## **Features**

## The 10-Year Experience of Oregon's Death with Dignity Act: 1998-2007

Katrina Hedberg, David Hopkins, Richard Leman, and Melvin Kohn

#### BACKGROUND

On 27 October 1997, Oregon's Death with Dignity Act (DWDA) took effect, allowing physicians to prescribe a lethal dose of medication to be selfadministered by terminally ill patients.<sup>1</sup> The law specifically prohibits euthanasia, in which a physician administers a lethal dose of medication to a patient (for example, through an injection). During the first 10 years the law was in effect, it was unique in the United States.

Whether jurisdictions should allow terminally ill patients to ingest lethal doses of medication as an option at the end of life continues to be heatedly debated. In the decade since implementation, the DWDA has been amended by the Oregon legislature, and its legality has been argued before the U.S. Supreme Court,<sup>2</sup> but the law remains in effect. Similar initiatives have been on the ballots in several states, and in November 2008, Washington State passed similar legislation, which took effect in the spring of 2009. A few countries (for example, the Netherlands, Belgium) have laws allowing physician-assisted suicide (similar to Oregon's law) as well as euthanasia (prohibited in Oregon); others have considered similar legislation (for example, Great Britain, Australia).

As the state agency responsible for monitoring participation in the act, the Oregon Department of Human Services believes that accurate data are important to parties on both sides of the policy debate, while we remain neutral about the law itself. During the past decade, annual reports and published articles have documented the number of participants, their demographic characteristics, and underlying medical conditions.<sup>3</sup> While trends in the Dutch experience with physicianassisted suicide and euthanasia have recently been published,<sup>4</sup> no studies have examined trends in DWDA participation in Oregon, which does not allow euthanasia, and has different cultural norms and medical care system than Europe. Trends in practice since implementation are important for other jurisdictions to take into account when considering legislation similar to Oregon's DWDA.

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## The Journal of Clinical Ethics

### METHODS

#### **Data Collection**

To receive a DWDA prescription, a patient must be a terminally ill, adult Oregon resident expected to die within six months, capable of making and communicating healthcare decisions, and able to ingest oral medications. DWDA requires the prescribing and consulting physicians, psychiatrist or psychologist (when applicable), and dispensing pharmacist to report their compliance with the DWDA to the Oregon Department of Human Services once a prescription is written. Data collected from the documents include dates of request, type and dose of medication prescribed, and mental health evaluation referrals (when applicable) (find rules and forms at http:// www.oregon.gov/DHS/ph/pas/index.shtml.)

After the patient's death certificate is received through standard procedures, we abstract demographic characteristics and underlying illness. The prescribing physician submits additional information about the deceased patient and the process on a standardized questionnaire. If the prescribing physician was not present during ingestion, we accept information from others who attended the patient's death. Data include end-oflife concerns, the process, and complications.

#### Analysis

For the purpose of this study, we included all deaths that occurred during the 10 years from 1 January 1998 to 31 December 2007 and resulted from ingesting a legally prescribed lethal dose of medication. Death rates were calculated using as the denominator all Oregon deaths during 1997 through 2006 (the most recent 10 years for which final mortality data were available). Using rate ratios, we compared the characteristics of participating patients to those of other Oregonians who died of the same underlying diseases, based on specific ICD-9 codes listed on death certificates. Although the data are population-based and not a sample, we performed statistical analyses using Mantel-Haenszel chi-square test and test for linear trend, and Fisher's exact test, on the assumption that the data are a sample in time.<sup>5</sup>

#### RESULTS

During the years 1998 through 2007, physicians wrote 546 prescriptions, and 341 Oregonians died from ingesting a legally prescribed lethal dose of medication under the Death with Dignity Act. During this same time period, a total of 296,558 residents died of all causes (the total includes DWDA deaths), corresponding to an overall rate of 11.3 DWDA deaths per 10,000 total deaths. The numbers of DWDA patients and rates of participation increased from 16 patients (corresponding to 5.3 per 10,000 deaths) in 1998 to 49 patients (corresponding to 15.6 per 10,000 deaths) in 2007 (see figure 1).

#### **Patient Characteristics**

The characteristics of participating patients are summarized in table 1. While most deaths (77 percent) occurred in those 55 to 84 years of age, rates (comparing DWDA patients to those who died of the same underlying diseases) were highest among those 18 to 34 years of age (65.0 per 10,000 deaths) and lowest in those  $\geq 85$  years (15.2). Most patients (97.4 percent) were White. Education level was associated with participation: those with post-baccalaureate education were 9.5 times more likely to use the DWDA than those lacking a high school education. Rates of participation for people living in rural Oregon east of the Cascade Mountains were lower than for those living in the Portland metropolitan area (rate ratio, or RR = 0.4; 95 percent confidence interval, or CI = 0.3, 0.6).

Patients with cancer accounted for 82.1 percent of the cases (see table 1). Rate ratios were elevated for all types of cancer (RR = 10.5; 95 percent; CI = 4.3, 25.3); with rates for ovarian cancer (82.7 per 10,000 deaths) and pancreatic cancer (76.6) being more than twice that for lung cancer (31.6). Although the absolute numbers of patients are small, the rate for those with amyotrophic lateral sclerosis (ALS) was 67 times higher, and the rate for patients with HIV/AIDS was 57 times higher than that for patients with heart disease.

#### **Death with Dignity Act Process**

Trends in the DWDA process are presented in table 2. As reported by physicians, the two most common factors that contributed to patients requesting prescriptions — a loss of autonomy and a decreasing ability to engage in enjoyable activities — increased over the 10-year period. In addition, reports of concerns about inadequate pain control (including fear of future pain) increased from 12.5 percent in 1998 to 32.7 percent in 2007 (p < 0.02), and reports about being a burden on family members or caregivers increased from 12.5 percent in 1998 to 44.9 percent in 2007 (p = 0.01).

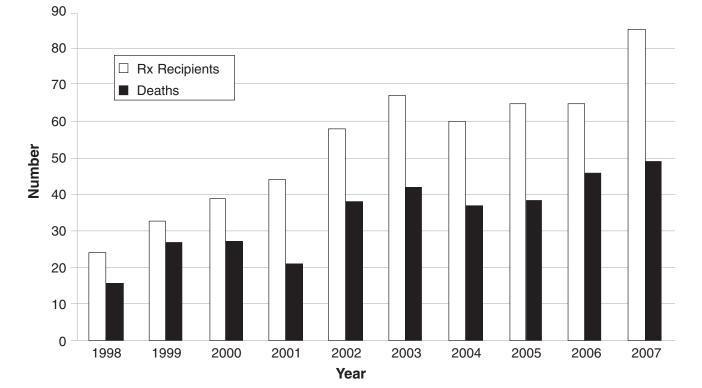
The proportion of patients referred for a formal mental health evaluation declined, from 43.5 percent in 1999 to 0.0 percent in 2007 (p < 0.001); since 2003, the proportion has been 5.4 percent or less. Evaluations were more likely among patients older than 75 years (14.3 percent) than those aged less than 65 years (4.3 percent; p for trend = 0.01). Hospice coverage remained high throughout the study period, and was 87.8 percent in 2007.

The interval between a terminal diagnosis and receiving a prescription shortened, although it was not statistically significant (p = 0.07), while the interval between receiving a prescription and ingesting medication lengthened (p = 0.02). Of 546 patients who received a prescription, 17 (5.0 percent) lived more than six months after receiving it. Of these, 11 (64 percent) lived six to 12 months, and six lived 12 to 23 months. While 106 participants (32 percent) had been patients of the prescribing physician for one year or more, 170 patients (51 percent) had known the prescribing physician for three months or less (median length of physician-patient relationship = 11 weeks). The percentage of prescribing physicians who were present at ingestion of the medication decreased from 59.3 percent in 1999 to 22.4 percent in 2007 (p < 0.001). The majority of patients (319; 93.5 percent) ingested their medications at home; this did not change over the study period.

#### **Medications Prescribed**

During the 10 years, secobarbital and pentobarbital were the primary medications prescribed, but the proportions varied by year (figure 2). Overall, 10 grams of pentobarbital most often led to death within one hour (91.6 percent of patients) compared to other barbiturate and dose combinations (table 3). Of the 316 patients with known time until death, 61 (19.3 percent) lived longer than one hour after ingestion; 22 (7.0 percent) lived longer than six hours. In addition, in 2005 one person ingested 10 grams of secobarbital, remained unconscious for two and one-half days before re-awakening, and died two weeks later from his underlying illness. Patients who ingested a partial dose before becoming unconscious (5.8) percent of patients) were more likely to live longer than six hours compared to those who ingested the entire dose (22.2 percent versus 6.2 percent;

Figure 1. Numbers of Death with Dignity Act Prescriptions and Deaths, 1998-2007



p = 0.03). Patients who experienced partial emesis (5.7 percent) were more likely to live longer than six hours than those who did not (21.1 percent versus 6.1 percent; p = 0.04).

### **Physicians' Characteristics**

From 2001 through 2007, 109 different physicians wrote one or more DWDA prescriptions for medications that were ingested by their patients. (Physician identifier codes are not available for 1998 through 2000.) Of these physicians, 72 (66.1 percent) wrote one prescription; 17 (15.6 percent) wrote two; while three physicians (2.0 percent) wrote more than 10. These three physicians wrote 62 (22.8 percent) of 271 prescriptions written during 2001 through 2007. Medical specialties of the 109 physicians included family practice (41.3 percent), internal medicine (27.5 percent), and oncology (20.2 percent). Most physicians (57.8 percent) had been in practice for 20 or more years.

From 1998 through 2007, the Oregon Department of Human Services filed 18 reports with the Oregon Medical Board for physicians failing to adhere to the requirements of the DWDA, most commonly for improper completion of the patient's written request (for example, the patient and witnesses did not sign at the same time). Other reasons included failure to file required documentation in a timely manner (one report was filed more than a year after the patient's death), incomplete documentation, and failure to wait 48 hours after the written request before writing the prescription. The Oregon Medical Board's investigations did not find that any physicians had violated good faith compliance with the act.

#### DISCUSSION

Oregon's DWDA law remains controversial 10 years after its implementation. Proponents and opponents disagree even on what terminology should be used; because of the connotations of the language, proponents prefer the terms "physician aid in dying," "hastened death," and "death with dignity," and opponents prefer the term "physician-assisted suicide." The terms "suicide"

**DWDA** Patients Oregon Deaths, DWDA Deaths 1998-2007 Same Diseases per 10,000 Rate Ratio Characteristics  $(N = 341)^2$  $(N = 98,942)^3$ Oregon Deaths (95% CI)4 Sex Male (%) 183 (53.7)49,886 (50.4)36.7 1.1 (0.9-1.4)32.2 Female (%) 158 (46.3)49,056 (49.6) 1.0 Age 65.0 4.3 18-34 (%) 4 (1.2)615 (0.6)(1.5-12.1)3.5 35-44 (%) 10 (2.9)1,899 (1.9)52.7 (1.7-7.0)45-54 (%) 31 (9.1) 6,467 (6.5)47.9 3.2 (1.9-5.2)3.6 55-64 (%) 73 13,298 (13.4)54.9 (2.4 - 5.5)(21.4)93 65-74 (%) (27.3)23,492 (23.7)39.6 2.6 (1.7-3.9)98 75-84 (%) (28.7)32,102 (32.4)30.5 2.0 (1.4-3.0)85+ (%) 32 (21.3)15.2 1.0 (9.4) 21.069 ---Median years (range) 69 (25.0-96.0) 76 (18.0-112.0) --------Race White (%) 332 34.9 1.0 (97.4)95,047 (96.1)---1,099 54.6 (0.7 - 3.5)Asian (%) 6 (1.8)(1.1)1.6 Native American (%) 1 (0.3)702 (0.7)14.2 0.4 (0.1-2.9)2 Hispanic (%) 954 21.0 0.6 (0.6)(1.0)(0.2-2.4)0 1,070 African-American (%) (0.0)(1.1)0.0 0.0 ---0 Other (%) (0.0)43 (0.0)0.0 0.0 ---Unknown 0 27 ------

**Table 1.** Characteristics of 341 DWDA Patients Who Died during 1998-2007 after Ingesting a Lethal Dose of Medication, Compared with 98,942 Oregonians Who Died from the Same Underlying Diseases<sup>1</sup>

Table 1 continued on next page

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## Table 1. Continued

Characteristics	199	A Patients 98-2007 = 341) <sup>2</sup>	Oregon I Same Dis ( <i>N</i> = 98	seases	DWDA Deaths per 10,000 Oregon Deaths		ate Ratio 95% CI)⁴
Marital status							
Married (%)	154	(45.2)	47,312	(47.9)	32.5	1.0	
Widowed (%)	73	(21.4)	32,173	(32.6)	22.7	0.7	(0.5-0.9)
Divorced (%)	86	(25.2)	14,817	(15.0)	58.0	1.8	(1.4-2.3)
Never married (%)	28	(8.2)	4,381	(4.4)	63.9	2.0	(1.3-2.9)
Unknown	0		259				
Education							
Less than high school (%)	27	(7.9)	22,170	(22.7)	12.2	1.0	
High school graduate (%)	95	(27.9)	42,134	(43.2)	22.5	1.9	(1.2-2.8)
Some college (%)	79	(23.2)	18,578	(19.1)	42.5	3.5	(2.3-5.4)
Baccalaureate (%)	71	(20.8)	8,663	(8.9)	82.0	6.7	(4.3-10.5)
Post-baccalaureate (%)	69	(20.2)	5,967	(6.1)	115.6	9.5	(6.1-14.8)
Unknown	0		1,430				/
Residence			,				
Metro counties (%)	140	(41.1)	34,880	(35.3)	40.1	1.0	
Coastal counties (%)	25	(7.3)	7,833	(7.9)	31.9	0.8	(0.5-1.2)
Other western counties (%)	151	(44.3)	41,150	(41.6)	36.7	0.9	(0.7-1.2)
East of the Cascades (%)	25	(7.3)	15,079	(15.2)	16.6	0.4	(0.3-0.6)
Underlying illnesses		( )	,	( )			( ,
Neoplasms (%)	280	(82.1)	66,255	(67.0)	42.3	10.5	(4.3-25.3)
Lung and bronchus (%)	65	(19.1)	20,557	(20.8)	31.6	7.8	(3.2-19.4)
Pancreas (%)	30	(8.8)	3,914	(4.0)	76.6	19.0	(7.4-48.9)
Breast (%)	30	(8.8)	5,134	(5.2)	58.4	14.5	(5.6-37.2)
Colon (%)	23	(6.7)	5,315	(5.4)	43.3	10.7	(4.1-28.2)
Prostate (%)	20	(5.9)	4,365	(4.4)	45.8	11.3	(4.3-30.2)
Ovary (%)	17	(5.0)	2,055	(2.1)	82.7	20.5	(7.6-55.4)
Lymphoid/hematopoietic (%		(2.9)	5,728	(5.8)	17.5	4.3	(1.5-12.6)
Skin (%)	10	(2.9)	1,380	(1.4)	72.5	17.9	(6.1-52.4)
Brain (%)	8	(2.3)	1,751	(1.8)	45.7	11.3	(3.7-34.5)
Esophagus (%)	7	(2.1)	1,819	(1.8)	38.5	9.5	(3.0-30.0)
Oral Cavity (%)	6	(1.8)	521	(0.5)	115.2	28.5	(8.7-93.1)
Bladder & Ureter (%)	6	(1.8)	1,878	(1.9)	31.9		(2.4-25.9)
Liver (%)	6	(1.8)	1,470	(1.5)	40.8	10.1	(3.1-33.1)
Kidney (%)	5	(1.5)	1,471	(1.5)	34.0	8.4	(2.4-29.0)
Other (%)	37	(10.9)	8,897	(9.0)	41.6	10.3	. ,
Amyotrophic lateral sclerosis (%)		(7.6)	962	(1.0)	270.3		25.7-173.8)
Chronic respiratory disease (%)	15	(4.4)	17,721	(17.9)	8.5	2.1	(0.8-5.8)
HIV/AIDS (%)	7	(2.1)	304	(0.3)	230.3		18.2-178.6)
Heart disease (%)	5	(1.5)	12375	(12.5)	4.0	1.0	
Illnesses listed below <sup>5</sup> (%)	8	(2.3)	1,325	(1.3)	60.4	14.9	(4.9-45.6)

#### NOTES

1. The same underlying disease is defined by specific ICD-9 code.

2. Unknowns were excluded when calculating percentages.

3. Ibid.

4. Confidence interval.

5. Includes alcoholic hepatic failure, corticobasal degeneration, diabetes mellitus with renal complications, hepatitis C, organ-limited amyloidosis, scleroderma, and Shy-Drager syndrome.

Characteristic	Total	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007 p	<i>p</i> value <sup>1</sup>
Number of deaths	341	16	27	27	21	38	42	37	38	46	49	1
End-of-life concerns (n)	337	16	27	27	17	38	42	37	38	46	49	:
Steady loss of autonomy (%)	89.0	75.0	77.8	92.6	94.1	84.2	92.9	86.5	78.9	95.7	100	0.007
Less able to engage in enjoyable activities (%)	86.6	68.8	81.5	77.8	76.5	84.2	92.9	91.9	89.5	95.7	85.7	0.007
Loss of dignity (%)	81.6	NA	NA	NA	NA	NA	78.6	78.4	89.5	76.1	85.7	0.5
Losing control of bodily functions (%)	58.2	56.3	59.3	77.8	52.9	47.4	57.1	64.9	44.7	58.7	63.3	0.8
Burden on family, friends/caregivers (%)	39.2	12.5	25.9	63.0	23.5	36.8	38.1	37.8	42.1	43.5	44.9	0.01
Inadequate pain control or concern about it (%)	27.3	12.5	25.9	29.6	5.9	26.3	21.4	21.6	23.7	47.8	32.7	0.02
Financial implications of treatment (%)	2.7	0.0	0.0	3.7	5.9	2.6	2.4	5.4	2.6	0.0	4.1	0.1
Psychiatrist consulted (n)	335	16	53	25	21	38	42	37	38	46	49	:
Yes (%)	10.7	31.3	43.5	20.0	14.3	13.2	4.8	5.4	5.3	4.3	0.0	< 0.001
Receiving hospice care at time of death $(n)$	339	15	27	26	21	38	42	37	38	46	19	:
Yes (%)	85.8	73.3	77.8	88.5	76.2	92.1	92.9	89.2	92.1	76.1	87.8	0.4
Terminal diagnosis to prescription written (n)	244	9	6	÷	16	24	37	g	26	41	41	:
≤ 10 weeks (%)	52.0	33.3	44.4	54.5	37.5	41.7	54.1	48.5	53.8	62.9	53.7	0.07
Prescription written to ingestion (n)	341	16	27	27	21	38	42	37	38	46	49	:
≤ 2 weeks (%)	61.9	81.3	55.6	81.5	52.4	60.5	71.4	73.0	60.5	47.8	51.0	0.02
Prescribing M.D. present at ingestion (n)	341	16	27	27	21	38	42	37	38	46	49	I
Yes (%)	32.8	50.0	59.3	51.9	42.9	34.2	28.6	16.2	21.1	32.6	22.4	< 0.001

implications, in that they can influence acceptance or rejection of proposed legislation similar to Oregon's DWDA. We continue to struggle to find a term to describe what is permitted under Oregon's law that is widely understandable and maintains our neutrality. While the number and rate of patients who participate in DWDA increased in the decade that the law has been in effect,

and "dignity" have political

DWDA increased in the decade that the law has been in effect, the number of participants remains small compared to the number of all deaths in Oregon, corresponding to 0.1 percent. Jurisdictions that allow euthanasia have higher rates than Oregon does: from 1990 through 2005, the rate of euthanasia in the Netherlands fluctuated between 1.7 percent and 2.4 percent, and physician-assisted suicide fluctuated from 0.1 percent to 0.2 percent of all deaths.<sup>6</sup> This likely reflects different cultural norms and endof-life medical care practices in the Netherlands and Oregon.

The demographic characteristics and medical diagnoses of patients remained stable over the 10 years. Participation rates remained highest among persons younger than 85 years, who were White or Asian, had more formal education, and had a diagnosis of cancer. When the DWDA was first enacted in Oregon, considerable debate focused on whether or not vulnerable populations, such as persons of color or patients who were poor or uneducated would be coerced into participating in the DWDA. The Oregon data demonstrate that this has not materialized, a finding similar to that in the Netherlands.7

1. Chi-square test for trend.

NOTES

Table 2. Ten-Year Trends in the Practice of the Oregon Death with Dignity Act, 1998-2007

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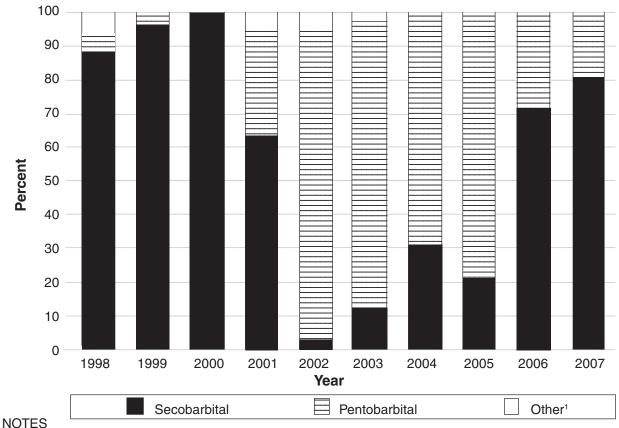
The reported primary concerns of patients that led to a request for medication have also remained stable. The two most common concerns of patients that were reported by physicians during the first years — a loss of autonomy and a decreasing ability to engage in enjoyable activities — continued to be the most common in the tenth year. These findings are consistent with those of other studies based on interviews with patients and family members that examine Oregon patients' motivations for using the DWDA, which include wanting control over the circumstances surrounding death and concerns about loss of function, dignity, and independence.<sup>8</sup>

Several worrisome trends, however, have emerged over the decade. The increase in reported concern about inadequate (current or future) pain control is noteworthy, as is the concern about being a burden on caregivers. The DWDA specifically requires the prescribing physician to review alternatives to DWDA, including comfort care, hospice care, and pain control. During the 10-year study period, enrollment in hospice among pa-

tients remained consistently high, with 73 percent to 93 percent of patients receiving hospice care at the time of death (mean = 86 percent). Nonetheless, patients' reported concerns about inadequate pain control underscore the need for physicians to address the pain of terminally ill patients, which is supported by a recent requirement for physicians to take training in pain control for licensure in Oregon. The increase in reported concerns about inadequate pain control in DWDA patients merits further study, as does the concern about being a burden.

Another worrisome trend is a decline in requests for formal psychiatric evaluation: evaluations decreased from approximately one-third of the patients in the first two years after implementation of the act, to none in 2007. Psycho-social evaluation is part of hospice intake, and physicians may not have thought a more formal evaluation was necessary. Nonetheless, a recent study of terminally ill patients in Oregon who requested a prescription under the act found that three of 18 patients who received prescriptions met clini-

Figure 2. Types of Medication Ingested by Oregon Death with Dignity Act Decedents, 1998-2007



1. Includes secobarbital and pentobarbital prescribed in combination, or with morphine.

cal criteria for depression.<sup>9</sup> Although the act expressly requires evaluation by a licensed psychiatrist or psychologist if a condition causing impaired judgment is suspected, the decline in formal evaluations raises concerns that depression remains undiagnosed in some patients who request and receive a prescription under the DWDA.

Changes in the DWDA medication prescribed over time appear to have been driven not by evidence of efficacy or risk of complications, but by availability and cost. In May 2001, Eli Lilly Company reported that it stopped producing secobarbital due to lack of raw materials, leading to a decline in its use. Physicians then began prescribing oral ingestion of the available liquid (injectable) form of pentobarbital, which costs approximately \$1,500 for 10 grams, compared to approximately \$100 for 10 grams of oral secobarbital.<sup>10</sup> In September 2003, Ranbaxy Pharmaceuticals began producing secobarbital, coinciding with an increase in its use. While both medications led to unconsciousness within 10 minutes for most patients (89 percent), the time until death was less consistent; these findings are similar to the Dutch experience, where 12 percent of patients had prolonged time until death and 2 percent awakened.<sup>11</sup>

Most participating physicians wrote one or two prescriptions from 2001 through 2007 (years for which data are available); however, three physicians wrote more than 10 prescriptions each, and wrote nearly one-fourth of all of the prescriptions written. Previous studies indicate that as many as half of Oregon physicians may not be willing to write a prescription under the DWDA.<sup>12</sup> Thus, patients may be referred to physicians within larger health systems who have more experience with DWDA, or physicians who are known to be advocates of the act. This may account for why half of patients knew the prescribing physician for three months or less.

Issues not addressed in Oregon's law have been a source of controversy since DWDA implementation. The act outlines requirements prior to a prescription being written, but not procedures after the medication is dispensed. For example, it does not require a prescribing physician to follow a patient, nor to reassess a patient for decline in cognitive function that might develop and lead to impaired judgment. Several studies have found that a patient's interest in acquiring a DWDA prescription fluctuates over time.<sup>13</sup> Thus, it may be prudent for prescribing physicians to have an ongoing dialog with patients to assure that end-oflife concerns are met. The act also does not require a physician to be present when a patient ingests the prescribed medication. In addition, while the act assigns to the Oregon Department of Human Services responsibility for monitoring

	Total <sup>2</sup>		Secobarl	parbital, 9 g. Secobarbital, 10 g.		ital, 10 g.	Pentobarbital, 9 g.		Pentobarbital, 10 g.	
	n	%	n	%	n	%	п	%	n	%
Total	341	100	113	33.3	58	17.0	36	10.6	123	36.1
Unconsciousness										
0-10 minutes	273	88.9	78	83.0	52	92.9	26	89.7	110	92.4
11+ minutes	34	11.1	16	17.0	4	7.1	3	10.3	9	7.6
Unknown	34		19		2		7		4	
Death <sup>3</sup>										
0-60 minutes	255	80.7	72	73.5	45	80.4	22	68.8	109	91.6
61 minutes-6 hours	39	12.3	17	17.3	8	14.3	8	25.0	5	4.2
>6 hours	22	7.0	9	9.2	3	5.4	2	6.3	5	4.2
Unknown	25		15		2		4		4	

Table 3. Interval between Ingestion and Unconsciousness/Death by Medication Type and Dose<sup>1</sup>

NOTES

1. Other combinations of medications and doses were taken by n = 11.

2. Ibid.

3. In addition, one patient ingested 10 grams of secobarbital, remained unconscious for 65 hours, awakened, and died from his illness two weeks later. He is not included in this report.

participation in the DWDA, it does not assign enforcement authority nor does it provide resources to support regulatory activities, which has left us open to charges of bias.<sup>14</sup>

Data regarding Oregon's experience with DWDA in the past decade are important for the ongoing policy debate. While our experience might not be directly applicable to other jurisdictions with different population characteristics (Oregon's residents are mostly White) and endof-life care practices (Oregon has high levels of hospice coverage and advanced care planning),<sup>15</sup> Oregon's experience provides an important perspective as a jurisdiction that allows self-ingestion of lethal doses of medication, but not euthanasia. This option continues to be used by only a small number of terminally ill Oregonians.

#### NOTES

1. Oregon Death with Dignity Act, Oregon Revised Statute 127.800-127.995, *http://oregon.gov/DHS/ph/pas/index.shtml*, accessed 18 February 2008.

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# Putting Oregon's Death with Dignity Act in Perspective: Characteristics of Decedents Who Did Not Participate

Katrina Hedberg and Susan Tolle

Since 1997 when Oregon's Death with Dignity Act (DWDA) was first enacted, 341 terminally ill patients have ingested a lethal dose of medication under the act. "The 10-Year Experience of Oregon's Death with Dignity Act: 1988-2007," by Hedberg, Hopkins, Leman, and Kohn in this issue of *JCE*, describes the experience of the Oregon Department of Human Services and highlights the demographic characteristics and underlying medical conditions of patients who were eligible for and chose to participate in the act. Most participating patients were diagnosed with terminal cancer, were younger than 85 years of age, and were White or Asian, characteristics that have remained stable for this group over time. During the same time period, 296,217 Oregonians died without using the act (296,558 total deaths).

The characteristics of patients who participate in DWDA are not representative of all Oregonians who die. Rather, their characteristics likely reflect underlying factors, including medical and cognitive eligibility, knowledge of end-of-life options,

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**Susan Tolle, MD,** is a Professor in the Division of General Internal Medicine and Geriatrics at the Center for Ethics in Health Care, Oregon Health and Science University, in Portland. ©2009 by *The Journal of Clinical Ethics*. All rights reserved. and patients' social, religious, and cultural values. The reality is that the vast majority of Oregon decedents are either not interested in, or are medically ineligible to participate in DWDA.

Many Oregonians oppose the law, and many would not personally consider participating in the DWDA at the end of life. When the DWDA was on the ballot in 1997, a sizable percentage of Oregonians (40 percent) voted against it. Interviews that we conducted with families of Oregonians who died from 2000 through 2002 found that only 44 percent of decedents reported to their families that they supported the law.<sup>1</sup> Opposition to the law increased with age. Family members reported that only 9 percent of decedents aged 85 years or older, and 20 percent of those aged 65 to 84 years had told a family member that they had personally considered participating in the act.

Among those who might personally consider using the law, many are medically ineligible because they don't meet the requirement of having a terminal illness with a prognosis of six months or less. During the decade 1 January 1998 through 31 December 2007, approximately 99,000 Oregonians died of the same underlying diseases as the DWDA participants. During this same time period, approximately 296,000 Oregonians died of all causes. Thus, two-thirds of Oregonians died from causes (for example, injury) for which there were no DWDA participants. Compared to those who died without using DWDA (based on death certificate data of all Oregon decedents), those who participated from 1998 through 2007 were more likely to have cancer (82.1 percent of DWDA decedents compared to 24.4 percent of Oregonians who died without using the act; see table 1). Most patients with metastatic cancer who decide to forgo further curative treatment and are losing weight will live six months or less if their disease follows the usual course. Since fewer than onefourth of all Oregon decedents died of cancer, the majority died from diseases or conditions in which a prognosis of six months or less is often difficult The Journal of Clinical Ethics

to make. For example, cardiovascular disease (32.3 percent of all deaths) frequently leads to a sudden acute event from which patients either die or survive; the prognosis for patients who survive an acute myocardial infarction or stroke is hard to determine, and many patients live for years. While amyotrophic lateral sclerosis (ALS) and acquired immunodeficiency syndrome (AIDS) accounted for 9.7 percent of DWDA deaths combined, these are not common causes of death among all Oregonians (0.4 percent of all deaths).

Another reason that patients are not eligible to participate in the DWDA is that they are inca-

	DWDA Decedents $(n = 341)$		All Other Orego ( <i>n</i> = 296			
	n	%	n	%	DWDA Deaths per 10,000	Rate Ratio
Cause of Death <sup>2</sup>						
Neoplasms	280	82.1	72,186	24.4	38.6	74.3
Amyotrophic lateral sclerosis	26	7.6	936	0.3	270.3	519.8
Respiratory disease	15	4.4	19,494	6.6	7.7	15.4
HIVAIDS	7	2.1	297	0.1	230.3	442.9
Heart disease and stroke	5	1.5	95,623	32.3	0.5	1.0
Diabetes mellitus	1	0.3	9,891	3.3	1.0	1.9
Injuries	0	0.0	19,286	6.5	0.0	0
Other	7	2.1	78,504	26.5	0.9	1.8
Age years						
18-64	118	34.6	64,955	21.9	18.1	5.1
65-84	191	56.0	141,041	47.6	13.5	3.8
85+	32	9.4	90,221	30.5	3.6	1.0
Race/ethnicity						
White or Asian	338	99.1	285,501	96.4	11.8	1.0
Hispanic	2	0.6	3,976	1.3	5.0	0.4
American Indian	1	0.3	2,524	0.9	4.0	0.03
African American	0	0.0	3,671	1.2	0.0	0
Other <sup>3</sup>	0	0.0	544	0.2	0.0	0
Education in years						
<12	27	7.9	69,693	23.5	3.9	1.0
12	95	27.9	125,351	42.3	7.6	2.0
13-15	79	23.2	53,889	18.2	14.7	3.8
16+	140	41.1	42,541	14.4	32.8	8.5
Not stated	0	0.0	4,744	1.6		

Table 1. Characteristics of Oregon Decedents Who Used the DWDA Compared to Oregon Decedents Who Did Not, 1998-2007

NOTES

1. Excludes the 341 DWDA deaths, but includes all other deaths, not only those who died of the same underlying diseases.

2. Cause of death is defined by broad groupings of ICD-9 codes.

3. Other includes Bahamian, Belizean, Guamanian, Guyanese, Mestizo, etc.

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pable of making and communicating healthcare decisions. We would expect a sizable proportion of those over the age of 85 years to meet the requirement of a life expectancy of six months or less. For example, the incidence of cancer increases with increasing age, and is high among those older than 85. This older age group, however, is under-represented among patients who participate in DWDA: 30 percent of all Oregonian decedents are older than 85, compared to only 9.4 percent of DWDA deaths. Because cognitive impairment increases with increasing age,<sup>2</sup> an estimated 30 to 50 percent of Oregon decedents older than 85 may have some form of dementia, making them ineligible to participate. In Oregon, Alzheimer's disease ranks as the fourth leading cause of death for persons older than 85.

Participation in DWDA is not equal by race. All but three of the patients who participated in DWDA were White or Asian (99.1 percent), compared to 96.4 percent of Oregon decedents who died without using DWDA. Of these three patients, two were Hispanic and one was American Indian; no African-American decedents have participated during the past 10 years. This finding is supported by our interviews with families of decedents in 2002, in which fewer African-Americans supported the law, and none personally considered participation. In contrast, 18 percent of Whites and 24 percent of Asians told family members they had personally considered participating.<sup>3</sup>

Decedents with less formal education were less likely to participate in the DWDA than those with more education; 65.8 percent of Oregon decedents who died without using DWDA had a high school education or less, compared to 35.8 percent of DWDA decedents. In our family interviews, we found that having personally considered using DWDA was similar across educational levels. Since differing diagnoses and prognoses are not a likely explanation for the significantly lower rate of participation in DWDA by those with less education, this finding may reflect lack of knowledge of end-of-life care options,<sup>4</sup> or lack of ability to identify a careprovider who is willing to participate. In addition, the relatively higher level of education among DWDA participants may in part reflect rates of access to private health insurance and increased access to physicians who are willing to prescribe.

While DWDA has been law in Oregon for 10 years, a sizable proportion of Oregonians opposes

the law, or would not consider personally participating. In addition, the requirements of the act, which include that a patient have a terminal illness with a prognosis of six months or less to live and that the patient be cognitively intact, preclude participation by the majority of Oregon patients who might be interested in pursuing this option. In addition, some interested and medically eligible persons have difficulty locating a willing physician.<sup>5</sup> Others do not live for 15 days after making the initial request, or do not meet other specific requirements of the DWDA. Given these findings, it is not surprising that DWDA is an endof-life option used by only one in one thousand Oregonians who die.

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#### NOTES

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