, have already been equipped with the same genetic coding that makes it possible for modern humans to experience complex universal hallucinations.

One cannot say that nature gave the common ancestor this equipment, which it could not use itself, so that it would much later be available for more evolved species - since every step of evolution has to pay its way at the time. So if we want natural selection to explain our ability to hallucinate and to account for the specific types of content that are seen universally in altered states of consciousness – including flying discs, "wheels," therianthropes, beings with multiple faces, clowns, "white ladies," small humanoids with large heads, and serpents coiled around each other like the double helix - we must first explain why it was useful for the last common ancestor of insects and humans to have the necessary instructions on its DNA to enable it to hallucinate, even though it did not yet have any brain cells to hallucinate with! If it is not immediately obvious how possessing the ability to see "non-real" things could confer survival advantages on an advanced mammal like a human being, it is a thousand times more difficult to explain how or why such an ability could have evolved in the first place in much earlier and simpler forms of life.

But perhaps it did not evolve. Or anyway not here. Perhaps Narby and Crick are right and our DNA really is an "ancient high biotechnology . . . that far surpasses our present understanding and that was initially developed elsewhere than on earth."

Far better than vain attempts to bend natural selection to explain

why the DNA of creatures ancestral to insects and humans should have been equipped with blueprints to enable their descendants to observe the unreal is to suppose that the blueprints were always present in some vital area of DNA right from the moment that life first began replicating on this planet, and that they were put there by "clever entities" in the expectation that the processes of evolution would one day result in a creature that could understand and make use of them.

If there is any truth to this scenario, then it could be that the next stage in our evolution depends on our willingness to give full attention to the ancient interactive teachers in our DNA. Perhaps Rick Strassman's volunteer Jeremiah came as close to the truth as anyone when he announced in the middle of a high-dose DMT session: "I felt evolution occurring. These intelligences are looking over us." 38

#### Extra-human realm?

The alternative to experiences like these being inwardly generated is that they genuinely do "reflect patterns exhibited on another, extrahuman realm." Much of the evidence we have explored in these pages is at least suggestive of this possibility.

For example, what final reckoning are we to reach with the parallels between flying saucers (circular, rotating fast, instrumental in the abduction of humans, linked to the appearance and disappearance of aliens) and the fairy dance (circular, rotating fast, instrumental in the abduction of humans, linked to the appearance and disappearance of fairies)? They often seem like two different technologies for doing the same thing, with the fairy dance the equivalent of the analogue version and the UFO showing off its tricks as the latest digital model. But if they are technologies of any kind, rather than abstract mental images, then we can only be dealing with perceptions of extra-human realms and beings.

The alternative, "inward" notion that we are interacting with intelligent virtual agents in our DNA does not seem to provide a good explanation for data of this sort. It is also unable to account for the many physical elements of abductions by spirits, fairies, and aliens that have been reported down the ages – ranging from the complete

disappearance of individuals in medieval times, to radar echoes of UFOs today, and to the small but distinct traces, such as scars and implants, that are often left on the bodies of abductees. Far from being "virtual" and inwardly generated, such phenomena seem to meet most of the standard tests that we apply to exterior reality.

What I find most persuasive, however, is the consistent tradition of interbreeding between humans and spirits/fairies/aliens, together with the parallel themes of wetnursing, hybrid children, etc., etc., and clear indications of change and development taking place within these peculiar phenomena over the course of relatively recent human history. Such experiences do not seem to accord at all well with Narby's scenario, since it is difficult to guess why even the most creative and interactive virtual teachers should have been designed to convince us that we are having intercourse and making babies with them, let alone why they should further require us to return repeatedly to the classroom to cuddle and nurse our poor, sickly, hybrid (and of course virtual) offspring.

Also, although the "lessons" envisaged by the virtual-teacher hypothesis would have been designed to draw on and tailor themselves sensitively to the existing mental imagery of the pupil (thus accounting for bison therianthropes in Upper Paleolithic Europe, eland therianthropes in southern Africa, and jaguar therianthropes in the Amazon), it is much harder to understand how the actual content and structure of the lessons could change if they had indeed been preloaded onto DNA at the start of life on earth. We have seen, for example, that the "hybrid project" has maintained a consistent focus on producing offspring that are half human and half spirit (or fairy, or alien), and that this seems to have been going on for thousands of years. The reader will recall that many intricate details of this supposed mental figment have remained exactly the same as far back as records go, but that there also appears to have been significant streamlining of standard operating procedures (e.g. no more changelings and no more permanent abductions of adults and children into the otherworld - see Chapter Nine).

Such evidence can only add weight to the scary but intriguing possibility that the reason why human experiences of the "non-real"

demonstrate so many astonishing commonalities is that they do in fact "reflect patterns exhibited on another, extra-human realm." The bottom line would be that non-human, apparently non-physical intelligences, whose first interactions with us are documented in rock paintings of therianthropes dating back 35,000 years, and who inspired all the world's religious traditions, are still present amongst us today, following their own agenda according to their own timetable and purposes.

We know that about 2 per cent of humans have the spontaneous ability to enter the altered states of consciousness that are required for seeing and hearing such beings. The rest of us – including many of the most accomplished shamans – require the help of a range of techniques in order to induce the requisite state of consciousness. Amongst these, by far the simplest, the most certain and the most immediate is the consumption of large doses of one or other of the many plant and fungal hallucinogens that have evolved alongside us. Indeed, when we look at the pattern globally we can see that psychoactive flora are so widely available in nature that evolution seems to have taken almost a "belts and braces" approach to ensuring that they would sooner or later be encountered by any intelligent species that might evolve on this planet.

It so happened that the first species on earth with the brain power to do more than just enjoy these plant catalysts – as even ants and spiders seem to do – was our own, *Homo sapiens sapiens*. Other species seek out hallucinogens, and demonstrate behavioral changes while intoxicated, but soon revert to rigid instinctive patterns when the drug effects have worn off. Earlier hominids no doubt did so too. Modern humans, on the other hand, are frequently transformed by the same substances. As we saw in Chapter Fifteen, studies where subjects have been questioned on such matters emphasize that their drug experiences had profound effects on their views of reality and often left them with long-lasting spiritual leanings and metaphysical interests.<sup>39</sup>

We have no reason to suppose that it would have been any different for our ancestors in the Upper Paleolithic. On the contrary, the evidence is overwhelming that their great leap forward 35,000 years ago was causally linked with the experiences that they went through in altered states of consciousness and documented so fluently in the dark depths of the painted caves. They believed that they were encountering supernatural beings from non-human realms. After all we know now, who are we to say that they were wrong?

## Psilocybin at Avebury

Under the influence of five dried grams of psilocybin mushrooms, the acerbic American comedian and social commentator Bill Hicks had experiences that changed his outlook on life completely:

I have seen UFOs split the sky like a sheet . . . I have seen seven balls of light come off a UFO, lead me onto their ship, explain to me telepathically that we are all one and there is no such thing as death . . . I laid in a field of green grass for four hours going, "My God . . . I love everything." The heavens parted, God looked down and rained gifts of forgiveness onto my being, healing me at every level, psychically, physically, emotionally. And I realized our true nature is spirit, not body, that we are eternal beings.<sup>40</sup>

I too had seen UFOs under the influence of a drug - in my case ayahuasca - and come to similar realizations. But then the DMT in ayahuasca and the psilocybin in the mushrooms that Hicks ate are closely related. Indeed, Rick Strassman defines psilocybin as orally active DMT. 41 Psilocybin is also a plausible candidate for the hallucinogen used to induce trances by the shamans of the Upper Paleolithic, since Psilocybe semilanceata and a number of other highly psychoactive mushroom species grew in Europe during the Ice Age. 42 In addition, scholars have implicated the consumption of *P. semilanceata* as most likely to have inspired the later Neolithic art of Europe's great megalithic monuments at sites such as Carnac in Brittany, and Newgrange and Dowth in Ireland.<sup>43</sup> Though fully iconic figures are lacking, many of the same entoptic phenomena abound, and there is a sense of continuity – as though the great project of the painted caves never quite died out but somehow re-emerged amongst the megaliths thousands of years later.

There is another strange connection too. In European folklore, the Neolithic monuments have always been strongly associated with fairies. The tumuli – or ancient burial mounds – were often taken to be "fairy hills," and the stone circles and megalithic passage-graves such as Gavrinis, New Grange, and West Kennett Longbarrow were feared and avoided as entrances to Fairyland.<sup>44</sup>

A megalithic setting therefore seemed appropriate to attempt what I was determined would be one final experiment with psilocybin – a final experiment because I had already taken hefty doses of *Psilocybe cubensis*, *Psilocybe mexicana*, and *Psilocybe semilanceata*, and was frankly scared of further encounters.

I'm not sure why I felt as afraid as I did, because nothing particularly terrifying had happened to me under the influence of the drug. Far from it! Mushrooms are very hit-and-miss, and on two of the three previous occasions I didn't have a psychedelic experience at all. The third occasion was different, but perhaps that was because I took 13 dried grams of *P. semilanceata* (far too much, apparently – almost three times as much as the dose that put Bill Hicks onto a UFO). I tipped the little mushrooms into a pot of water and cooked them at well below boiling point for half an hour until I had about a liter of dark brown stock. Then I hauled out the tangled, soggy mushroom mass from the bottom of the pot, put it in a sieve, squeezed most of the liquor in it into the pot, ate a handful of the mushrooms out of the sieve, and then drank all the soup (which didn't taste at all bad).

Within half an hour I was immersed in a heavy visual storm of entoptic patterns and ever-changing colors, and as I let these develop, the room around me, and even members of my family who were in it with me, underwent a slightly sinister metamorphosis. Walls bent and breathed, the floor expanded, facial features were distorted, skin took on strange tones and pallor. It was as though everything in my familiar reality had surreptitiously shifted half a step to one side and now was somehow different. At the same time, I knew clearly who I was, where I was, who I was amongst, and that these were just the characteristic perceptual effects of the drug I had taken which would wear off in six hours or less.

I listened to our daughter Shanti playing beautiful music on our old piano. The notes seemed to take shape in the air – sometimes as huge curtains of light rippling across my visual field like the aurora borealis, sometimes as fireworks and starbursts, sometimes almost as winged beings. I found that there was a curious way my consciousness could follow and be guided by these notes in flight, or sometimes be lulled by them as though into a gentle rocking motion like a soft swell out at sea.

When Shanti stopped playing I stood up and walked around. At some point I looked down at my feet and found that they were ridiculously far away – at least two floors beneath me. Later I noticed that my left hand seemed to be surrounded by a fuzzy glowing aura. I held it up to study it, splaying my fingers out, and realized that the effect was almost exactly like the negative handprints, each surrounded by a penumbra of spray-paint, that I had seen in so many of the painted caves of Europe.

I started to feel cold, and lay down on the couch, wrapping myself in a blanket. Santha sat with me. After a while our daughter Leila came into the room and recounted a harrowing story about hunters in Africa slaughtering a pregnant chimpanzee for "bush meat." The story filled me with an unutterable and inconsolable sense of sorrow and desolation, and I spent the next hour in tears. By the time I pulled myself together the psychedelic side of the trip was well and truly over.

So . . . emotional, yes, a bit disturbing, yes, a lesson, yes (both my daughters taught me different important lessons about the beauty and sadness of life), but scary – no. There was nothing out-and-out scary about where psilocybin had taken me, because it hadn't really taken me anywhere at all. Unlike ayahuasca and DMT, which had propelled me into convincing otherworlds, and ibogaine, which had introduced me to the spirits of the dead, the large dose of mushrooms I had consumed had not plunged me into anything like a full-scale visionary state. What the drug had done, however, was play around enough with my sense of reality to hint at the limitless powers that it still held in reserve, and what it could do to me if it let rip. Everyone has their own threshold, and clearly mine for psilocybin was high, but if I

wanted to get into as deep a trance as Hicks had done, and as perhaps the cave artists had done, then I was going to have to test my threshold and break through it. In practice that would mean what? Twenty dried grams? Thirty?

The otherworld can be a very scary place, and I began asking myself how much I wanted to see it again. Psilocybin was clearly a vehicle that would get me there if I was willing to brave a truly heroic dose, but how much did I really want or need to do that? And what more did I hope to find out? Was there any real chance, even if I "asked the mushrooms" (as the Mazatec shaman Maria Sabina used to do in Mexico back in the 1950s), that any kind of definitive insight, or any answers at all, would come out of a big psilocybin trip? Well, honestly, yes there was. Was there any hope that I might meet the spirit of my father and be reconciled to his loss? Again, honestly, yes there was — I have heard regular users of hallucinogens report stranger things. But did I have the stomach for a trip like that now, after two years of research and writing, with my energy at a low ebb and my fear of the spirit world high? I wasn't at all sure that I did.

Nonetheless, a couple of weeks before the spring equinox in 2005, I made my way to the 5,000-year-old megalithic stone circle at Avebury in Wiltshire with three friends who are all experienced users of psychedelics. Santha and our son Luke came along to lend reassurance and help out in case of any problems. Again the mushroom of the day was the Liberty Cap, *Psilocybe semilanceata*, which has grown in profusion across huge swathes of Ireland, the British Isles, and mainland Europe since times immemorial. One of us had brought the little fungi in a rucksack, and we chewed them up pretty much as they came out of the earth, just as our ancestors might have done.

I lost count after a while but I know that I ate at least 50 caps and stalks. I have no idea what that would amount to in dry grams, but certainly it was many fewer mushrooms than had gone into the soup I'd made before. Since I hadn't broken through into the visionary state then, it followed that I was most unlikely to break through to it now on what felt like less than half the dose, yet at the same time I found myself strongly resisting the idea of eating more.

We were perched up amongst the exposed roots of an ancient tree

on the ridge of the immense ditch and embankment in the form of a fairy circle that surrounds the principal henge at Avebury. I looked around at the colossal earthworks and at the weathered, imposing megaliths, standing stark, in silhouette, like doors leading to another world. It was early afternoon, cold and gray, with a chill wind blowing, the trees were bare, and there were very few people around.

Then I ate five more mushrooms and closed the rucksack . . .

# Appendices

#### APPENDIX I

# Psilocybe semilanceata – a hallucinogenic mushroom native to Europe

by Professor Roy Watling, OBE, winner of the Royal Society of Edinburgh's Patrick Neill Medal for research in mycology

Although the hallucinogenic mushroom Psilocybe semilanceata is widespread in Europe today, the claim has been made that it is not an ancient native species but was introduced from the New World after trans-Atlantic contact began in the late fifteenth century. Professor Watling investigates this claim and gives his opinion on the provenance of the species.

Psilocybe semilanceata, or "Liberty Caps," a colloquial name pertaining to the cap resembling the helmet of the First World War French infantry (before the general term "magic mushroom" was introduced), is well known to naturalists and forayers – and more recently, those collecting wild recreational drugs. Scientifically it is classified amongst the basidiomycetes, a group which includes the bracket fungi, puffballs, and the like, in addition to the mushrooms and toadstools, the last two together called agarics. Within this group the Liberty Cap is placed in the Cortinariales along with the cultivated mushroom, and it resides in the family Strophariaceae.

As a species, *Psilocybe semilanceata* is well defined and belongs to a relatively small genus, as presently understood, even after the recent expansion by Noordeloos (1995), but despite being well known, *P. semilanceata* is not particularly characteristic of the genus when all the world species are taken into account. The majority of non-European species have been described as new to science in the last half-century

by those actively involved in the documentation of hallucinogenic taxa.

Guzman (1983) has monographed the world species and examined material of P. semilanceata from nearly all European countries, in addition to Australia, Chile, and North America. It has been recorded many times by European authors since its formal description by the Swedish botanist Elias Fries in 1838. Indeed, it has been the subject of ecological studies in more recent years, and molecular analyses have been undertaken which demonstrate a uniformity within the taxon. It is, however, rather significant that Stamets (1996), who monographed the hallucinogenic fungi, records it only from west of the Cascades in the United States, from northern California to British Columbia during the autumn and early winter, and sometimes in Oregon and Washington during the spring months. This distribution when linked with its European distribution fits in with many other known organisms; in contrast, the eastern United States ties up with the temperate and subtropical areas of Asia. Charles Peck, the influential pioneer American mycologist, recorded P. semilanceata and indicated it was common in grasslands but failed to keep any material, something unusual for him. Material was sent to him from all over North America during his career, so he could have thought it was common in America if the specimens sent to him by his contacts included those from the west. Examination of specimens in his herbarium in Albany, however, has ascertained that he had confused the Liberty Cap with what was then known as Psilocybe foenisecii. This latter species we now know as Panaeolus or Panaeolina foenisecii, depending on the authority. Regardless of the generic placement, this fungus agrees with those placed in a totally different family of fungi to the *Strophariaceae*. Thus old records of P. semilanceata from eastern North America are at most extremely limited or totally confused. Strange for a fungus considered to be common! No?

In Britain, *P. semilanceata* extends from the northernmost islands of Orkney and Shetland, including even some of the smaller non-inhabited islets in the archipelagos, and the Hebrides, including the far-flung St. Kilda, to the eastern and western extremities of southern England. It is considered native to these islands. It is found in seminatural grassland communities often unimproved in even historic

times, and even perhaps since they were originally formed after woodland clearance.

Equally it is a widespread species in Europe, its distribution reaching northernmost parts of the continent, in addition to the Faeroes, as attested by published records, the author's own collecting, and that of his colleagues from Norway, Sweden, Denmark, and the Netherlands, including some who have monographed *Psilocybe* for their respective countries. All agree that there is no evidence to suggest that the Liberty Cap is not native to Europe. The uniformity of *P. semilanceata* throughout its range is supported by little or no morphological differences between collections from different areas and by molecular studies. The latter indicate that there are no differences in the portions of DNA studied between specimens from Scotland and Spain (Watling and Martin, 2003).

P. semilanceata is also uniform over other large parts of the world. It possibly has been introduced to Australia, but the finding of it in high-altitude grasslands in New Zealand needs careful interpretation. In Europe as in New Zealand P. semilanceata is a grassland species with a propensity for areas where there is nitrogenous input; it is not, however, coprophilous (the closely related annulate P. fimetaria fills this habitat niche). Although often associated with hill and lowland pastures supporting domesticated animals (cows, sheep, and even horses), P. semilanceata is also found in grassy glades in woodlands and in copses frequented by deer. It has obviously spread from such sites to lawns and playing fields and therefore closer to habitation, and evidence has been accumulated on the movement of populations of man and Psilocybe in Shetland connected with the oil industry.

Nowhere in its range has *P. semilanceata* demonstrated the explosive expansion generally associated with an incomer, such as the false truffle *Paulocotylis* in Britain, or an expansion of distribution as a result of the availability of a new substrate as seen in the spread northwards in the last 40 years of the native and southern *Schizophyllum*. We are all by now familiar with the devastating and rapid spread of the causal organism of Dutch Elm disease, *Ceratocystis ulmi*, after its recent entry into the country from imported timbers. Certainly there have been historic connections between Spain and Britain, but any uniformity

shown between the Liberty Caps of these countries should be reflected also in the DNA of North American specimens if the hypothesis is correct that North America is the origin of *P. semilanceata*. Instead, the North American information available to us shows several differences to the European material. Unfortunately, dried voucher specimens, although available to support the European sequences, are apparently not available for the New World collections which have been flagged up on the international GenBank. Cross-checking cannot therefore be conducted, for as shown above there has been some confusion in the identity of *Psilocybe* spp. abroad. The differences exhibited, however, indicate that there has been much longer separation between New and Old World populations, differences which would hardly have occurred in the period of a few centuries.

In South America, *P. semilanceata* is rather infrequent and few records are known; indeed, collectors have been particular in noting its absence except in Chile. Surely if this fungus moves so easily between continents, South America would be a very good site as the Spanish colonists were prominent there. As this is not the case, evidence for *Psilocybe semilanceata* being native to Europe is supported.

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#### APPENDIX II

# Interview with Rick Strassman MD

Rick Strassman lives and practices psychiatry in western New Mexico, and is Clinical Associate Professor of Psychiatry at the University of New Mexico School of Medicine. He has published nearly 30 peer-reviewed scientific papers, and has served as a reviewer for several psychiatric research journals. He has been a consultant to the U.S. Food and Drug Administration, National Institute on Drug Abuse, Veterans' Administration Hospitals, Social Security Administration, and other state and local agencies. Dr. Strassman's clinical research at the University of New Mexico included an investigation of the function of the pineal hormone melatonin, in which his team documented the first known role of melatonin in humans. As we saw in Chapters Five and Twelve, he also began the first new U.S. government-approved and funded human hallucinogen research in North America in over two decades, the results of which were published in his important and thought-provoking book, DMT: The Spirit Molecule (Park Street Press, Rochester, Vermont, 2001). This interview was conducted in May 2005.

Graham Hancock: For me the most intriguing aspect of your extraord-inarily interesting DMT research has to do with your volunteers' detailed reports of encounters with "entities," "beings," etc., and the implications that these reports might have for an open-minded inquiry into the nature of reality. You point out the striking similarities between the reports that were given to you after DMT sessions and reports of encounters with "aliens" given to John Mack (and others) by people who believe they have been abducted by UFOs. In addition, you are probably aware of the numerous almost identical reports from folk-lore and ethnography of encounters with "fairies," "elves," etc., and of

shamanic encounters with "spirits" of various sorts. Since we know that your volunteers' experiences were "hallucinations" as conventionally defined, it is therefore not a very big jump to infer – as you yourself propose vis-à-vis "aliens" – that these other categories of very similar experiences might also have been hallucinations. If this is so, however, then are established scientific theories about how the brain "concocts" complex hallucinations (from memories, personal history, etc.) able to explain such a huge range of commonalities in apparently "non-real" experiences reported by so many different people from such different cultural backgrounds and periods of history?

Rick Strassman: The crux of this concern hinges on whether one believes that all of these phenomena are internally generated, or externally perceived, or some combination thereof. Clearly, the brain is affected by one's experiences. It's as though the brain learns a particular language through experience – it obtains a vocabulary, perceptual, emotional, and cognitive – based on that with which we come into contact, and the constraints of our brain's hardware and software.

With that in mind, it's possible to take a larger view of the visionary experiences people may have on psychedelics. While certainly there are just "releases" of previously, or normally, repressed or suppressed images, thoughts, feelings, and the like - particularly at lower doses of these drugs, or in those with reduced sensitivity to them (for either biological or psychological reasons) – in the more nether regions where these drugs may lead our consciousness, something else may happen. That is, we are forced to clothe, or engarb, the external forces, or beings, or influences that otherwise might be invisible to us, in a manner that we are able to recognize. This follows what many others have suggested - that angels, demons, imps, elves, dwarves, etc. are similar "creatures" but ones that appear in a guise that is culturally or personally flavored or determined. Like Captain Cook's ships, which the indigenous people of the South Pacific saw either as large ocean waves, or as nothing at all - not until they actually came up to the ships and touched them could they see them in their true form.

So, beneficent beings may be seen as "angels," malevolent forces as "demons," strange forces taking on strange appearances and emotional

coloration – assuming personally and socially specific shapes and valences that convey the nature of the forces or information they are representing or conveying.

Drugs like DMT would provide access to these forces or beings, and then our own personalities and cultures would act as the prisms through which we see them. This is also where religious, ethical, moral training may be important. It's necessary to have as unsullied a personality and psychology as possible. No matter how powerful a drug might be, if it's working on a polluted, avaricious, sadistic level of consciousness, we're not going to be able to perceive things that someone who's been working on themselves for decades might be able to perceive. And, once we come "down" from the expanded level of consciousness, what we can remember, and then put into practice, is certainly dependent upon our pre-existing personality organization and structure.

Actually I don't come out in favor of explaining my volunteers' experiences as "hallucinations." I sort through a variety of possible, relatively plausible, models to explain their experiences.

However, there were problems which I encountered with explaining away, as it were, their experiences as something other than they seemed to be. First, most of the volunteers described their experiences as more real than real. More intensely visually constructed, more emotionally powerful, more cognitively and spiritually profound than anything they'd ever undergone before. In addition, they nearly unanimously stated that they never could have imagined, made up, or otherwise invented or hallucinated these experiences.

I do first begin with the "hallucination" model; that is, "this is your brain on DMT." However, volunteers rejected this theory as unrealistic – "How could there be brain centers for such complexly constructed story lines, visions, messages?" I then tried the Freudian unconscious model: that what they were undergoing was of the nature of symbolic representation of unexpressed drives, impulses, feelings, or the like. This, too, was laughed at by the volunteers. I offered a Jungian model, along the lines of their visions representing archetypal constructs. Again, a lead balloon. In some cases, the visions did seem to meet many of the criteria of dreams, and in those cases, there was

little problem coaxing volunteers through those pathways – investigating what the "dreams" might be representing in their lives – but these types of experiences were rather rare.

Finally, I decided to treat the experiences "as is." That is, if they were envisioning and interacting with beings from what seemed to be other dimensions of reality, I accepted this as such. In other words, I stopped making their experiences into something other than they seemed to be. By doing so, volunteers began confiding more and more about the utter strangeness of their experiences under DMT. Also, I could rest a bit easier and work more readily with the volunteers' experiences, because there seemed to be such commonalities among them.

If they were really what they seemed to be, then where could such realities reside? It was then I began allowing myself to consider that DMT provides a portal into alternative dimensions of reality – perhaps parallel universes, or dark matter, about which I speculate rather freely in the book.

Graham Hancock: Are you still open to this hypothesis, and would you be prepared to elaborate further on the problems that it raises for scientific understanding of the nature of reality and consciousness?

Rick Strassman: I remain more wedded to this idea than ever. The primary problem right now in validating and extending my theories is the lack of technical equipment, other than our mind/brains, that is, to verify such phenomena as existing outside of this time-space continuum. If we could photograph things like this, we'd all be able to come to an agreement that they exist. However, I am quite impressed with the commonalities existing in the reports of our volunteers; and in the subsequent large number of reports I've received from those who have had such experiences on psychedelic drugs, or spontaneously without drugs – perhaps through elevated levels of endogenous DMT generated by various means such as meditation, stress, sleep disorders, etc.

The scientific method generates scientific understanding. Charles Tart wrote a neat little paper about "state specific sciences" in the 1970s for the journal *Science*, in which he describes the requirements for

"state specific scientists" who would explore different states of consciousness using the fundamental tools of the scientific method: field data based on "anecdotal" reports, hypothesis generating, experiments, gathering more data, revising theories based on new data, and so on. Training a cadre of scientists to be experts in negotiating through these "laboratories." However, in this case, Tart recommended studying states of consciousness with this permutation of the scientific method, rather than objective external reality. If you replace "state of consciousness" with "states of reality," I think we'd be in a similar situation.

Graham Hancock: You oppose the biological reductionism of many scientists with an important idea that has also been entertained by William James, Aldous Huxley, and Albert Hoffman amongst others. This is the idea of the brain as a receiver that can be retuned by certain hallucinogens (and other techniques) to pick up different wavelengths of a much wider "reality" than is normally available to our senses. Are you aware of any evidence within the prevailing materialist/empiricist scientific paradigm that is capable of refuting the "receiver" model? If not, then on what basis do you think so many mainstream scientists are so dismissive of hallucinations and so adamant that the information they contain can in no way be veridical?

Rick Strassman: This almost would fall into the "philosophy of science" field. About which I know little. I'm sure someone could "disprove" the receiver model using a materialistic argument. Because such a theory falls outside of what we "know," or what is "real," it cannot happen. This seems a rather immature way of looking at things, and one which science itself has disproven countless times in its history. This is where science becomes another agent of evil's use of fundamentalism.

The receiver model is just that. The brain receives information at the level for which it happens to be tuned at that particular time. Clearly, there are examples of objective technical equipment changing what we're able to see: the microscope, or night-vision goggles, or radar, the telescope. Meditation is a "subjective" technique or tool that seems relatively well established as a method by which we can

experience things not normally accessible. So is "biofeedback," in which case we are trained, by the aid of a machine, to "feel" various physiological functions previously believed to be outside our realm of perception.

Theories about psychedelics providing even greater ranges of what is perceivable are little more than extensions, albeit somewhat radical extensions, of what we already have incorporated into our scientific biomedical world view.

The remarkable thing about DMT is that it is endogenous, the brain's own psychedelic. And the fact that it is capable of eliciting such amazing and earth-shattering effects makes theorizing about what its role might be in human consciousness so compelling.

Graham Hancock: Another model that you contemplate is that "We were activating certain hard-wired sites in the brain that elicit a display of visions and feelings in the mind. How else could so many people report similar experiences: insect-like reptilian creatures?" (DMT: The Spirit Molecule, p. 200) But you clearly also see many problems with this "hard-wired" model and ask on the same page: "How could anyone believe that there were chunks of brain tissue that, when activated, flashed encounters with beings, experimentation and reprogramming?" Where do you come down on this today? After all, it is widely argued, pretty much without contest, that so-called entoptic phenomena are seen universally in certain altered states of consciousness because they are "hard-wired" into the structure of our nervous systems. Why shouldn't much more complex experiences like those reported by your volunteers also in some way be "hard-wired"?

Rick Strassman: The entoptic phenomena are the bytes of information from which more complex constructs are made. It may be a bit of turning the whole issue upside down. Rather than the brain constructing the mind, perhaps the mind constructs the brain. That is, the entoptic bits of brain structure are just what the mind needs in order to display the phenomenon which it accesses.

The television analogy is always handy, and one for which in this incarnation I owe a debt of gratitude to my friend and mentor, Rupert

Sheldrake. We can take apart a television set and investigate the ways in which each transistor and switch work. However, we cannot explain the programs which come in through the TV by understanding the mechanisms of action of each component part.

We all have DNA, mitochondria, tissues, and cells. However, they are put together in a very special way to make organs, limbs, and these are then themselves organized in a particular manner, to make a human body. Even then, it is just a body, lifeless, a hunk of flesh. Without the overriding principle that enlivens and directs all this matter, there really is not much to speak of. In a similar vein, the entoptic bits and pieces that people believe are hard-wired into the brain would exist in an absolute vacuum unless they were organized and directed in the particular manner coming through as visionary experience.

Graham Hancock: To expand on the above a bit, are you able to envisage any sort of evolutionary process (over the five- or seven-million-year story of human evolution since our last common ancestor with the chimps) that might have the capacity to hard-wire "flashed encounters with beings, experimentation, and reprogramming" into all human nervous systems? This for me is one of the real problems with the "hard-wired" theory that is so often deployed as if it explains all commonalities. If such information is hard-wired into us, then how, when, and why did this hard-wiring occur?

Rick Strassman: This mechanism, say through the aegis of DMT, seems to be hard-wired into every mammal that has been investigated. DMT exists in the brain and blood and spinal fluid of humans, mice, rats, other primates. In addition, DMT has been found in an enormous number of plants. Fish, too. Invertebrates.

No religion of any merit discounts the spiritual nature of all existence, including that of plants, animals, minerals, space, time, light/energy, and what have you. At least on the material biological realm, DMT seems to be the link, the mechanism of action by which this spiritual nature is manifest, and through which a divine connection seems, at least in certain circumstances, possible.

God wants us to be godly. So, by being close to God, we can learn

to emulate God. Perhaps DMT is one of the ways in which we are able to communicate with God that much more intensely – for better or worse.

**Graham Hancock**: Can you see any way in which the experiences that DMT occasions could be described as "adaptive" in conventional evolutionary terms?

Rick Strassman: This touches upon what I have spent the last several years pondering, which is, what is the evolutionary/adaptive role of endogenous DMT? A few years back, I was asked to write a response to Karl Jansen's paper in *Journal of Near Death Studies* regarding his theory of an endogenous ketamine-like compound being involved in the near-death experience. Karl is a good friend and colleague, and we had batted around these ideas at some length.

Karl, I believe, proposes that such compounds as putative endogenous ketamine-like substances function like ketamine, which has a neuroprotective effect on the brain when it suffers oxygen deprivation in near-death circumstances. Ketamine also is quite psychedelic in and of itself. Karl proposed that ketamine-like endogenous substances produce NDEs as a side effect of its primary role – which is to protect the brain from damage when it is being traumatized.

It is worth mentioning that the initial reports of such ketaminelike endogenous compounds have not been replicated.

Nevertheless, I come down on the side of believing the other way around. That is, DMT and its ilk produce such psychedelic experiences during the NDE, because that is what our consciousness is experiencing at that time – it is what we see, feel, hear, think, as we are dying, and DMT is the aegis through which this happens. And, rather than DMT just producing a blank, dark, empty nothing state, which some people think is what happens at death, it produces quite the opposite. And that may be, I believe, because what happens is quite the opposite. It is on DMT's wings, so to speak, that consciousness takes flight from the body on its way towards death. That is what's happening, and this is the mechanism by which it occurs.

I hope I'm being clear. The NDE is not a hallucination - it is

what happens as we die. It is what our consciousness experiences. How else could there be such an astonishingly consistent reporting of such experiences throughout time and cultures? How could it be an artifact? How much more proof do we need? Why in the world would nature or God have set things up in such a way? It would have been much more kind to just let us drift into oblivion, if such was the function of compounds easing our transition to death. On the contrary, death does not seem to be that way, and DMT shuttles us along as we make that transition.

Graham Hancock: Apropos of this you write (in *Entheogens and the Future of Religion*, pp. 158–9): "Why do we all have DMT in our brains? Why is there a compound, when given (or produced), that generates experience of 'alien-contact,' death, space-travel, and other extraordinary effects? However, the comparable question is not asked of silicon in computer chips. Rather silicon is in computer chips because it works; it's the best molecule for the function needed. In the same way, we have DMT in our brains because it works. It's the best molecule for the function needed, to retune the perceiving abilities of the brain to different levels." In which case, the evolutionary question then becomes, "Why would we need such abilities if the conventional scientific model of reality is correct?"

Rick Strassman: Our conventional scientific model of reality is correct, for this level of reality. And, taking into account the endogenicity and nature of DMT's effects, we can expand that conventional model into what are now believed to be the unconventional realms, those that have previously been under the purview of religious, spiritual, and shamanic disciplines.

One of the problems, I believe, with the DMT work, and the conclusions I come to, is that they don't fit into anyone's world view very well – that is, at least the scientific and spiritual ones. And the "new age" one ignores the real dangers inherent at both the spiritual and physical levels.

The scientific model is generally limited to objective reality, although some of the developments in physics and astronomy are pretty psychedelic in themselves – but they haven't quite trickled down into everyday parlance and thinking very well, especially not in psychiatry. And the fact that these ideas partake of non-corporeal, non-physical levels of existence, which are usually referred to as "spiritual," causes my colleagues to bristle (at the worst), or quickly lose attention (which is most common).

And the fact that DMT allows a relatively reliable entry into states of consciousness or levels of reality that had heretofore been the primary turf of religious organizations and doctrines raises the hackles of members of those institutions. No need to study, pray, take vows, wear unique clothes and hairstyles, exchange special bows and handshakes.

I wish there were some way of bridging those gaps. Why not take the best of both worlds? That is, the scientific methodology with its mastery of the physical/natural world (read: access to DMT), and the ethical/moral advances that exist within religion?

**Graham Hancock**: Subsequent to the publication of *DMT*: *The Spirit Molecule*, have you had any opportunity to follow up your hypothesis that "the alien abduction experience is made possible by excessive brain levels of DMT"? Did you ever have the chance to compare notes directly with John Mack before his tragic death in a car accident last year?

Rick Strassman: John thought there were striking similarities, and I think, if time had permitted, might have agreed to collaborate on a study giving DMT to some of his subjects, to determine how close the two phenomena were.

I haven't followed up with such a study. Many of the notes I've gotten from people since the book came out confirm what I believe – that is, many of those who have their spontaneous "being contact" experiences appear to be having what otherwise would be indistinguishable from a big dose of DMT on our clinical research unit.

Some people have argued against my theory by pointing to the lack of physical "stigmata" of abduction, and the relatively sparse (nearly zero) reports of the typical "grays" of the literature. Regarding the stigmata issue, I have come to believe there is probably a spectrum of "encounters," from the purely consciousness-to-consciousness variety, to the purely physical-to-physical variety – the latter might be the ones in which the stigmata occur, but are the ones about which I have the least knowledge and opinions. The consciousness-to-consciousness encounters, however, could very likely be mediated via DMT.

Regarding the relative absence of "grays," there is nothing that precludes these beings donning other garb!

Graham Hancock: In *DMT: The Spirit Molecule* you ask: "What would happen to the study of spirit realms if we could access them reliably using molecules like DMT?" As a matter of interest, what do you think would happen?

Rick Strassman: I'm not sure what would happen. I think it would be a bit too much for fundamentalistic members of any religion, and they would suppress or ignore it. It also would be too much for fundamentalistic scientists – that is, those scientists who mistake what is known so far for all that is possible and to-be-determined-as-true.

For those who were interested, it could revolutionize our understanding of the spirit realms, and therefore might have a positive effect on our evolution as a species. However, the forces of evil seem to be gathering a lot of strength right now, and that is primarily through using a black-and-white fundamentalist approach to reality. Shades, nuances, and even shockingly obvious contradictions to the fundamentalistic world view don't have much of a chance when they are so outnumbered and feared.

Not that we would learn anything we weren't already ready to learn – if it's just too much out of our sphere, we won't see/hear/understand it. That's why spiritual/religious/shamanic training is so important – we must be ready to entertain the strangest and most unexpected phenomena and information. And there's nothing about realms of the spirit that is inherently good. There certainly are dark spiritual forces. We don't want to be seduced by something that solely by its non-physical nature promises to be helpful.

By revolutionizing our understanding of spirit realms, hopefully our understanding of the physical/material realms would evolve – into more ethical, co-operative, and beneficent practices. It will take a lot of optimism and fearlessness.

#### NOTES AND REFERENCES

### Chapter One: The Plant that enables Men to See the Dead

- James W. Fernandez, Tabernanthe Iboga: Narcotic Ecstasis and the Work of the Ancestors, in Peter T. Furst (Ed.), Flesh of the Gods: The Ritual Use of Hallucinogens, Praeger Publishers, New York, 1972, p. 245.
- <sup>2</sup> ibid., pp. 245–6.
- Richard Evans Schultes, *Plants of the Gods: Their Sacred, Healing and Hallucinogenic Powers*, Healing Arts Press, Rochester, Vermont, 2001, p. 58.
- <sup>4</sup> My dose was 14 mg per kg, a total of 1.2 grams.
- <sup>5</sup> Plants of the Gods, p. 112.

### Chapter Two: The Greatest Riddle of Archaeology

- Guide de Visite de la Grotte du Pech-Merle, Cabrerets-Lot, Editions du Castelet, Boulogne, March 1999, p. 8
- <sup>2</sup> Paul Bahn, *Journey through the Ice Age*, Weidenfeld and Nicolson, London, 1997, p. 197.
- <sup>3</sup> At the French cave of Chauvet and the Italian cave of Fumane see discussion in Chapter Four.
- <sup>4</sup> See, for example, discussion in Robert Bednarik, "The Earliest Evidence of Paleoart," *Rock Art Research*, 2003, Vol. 20, No. 2, pp. 89–135. See also Daniel Kaufman, "Redating the Social Revolution: The Case for the Middle Paleolithic," *Journal of Anthropological Research*, Vol. 58, 2002, pp. 477–92.
- <sup>5</sup> The consensus is most clearly summarized in Richard G. Klein and Blake Edgar, *The Dawn of Human Culture*, John Wiley and Sons Inc, New York, 2002.
- <sup>6</sup> The Times, London, February 17, 2005, citing Nature of the same date, reports

- the discovery in Ethiopia of anatomically modern skeletal remains dated to 196,000 years ago approximately 35,000 years older than any previously discovered anatomically modern human remains. The discovery was made by a team of scientists from the University of Utah led by veteran fossil hunter Dr. Frank Brown.
- <sup>7</sup> For example, the renowned paleo-anatomist Philip Tobias, in an interview with Graham Hancock at the University of Witwatersrand, South Africa, on April 13, 2004, expressed the view that humans may have achieved full anatomical modernity as early as 300,000 years ago.
- <sup>8</sup> William H. Calvin, A Brain for all Seasons: Human Evolution and Abrupt Climate Change, University of Chicago Press, 2002, p. 39: "Homo erectus persisted until about 50,000 years ago in China. Erectus was the longest-running species in hominid history."
- See Henry Gee (Senior Editor, *Nature*), "Flores, God and Cryptozoology," published online October 27, 2004, http://www.nature.com/news/2004/041025/full/041025-2.html: "Probably descended from full-sized *Homo erectus* that made landfall on Flores as much as 900,000 years ago, the islanders dodged the [Komodo] dragons and hunted the elephants. Killers and quarry became smaller with each generation, instances of the well-known phenomenon of endemic dwarfing in small, inbred island populations, until they were transformed into new species. *Homo erectus* became *Homo floresiensis*."
- <sup>10</sup> The Times, London, March 14, 2005.
- The Independent, London, October 28, 2004, pp. 1–2, 12–13; Richard Dawkins in *The Sunday Times*, October 31, 2004 (News Review) p. 6; *The Guardian*, October 28, 2004, pp. 1–2; Henry Gee, Senior Editor, *Nature*, writing in *The Guardian*, October 28, 2004, (Life section), pp. 4–6.
- The dates given for the earliest Neanderthals vary widely from scholar to scholar between the range of 300,000 years ago down to about 150,000 years ago or less. For example Professor Dr. Joao Zilhao, *Anatomically Archaic: Behaviorally Modern: The Last Neanderthals and their Destiny*, Drieentwintigste Kroon-Voordracht, p. 9, refers to "the view that the classical Neanderthals are the last of a variety of humans that inhabited Europe from at least 300,000 BP onwards." Matthias Krings, et al., "Neanderthal DNA Sequences and the Origin of Modern Humans," *Cell* Vol. 90, July 11, 1997, p. 19, assert that "Neanderthals are a group of extinct hominids that inhabited Europe and western Asia from about 300,000 to 30,000 years ago." Ian Tattershall and Jeffrey Schwartz, *Extinct Humans*, Westview Press, 2001, p. 176, write: "The Neanderthals were a distinctive group of hominids who occupied Europe and Western Asia in the period between about 200,000 years ago and 30,000 years ago." James Shreeve, *The Neanderthal Enigma*, Viking, New York, 1995,

- p. 5, states that the Neanderthals appeared first in Europe "about 120,000 years ago." Ian Tattershall, *The Last Neanderthal: The Rise, Success, and Mysterious Extinction of our Closest Human Relatives*, Westview Press, 1999, p. 120: "The Neanderthalers lived between 200,000 and 30,000 years ago." Christopher Stringer and Clive Gamble, *In Search of the Neanderthals*, Thames and Hudson, London, 1994, p. 69: "We currently believe the 'early' Neanderthals had evolved in Europe by 230,000 years ago."
- See discussion in David Lewis-Williams, The Mind in the Cave, Thames and Hudson, London, 2002, pp. 67–96. See also Robert H. Gargett, "Grave Shortcomings: The Evidence for Neanderthal Burial," Current Anthropology, Vol. 30, No. 2, April 1989, pp. 157-90; and Robert H. Gargett, "Middle Paleolithic Burial is not a Dead Issue," Journal of Human Evolution (1999), 37, pp. 27–90; Philip G. Chase and Harold L. Dibble, "Middle Paleolithic Symbolism: A Review of Current Evidence and Interpretations," Journal of Anthropological Archaeology (1987), 6, pp. 263–96. Although it is the consensus amongst scholars that "the available evidence fails to indicate the presence of symbolic thought or symbolic behavior before the Middle/Upper Paleolithic transition" (Chase and Dibble), there are some strongly held dissenting views according to which the Neanderthals were capable of symbolic activity and did engage in symbolism. Robert Bednarik is the champion of this minority point of view. See, for example, his "Paleoart and Archaeological Myths," Cambridge Archaeological Journal 2 (1) (1992), pp. 27–57. Most scholars agree that the Neanderthals began copying the designs and symbolically crafted tools of anatomically and behaviorally modern humans after their arrival in Europe, and that this copying activity is reflected in the so-called "Chatelperronian" archaeological assemblage. See Frederick L. Coolidge and Thomas Wynn, "A Cognitive and Neuropsychological Perspective on the Chatelperronian," Journal of Archaeological Research, Vol. 60, 2004, pp. 55-73. See also discussion in Lewis-Williams, The Mind in the Cave, pp. 86-7 and 94-5.
- E.g. the so-called Howieson's Poort technology, and finds at Klassies River Mouth caves. See H.J. Deacon and Janette Deacon, *Human Beginnings in South Africa: Uncovering the Secrets of the Stone Age*, Altamira Press, London, 1999, pp. 93–106.
- <sup>15</sup> Science, June 23, 2006, cited in The Times, London, June 23, 2006.
- <sup>16</sup> Chris Henshilwood in an interview with Graham Hancock at Blombos Cave, April 15, 2004. See also Christopher Henshilwood, et al., "Middle Stone Age Shell Beds from South Africa," *Science*, April 2004; *The Times*, London, August 12, 2004, T4, p. 14; Christopher Henshilwood et al., "Emergence of Modern Human Behavior: Middle Stone Age Engravings from South Africa," *Science*, Vol. 295, February 15, 2002, pp. 1278ff.

- <sup>17</sup> See *The Times*, London, December 2, 2006, ScientificAmerican.com, December 5, 2006, LiveScience.com, November 30, 2006.
- <sup>18</sup> For dates for the anatomically modern human presence in Australia, see Spencer Wells, *The Journey of Man: A Genetic Odyssey*, Penguin, London, 2002, pp. 60, 66. For the cited (controversial) 75,000-year date, see Robert Bednarik, "The Earliest Evidence of Paleoart," *Rock Art Research*, 2003, Vol. 20, No. 2, p. 94.
- Wells, Journey of Man, p. 66.
- <sup>20</sup> Ibid. For the controversial date of 75,000 years ago for the earliest Australian art, and discussion of the controversy surrounding this date, see Bednarik, "The Earliest Evidence of Paleoart," p. 94.
- <sup>21</sup> Randall White, *Prehistoric Art: The Symbolic Journey of Mankind*, Harry N. Abrams Inc., New York, 2003, pp. 132-3.
- <sup>22</sup> The Times, London, January 12, 2007.
- <sup>23</sup> Klein and Edgar, The Dawn of Human Culture, p. 8.
- There is an extreme view (associated with Richard G. Klein Professor of Anthropology at Stanford University and others) that modern human behavior was a package deal that appeared everywhere about 50,000 to 60,000 years ago. This view frequently assigns the introduction of the "package" to some hypothetical neurological change, but seems to have been too much formed by scholars who looked at the western European data alone. The more gradual trends in evidence elsewhere do not support the notion of a species-wide neurological adaptation. See Lewis-Williams, *The Mind in the Cave*, p. 96.
- <sup>25</sup> The Times, London, February 17, 2005.
- <sup>26</sup> Ibid.
- <sup>27</sup> Tattershall and Schwartz, Extinct Humans, p. 224.
- Lewis-Williams, The Mind in the Cave, p. 7; "Three-Dimensional Puzzles: South African and Upper Paleolithic Rock Art," Ethos, Vol. 66, 2, 2002, p. 260.
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### Chapter Three: Vine of Souls

Pascal Boyer, The Naturalness of Religious Ideas, cited in Steven Mithven, The Prehistory of the Mind: A Search for the Origins of Art, Religion and Science, Thames and Hudson, London, 1996, pp. 174–5: "Boyer explains that a belief in non-physical beings is the most common feature of religions; it may indeed be universal. In fact, ever since the classic work of E.B. Tylor in 1871 on Primitive Cultures, the idea of non-physical beings has been taken for the very definition of religion itself."

- <sup>2</sup> See discussion by Chris Knight in *Cambridge Archaeological Journal*, 12:1, 2002, p. 89.
- Dozens of titles could be cited, but for a quick overview see Peter Furst (Ed.), Flesh of the Gods: The Ritual Use of Hallucinogens, Praeger Publishers, New York, 1972, in particular in this volume, Weston La Barre, "Hallucinogens and the Shamanic Origins of Religion," pp. 261–78. See also Peter T. Furst, Hallucinogens and Culture, Chandler and Sharp Publishers, 1988.
- <sup>4</sup> See, for example, Arnold M. Ludwig, "Altered States of Consciousness," in Charles T. Tart (Ed.), *Altered States of Consciousness*, Harper, San Francisco, 1990, pp. 19–22.
- <sup>5</sup> Discussed more fully in Chapter Four.
- <sup>6</sup> See, for example, David Lewis-Williams, *The Mind in the Cave*, Thames and Hudson, London, 2002; Jean Clottes and David Lewis-Williams, *The Shamans of Prehistory: Trance and Magic in the Painted Caves*, Harry N. Abrams, 1998; David Lewis-Williams, *A Cosmos in Stone*, Altamira Press, Walnut Creek, 2002.
- <sup>7</sup> J.D. Lewis-Williams and T.A Dowson, "The Signs of All Times," *Current Anthropology*, Vol. 29, No. 2, April 1988, pp. 201–45.
- <sup>8</sup> See for example discussion in G. Reichel-Dolmatoff, *The Shaman and the Jaguar: A Study of Narcotic Drugs Among the Indians of Colombia*, Temple University Press, Philadelphia, 1975, pp. 167–81.
- <sup>9</sup> Luis Eduardo Luna and Pablo Amaringo, *Ayahuasca Visions: The Religious Iconography of a Peruvian Shaman*, North Atlantic Books, Berkeley, California, 1999.
- <sup>10</sup> Richard Evans Schultes, Albert Hoffman and Christian Ratsch, *Plants of the Gods: Their Sacred, Healing and Hallucinogenic Powers*, Healing Arts Press, Rochester, Vermont, 2001, pp. 124–35.
- <sup>11</sup> Jeremy Narby, *The Cosmic Serpent: DNA and the Origins of Knowledge*, Victor Gollancz, London, 1995, p. 11.
- <sup>12</sup> Richard Evans Schultes, "An Overview of Hallucinogens in the Western Hemisphere," in Furst (Ed.), *Flesh of the Gods*, pp. 38–9, cited in Narby, *Cosmic Serpent*, pp. 10–11.
- <sup>13</sup> See discussion in Appendix I.
- David Lewis-Williams and Thomas Dowson, *Images of Power: Understanding Bushman Rock Art*, Southern Book Publishers, Johannesburg, 1989, p. 60, discuss the ability of southern African San bushmen to enter trance without hallucinogens but by means of intense, prolonged dancing, and refer to the neuropsychological experiments, conducted largely with LSD, on which their model is based: "The state of consciousness induced by this drug does not differ materially from the effects of a wide range of drugs or from the states

induced by sensory deprivation, pain, rhythmic movement or sound, intense concentration, hyperventilation, and even, in some respects, migraine headaches. This means that although Bushmen shamans may not have depended on hallucinogens as heavily as shamans in other parts of the world, their experiences would have been similar to those of other shamans and also to those of Western subjects who take part in neuropsychological experiments."

### Chapter Four: The Mind in the Cave

- <sup>1</sup> See for example Jean Clottes and David Lewis-Williams, *The Shamans of Prehistory: Trance and Magic in the Painted Caves*, Harry N. Abrams Inc., New York, 1998, pp. 12-19.
- <sup>2</sup> The Guardian, London, G2 Section, June 6, 2006, p. 6.
- <sup>3</sup> Abbe H. Breuil, *Four Hundred Centuries of Cave Art*, Hacker Art Books, New York, 1979, p. 390.
- Junction Shelter, above the Umhlwazine River, Kwa-Zulu Natal Drakensberg. See discussion in David Lewis-Williams, *Images of Mystery: Rock Art of the Drakensberg*, Double Storey Books, Cape Town, 2003, p. 84ff.
- David Lewis-Williams, *The Mind in the Cave*, Thames and Hudson, London, 2002, p. 278.
- <sup>6</sup> In the South African example the figure, otherwise human, has the ears of an antelope or is wearing a cap with antelope ears stitched to it. See David Lewis-Williams, *A Cosmos in Stone*, Altamira Press, Walnut Creek, CA, 2002, p. 235; *The Mind in the Cave*, p. 276.
- <sup>7</sup> Lewis-Williams, Cosmos in Stone, p. 235; Mind in the Cave, p. 276
- Peter Garlake, The Hunter's Vision: The Prehistoric Art of Zimbabwe, British Museum Press, 1995, p. 130.
- <sup>9</sup> ibid, p. 166.
- <sup>10</sup> ibid, p. 159.
- Jean Clottes and Jean Courtin, The Cave beneath the Sea: Paleolithic Images at Cosquer, Harry N. Abrams, New York, 1996, p. 157.
- <sup>12</sup> Cited in Lewis-Williams, A Cosmos in Stone, p. 240.
- <sup>13</sup> Cited in ibid.
- <sup>14</sup> Cited in ibid.
- <sup>15</sup> Cited in ibid.
- 16 Lewis-Williams, The Mind in the Cave, pp. 270ff.
- <sup>17</sup> See ibid; Lewis-Williams, A Cosmos in Stone, pp. 217ff.
- <sup>18</sup> Lewis-Williams, The Mind in the Cave, p. 271.
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- <sup>20</sup> Cited in ibid.
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- <sup>22</sup> Lewis-Williams, A Cosmos in Stone, p. 225.
- <sup>23</sup> Cited in Lewis-Williams, The Mind in the Cave, pp. 273-4.
- <sup>24</sup> Cited in ibid., p. 274.
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- <sup>26</sup> Cited in ibid., p. 228.
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- <sup>33</sup> Eliade, *Shamanism*, p. 44.
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- <sup>50</sup> ibid., p. 41.
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### Chapter Five: Voyage into The Supernatural

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- <sup>12</sup> Unusual Personal Experiences: An Analysis of the Data from Three National Surveys conducted by the Roper Organization, Bigelow Holding Corporation,

- Las Vegas, 1992, pp. 14-15.
- <sup>13</sup> ibid., pp. 5, 14–15.
- <sup>14</sup> ibid., p. 14.
- <sup>15</sup> ibid., p. 15.
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- <sup>21</sup> Bullard, *UFO Abductions*, Vol. I, p. 115.
- <sup>22</sup> ibid., Vol. I, p. 87.
- <sup>23</sup> ibid., Vol. I, p. 114.
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- <sup>26</sup> ibid pp. 119-20, 122-3.
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- <sup>41</sup> Mircea Eliade, *Shamanism: Archaic Techniques of Ecstasy*, Princeton University Press, 1972, p. 57.

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- <sup>49</sup> Jacobs, Secret Life, pp. 92-3.
- <sup>50</sup> Mack, *Abduction*, p. 160.
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### Chapter Six: Shamans in the Sky

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- <sup>3</sup> John Mack, Passport to the Cosmos: Human Transformation and Alien Encounters, Thorsons, London, 2000, p. 28.
- <sup>4</sup> John Mack, *Abduction: Human Encounters with Aliens*, Simon and Schuster, London, 1995, pp. 16–17.
- <sup>5</sup> Harvard Professor Rand McNally, in the *Journal of Psychological Science*, cited in Greg Taylor, "Alien Abduction Stress," http://www.phenomenamagazine.com, December 8, 2003.
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- <sup>8</sup> Brian Appleyard in conversation with John Mack, *Sunday Times*, London, October 3, 2004, News Review, p. 7.
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- <sup>40</sup> ibid., Vol. II, p. C-133.
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- <sup>64</sup> ibid., p. 140.
- <sup>65</sup> Eliade, Shamanism, p. 90.
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- <sup>69</sup> Kalweit, Dreamtime and Inner Space, pp. 78-9.
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- <sup>87</sup> Bullard, *UFO Abductions*, Vol. I, p. 119.
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- <sup>91</sup> Weston La Barre, "Anthropological Perspectives on Hallucination and Hallucinogens," pp. 9–10.
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- <sup>93</sup> Clottes and Lewis-Williams, The Shamans of Prehistory, p. 19.
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- <sup>97</sup> ibid., p. 120.

<sup>98</sup> ibid: "In view of the trend of modern theoretical physics, this assumption [i.e. that all reality is grounded on an as-yet-unknown substrate possessing material and at the same time psychic qualities] should arouse fewer resistances than before."

### Chapter Seven: Spirit Love

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- <sup>2</sup> Mircea Eliade, *Shamanism: Archaic Techniques of Ecstasy*, Princeton University Press, 1972, p. 77.
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- <sup>4</sup> Andreas Lommel, *Shamanism: The Beginnings of Art*, McGraw-Hill, New York, 1967, p. 62.
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- <sup>9</sup> Eliade, *Shamanism*, p. 75.
- <sup>10</sup> ibid., pp. 76-7.
- ibid., p. 72. As we would expect, this spirit woman is also a therianthrope and sometimes appears as a wolf and a winged tiger.
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- <sup>14</sup> John Mack, Passport to the Cosmos, pp. 19, 57, 230.
- <sup>15</sup> Halifax, *Shamanic Voices*, pp. 226–32.
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- <sup>23</sup> Kalweit, *Dreamtime and Inner Space*, pp. 141-2.
- <sup>24</sup> Sy Montgomery, *Journey of the Pink Dolphin: An Amazon Quest*, Touchstone/Simon and Schuster, New York, 2000, pp. 18–19.
- <sup>25</sup> ibid., p. 271.
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- <sup>27</sup> Sy Montgomery, Journey of the Pink Dolphin, p. 270.
- <sup>28</sup> Luna, Vegetalismo, p. 86.
- ibid., p. 83: "The offspring of a mermaid and a human being may become a powerful vegetalista [shaman]. Both Don Jose Coral and Don Santiago Murayari told me that when they take ayahuasca and cure, among the spirits they call are vegetalistas who live under the water and whose mothers are mermaids."
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- <sup>32</sup> Mack, *Abduction*, pp. 210–11.
- David M. Jacobs, Secret Life: Firsthand Documented Accounts of UFO Abductions, Simon and Schuster, New York, 1993, p. 15.
- <sup>34</sup> ibid., p. 206.
- 35 ibid.
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- <sup>37</sup> Villas-Boas, cited in ibid.
- <sup>38</sup> Jim Schnabel, *Dark White: Aliens, Abductions and the UFO Obsession*, Penguin Books, London, 1994, pp. 22–3.
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- <sup>40</sup> See, for example, Mack, *Passport to the Cosmos*, p. 114, and Jacobs, *Secret Life*, p. 104.
- <sup>41</sup> Jacobs, Secret Life, p. 206.
- <sup>42</sup> Mack, Passport to the Cosmos, p. 120.
- <sup>43</sup> Jacobs, Secret Life, p. 122.
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- <sup>45</sup> ibid., p. 157.

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- <sup>47</sup> ibid., pp. 156–7.
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- <sup>55</sup> ibid., p. 178.
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- <sup>58</sup> Sy Montgomery, *Journey of the Pink Dolphin*, p. 270; Luna, *Vegetalismo*, pp. 84, 86.
- <sup>59</sup> Mack, Passport to the Cosmos, p. 253.
- <sup>60</sup> Jacobs, Secret Life, p. 272.
- <sup>61</sup> Cited in Schnabel, Dark White, p. 61.
- 62 ibid.
- <sup>63</sup> Jacobs, Secret Life, p. 166.
- <sup>64</sup> Mack, Passport to the Cosmos, p. 117.
- 65 ibid.
- <sup>66</sup> Mack, Abduction, p. 323.
- <sup>67</sup> Jacobs, Secret Life, p. 172.
- <sup>68</sup> Compare Diane Purkiss, Troublesome Things: A History of Fairies and Fairy Stories, Penguin, London, 2000, p. 60: "Why did fairies want babies? No one knows."

## Chapter Eight: The Secret Commonwealth

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- <sup>2</sup> ibid., p. 19.
- <sup>3</sup> ibid.
- <sup>4</sup> ibid., p. 123.
- <sup>5</sup> ibid., p. 105.
- <sup>6</sup> Broadsheet of Samuel Coccius, cited in ibid.
- <sup>7</sup> ibid., p. 106.
- <sup>8</sup> ibid.
- <sup>9</sup> ibid., p. 109.
- <sup>10</sup> Supposedly representing "the fates that preside over the awakening of the

- soul," ibid., p. 113.
- <sup>11</sup> Jung assimilates the figure with the devil.
- <sup>12</sup> ibid., pp. 110–13.
- <sup>13</sup> Jim Schnabel, *Dark White: Aliens, Abductions and the UFO Obsession*, Penguin Books, London, 1994, p. 151.
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- <sup>15</sup> ibid., p. 179.
- <sup>16</sup> ibid., p. 4.
- <sup>17</sup> ibid., p. 180.
- <sup>18</sup> ibid., pp. 179ff.
- <sup>19</sup> ibid., pp. 9-10.
- <sup>20</sup> ibid., pp. 4–5.
- <sup>21</sup> ibid., p. 5.
- 101a., p. 5.
- <sup>22</sup> ibid., p. 6.
- ibid., p. 3.
- Winged discs of Sumer and Egypt: see discussion in Jeremy Black and Anthony Green, *Gods, Demons and Symbols of Ancient Mesopotamia: An Illustrated Dictionary*, British Museum Press, 1992, pp. 185–6; Vimanas: See for example David Hatcher Childress, *Vimana Aircraft of Ancient India and Atlantis*, Adventures Unlimited Press, Stelle, IL., 1991; China: Edward H. Schafer, *Pacing the Void: T'ang Approaches to the Stars*, University of California Press, Berkeley, 1977, p. 146.
- <sup>25</sup> II Kings 2:11.
- <sup>26</sup> Ezekiel 1:4-19.
- <sup>27</sup> Psalms 68:17.
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- <sup>29</sup> Genesis 6:2, 4.
- <sup>30</sup> Vallee, Passport to Magonia, p. 57.
- ibid. See in particular chapters 3 and 4.
- <sup>32</sup> ibid., p. 64.
- Peter Narvez (Ed.), *The Good People: New Fairylore Essays*, The University Press of Kentucky, (1991) 1997, p. 98.
- For Kirk see ibid., p. 100; for antiquity of usage of term "fairy" see Purkiss, Troublesome Things: A History of Fairies and Fairy Stories, Penguin, London, 2000, pp. 11–12ff., 73; Narvez, The Good People, pp. 159, 463.
- <sup>35</sup> W.Y. Evans-Wentz, *The Fairy Faith in Celtic Countries*, New Page Books, Franklin Lakes, NJ, 2004 (facsimile reprint of original 1911 edition with a modern Introduction by Carl McColeman), p. 224, note 20.
- <sup>36</sup> Walter Scott, Demonology and Witchcraft (1830), cited in Narvez, The Good

- *People*, pp. 100-1.
- Evans-Wentz, The Fairy Faith in Celtic Countries.
- <sup>38</sup> ibid., p. 110.
- <sup>39</sup> Vallee, Passport to Magonia, p. 50.
- Narvez, The Good People, p. 201.
- <sup>41</sup> Janet Bord, *Fairies: Real Encounters with Little People*, Michael O'Mara Books, 1997, pp. 5–6.
- <sup>42</sup> Narvez, The Good People, p. 481; Evans-Wentz, The Fairy Faith in Celtic Countries, p. 159.
- <sup>43</sup> Narvez, Good People, p. 484.
- <sup>44</sup> Vallee, Passport to Magonia, pp. 58-9.
- <sup>45</sup> E.g. see ibid., pp. 101–5, 116–19.
- <sup>46</sup> ibid., p. 105.
- <sup>47</sup> Kirk, Secret Commonwealth, cited in Purkiss, Troublesome Things, p. 185.
- <sup>48</sup> David M. Jacobs, Secret Life: Firsthand Documented Accounts of UFO Abductions, Simon and Schuster, New York, 1993, p. 168.
- <sup>49</sup> Kirk, Secret Commonwealth, cited in Purkiss, Troublesome Things, pp. 185-6.

### Chapter Nine: Here Is a Thing that Will Carry Me Away

- <sup>1</sup> Peter Narvez (Ed.), *The Good People: New Fairylore Essays*, The University Press of Kentucky, (1991) 1997, pp. 53-4.
- <sup>2</sup> ibid., p. 54.
- <sup>3</sup> ibid.
- 4 ibid.
- <sup>5</sup> See, for example, David M. Jacobs, Secret Life: Firsthand Documented Accounts of UFO Abductions, Simon and Schuster, New York, 1993, p. 158; John Mack, Abduction: Human Encounters with Aliens, Simon and Schuster, London, 1995, pp. 38–39, 416.
- <sup>6</sup> Jim Schnabel, *Dark White: Aliens, Abductions and the UFO Obsession*, Penguin Books, London, 1994, p. 153.
- <sup>7</sup> ibid., p. 258.
- <sup>8</sup> Mack, Abduction, p. 396.
- <sup>9</sup> ibid.
- 10 ibid.
- 11 ibid.
- <sup>12</sup> John Mack, Passport to the Cosmos: Human Transformation and Alien Encounters, Thorsons, London, 2000, p. 144.
- Or in more recent times. A Victorian Shetlander described fairies as having a "yellow complexion . . . red eyes and green teeth," while in the Orkneys in

- the same period one informant described a fairy as "a small man about a foot high clad in green and red." Narvez, *Good People*, p. 134.
- <sup>14</sup> Janet Bord, *Fairies: Real Encounters with Little People*, Michael O'Mara Books, 1997, pp. 55–6.
- <sup>15</sup> ibid., p. 57.
- <sup>16</sup> For a discussion of fairies and the color green, see Purkiss, *Troublesome Things:* A History of Fairies and Fairy Stories, Penguin, London, 2000, pp. 63–4: "fairies are always linked with green."
- Valerii I. Sarnov, "On The Nature of Flying Saucers and Little Green Men," Current Anthropology, Vol. 22, No. 2, April 1981, p. 165.
- Jacques Vallee, Passport to Magonia: On UFOs, Folklore and Parallel Worlds, Contemporary Books, Chicago, 1969, 1993, pp. 43-4.
- 19 Narvez (Ed.), The Good People, p. 126.
- <sup>20</sup> Cited in Bord, Fairies, pp. 125-6.
- <sup>21</sup> ibid., p. 126.
- <sup>22</sup> Bord, *Fairies*, p. 126.
- <sup>23</sup> Mack, Passport to the Cosmos, p. 69.
- Jacobs, *Secret Life*, p. 162: "Abductees nearly always say that the aliens attending the babies are females." See also pp. 104, 226.
- <sup>25</sup> Mack, *Abduction*, p. 37.
- 26 ibid.
- <sup>27</sup> Jacobs, Secret Life, pp. 271-2.
- <sup>28</sup> W. Y. Evans-Wentz, *The Fairy Faith in Celtic Countries*, New Page Books, Franklin Lakes, NJ, 2004, p. 297.
- <sup>29</sup> Purkiss, Troublesome Things, p. 68.
- Evans-Wentz, *The Fairy Faith*, p. 156.
- <sup>31</sup> Narvez (Ed.), The Good People, p. 245.
- Evans-Wentz, *The Fairy Faith*, pp. 204–5.
- <sup>33</sup> ibid., p. 205.
- <sup>34</sup> ibid., p. 267.
- <sup>35</sup> Purkiss, Troublesome Things, pp. 146-7.
- <sup>36</sup> ibid., Plates 5 and 6.
- <sup>37</sup> ibid., Plate 9; Bord, *Fairies*, p. 10.
- <sup>38</sup> Bord, *Fairies*, pp. 113–14.
- <sup>39</sup> Purkiss, *Troublesome Things*, p. 89: "When these women talked about fairies, interrogators thought they were hearing about a pact with the devil . . ."
- <sup>40</sup> ibid., p. 88.
- <sup>41</sup> ibid., p. 89.
- <sup>42</sup> Cited in ibid., p. 89.
- ibid., p. 110: "The fairy man gives Katherine knowledge, and knowledge is

power. He gives her magical weapons which allow her to cure her cows, and do anything else she wishes."

- 44 ibid.
- 45 ibid.
- <sup>46</sup> ibid., pp. 116–17.
- ibid., p. 60: "Why did fairies want babies? No one knows."
- <sup>48</sup> ibid., p. 116: "She [Susan Swapper] is expecting a child, and in her mind, this makes her a target."
- <sup>49</sup> ibid., pp. 116-17.
- <sup>50</sup> E.g. see Mack, *Abduction*, p. 277.
- <sup>51</sup> Jacobs, Secret Life, p. 55.
- <sup>52</sup> Patrick Harpur, *Daimonic Reality: A Field Guide to the Otherworld*, Pine Winds Press, Ravensdale, WA, (1994) 2003, p. 214.
- <sup>53</sup> Purkiss, *Troublesome Things*, pp. 73-4.
- Narvez (Ed.) *The Good People*, p. 491 and see also p. 169; Evans-Wentz, *The Fairy Faith*, p. 9.
- <sup>55</sup> Cited in Purkiss, Troublesome Things, p. 58.
- Detailed first-hand reports and descriptions of changelings are best known from Europe, where ethnographers worked assiduously in the 19<sup>th</sup> and 20<sup>th</sup> centuries to preserve and record all aspects of fairy lore in the face of rapid social and economic change. But traditions and experiences involving changelings are by no means limited to Europe. The same "bizarre tale of a supernatural race exchanging one of their own ill-thriven infants . . . for a handsome human infant" is globally distributed, and detailed examples have been recorded from as far afield as Egypt, India, China, and America's Pacific northwest. See Joyce Underwood Munro in Narvez, *Good People*, op. cit., p. 251.
- <sup>57</sup> Cited in ibid., p. 221.
- <sup>58</sup> Cited in ibid., p. 34.
- <sup>59</sup> Evans-Wentz, *Fairy Faith*, p. 115.
- <sup>60</sup> Narvez, Good People, p. 220.
- <sup>61</sup> ibid., p. 225.
- <sup>62</sup> ibid., p. 234.
- <sup>63</sup> ibid., p. 258.
- <sup>64</sup> ibid., p. 257.
- <sup>65</sup> Jacobs, Secret Life, p. 272.
- <sup>66</sup> Karen, cited in ibid., pp. 277–8.
- <sup>67</sup> Melissa, cited in ibid., p. 272.
- <sup>68</sup> Jacobs, Secret Life, pp. 172-3.
- 69 ibid., p. 166.
- <sup>70</sup> Mack, Passport to the Cosmos, p. 117.

- <sup>71</sup> ibid.
- <sup>72</sup> Cited in Schnabel, *Dark White*, p. 61.
- <sup>73</sup> Mack, *Abduction*, p. 323.
- <sup>74</sup> John Mack in David M. Jacobs (Ed.), *UFOs and Abductions: Challenging the Borders of Knowledge*, University Press of Kansas, 2000, p. 253.
- <sup>75</sup> Mack, *Abduction*, p. 39.
- <sup>76</sup> Harpur, *Daimonic Reality*, pp. 210–13.
- <sup>77</sup> ibid., pp. 213–14.
- Thomas E. Bullard, UFO Abductions: The Measure of a Mystery, The Fund for UFO Research, 1987, Vol. II, p. C 184.
- <sup>79</sup> Patrick Harpur, *The Philosopher's Secret Fire: A History of the Imagination*, Ivan R. Dee, Chicago, 2003, p. 22.
- <sup>80</sup> In Narvez, The Good People, p. 493.
- <sup>81</sup> Cited in Harpur, The Philosopher's Secret Fire, p. 22.
- 82 Cited in Bord, Fairies, p. 93.
- 83 Cited in ibid., pp. 93-4.
- Purkiss, Troublesome Things, p. 69.
- 85 Cited in Vallee, Passport to Magonia, p. 105.
- <sup>86</sup> Narvez, *Good People*, p. 258, "But always the changeling bears a physical resemblance to the real child . . ."
- Evans-Wentz, Fairy Faith, p. 98.
- 88 E.g. see Mack, Passport to the Cosmos, p. 112.

### Chapter Ten: Dancers between Worlds

- <sup>1</sup> John Mack, Passport to the Cosmos: Human Transformation and Alien Encounters, Thorsons, London, 2000, p. 69.
- <sup>2</sup> John Mack, *Abduction: Human Encounters with Aliens*, Simon and Schuster, London, 1995, pp. 210–11.
- <sup>3</sup> Cited in Peter Narvez (Ed.), *The Good People: New Faiylore Essays*, The University Press of Kentucky, (1991) 1997, p. 482.
- <sup>4</sup> ibid., p. 482.
- <sup>5</sup> W.Y. Evans-Wentz, *The Fairy Faith in Celtic Countries*, New Page Books, Franklin Lakes, NJ, 2004, p. 208.
- <sup>6</sup> Cited in Janet Bord, Fairies: Real Encounters with Little People, Michael O'Mara Books, 1997, p. 27.
- <sup>7</sup> Evans-Wentz, Fairy Faith, p. 90.
- 8 Narvez, Good People, p. 482.
- <sup>9</sup> Jacques Vallee, *Passport to Magonia: On UFOs, Folklore and Parallel Worlds*, Contemporary Books, Chicago, (1969) 1993, p. 38.

- <sup>10</sup> Narvez (Ed.), The Good People, p. 465.
- <sup>11</sup> Evans-Wentz, The Fairy Faith, p. 208.
- <sup>12</sup> Cited in Bord, Fairies, p. 27.
- Evans-Wentz, Fairy Faith, p. 137.
- <sup>14</sup> ibid., p. 156.
- <sup>15</sup> ibid., p. 76.
- <sup>16</sup> Cited in ibid., p. 248
- <sup>17</sup> ibid., p. 137.
- <sup>18</sup> David M. Jacobs, Secret Life: Firsthand Documented Accounts of UFO Abductions, Simon and Schuster, New York, 1993, p. 222.
- <sup>19</sup> Evans-Wentz, Fairy Faith, p. 91.
- 20 ibid.
- <sup>21</sup> Jacobs, *Secret Life*, p. 226: "the physical description is not unique enough to suggest anatomical differences."
- <sup>22</sup> ibid., p. 223.
- <sup>23</sup> ibid., p. 226.
- <sup>24</sup> For example, see Mack, *Abduction*, p. 37: "The grays are mainly two kinds smaller drone *or insect-like workers*, who move or glide robotically outside and inside the ships and perform various tasks, and a slightly taller leader or 'doctor' as the abductees most often call him' (emphasis added).
- Narvez (Ed.), *The Good People*, p. 496. See also: Evans-Wentz, *The Fairy Faith*, p. 177: "The land of pixy was supposed to be able to render its devotees invisible, if they only anointed their eyes with a certain green salve made of secret herbs gathered from Kerris-moor. In the invisible condition thus induced, people were able to join pixy revels, during which, according to the old tradition, time slipped away very, very rapidly . . ." ibid., p. 153: "As a race, the Tylwyth Teg [fairies] were described as having the power of invisibility; and it was believed they could disappear like a spirit while one happened to be observing them. The world in which they lived was a world quite unlike ours . . ."
- <sup>26</sup> Jacobs, Secret Life, p. 220.
- Aliens, e.g. see Mack, *Abduction*, pp. 19, 279; Mack, *Passport to the Cosmos*, pp. 45, 71. Fairies: see Evans-Wentz, *The Fairy Faith*, p. 93: "Fairies passing through stone walls," and p. 148: "When I was a boy here on the Island [Anglesey, Wales], the *Tylwyth Teg* [fairies] were described as a race of little beings no larger than children six or seven years old, who visited farmhouses at night after all the family were abed. No matter how securely closed a house might be, the *Tylwyth Teg* had no trouble to get in."
- <sup>28</sup> E.g. see Mack, *Abduction*, pp. 19, 279; Mack, *Passport to the Cosmos*, pp. 45, 71.

- Mack in David M. Jacobs (Ed.), *UFOs and Abductions: Challenging the Borders of* Knowledge, University Press of Kansas, 2000, pp. 254–5.
- <sup>30</sup> Jacobs, Secret Life, p. 51.
- 31 ibid.
- <sup>32</sup> Mack, Passport to the Cosmos, p. 57.
- Patrick Harpur, *The Philosopher's Secret Fire: A History of the Imagination*, Ivan R. Dee, Chicago, 2003, p. 179: "Encounters with the Sidhe often include a 'touch,' or a 'stroke' which leaves us tender, scarred, even witless. Contact with UFOs or 'aliens' often includes a zap from a beam of light or a ray-gun, which leaves the contactee dizzy, sick, disoriented, 'touched,' numb or irradiated."
- Narvez, The Good People, p. 491.
- <sup>35</sup> ibid., pp. 10–11
- ibid., p. 225: A man takes a short cut across marshy land. "And halfway across he got a jab in the left leg, in the calf of the left leg, sort of like something jabbed him. Well, he went on home and it felt troublesome and so on and so forth. It felt troublesome, felt troublesome. And 'twas beginning to protrude, expand, turn black up to the knee and down to the ankle, and they got a doctor in. And the doctor cut this open and out comes little bunches of grass and little splinters of wood, out of this man's leg. There was so much damage done, they cut the man's leg off up to the knee."
- <sup>37</sup> Cited in Patrick Harpur, *Daimonic Reality: A Field Guide to the Otherworld*, Pine Winds Press, Ravensdale, WA, (1994) 2003, p. 195.
- <sup>38</sup> See, for example, Vallee, Passport to Magonia, pp. 45-8.
- <sup>39</sup> Narvez, *The Good People*, pp. 491–2.
- <sup>40</sup> Harpur, The Philosopher's Secret Fire, pp. 11-12.
- <sup>41</sup> Cited in Bord, *Fairies*, p. 27.
- Evans-Wentz, Fairy Faith, p. 98.
- 43 ibid.
- 44 ibid.
- <sup>45</sup> Cited in Bord, Fairies, p. 25.
- 46 Cited in ibid.
- Evans-Wentz, Fairy Faith, p. 208.
- 48 ibid.
- <sup>49</sup> Cited in Bord, Fairies, p. 26.
- <sup>50</sup> Cited in ibid., p. 39.
- <sup>51</sup> ibid., pp. 41–2.
- <sup>52</sup> ibid., p. 30.
- <sup>53</sup> ibid., p. 36.
- <sup>54</sup> Cited in ibid., p. 31
- <sup>55</sup> Cited in ibid., pp. 81–2.

- <sup>56</sup> ibid., p. 82.
- 57 ibid.
- <sup>58</sup> Jacques Vallee was the first to point this out. See Vallee, *Passport to Magonia*, pp. 105–6, 162.
- <sup>59</sup> Bord, *Fairies*, p. 128.
- <sup>60</sup> Narvez (Ed.), The Good People, p. 186.
- <sup>61</sup> ibid., p. 183.
- 62 ibid., pp. 183-4.
- <sup>63</sup> ibid., p. 191.
- <sup>64</sup> Evans-Wentz, Fairy Faith, p. 182.
- <sup>65</sup> ibid., p. 175.
- <sup>66</sup> Harpur, *Daimonic Reality*, pp. 142-3.
- <sup>67</sup> Cited in Bord, Fairies, p. 29, emphasis added.
- <sup>68</sup> Narvez, *The Good People*, p. 161: "The various methods of getting in and out of this magical realm [Fairyland] . . . include open caves, magical rocks, and underground passages, as well as lakes, pools, river banks, marshy ground. It was also possible to enter the otherworld through fairy circles and rings."
- <sup>69</sup> Evans-Wentz, The Fairy Faith, p. 448.
- <sup>70</sup> See Bord, Fairies, p. 3.
- <sup>71</sup> Vallee, *Passport to Magonia*, p. 107.
- In general on this phenomenon amongst abductees, see Budd Hopkins, Missing Time: A Documented Study of UFO Abductions, Richard Marek Publishers, New York, 1981.

### Chapter Eleven: Tuning in to Channel DMT

- As noted in earlier chapters, it is highly probable that rock art older than the oldest in Europe will eventually be found in Africa. The problem is that huge areas of the continent have received little attention from archaeologists interested in the emergence of modern human behavior.
- Nature, Feb. 17, 2005, cited in The Times, London, Feb. 17, 2005, p. 23, reports the discovery of anatomically modern human remains in Ethiopia dated to 196,000 years ago. Such a date for the emergence of anatomically modern humans, supported by DNA studies, confirms that "there was a great time gap between the appearance of the modern skeleton and modern behavior."
- <sup>3</sup> Rick Strassman MD, DMT: The Spirit Molecule: A Doctor's Revolutionary Research into the Biology of Near-Death and Mystical Experiences, Park Street Press, Rochester, Vermont, 2001, pp. 36–7.
- <sup>4</sup> ibid., pp. 34–5.

- <sup>5</sup> Alexander Shulgin and Anne Shulgin, *Tikhal: The Continuation*, Transform Press, Berkeley, 1997, p. 249. TIKHAL is an acronym. It stands for Tryptamines I Have Known And Loved.
- <sup>6</sup> Strassman, *DMT*, p. 42.
- <sup>7</sup> See for example ibid., pp. 276–7.
- <sup>8</sup> ibid., pp. 216–19.
- <sup>9</sup> Purkiss, Troublesome Things: A History of Fairies and Fairy Stories, Penguin, London, 2000, p. 66.
- Paul Bahn, *Journey through the Ice Age*, Weidenfeld and Nicolson, London, 1997, p. 58.

### Chapter Twelve: Amongst the Machine Elves

- <sup>1</sup> Rick Strassman MD, DMT: The Spirit Molecule: A Doctor's Revolutionary Research into the Biology of Near-Death and Mystical Experiences, Park Street Press, Rochester, Vermont, 2001, p. 194.
- <sup>2</sup> ibid., p. 147.
- <sup>3</sup> ibid., pp. 215-16.
- <sup>4</sup> ibid., p. 164.
- <sup>5</sup> ibid., p. 169.
- <sup>6</sup> ibid., p. 192.
- <sup>7</sup> ibid., p. 213.
- <sup>8</sup> Benny Shanon, *The Antipodes of the Mind: Charting the Phenomenology of the Ayahuasca Experience*, Oxford University Press, 2002, p. 128.
- <sup>9</sup> Michael Harner, *The Way of the Shaman*, Harper, San Francisco, (1980) 1990, p. 3.
- John Mack, *Abduction: Human Encounters with Aliens*, Simon and Schuster, London, 1995, p. 396.
- Janet Bord, *Fairies: Real Encounters with Little People*, Michael O'Mara Books, 1997, pp. 55–6.
- <sup>12</sup> Cited in Joan Halifax, *Shamanic Voices: A Survey of Visionary Narratives*, Penguin Compass, New York, 1991, pp. 195–6.
- <sup>13</sup> Cited in ibid., p. 204.
- <sup>14</sup> Cited in ibid., p. 212.
- <sup>15</sup> Cited in ibid., p. 131.
- <sup>16</sup> Encyclopedia Britannica, Micropedia, 3:402.
- <sup>17</sup> Strassman, *DMT*, pp. 53–4.
- <sup>18</sup> ibid., p. 54.
- <sup>19</sup> ibid., p. 292.
- ibid.

- <sup>21</sup> ibid., p. 185.
- <sup>22</sup> ibid., p. 173.
- <sup>23</sup> ibid., p. 188.
- <sup>24</sup> ibid., p. 213.
- <sup>25</sup> ibid., pp. 243–4.
- <sup>26</sup> See Chapters Five and Six.
- <sup>27</sup> Strassman, *DMT*, p. 180.
- <sup>28</sup> ibid., p. 181.
- <sup>29</sup> ibid., p. 193.
- <sup>30</sup> ibid., pp. 189-90.
- <sup>31</sup> ibid., p. 180.
- <sup>32</sup> ibid., p. 182.
- <sup>33</sup> ibid., p. 193.
- <sup>34</sup> ibid., pp. 198-9.
- <sup>35</sup> ibid., p. 199.
- <sup>36</sup> ibid., pp. 196-7.
- <sup>37</sup> ibid., p. 197.
- <sup>38</sup> ibid., p. 208.
- <sup>39</sup> ibid., p. 209.
- ibid., pp. 206-7.
- <sup>41</sup> See Chapter Seven.
- 42 See Chapter Four.
- See Chapter Seven.
- 44 Strassman, *DMT*, p. 206.
- <sup>45</sup> ibid., pp. 209–10.
- <sup>46</sup> ibid., p. 189.
- 47 ibid.
- See discussion in Lewis-Jolyon West MD, "A Clinical and Theoretical Overview of Hallucinatory Phenomena," in R. Siegel and J. West (Eds), Hallucinations: Behavior, Experience and Theory, Wiley, New York, 1975, pp. 287–311, and particularly pp. 299–301. See also J.D. Lewis-Williams and T.A. Dowson, "The Signs of All Times," Current Anthropology, Vol. 29, No. 2, p. 204.
- <sup>49</sup> Strassman, *DMT*, p. 171.
- <sup>50</sup> ibid., pp. 224-5.
- <sup>51</sup> ibid., p. 193.
- <sup>52</sup> ibid., p. 187.
- S. Szara MD PhD and Z. Boszormenyi MD PhD, "Dimethyltryptamine Experiments with Psychotics," *Journal of Mental Science*, 104, 1958, p. 446.
- <sup>54</sup> William J. Turner MD and Sidney Merlis MD, "Effect of some Indolealky-lamines on Man," *AMA Archives of Neurology and Psychiatry*, 81, 1959, pp. 121–9.

- Timothy Leary, "Programmed Communication during Experiences with DMT," *The Psychedelic Review*, 8, 1966, pp. 83–95.
- Strassman, DMT, p. 216: Strassman speaks of "the striking resemblance between these naturally occurring contacts and those reported in our DMT study. This remarkable overlap may ease our acceptance of my proposition that the alien abduction experience is made possible by excessive brain levels of DMT."
- <sup>57</sup> ibid., p. 48.
- <sup>58</sup> ibid., p. 216.
- <sup>59</sup> ibid., p. 178.
- 60 ibid., p. 208.
- 61 ibid., pp. 344-5.
- <sup>62</sup> ibid., p. 147.
- ibid., p. 344.
- <sup>64</sup> David M. Jacobs, Secret Life: Firsthand Documented Accounts of UFO Abductions, Simon and Schuster, New York, 1993, p. 147.
- 65 Mack, Abduction, p. 83.
- 66 ibid., p. 388.
- <sup>67</sup> John Mack, *Passport to the Cosmos: Human Transformation and Alien Encounters*, Thorsons, London, 2000, p. 101.
- 68 ibid.
- 69 See Chapter Two.
- <sup>70</sup> Strassman, *DMT*, p. 318.
- <sup>71</sup> ibid., pp. 320-1.
- <sup>72</sup> See Chapter Three.
- Strassman, DMT, p. 206.
- <sup>74</sup> ibid., p. 176.
- <sup>75</sup> ibid.
- <sup>76</sup> ibid., pp. 177–8.
- <sup>77</sup> ibid., p. 179.
- <sup>78</sup> ibid., p. 178.
- <sup>79</sup> Jeremy Narby, *The Cosmic Serpent: DNA and the Origins of Knowledge*, Victor Gollancz, London, 1998, p. 100.

# Chapter Thirteen: Ancient Teachers in Our DNA?

- <sup>1</sup> Terence McKenna and Dennis McKenna, *The Invisible Landscape: Mind, Hallucinogens and the I Ching*, Harper, San Francisco, (1975) 1993. See Chapter 6, pp. 95 ff: "An experiment at La Chorrea." Sadly, Terence McKenna died on April 3, 2000.
- <sup>2</sup> ibid., p. 104.

- Bruce Lamb, *Rio Tigre and Beyond*, p. 2, cited in Jeremy Narby, *The Cosmic Serpent: DNA and the Origins of Knowledge*, Victor Gollancz, London, 1998, p. 203.
- <sup>4</sup> First published as Le serpent cosmique, L'AND et les origins du savoir, Georg Editeur SA, Geneva.
- <sup>5</sup> Narby, The Cosmic Serpent, p. 86.
- 6 ibid., p. 92.
- <sup>7</sup> ibid., pp. 103-4.
- <sup>8</sup> ibid., p. 104.
- <sup>9</sup> ibid., p. 135, citing Jakobson.
- <sup>10</sup> ibid., p. 88.
- <sup>11</sup> Molecular biologists Chris Calladine and Horace Drew, cited in ibid., p. 100.
- <sup>12</sup> ibid., p. 40.
- <sup>13</sup> Luis Eduardo Luna, "The Concept of Plants as Teachers," *Journal of Ethnopharmacology*, 11, 1984, p. 135.
- Luis Eduardo Luna, Vegetalismo: Shamanism among the Mestizo Population of the Peruvian Amazon, Acta Universitatis Stockholmensis, Stockholm Studies in Comparative Religion, Almqvist and Wiksell Publishers, Stockholm, 1986, p. 62. See also Glen H. Shepard Jr., "Psychoactive Plants and Ethnopsychiatric Medicines of the Matsigenka," Journal of Psychoactive Drugs, Vol. 30 (4), October-December 1998, pp. 323ff: "Matsigenka consider hallucinogenic plants to be sentient beings with superhuman souls, described as 'owner,' 'master' or 'mother' of the plant."
- <sup>15</sup> Cited in Luna, Vegetalismo, p. 62. Emphasis added.
- <sup>16</sup> ibid., p. 65.
- <sup>17</sup> Luna, "The Concept of Plants as Teachers," p. 142.
- 18 ibid.
- <sup>19</sup> ibid., p. 141.
- <sup>20</sup> ibid., p. 139.
- <sup>21</sup> Benny Shanon, *The Antipodes of the Mind: Charting the Phenomenology of the Ayahuasca Experience*, Oxford University Press, 2002, p. 251.
- <sup>22</sup> Bradford Keeney, *Ropes to God: Experiencing the Bushman Spiritual Universe*, Rolling Rocks Press, 2003, p. 44 (emphasis added).
- <sup>23</sup> ibid., p. 71 (emphasis added).
- Shanon, The Antipodes of the Mind, p. 8.
- <sup>25</sup> ibid., p. 109.
- <sup>26</sup> ibid., p. 302.
- ibid., p. 44. Shanon reports that a total of 178 persons were interviewed, 122 males and 56 females. Of the informants, 16 were indigenous or persons of mixed race, 106 were residents of the urban regions of South America, and

56 were foreigners, that is, persons residing outside South America. Shanon estimates that the total number of ayahuasca sessions probed in these interviews is of the order of 2,500.

- <sup>28</sup> ibid., p. 120
- Jeremy Narby and Francis Huxley (Eds) *Shamans through Time*, Thames and Hudson, London, 2001, p. 302.
- <sup>30</sup> See Rick Strassman MD, DMT: The Spirit Molecule: A Doctor's Revolutionary Research into the Biology of Near-Death and Mystical Experiences, Park Street Press, Rochester, Vermont, 2001, pp. 34–6.
- Francis Crick, *Life Itself: Its Origin and Nature*, Futura Macdonald, London, 1982, pp. 171–3.
- <sup>32</sup> Strassman, *DMT*, p. 34.
- <sup>33</sup> Daily Mail, London, August 8, 2004, pp. 44-5.
- <sup>34</sup> Shanon, The Antipodes of the Mind, Epigraph.
- <sup>35</sup> Francis Crick, *The Astonishing Hypothesis: The Scientific Search for the Soul*, Touchstone Books, London, 1995.
- <sup>36</sup> Francis Crick, *Of Molecules and Men*, Prometheus Books, New York, (1966) 2004, pp. 69–70
- <sup>37</sup> Crick, *Life Itself*. See in particular pp. 113-40.

### Chapter Fourteen: The Hurricane in the Junkyard

- <sup>1</sup> Cited in Jeremy Narby, *The Cosmic Serpent: DNA and the Origins of Knowledge*, Victor Gollancz, London, 1998, p. 59.
- <sup>2</sup> Fred Hoyle and Chandra Wickramsinghe, *Lifecloud: The Origin of Life in the Universe*, J.M. Dent and Sons, London and Toronto, 1978.
- <sup>3</sup> Lyn Margulis and Dorion Sagan, *Micro-Cosmos: Four Billion Years of Micro-bial Evolution*, Summit Books, New York, 1986, p. 43.
- <sup>4</sup> ibid., p. 51.
- <sup>5</sup> ibid., p. 51.
- <sup>6</sup> ibid., pp. 71–2. The sedimentary rocks of the Isua formation in Eastern Labrador and southwestern Greenland are 3.8 billion years old and believed to be "the graveyards of what were flourishing films and scums of Archean bacteria."
- ibid., p. 70. The discovery was made in rocks of the Kromberg Formation, Swaziland.
- <sup>8</sup> Francis Crick, *Life Itself: Its Origin and Nature*, Futura Macdonald, London, 1982, p. 15.
- <sup>9</sup> ibid., pp. 44-5.
- <sup>10</sup> Deoxyribonucleic acid and ribonucleic acid.

- <sup>11</sup> See Crick, *Life Itself*, pp. 46, 171–5.
- <sup>12</sup> ibid., p. 46.
- David S. Goodsell, *The Machinery of Life*, Copernicus, New York, 1998, pp. 60–1.
- <sup>14</sup> Narby, The Cosmic Serpent, pp. 99, 143, 212,; see also Crick, Life Itself, p. 46.
- <sup>15</sup> Crick, Life Itself, p. 46.
- 16 ibid.
- <sup>17</sup> ibid., pp. 171–2.
- <sup>18</sup> ibid., p. 51.
- <sup>19</sup> Narby, The Cosmic Serpent, p. 86.
- <sup>20</sup> Chris R. Calladine, Horace R. Drew, Ben F. Luisi, Andrew A. Travers, *Understanding DNA: The Molecule and How it Works*, Elsevier Academic Press, 2004, p. 29.
- <sup>21</sup> Crick, Life Itself, p. 70.
- <sup>22</sup> ibid., p. 71.
- <sup>23</sup> ibid., p. 88.
- <sup>24</sup> Calladine, et al., *Understanding DNA*, p. 10.
- 25 ibid.
- ibid., p. 13: "Only a small fraction of the long DNA molecule in a chromosome about 1 per cent in humans contains programs to make specific proteins."
- ibid.
- <sup>28</sup> Science, Vol. 266, November 25, 1994, p. 1320.
- <sup>29</sup> Science News, 146 (24), December 10, 1994, p. 391.
- 30 ibid.
- 31 ibid.
- <sup>32</sup> Science, Vol. 266, p. 1320.
- 33 ibid.
- 34 ibid.
- <sup>35</sup> Science News, 146 (24), p. 391.
- <sup>36</sup> Science, Vol. 266, op. cit., p. 1320.
- 37 ibid.
- 38 ibid.
- 39 ibid.
- <sup>40</sup> "A study of 191 non-coding sequences of human chromosome 21 revealed that they were more identical among 14 mammalian species than DNA that codes for proteins . . . Recent completion of genome sequencing for many diverse vertebrates has revealed long sequences (at least 200 bp) of non-coding DNA that are identical or nearly identical. Between humans and mice, 481 of these sequences are 100 per cent identical. Between humans and dogs, the sequences

- are 99 per cent identical. Even between humans and chickens the sequences are 95 per cent identical." Rich Deem, "When Junk DNA isn't Junk," http://www.godandscience.org/evolution/junkdna.htm.
- <sup>41</sup> "Invertebrate DNA raises questions about evolution models," *Nature*, December 16, 2003: http://www.nature.com/nsu/031215/031215-2.html.
- <sup>42</sup> "Junk' throws up precious secret," BBC News Online Science Staff, May 12, 2004: http://news.bbc.co.uk/2/hi/science/nature/3703935.stm
- 43 ibid.
- <sup>44</sup> Rick Strassman MD, DMT: The Spirit Molecule: A Doctor's Revolutionary Research into the Biology of Near-Death and Mystical Experiences, Park Street Press, Rochester, Vermont, 2001, p. 179.
- <sup>45</sup> ibid., pp. 177–8.
- <sup>46</sup> Michael Harner, *The Way of the Shaman*, Harper, San Francisco, (1980) 1990, p. 4.
- <sup>47</sup> Narby, Cosmic Serpent, p. 7.
- <sup>48</sup> ibid., p. 56.
- <sup>49</sup> ibid., p. 104.
- 50 ibid.

## Chapter Fifteen: The Hidden Shamans

- <sup>1</sup> F.A. Klein, *The Religion of Islam*, Curzon Press, London, (1906) 1971, pp. 5-9.
- <sup>2</sup> Encyclopedia Britannica, Micropedia, 9:866.
- <sup>3</sup> ibid 8:328, and see discussion in Patrick Harpur, *Daimonic Reality: A Field Guide to the Otherworld*, Pine Winds Press, Ravensdale, WA, (1994) 2003, p. 136–7.
- <sup>4</sup> Andrew Wellburn, Mani, the Angel and the Column of Glory: An Anthology of Manichean Texts, Floris Books, Edinburgh, pp. 11–13.
- <sup>5</sup> Acts 9: 3-10.
- <sup>6</sup> Encyclopedia Britannica 8:396, with specific reference to the Prophet Muhammad, but the remark is applicable to all major religions.
- <sup>7</sup> Weston La Barre, "Hallucinogens and the Shamanic Origins of Religion," in Peter Furst (Ed.), *Flesh of the Gods: The Ritual Use of Hallucinogens*, Praeger Publishers, New York, 1972, p. 261.
- <sup>8</sup> ibid.
- <sup>9</sup> Aldous Huxley, *The Doors of Perception; Heaven and Hell*, Flamingo Modern Classics, 1994, pp. 11–13, cited in Chapter Eleven.
- 10 ibid.
- <sup>11</sup> Founded by Mani in the third century AD, the Manichean religion began as a Christian Gnostic sect (see discussion in Graham Hancock and Robert

Bauval, *Talisman: Sacred Cities, Secret Faith*, Michael Joseph, London, 2004, pp. 76–86) and was certainly in touch with other Gnostic movements of the period. It is therefore of interest that the world-renowned ethnomy-cologist R. Gordon Wasson detected the possible traces of a hallucinogenic mushroom cult amongst the Manicheans, noting that St. Augustine's infuriated attacks on them (Augustine was himself a former Manichean) included the accusation that they gratified their appetites with mushrooms; similar accusations in a similar tone of high indignation were made against Manichean sects in China a few centuries later. R. Gordon Wasson, *Soma*, *Divine Mushroom of Immortality*, Harcourt Brace Jovanovich, New York, 1968 pp. 71–4.

- Michael Ripinsky-Naxon, The Nature of Shamanism: Substance and Function of a Religious Metaphor, State University Press of New York Press, 1993, p. 133.
- Walter Scott (Ed. and Trans.), Hermetica: The Ancient Greek and Latin Writings which Contain Religious or Philosophic Teachings Ascribed to Hermes Trismegistus, Shambhala, Boston, 1993, Excerpt II A, Hermes to Tat, p. 383.
- <sup>14</sup> ibid., Libellus VI, p. 169.
- ibid., Excerpt II A, Hermes to Tat, p. 387.
- James M. Robinson (Ed.), The Nag Hammadi Library in English, E.J. Brill, Leiden, 1988; Scott, Hermetica. See Hancock and Bauval, Talisman: Sacred Cities, Secret Faith, Penguin, London, 2005, Chapter 9, for a study of the close links between Gnosticism and Hermeticism and their overlapping texts such as the Asclepius.
- <sup>17</sup> See discussion in Hancock and Bauval, *Talisman*, pp. 131, 149–50.
- The heretics were the Cathars of Occitania. See discussion in ibid., Chapters 2–7 and 9, of the truly Gnostic nature of the Cathar religion.
- <sup>19</sup> New Scientist, December 25, 1987–January 1, 1988, "Father Christmas flies on toadstools," p. 45.
- <sup>20</sup> See Ripinsky-Naxon, The Nature of Shamanism, op. cit., pp. 2-3.
- <sup>21</sup> The Book of Saints, A & C. Black, London, (1921) 1989, pp. 500-1.
- <sup>22</sup> ibid., p. 556.
- <sup>23</sup> ibid., p. 329.
- <sup>24</sup> ibid., pp. 519–20.
- <sup>25</sup> Cited in Holger Kalweit, *Dreamtime and Inner Space: The World of the Shaman*, Shambhala, London and Boston, 1998, p. 94.
- W.Y. Evans-Wentz, The Fairy Faith in Celtic Countries, New Page Books, Franklin Lakes, NJ, 2004 (facsimile reprint of original 1911 edition with a modern Introduction by Carl McColeman), pp. 264–5.
- <sup>27</sup> ibid., p. 265.

- 28 ibid.
- <sup>29</sup> Diane Purkiss, *Troublesome Things: A History of Fairies and Fairy Stories*, Penguin, London, 2000, p. 65.
- 30 ibid.
- <sup>31</sup> ibid., pp. 65–6.
- <sup>32</sup> A. Ravier SJ, Lourdes, pp. 5-6.
- <sup>33</sup> ibid., p. 7.
- 34 ibid.
- 35 ibid.
- <sup>36</sup> ibid., pp. 7–8.
- <sup>37</sup> Cited in Harpur, *Daimonic Reality*, pp. 97–8.
- <sup>38</sup> A. Ravier, *Lourdes*, p. 10.
- <sup>39</sup> Inscription inside the crypt at Lourdes. Personal observation.
- Lourdes Magazine, Grotto Special, October/November 2003, pp. 28-9.
- Paul Bahn, *Journey through the Ice Age*, Weidenfeld and Nicolson, London, 1997, p. 58.
- <sup>42</sup> Lourdes Magazine, Grotto Special, p. 13.
- Jim Schnabel, *Dark White: Aliens, Abductions and the UFO Obsession*, Penguin Books, London, 1994, pp. 126–9; Michael Persinger in David M. Jacobs (Ed.), *UFOs and Abductions: Challenging the Borders of Knowledge*, University Press of Kansas, 2000, pp. 281, 286, 289; Michael Persinger in Dennis Stillings (Ed.), "Cyber-Biological Studies of the Imaginal Component in the UFO Contact Experience," *Archaeus*, Vol. 5, 1989, pp. 157–71.
- Jim Schnabel, Dark White, p. 130; Michael Persinger in David M. Jacobs (Ed.), UFOs and Abductions, pp. 262–302; Michael Persinger in "Cyber-Biological Studies," pp. 157–71.
- <sup>45</sup> Michael Persinger in David M. Jacobs, UFOs and Abductions, p. 300.
- 46 ibid.
- Father Joseph Bordes, Lourdes: In Bernadette's Footsteps, MSM, 1991, p. 29.
- <sup>48</sup> A. Ravier, *Lourdes*, p. 18.
- <sup>49</sup> Harpur, *Daimonic Reality*, p. 97: Researchers have established that between 1928 and 1975, a total of 230 visions of the Blessed Virgin Mary were acknowledged by the Roman Catholic Church.
- <sup>50</sup> Cited in Jacques Vallee, *Passport to Magonia: On UFOs, Folklore and Parallel Worlds*, Contemporary Books, Chicago, (1969) 1993, p. 134. I have relied largely on Vallee's account here, pp. 132–5.
- <sup>51</sup> ibid., pp. 134-5.
- <sup>52</sup> Harpur, *Daimonic Reality*, p. 100.
- Jacques Vallee, *The Invisible College*, E.P. Dutton, New York, 1976, pp. 148–9.
- <sup>54</sup> Harpur, *Daimonic Reality*, p. 101.

- <sup>55</sup> Jacques Vallee, *The Invisible College*, p. 143.
- 56 ibid.
- <sup>57</sup> ibid., pp. 143-4.
- <sup>58</sup> ibid., p. 144.
- <sup>59</sup> Cited in ibid., p. 144.
- <sup>60</sup> ibid., p. 145.
- <sup>61</sup> Cited in ibid.
- 62 ibid., p. 146.
- 63 Cited in ibid., p. 147.
- <sup>64</sup> Bryan Appleyard, Aliens: Why They Are Here, Scribner, London, 2005, p. 138.
- <sup>65</sup> Vallee, The Invisible College, p. 148, Appleyard, Aliens, p. 138.
- <sup>66</sup> Vallee, *The Invisible College*, p. 152.
- <sup>67</sup> ibid., p. 150.
- <sup>68</sup> Benny Shanon, "The Biblical Merkava Vision and Ayahuasca Visions," *Studies in Spirituality*, 2003, 13, p. 34.
- 69 ibid.
- <sup>70</sup> ibid., p. 36.
- Indeed, as well as "wheels" (e.g. see Benny Shanon, *The Antipodes of the Mind: Charting the Phenomenology of the Ayahuasca Experience*, Oxford University Press, 2002, p. 128) ayahuasca drinkers frequently report specific visions of flying saucers. See the paintings of the Amazonian shaman Pablo Amaringo (in Luis Eduardo Luna and Pablo Amaringo, *Ayahuasca Visions: The Religious Iconography of a Peruvian Shaman*, North Atlantic Books, Berkeley, CA, 1999, and see Shanon, *The Antipodes of the Mind*, p. 122. Disembodied eyes are also frequently seen in ayahuasca visions. E.g. see Shanon, *The Antipodes of the Mind*, pp. 133, 140.
- <sup>72</sup> Shanon, "The Biblical Merkava Vision," p. 35.
- <sup>73</sup> ibid., p. 40.
- <sup>74</sup> ibid.
- <sup>75</sup> Shanon, The Antipodes of the Mind, p. 263.
- 76 ibid
- Huxley, The Doors of Perception, p. 55.
- Huston Smith, Cleansing the Doors of Perception: The Religious Significance of Entheogenic Plants and Chemicals, J.P. Tarcher/Puttnam, New York, 2000, p. 20.
- <sup>79</sup> ibid.
- ibid.: set = the psychological make-up of the individual; setting = the social and physical environment in which the drug is taken.
- <sup>81</sup> R. Gordon Wasson, Carl A.P. Ruck, Albert Hoffman, *The Road To Eleusis: Unveiling the Secret of the Mysteries*, Harcourt Brace Jovanovich, New York

- and London, 1978, pp. 78-9.
- <sup>82</sup> ibid., p. 37.
- 83 ibid., p. 38 suggests a date of the 14<sup>th</sup> century BC for the first performance of the ceremony at Eleusis. See p. 76 for the 4th century AD closure of the shrine by Christianity.
- <sup>84</sup> Paul Devereux, The Long Trip: A Prehistory of Psychedelia, Penguin/Arkana, New York, 1997, p. 83.
- 85 Cited in Wasson et al., Road to Eleusis, p. 10.
- <sup>86</sup> ibid., p. 77.
- <sup>87</sup> Cited in George Mylonas, *Eleusis and the Eleusinian Mysteries*, Princeton University Press, 1969, p. 285.
- 88 ibid.
- <sup>89</sup> ibid., p. 284.
- 90 Wasson et al., The Road To Eleusis, p. 84.
- 91 Ruck in ibid., p. 80.
- 92 Cited in ibid.
- 93 Ruck in ibid., p. 37.
- <sup>94</sup> ibid., p. 80.
- <sup>95</sup> Ruck in ibid., p. 81.
- <sup>96</sup> Ruck in ibid.
- 97 Hoffman in ibid., p. 23.
- 98 Hoffman in ibid., p. 33.
- 99 Hoffman in ibid.
- <sup>100</sup> ibid., p. 37.
- ibid., p. 80; Encyclopedia Britannica, 1:226.
- <sup>102</sup> Wasson, Ruck, Hoffman, The Road to Eleusis, pp. 48; 80-1.
- Devereux, Long Trip, p. 82.
- Wasson et al., The Road to Eleusis, plate 9.
- Carl A.P. Ruck in R. Gordon Wasson, Stella Kamrisch, Jonathan Ott, Carl A.P. Ruck, Persephone's Quest: Entheogens and the Origins of Religion, Yale University Press, New Haven and London, 1986, p. 160.
- 106 Wasson et al., The Road to Eleusis, plate 9.
- Wasson in Wasson et al., Persephone's Quest, p. 32.
- <sup>108</sup> Frits Staal, "How a Psychoactive Substance becomes a ritual: the case of Soma," *Social Research*, Vol. 68, No. 3 (Fall 2001), p. 745.
- <sup>109</sup> Rigveda X:119, cited in ibid., pp. 751-2.
- <sup>110</sup> Rigveda IX:72, cited in R. Gordon Wasson, "The Soma of the Rig Veda," *Journal of the American Oriental Society*, 1971, 91(2), p. 175.
- <sup>111</sup> Rigveda IX:86 cited in ibid., p. 176.
- 112 Rigveda VIII:48 cited in ibid., p. 181.

- <sup>113</sup> Mike Crowley, "The God Who Drank Urine," *Fortean Studies*, Vol. 3, Steve Moore (Ed.), John Brown Publishing, London, 1996, p. 178.
- 114 ibid.
- 115 ibid.
- 116 ibid.
- <sup>117</sup> Frits Staal, "How a Psychoactive Substance," p. 752.
- <sup>118</sup> Cited in R. Gordon Wasson, *Soma: The Divine Mushroom of Immortality*, p. 29, and "The Soma of the Rig Veda," p. 177.
- <sup>119</sup> Cited in Wasson, "The Soma of the Rig Veda," p. 178.
- 120 Cited in ibid.
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## Chapter Sixteen: Flesh of the Gods

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- <sup>2</sup> Adrian Recinos, Delia Goetz and Sylvanus G. Morley (Trans.), *Popol Vuh: The Sacred Book of the Ancient Quiche Maya*, University of Oklahoma Press, (1950) 1991, p. 161.
- <sup>3</sup> See, for example, Dennis Tedlock (Trans.), *Popol Vuh: The Definitive Edition* of the Mayan Book of the Dawn of Life and the Glories of Gods and Kings, Simon & Schuster, New York, 1996, p. 77.
- <sup>4</sup> ibid., p. 97.
- <sup>5</sup> Mary Miller and Karl Taube, *The Gods and Symbols of Ancient Mexico and the Maya: An Illustrated Dictionary of Mesoamerican Religion*, Thames and Hudson, London, 1993, p. 136.
- <sup>6</sup> Joan Halifax, *Shaman: The Wounded Healer*, Thames and Hudson, London, (1982) 1991, p. 5.
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- <sup>8</sup> ibid., p. 136.
- <sup>9</sup> Ripinsky-Naxon, "Shamanistic Knowledge and Cosmology," p. 147.
- Robert Bauval and Graham Hancock, Keeper of Genesis: A Quest for the Hidden Legacy of Mankind, Mandarin, London, 1997; Graham Hancock and Santha Faiia, Heaven's Mirror: Quest for the Lost Civilization, Penguin, London, 1999; Graham Hancock. Fingerprints of the Gods: A Quest for the Beginning and the End, Heinemann, London, 1995.
- <sup>11</sup> David Friedel, Linda Schele, Joy Parker, *Maya Cosmos: Three Thousand Years on the Shaman's Path*, William Morrow, New York, 1993, p. 283.

- 12 ibid.
- <sup>13</sup> ibid., p. 281.
- <sup>14</sup> See Robert Bauval, Graham Hancock, *Keeper of Genesis*, Chapter 10, pp. 177ff.
- 15 Graham Hancock, Santha Faiia, *Heaven's Mirror*, p. 37.
- Adela Fernandez, Pre-Hispanic Gods of Mexico, Panorama Editorial, Mexico City, 1992, p. 37.
- <sup>17</sup> Miller and Taube, Gods and Symbols of Ancient Mexico and the Maya, pp. 128-9.
- Reproduced in *National Geographic Magazine*, Vol. 176, No. 4, Washington DC, October 1989, p. 468: "Double-Comb is being taken to the underworld in a canoe guided by the 'paddler-twins,' gods who appear prominently in Maya mythology. Other figures an iguana, a monkey, a parrot, and a dog accompany the dead ruler."
- Details are reproduced in John Romer, *Valley of the Kings*, Michael O'Mara Books, London, 1988, p. 167 and in J.A. West, *The Traveler's Key to Ancient Egypt*, Harrap Columbus, London, 1989, pp. 282–97.
- <sup>20</sup> See West, *The Traveler's Key to Ancient Egypt*, p. 284, and the R.O. Faulkner (Ed.), *The Ancient Egyptian Book of the Dead*, British Museum Publications, 1989, pp. 116–30. For ancient Central America see note 18 above, re: the Mayan ruler Double-Comb.
- <sup>21</sup> Friedel et al., Maya Cosmos, p. 260.
- <sup>22</sup> ibid., pp. 261, 238, 359.
- <sup>23</sup> See Linda Schele, Mary Ellen Miller, *The Blood of Kings: Dynasty and Ritual in Maya Art*, Thames and Hudson, London, 1992, p. 155. The deer's head is often interpreted as a deer-head helmet.
- <sup>24</sup> E.g. see ibid., p. 190.
- <sup>25</sup> ibid., p. 45.
- <sup>26</sup> J. Eric Thompson, *Maya History and Religion*, University of Oklahoma Press, 1990, p. 198.
- <sup>27</sup> The "Stirling hypothesis." See Miller and Taube, *Gods and Symbols of Ancient Mexico*, p. 126.
- <sup>28</sup> Friedel et al., Maya Cosmos, p. 51.
- <sup>29</sup> Miller and Taube, *Gods and Symbols of Ancient Mexico*, pp. 126–7; Douglas Gillette, *The Shaman's Secret: The Lost Resurrection Teachings of the Ancient Maya*, Bantam Books, New York, 1998, pp. 9–11
- 30 Gillette, The Shaman's Secret, p. 9.
- For example, an image of the goddess Isis offering the gift of eternal life to the soul of Pharaoh Seti I, in the Temple of Seti I at Abydos, features a classic entoptic grid on the wall to the left of the goddess, while her throne is also decorated with entoptic patterns. See *Heaven's Mirror*, p. 85. The examples of this kind of combination of entoptic with iconic imagery in ancient

- Egyptian art are too numerous to list. They are everywhere.
- <sup>32</sup> Alan Shorter, *The Egyptian Gods: A Handbook*, Routledge and Keegan Paul, London, 1981, p. 128.
- <sup>33</sup> Book of What is in the Duat, Third Division, in E.A. Wallis Budge (Trans.), The Egyptian Heaven and Hell, Hopkinson, London, 1925, p. 55.
- <sup>34</sup> Cited in Benny Shanon, *The Antipodes of the Mind: Charting the Phenome-nology of the Ayahuasca Experience*, Oxford University Press, 2002, p. 124.
- <sup>35</sup> ibid., p. 118.
- Belinda Gore (introduction by Felicitas Goodman), Ecstatic Body Postures: An Alternate Reality Workbook, Bear and Company, Santa Fe, 1995, pp. 173–5. A reproduction of the original ancient Egyptian "drawing of Osiris" referred to here is to be found in Felicitas D. Goodman, Where Spirits Ride the Wind: Trance Journeys and Other Ecstatic Experiences, Indiana University Press, 1990, p. 59.
- <sup>37</sup> Source for Egyptian figure: Richard H. Wilkinson, *The Complete Gods and Goddesses of Ancient Egypt*, Thames and Hudson, London, 2003, p. 119; source for Lascaux figure, Mario Ruspoli, *The Cave of Lascaux: The Final Photographs*, Harry N. Abrams, New York, p. 151.
- <sup>38</sup> Felicitas Goodman, Where Spirits Ride the Wind, p. 59.
- See discussion and full bibliography in Samuel A. Wells, *American Drugs in Egyptian Mummies: A Review of the Evidence*, http://www.colostate.edu/Depts/Entomology/courses/en570/papers\_2000/wells.html.
- Recent corroboration of Emboden's position on *N. caerulea* can be found in J. Andrew McDonald, "Botanical Determination of the Middle Eastern Tree of Life," *Economic Botany*, 56 (2), 2002, pp. 125–6.
- <sup>41</sup> William Emboden, "The Sacred Journey in Dynastic Egypt," *Journal of Psychoactive Drugs*, Vol. 21 (I), Jan.-Mar. 1989, p. 61.
- 42 ibid.
- <sup>43</sup> ibid., p. 63.
- 44 ibid.
- <sup>45</sup> ibid., p. 73.
- <sup>46</sup> ibid., pp. 63–4.
- <sup>47</sup> ibid., p. 65.
- <sup>48</sup> He reports visual hallucinations and auditory hallucinations from decoctions of macerated water lily flowers. See William Emboden, "The Water Lily and the Maya Scribe," *New Scholar: An Americanist Review*, 1982, 8:111.
- <sup>49</sup> Emboden, "The Sacred Journey in Dynastic Egypt," pp. 74–5. A full and authoritative presentation of Emboden's findings is also found in Emboden, "Transcultural Use of Narcotic Water Lilies in Ancient Egyptian and Mayan Drug Ritual," *Journal of Ethnopharmacology*, 3 (1981), pp. 39–83.

- William Emboden, "The Sacred Narcotic Lily of the Nile," *Economic Botany*, 32 (4), 1978, p. 395.
- <sup>51</sup> Emboden, "The Water Lily and the Maya Scribe," p. 116.
- <sup>52</sup> ibid., p. 117.
- <sup>53</sup> ibid., p. 107.
- <sup>54</sup> Emboden, "Transcultural Use of Narcotic Water Lilies," p. 65.
- <sup>55</sup> ibid., pp. 65–6.
- <sup>56</sup> R. Gordon Wasson, *The Wondrous Mushroom, Mycolatry in Mesoamerica*, McGraw-Hill, New York, 1980, p. 189.
- Marlene Dobkin de Rios, "Influence of Psychotropic Flora and Fauna on Mayan Religion," *Current Anthropology*, Vol. 15, No. 2, June 1974, p. 148.
- Thomas M. Mcguire, "Ancient Maya Mushroom Connections," *Journal of Psychoactive Drugs*, Vol. 14 (3), Jul.-Sep. 1982, p. 228. See also Richard Evans Schultes, Albert Hoffman and Christian Ratsch, *Plants of the Gods: Their Sacred, Healing and Hallucinogenic Powers*, Healing Arts Press, Rochester, Vermont, 2001, p. 161.
- Dobkin de Rios, "Influence of Psychotropic Flora and Fauna on Mayan Religion," p. 148.
- 60 ibid; Schultes et al., Plants of the Gods, p. 161.
- 61 Schultes et al., Plants of the Gods, p. 161.
- Peter Furst, in Norman Hammond (Ed.), Mesoamerican Archaeology: New Approaches, Gerald Duckworth, London, 1974, pp 189–90; For examples of the paintings see Harry J. Shafer, Jim Zintgraff, Ancient Texans: Rock Art and Lifeways Along the Lower Pecos, Gulf Publishing, Houston, 1986, pp. 138ff.
- <sup>63</sup> Furst, in Hammond, Mesoamerican Archaeology, p. 190.
- Weston La Barre, *The Peyote Cult*, University of Oklahoma Press, (1939) 1989, p. 105.
- Wasson, The Wondrous Mushroom, p. 153.
- 66 See discussion in Richard Evans Schultes, "Teonanactl, the Narcotic Mushroom of the Aztecs," *American Anthropologist*, NS, 42, 1940, pp. 429–43.
- <sup>67</sup> Furst, in Hammond, *Mesoamerican Archaeology*, p. 192.
- <sup>68</sup> Schultes et al., *Plants of the Gods*, p. 170.
- <sup>69</sup> Duran, cited in Wasson, The Wondrous Mushroom, p. 202.
- <sup>70</sup> Sahagun, cited in ibid., p. 206.
- <sup>71</sup> Francisco Hernandez, cited in Peter T. Furst, *Hallucinogens and Culture*, Chandler and Sharp, 1988, p. 67.
- <sup>72</sup> Cited in Wasson, The Wondrous Mushroom, p. 218.
- <sup>73</sup> Cited in ibid., p. xvii.
- <sup>74</sup> Extensive discussion in ibid., pp. 3ff.

- <sup>75</sup> Cited in ibid., p. 48.
- <sup>76</sup> Koran, Sura XCVI, 1–5, cited in F.A. Klein, *The Religion of Islam*, Curzon Press, London, 1971, p. 7.
- Sylvanus Griswold Morley, Introduction to The Study of Maya Hieroglyphs, Dover, New York, 1975, pp. 16–17.

## Chapter Seventeen: Doors Leading to Another World

- <sup>1</sup> Siegel, "Hallucinations," *Scientific American*, Vol. 237, 1977, cited in Paul Bahn and Patricia Helvenston, *Desperately Seeking Trance Plants: Testing the Three Stages of Trance Model*, R.J. Communications, New York, 2002, p. 43.
- <sup>2</sup> Bahn and Helvenston, *Desperately Seeking Trance Plants*, p. 43. Elsewhere ("LSD Hallucinations," *Journal of Psychiatric Drugs*, Vol. 17 (4), Oct.-Dec. 1985, p. 254), Siegel notes that "the remarkable constancies of drug-induced hallucinations lead to a consideration of their universal nature."
- <sup>3</sup> Robert G. Bednarik, "On Neuropsychology and Shamanism in Rock Art," *Current Anthropology*, Vol. 31, No. 1, February 1990, p. 78.
- <sup>4</sup> Rick Strassman MD, DMT: The Spirit Molecule: A Doctor's Revolutionary Research into the Biology of Near-Death and Mystical Experiences, Park Street Press, Rochester, Vermont, 2001, p. 191.
- <sup>5</sup> ibid., p. 190.
- 6 ibid., p. 243.
- <sup>7</sup> ibid., p. 244.
- <sup>8</sup> ibid., p. 245.
- <sup>9</sup> ibid., p. 214.
- <sup>10</sup> ibid., p. 215.
- <sup>11</sup> ibid., p. 271.
- <sup>12</sup> ibid., p. 197.
- <sup>13</sup> ibid., p. 195.
- <sup>14</sup> ibid., pp. 200, 199.
- <sup>15</sup> ibid., p. 200.
- Strassman in Robert Forte (Ed.), Entheogens and the Future of Religion, Council on Spiritual Practices, 1997, p. 157.
- <sup>17</sup> ibid., p. 158.
- $^{18}$  Strassman, DMT, p. 200.
- <sup>19</sup> Benny Shanon, *The Antipodes of the Mind: Charting the Phenomenology of the Ayahuasca Experience*, Oxford University Press, 2002, p. 424.
- <sup>20</sup> ibid., p. 431.
- <sup>21</sup> ibid.
- <sup>22</sup> ibid., p. 126.

- <sup>23</sup> ibid., p. 122.
- <sup>24</sup> ibid., p. 388.
  - ibid., pp. 388-9. Shanon (p. 389) comments in particular on the shortcomings of the reductionist theory set out by Eugene d'Acquili and Andrew B. Newburg in their book, The Mystical Mind: Probing the Biology of Religious Experience (Fortress Press, Minneapolis, 1999). This book, as Shanon notes, "suggests that religious and mystical sentiments are due to the activation of brain centers specifically responsible for such sentiments. The book had an immediate great impact and was even the topic of a Newsweek cover story . . . The empirical data on which the suggestion is based involve the new sophisticated technology of brain imaging. When a meditating person is inspected with imaging devices specific patterns of brain activation are observed. Much has been made of this finding and even the bombastic term 'neurotheology' has been coined. Brain imaging technology is indeed most impressive, yet conceptually, its impact is, I think, less radical than many believe. After all, everything a human being does involves brain activity. The finding of particular brain activation in conjunction with religious experience should thus be expected. By no means, however, does this finding imply that the brain causes the religious experience or that religious experiences (or religion, or God . . .) are to be accounted for in a biological reductionistic manner. When one speaks the brain is acting as well; this does not mean that language and linguistic creations (think of Shakespeare's sonnets, for example) are reducible to brain activity and are to be explained in neurological terms. What the new findings may be indicating is that, like languaging, spirituality is ingrained in our very being, that the propensity for religiosity is a fundamental feature of what it is to be a human being. But do we need the supermodern technology of brain imaging to know that? Incidentally, I should add that I myself would never put ayahuasca drinkers into an fMRI machine. The experimental manipulation, its artificiality, and the discomfort associated with it are likely to affect the very experience it is set to examine. I doubt that any really powerful ayahuasca session can be entertained under such circumstances, let alone the supreme spiritual experiences that are the subject of discussion here."
- Strassman in Forte (Ed.), Entheogens and Future of Religion, p. 157.
- <sup>27</sup> Shanon, The Antipodes of the Mind, p. 166.
- E-mail correspondence with Professor Shanon, December 2005, March 2006. Shanon's views as expressed in this paragraph were not conveyed accurately in the first (2005) edition of *Supernatural*. The text in this edition has been corrected.
- <sup>29</sup> Shanon, *The Antipodes of the Mind*, pp. 398-399. See also p. 138.

ibid., p. 362. Shanon also notes (pp. 398–9): "Creation is of course a standard cognitive-psychological notion. Yet the creative accomplishments discussed here are far from being standard. First the creative power at hand is extraordinary, and while unbounded it is subject to constraints: there are some specific contents that are more likely to be created than others. These – such as serpents and jaguars, palaces and artistic objects, objects made of gold and of precious stones, angels and beings of light – do not reflect the life history, knowledge or concerns of the individual drinker, and they even seem not to be specific to members of any particular cultural group. Thus it appears that human beings, while not storing in their memories ideas of these specific semantic contents, are built in such as fashion as to be likely to imagine them . . ." Shanon emphasizes (p. 399) that his suggestion should not be confused with the traditional notion of innate ideas or with the Jungian collective unconscious:

"What is being suggested rather are specific characteristics of human creative imagination. Unlike innate ideas, these characteristics are not stored in the mind of the individual as such, unlike the Jungian archetypes they cannot be reduced to existential aspects of human life, and unlike universals of music, grammar, and logic they pertain to content, not to form. Thus in some respects these characteristics resemble the Platonic Ideas – they involve specific contents and they do not reflect the life history of any individual. Where do these characteristics belong, then? My suggestion is that they are part and parcel of the make-up of human beings. Just as bees are made to produce honey and beavers to construct dams, human beings are made to build pyramids and compose sonatas. Surely, not all members of the human species will ever do this, but the species as a whole is designed so that some of its members will eventually achieve these accomplishments. With ayahuasca the likelihood of individuals to be wonderfully creative is dramatically increased."

This is all very well but seems to me not to explain the problem. If it is "part and parcel of the make-up of human beings" to imagine "serpents and jaguars, palaces and artistic objects, objects made of gold and of precious stones, angels and beings of light," then the real questions remain: why should this be so and at what point in the human evolutionary career did this specific propensity to envisage serpents, jaguars, beings of light, etc., etc., get so tightly grafted onto the human genome that today people who have absolutely nothing in common all "imagine" (or "create") the same things under the influence of ayahuasca?

<sup>&</sup>lt;sup>31</sup> ibid., p. 392.

<sup>&</sup>quot;Modern man has just got older – by 35,000 years," The Times, London,

- February 17, 2005.
- For a detailed survey of the evidence, see Giorgio Samorini, *Animals and Psychedelics: The Natural World and the Instinct to Alter Consciousness*, Park Street Press, Vermont, 2002. See also R.K. Siegel and Murray E. Jarvik, "Drug-Induced Hallucinations in Animals and Man," in Siegel and West (Eds), *Hallucinations: Behavior, Experience and Theory*, Wiley, New York, 1975, pp. 81–104.
- <sup>34</sup> Cited in Giorgio Samorini, *Animals and Psychedelics*, p. 63. For spiders on LSD see p. 13 and for moths on datura see p. 64.
- <sup>35</sup> Stephen Jay Gould, *Wonderful Life: The Burgess Shale and the Nature of History*, Hutchinson-Radius, London, 1990, p. 43: "The two phyla, Arthropodata and Chordata, have been separate from the very first records of multicellular life." For the form of the last common ancestor, see: Evolution of the Metazoa, http://www.palaeos.com/invertebrates/dafault.htm, p. 3. and for the epoch of approximately one billion years ago see http://encyclopedia.laborlawtalk.com/ Evolutionary timeline, p. 2.
- Jeffrey H. Schwartz, Sudden Origins: Fossils, Genes and the Emergence of Species, John Wiley and Sons, New York, 1999, p. 36. For discussion of the "Hox" genes that control the development of insect and vertebrate eyes see http://www.palaeos.com/KingdomsAnimalia/Bilateria/htm, p. 4.
- <sup>37</sup> See Chapter Thirteen.
- <sup>38</sup> Strassman, *DMT*, p. 193.
- E.g. see Rick Doblin, "Pahnke's 'Good Friday Experiment': A Long-Term Follow-Up and Methodological Critique," *The Journal of Transpersonal Psychology*, 1991, Vol. 23, No. 1, pp. 1–28. See also Ronald K. Siegel, "Religious Behavior in Animals and Man: Drug-Induced Effects," *Journal of Drug Issues*, Vol. 7, No. 3, pp. 219–36.
- <sup>40</sup> Bill Hicks, *Love all the People*: Letters, Lyrics, Routines, Constable, London, 2004, pp. 73–4, 193–4. Sadly, aged only 32, Bill Hicks died of pancreatic cancer in February 1994.
- <sup>41</sup> Strassman, *DMT*, p. 37.
- <sup>42</sup> See Appendix I.
- E.g. Jeremy Dronfield, "Migraine, Light and Hallucinations: The Neurocognitive Basis of Irish Megalithic Art," Oxford Journal of Archaeology 14 (3), 1995, pp. 261–75. See in particular pp. 265, 271, 272; Peter Lamborn Wilson, Ploughing the Clouds: The Search for Irish Soma, City Lights, San Francisco, 1999, pp. 30–3; Richard Bradley, "Deaths and Entrances: A Contextual Analysis of Megalithic Art," Current Anthropology, Vol. 30, No. 1, February 1989; Jeremy Dronfield, "Ways of Seeing, Ways of Telling," in M. Lorblanchet and P. Bahn (Eds), Rock Art Studies, Oxbow Books, Oxford, 1993, pp. 179ff; Jeremy Dron-

field, "The Vision Thing," Current Anthropology, Vol. 37, No. 2, April 1996, pp. 373ff; Jeremy Dronfield, "Entering Alternative Realities: Cognition, Art and Architecture in Irish Passage Tombs," Cambridge Archaeological Journal 6:1 (1996), pp. 37–72; J.D. Lewis-Williams and T.A. Dowson, "On Vision and Power in the Neolithic," Current Anthropology, Vol. 34, No. 1, February 1993, pp. 55ff; Mark Patton, "On Entoptic Images in Context: Art, Monuments and Society in Neolithic Brittany," Current Anthropology, Vol. 31, No. 5, December 1990, pp. 554ff; Jeremy Dronfield, "Subjective Vision and the Source of Irish Megalithic Art," Antiquity, 69 (1995), pp. 539–49.

Peter Narvez (Ed.), The Good People: New Fairylore Essays, The University Press of Kentucky, (1991) 1997, pp. 29–30, 119, 199, 201. W.Y. Evans-Wentz, The Fairy Faith in Celtic Countries, New Page Books, Franklin Lakes, NJ, 2004 (facsimile reprint of original 1911 edition with a modern Introduction by Carl McColeman), pp. 19, 36, 67, 166–7, 202–3, 205, 409, 413; Diane Purkiss, Troublesome Things: A History of Fairies and Fairy Stories, Penguin, London, 2000, pp. 6–7, 151, 204.

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