# **CHAOTIC SYSTEMS CONTROL**

Almost all real physical, biological, and chemical as well as many other systems are inherently nonlinear. This is also the case with electrical and electronic circuits. Apart from systems designed to perform linear operations (usually in such cases they just operate in a small region in which they behave linearly) there exists an abundance of systems that are nonlinear by their principle of operation. Rectifiers, flip-flops, modulators and demodulators, memory cells, analog to digital (A/D) converters, and different types of sensors are good examples of such systems. In many cases the designed circuit, when implemented, performs in a very unexpected way, totally different from that for which it was designed. In most cases, engineers do not care about the origins and mecha-

nisms of the malfunction; for them a circuit that does not perform as desired is of no use and has to be rejected or redesigned. Many of these unwanted phenomena, such as excess noise, false frequency lockings, squegging, and phase slipping have been found to be associated with bifurcations and chaotic behavior. Also many nonlinear phenomena in other science and engineering disciplines have a strong link with "electronic chaos." Examples are aperiodic electrocardiogram waveforms (reflecting fibrillations, arrythmias, or other types of heart malfunction), epileptic foci in electroencephalographic patterns, or other measurements taken by electronic means in plasma physics, lasers, fluid dynamics, nonlinear optics, semiconductors, and chemical or biological systems. 100 120 140 160 180 200

tial time  $t_0$ , equations of evolution and input signals fully de-

- 1. The solutions show sensitive dependence on initial conditions (trajectories are unstable in the Lyapunov sense) but remain bounded in space as time elapses (are stable in the Lagrange sense).
- 2. Trajectory moves over a strange attractor, a geometric invariant object that can possess fractal dimension. The trajectory passes arbitrarily close to any point of the attractor set—that is, there is a dense trajectory.
- 3. Chaotic behavior appears in the system as via a ''route'' to chaos that typically is associated with a sequence of bifurcations, qualitative changes of observed behavior when varying one or more of the parameters.

Sensitive dependence on initial conditions means that trajectories of a chaotic system starting from nearly identical initial conditions will eventually separate and become uncorrelated  $-2.5$  -2 -1.5 -1 -0.5 0 0.5 1 1.5 2 (but they will always remain bounded in space). Large varia-<br>tions in the observed long-term behavior due to very small<br>changes of initial state are often referred to as "the butterfly<br>shown. The curve never closes itself,



Figure 1. Illustration of the sensitive dependence on initial condi-**DEFINITION OF IS CHAOTIC BEHAVIOR** tions—first fundamental property of chaotic systems. Two trajectories of Chua's oscillator starting from initial conditions with the difference of 0.001 in the first component for a short time stay close to each In this section we consider only deterministic systems (i.e.,<br>systems for which knowledge of the initial state at some ini-<br>shape.

termine the state and outputs for any  $t \geq t_0$ .<br>Typically deterministic systems display three types of be-<br>havior of their solutions: they approach constant solutions,<br>they converge toward periodic solutions, or they con a system is realized only with some finite accuracy  $\epsilon$ . If two to every practicing engineer.<br>Now it has been confirmed that almost every physical system are closer to each other than  $\epsilon$ , then they conditions are closer to each other than  $\epsilon$ . Now it has been confirmed that almost every physical sys-<br>tem can also display behaviors that cannot be classified in<br>any of the above-mentioned three categories; the systems be-<br>come aperiodic (chaotic) if their paramete How can we describe chaos except saying that it is the kind<br>of behavior that is not constant, periodic, or quasi-periodic or<br>There is also another consequence of this property that may of behavior that is not constant, periodic, or quasi-periodic or There is also another consequence of this property that may<br>convergent to any of the above? For the purpose of this article be appealing for control purposes convergent to any of the above? For the purpose of this article be appealing for control purposes: a very small stimulus in we consider some specific properties to qualify behavior as the form of tiny change of parameters can have a very large chaotic:<br>
effect on the system's behavior. effect on the system's behavior.

> The second property can be explained easily by Fig. 2. It is clear that the trajectory shown in this figure ''fills'' out some



changes of initial state are often referred to as "the butterfly shown. The curve never closes itself, moves around in an unpredict-<br>effect" (increment of butterfly wings can change weather in a she way, and densely fills able way, and densely fills some part of the space (here, the plane).



point corresponds to a period-one orbit, two points to a period-two havior into chaotic motion (which might be the goal in the orbit, and a large number of points spread in an interval can be inter- case of epileptic seizures). The last-mentioned type of control preted as chaotic behavior. Visible chaos appears via a ''route'' when is often referred to as *anticontrol* of chaos. the parameter is changed continuously—here, branching of the bifur-<br>cation tree can be interpreted as period doubling route to chaos. The sins of attractor and fractal basin boundaries. This means cation tree can be interpreted as period doubling route to chaos. The sins of attracton and fractal basin boundaries. This means<br>diagram also confirms existence of a large variety of qualitatively dif-<br>that depending on th

region of space and a small ball of radius  $\epsilon$  around it, the chance are therefore with every comparison of space and a small ball of radius  $\epsilon$  around it, the chance is all states leading to a particular type of behavi

detect some of such orbits in numerical experiments (1). Fig-<br>ure 4 shows some of the periodic orbits uncovered from the variation of an existing accessible system parameter

The above-described fundamental properties of chaotic systems (their solutions) is the basis of the chaos control ap-<br>proaches described below.

### **WHAT CHAOS CONTROL MEANS**

sents a rather peculiar type of behavior commonly considered proaches to controlling such systems. This article presents seas causing malfunctions, disastrous in most applications. It is lected methods developed for controlling chaos in various asobvious that an amplifier, a filter, an A/D converter, a phase- pects—starting from the most primitive concepts like

locked loop, or a digital filter generating chaotic responses is of no use—at least for its original purpose. Similarly, we would like to avoid situations where the heart does not pump blood properly (fibrillation or arrythmias) or epileptic attacks. Even more spectacular potential applications might be influencing rainfall and avoiding hurricanes and other atmospheric disasters believed to be associated with large-scale chaotic behavior.

The most common goal of control for a chaotic system is suppression of oscillations of the "bad" kind and influencing the system in such a way that it will produce a prescribed, desired motion. The goals vary depending on a particular application. The most common goal is to convert chaotic motion into a stable periodic or constant one. It is not at all obvious how such a goal could be achieved, because one of the fundamental features of chaotic systems, the sensitive dependence 50 100 150 200 250 300 350 400 450 500 on initial conditions, seems to contradict any stable system **Figure 3.** Bifurcation diagram for the *RC*-ladder chaos generator operation. Recently, several applications have been men-<br>with slope  $m_1$  chosen as bifurcation parameter. The diagram is ob-<br>tioned in the literature in with slope  $m_1$  chosen as bifurcation parameter. The diagram is obtioned in the literature in which the desired state of system<br>tained in such a way as for every chosen parameter value (abcissa)<br>the long-term behavior of

diagram also confirms existence of a large variety of qualitatively dif-<br>ferent behaviors existing for suitably chosen values of parameter.<br>werge to different steady states. Trajectories in nonlinear systems may possess several different limit sets and thus exhibit part of the space. If we arbitrarily choose a point within this a variety of steady-state behaviors depending on the initial region of space and a small ball of radius  $\epsilon$  around it, the condition, chaotic or otherwise.

- 
- double scroll attractor shown in Fig. 2. change in the system design-modification of its internal<br>The above-described fundamental properties of chaotic sys-<br>structure
	-
	- introduction of a controller (classical PI, PID, linear or nonlinear, neural, stochastic, etc.)

Because of the very rich dynamic phenomena encountered Chaos, so commonly encountered in physical systems, repre- in typical chaotic systems, there are a large variety of ap-



Figure 4. Second fundamental property of chaos. Within an attractor (visible in experiments and depicted in Fig. 2) an infinite but countable number of unstable periodic orbits exist. Such orbits are impossible to observe in experiments but can be detected using computer methods. In this picture some approximations to actual unstable periodic orbits are shown. These are uncovered using numerical calculations from time series measured for the double scroll attractor shown in Fig. 2. Notice the shape of the orbits—when superimposed these orbits reproduce the shape of the chaotic attractor.

(open- and closed-loop control), to quite sophihsticated ones could its specific properties be advantageous for control? like stabilization of unstable periodic orbits embedded within The route to chaos via a sequence of bifurcations has two a chaotic attractor. in important implications for chaos control: first, it gives an in-

As already mentioned, systems displaying chaotic behavior range and constitute potential goals for control.<br>possess specific properties. Now we will exploit these proper-<br>Three fundamental properties of chaotic syste ties when attacking the control problem. In what way does a tential use for control purposes. For a long time the instabil-

parameter variation, through classical controller applications chaotic system differ from any other object of control? How

sight into other accessible behaviors that can be obtained by **COALS OF CONTROL COALS OF CONTROL** system); second, stable and unstable orbits that are created or annihilated in bifurcations may still exist in the chaotic

Three fundamental properties of chaotic systems are of po-

ity property (sensitive dependence on initial conditions) has been considered the main obstacle for control. How can one visualize successful control if the dynamics may change drastically with small changes of the initial conditions or parameters? How can one produce a prescribed kind of behavior if errors in initial conditions will be exponentially amplified?

This fundamental property does not, however, necessarily mean that control is impossible. It has been shown that despite the divergence of nearby starting trajectories, they can be convergent to another prescribed kind of trajectory—one simply has to employ a different notion of stability. In fact, we do not require that the nearby trajectories converge—the **Figure 5.** Chaos can be stabilized by adding a stabilizing subsystem requirement is quite different—the trajectories should merely to the chaotic one. As an example, a parallel *RLC* circuit is connected converge to some goal trajectory  $g(t)$  to the chaotic Chua's circuit and acts as a chaotic oscillation absorber.

$$
\lim |x(t) - g(t)| = 0 \tag{1}
$$

solutions existing in the system or any external waveform we erator (4) (when changing one of the slopes of the nonlinear would like to impose Extreme sensitivity may even be of element). Thus when the generator is operat would like to impose. Extreme sensitivity may even be of element). Thus when the generator is operating in a chaotic<br>prime importance as control signals are in such cases very range, one can tune (control) it using a poten

- because of sensitive dependence on initial conditions it is **''Shock Absorber'' Concept—Change in System Structure**
- 

change the system parameters (system design) in such a way can be converted to a chosen stable oscillation. The equations as to produce the desired kind of behavior. The influence of describing dynamics of this modified system can be given in parameter variations on the asymptotic behavior of the sys- a dimensionless form: tem can be studied using a standard tool for analysis of chaotic systems—the bifurcation diagram. The typical bifurcation diagram reveals a variety of dynamic behaviors for appropriate choices of system parameters and tells us what parameter values should be chosen to obtain the desired behavior. In electronic circuits, changes in the dynamic behavior are obtained by changing the value of one of its passive ele-



 $\lim_{t\to\infty} |x(t) - g(t)| = 0$  (1) ments (which means replacing one of the resistors, capacitors, or inductors). In Fig.3a sample bifurcation diagram reveals Depending on a particular application  $g(t)$  could be one of the a variety of dynamic behaviors observed in the RC chaos genprime importance as control signals are in such cases very range, one can tune (control) it using a potentiometer to ob-<br>small.<br>The second important property of ebostic systems that will furcation diagram.

The second important property of chaotic systems that will<br>be exploited is the existence of a countable infinity of unstable<br> $\frac{1}{2}$  fluxe and although intuitively simple, is hardly accept-<br>be exploited is the existence

possible to influence the dynamics of the systems using<br>very small perturbations; moreover, the response of the<br>system is very fast<br>tions. The concept comes from mechanical engineering, where<br>system is very fast<br>the existe orbits within the attractor offers extreme flexibility and pensions). The idea is to modify the original chaotic system<br>a wide choice of possible goal behaviors for the same set design (add the "absorber" without major cha a wide choice of possible goal behaviors for the same set design (add the "absorber" without major changes in the de-<br>sign or construction) in order to change its dynamics in such sign or construction) in order to change its dynamics in such a way that a new stable orbit appears in a neighborhood of **SUPPRESSING CHAOTIC OSCILLATIONS** the original chaotic attractor. In an electronic system, the ab-<br>**BY CHANGING SYSTEM DESIGN SUPPRESSING CHANGING SYSTEM DESIGN** sorber can be as simple as an additional shunt capacitor or an *LC* tank circuit. Kapitaniak et al. (5) proposed such a ''chaotic **Effects of Large Parameter Changes** oscillation absorber" for Chua's circuit—it is a parallel *RLC* circuit coupled with the original Chua's circuit via a resistor<br>The simplest way of suppressing chaotic oscillations is t  $T$  (Fig. 5)—depending on its value the original chaotic behavior

$$
\begin{aligned}\n\dot{x} &= \alpha[y - x - g(x)] \\
\dot{y} &= x - y + z + \epsilon(y^1 - y) \\
\dot{z} &= -\beta y \\
\dot{y}' &= \alpha'[-\gamma'y' + z' + \epsilon(y - y')] \\
\dot{z}' &= -\beta'y'\n\end{aligned} \tag{2}
$$



allel *RLC* oscillation absorber, shown in Figure 5, are properly adinsted. The theory of synchronization.  $\overline{a}$  is the theory of synchronization.

In terms of circuit equations, we have an additional set of two  $A$  noise signal of small amplitude injected in a suitable way equations for the "absorber" (*y*<sup>1</sup>, *z*<sup>1</sup>) and a small term  $[\epsilon(y^1 - \epsilon(y^2 + \epsilon(y^3 + \epsilon(y^2 + \epsilon(y^2 +$ equations for the "absorber"  $(y^1, z^1)$  and a small term  $[\epsilon(y^1 - \epsilon(y^2))]$  and  $[\epsilon(y^1 - \epsilon(y^2)])$  and  $[\epsilon(y^2 - \epsilon(y^2$ 

signals that are independent of the internal variables or theory available to support experimental observations. structure of the system. Three types have been considered: (a) aperiodic signals (''resonant stimulation''), (b) periodic signals of small amplitude, and (c) external noise. **CONTROL ENGINEERING APPROACHES** 

$$
\lim_{t \to \infty} |x(t) - g(t)| = 0
$$
 (3) applications.

Entrainment can be obtained by injecting the control signal:

$$
\frac{dx}{dt} = F(x) + [\dot{g} - F(g)]1(t)
$$
\n(4)

where  $1(t)$  is 0 for  $t < 0$  and 1 for  $t > 0$ . The entrainment could be an actual system parameter: method has the advantage that no feedback is required and no parameters are changed—thus the control signal can be computed in advance and no equipment for measuring the state of the system is needed. The goal does not depend on or an additive signal produced by a linear controller: the system being considered, and in fact it could be any signal at all (except that solutions of the autonomous system since *u*  $\dot{g} - F(g) = 0$  in this case, and there is no control signal). It should be noted, however, that this method has limited appli- The control term is simply added to the system equations. cability since a good model of the system dynamics is neces- One can readily see that, although mathematically simple, sary, and the set of initial statistics for which the system tra- such an "addition" operation might pose serious problems in jectories will be entrained is not known. The strained is not known. The block diagram of the control scheme is

# **Weak Periodic Perturbation**

Interesting results have been reported by Breiman and Goldhirsch (8), who studied the effects of adding a small periodic driving signal to a system behaving in a chaotic way. They discovered that external sinusoidal perturbation of small amplitude and appropriately chosen frequency can eliminate chaotic oscillations in a model of the dynamics of a Josephson junction and cause the system to operate in some stable peri-Figure 6. The "shock absorber" eliminates changes in the system odic mode. Unfortunately, there is little theory behind this behavior. For example, the spiral-type Chua's attractor can be approach and the possible goal beh

# **Noise Injection**

changes chaotic behavior [Fig. 6(a)] to a periodic one [Fig.  $(6)$ ].<br>  $(6)$ ].<br>  $(6)$ ]. periments with the *RC*-ladder chaos generator it has been **EXTERNAL PERTURBATION TECHNIQUES** found that the two main branches, representing two distinct, coexisting solutions, as shown in Fig. 3, will join together if Several authors have demonstrated that a chaotic system can white noise of high level is added. This approach, although be forced to perform in a desired way by injecting external promising needs further investigation beca promising, needs further investigation because there is little

Several investigators have tried to use known methods be- **''Entrainment''—Open Loop Control** Aperiodic external driving is a classical control method and<br>was one of the first methods introduced by Hübler (6,7) (reso-<br>nant stimulation). A mathematical model of the considered<br>experimental system is needed (e.g., in The goal of the control is to entrain the solution  $x(t)$  to and time-delay feedback—seem to find the most successful<br>and time-delay feedback—seem to find the most successful

### **Error Feedback Control**

Several methods of chaos control have been developed that rely on the common principle that the control signal is some function  $\phi$  of the difference between the actual system output  $x(t)$  and the desired goal dynamics  $g(t)$ . This control signal

$$
p(t) = \phi[x(t) - g(t)] \tag{5}
$$

$$
u(t) = K[x(t) - g(t)] \tag{6}
$$



Figure 7. Standard control engineering methods can be used to stabilize chaotic systems, for example the linear feedback control scheme proposed by Chen and Dong, shown here.

shown in Fig. 7. Using error feedback, chaotic motion has been successfully converted into periodic motion both in dis-<br>crete- and continuous-time systems. In particular, chaotic mo-<br>tions in Duffing's oscillator and Chua's circuit have been con-<br>between the original output and i The equations of the controlled circuit read: loop are chosen appropriately.

$$
\begin{aligned}\n\dot{x} &= \alpha[y - x - g(x)] \\
\dot{y} &= x - y + z - K_{22}(y - \tilde{y}) \\
\dot{z} &= -\beta y\n\end{aligned} \tag{7}
$$

Thus we have a single term added to the original equations. An interesting method has been proposed by Pyragas (13).

saddle-type unstable periodic orbit toward which the system difference between the output and a delayed copy of the same has been controlled.  $\qquad \qquad \text{output:}$ 

The important properties of the linear feedback chaos control method are that the controller has a very simple structure and that access to the system parameters is not required. The method is immune to small parameter variations but might be difficult to apply in real systems (interactions of Tuning the delay  $\tau$  one can approach many of the periods of many system variables are needed). The choice of the goal the unstable periodic orbits embedded wi many system variables are needed). The choice of the goal the unstable periodic orbits embedded within the chaotic at-<br>critically the goal is tractor. In such a situation, the control signal approaches 0. orbit poses the most important problem; usually the goal is tractor. In such a situation, the control signal approaches 0.<br>chosen in multiple experiments or can be specified on the ba-<br>sis of model calculations.<br>Depending



**Figure 8.** Linear feedback method in many cases enables stabilization of a simple orbit which is a solution of the system. For example, the double scroll (chaotic) attractor and a saddle type unstable peri- **Figure 10.** The double scroll attractor can be eliminated and the odic orbit coexist in Chua's circuit. This periodic orbit can be stabi- behavior converted to one of the periodic orbits in experiments in the lized using linear feedback. delayed feedback control of Chua's circuit.



tions in Duffing's oscillator and Chua's circuit have been con-<br>trolled (directed) toward fixed points or periodic orbits (11). tion of a chaotic system when the time delay and gain in the feedback tion of a chaotic system when the time delay and gain in the feedback

# **STABILIZING UNSTABLE PERIODIC ORBITS**

### **Time-Delay Feedback Control (Pyragas Method)**

Figure 8 shows a double scroll Chua's attractor and large The control signal applied to the system is proportional to the

$$
\frac{dx}{dt} = F[x(t)] + K[y(t) - y(t - \tau)]\tag{8}
$$

various kinds of periodic behaviors can be observed in the chaotic system. In the case of Chua's circuit we were able, for example, to convert chaotic motion into a periodic one, as shown in Fig. 10.

Pyragas obtained very promising results in the control of many different chaotic systems, and despite the lack of mathematical rigor, this method is being successfully used in several applications.

An interesting application of this technique is described by Mayer-Kress et al. (14). Pyragas's control scheme has been used for tuning chaotic Chua's circuits to generate musical



tones and signals. More recently Celka (15) used Pyragas's method to control a real electrooptical system.

The positive features of the delay feedback control method are that no external signals are injected and no access to system parameters is required. Any of the unstable periodic orbits can be stabilized provided that delay is chosen in an appropriate way. The control action is immune to small parameter variations. In real electronic systems, the required variable delay element is readily available (for example, analog delay lines are available as off-the-shelf components). The primary drawback of the method is that there is no a priori knowledge of the goal (the goal is arrived at by trial and error).

# **Ott–Grebogi–Yorke Local Linearization Approach**

Ott, Grebogi, and Yorke (16,17) in 1990 proposed a feedback method to stabilize any chosen unstable periodic orbit within the countable set of unstable periodic orbits existing in the chaotic attractor. To visualize best how the method works, let us assume that the dynamics of the system are described by a *k*-dimensional map: $x_{n+1} = F(x_n, p)$ ,  $x_i \in R^k$ . This map, in the case of continuous-time systems, can be constructed (e.g., by introducing a transversal surface of section for system trajectories, *p* is some accessible system parameter that can be changed in some small neighborhood of its nominal value *p*\*). To explain the method we will concentrate now on stabilization of a period-one orbit. Let  $x_F = F(x_F, p^*)$  be the chosen fixed point (period one) of the map around which we would like to stabilize the system. Assume further that the position of this orbit changes smoothly with *p* parameter changes (i.e., *p*\* is not a bifurcation value) and there are small changes in the local system behavior for small variatons of *p*. In a small vicinity of this fixed point we can assume with good accuracy that the dynamics are linear and can be expressed approximately by:

$$
x_{n+1} - x_0 = A(x_n - x_0) + g(p_n - p^*)
$$
\n(9)

The elements of the matrix  $A = \partial F / \partial x$  ( $x_F$ ,  $p^*$ ) and vector  $g =$  $\partial F/\partial p$  ( $x_F$ ,  $p^*$ ) can be calculated using the measured chaotic time series and analyzing its behavior in the neighborhood of the fixed point. Further, the eigenvalues  $\lambda_s$ ,  $\lambda_u$  and eigenvectors  $e_s$ ,  $e_u$  of this matrix can be found

$$
Ae_{u} = \lambda_{u}e_{u} \quad \text{and} \quad Ae_{s} = \lambda_{s}e_{s} \tag{10}
$$

where the subscripts "u" and "s" correspond to unstable and stable directions respectively. These eigenvectors determine the stable and unstable directions in the small neighborhood<br>of the linearization technique used by the<br>of the fixed point (Fig. 11).<br> $0$ tt–Grebogi–Yorke chaos stabilization method. (a) Parameter

$$
A = [e_{\mathbf{u}} \quad e_{\mathbf{s}}] \begin{bmatrix} \lambda_{\mathbf{u}} & 0\\ 0 & \lambda_{\mathbf{s}} \end{bmatrix} [e_{\mathbf{u}} \quad e_{\mathbf{s}}]^{-1} \tag{11}
$$

Let us denote by  $f_s$ ,  $f_u$  the contravariant eigenvectors  $[f_s^T e_s = \text{onto the stable manifold of the fixed point.}$  $f_{\rm u}^T e_{\rm u} = 1, f_{\rm s}^T e_{\rm u} = f_{\rm u}^T e_{\rm s} = 0$ ; see Fig. 11(c)]. Thus

$$
A = [e_u \quad e_s] \begin{bmatrix} \lambda_u & 0 \\ 0 & \lambda_s \end{bmatrix} \begin{bmatrix} f_u^T \\ f_s^T \end{bmatrix} = \lambda_u e_u f_u^T + \lambda_s e_s f_s^T \qquad (12)
$$



change causes displacement of the fixed point. In a small neighborhood of the fixed point the behavior of trajectories and displacement of the fixed point can be considered as linear. (b) Stable and unstable eigenvectors of the linearization matrix *A*. (c) New contravariant basis vectors. (d) Action of the control—the trajectory is forced to move

This implies that  $f_u^T$  is a left eigenvector of A with the same eigenvalue  $e_n$ :

$$
f_u^T A = f_u^T (\lambda_u e_u f_u^T + \lambda_s e_s f_s^T) = \lambda_u f_u^T
$$
 (13)

The control idea (16–18) now is to monitor the system behavior until it comes close to the desired fixed point (we assume that the system is ergodic and the trajectory fills the attractor densely; thus eventually it will pass arbitrarily close to any chosen point within the attractor) and then change *p* by a small amount so the next state  $x_{n+1}$  should fall on the stable manifold of  $x_0$  [i.e., choose  $p_n$  such that  $f_u^T(x_{n+1} - x_F) = 0$ ]:

$$
p_n = -\left(\frac{\lambda_u}{f_u^T g}\right) f_u^T (x_n - x_F) + p^* \tag{14}
$$

which can be expressed as a local linear feedback action:<br>**Figure 12.** Typical results of stabilization of a period-one orbit in

$$
p_{n+1} = p_n + C f_u^T [x_n - x_F(p_n)] \tag{15}
$$

The actuation of the value of the control signal to be applied at the next iterate is porportional to the distance of the system state from the desired fixed point  $[x_n - x_F(p_n)]$  projected<br>onto the perpendicular unstable direction  $f_u$ . The constant *C* The block diagram of this control scheme is shown in Fig.<br>depends on the magnitude of the unstab depends on the magnitude of the unstable eigenvalue  $\lambda_u$  and 13. For controlling chaos in Chua's circuit (compare the cir-<br>the shift g of the attractor position with respect to the change cuit diagram shown as the left-s of the system parameter projected onto the unstable direction<br>  $f_u$ . The Ott–Grebogi–Yorke (OGY) technique has the notable<br>
advantage of not requiring analytical models of the system  $\hat{V}_1(t)$  [( $\hat{V}_1(t) = C^T \hat{x}(t)$ ]. Fo dynamics and is well-suited for experimental systems. One which tends asymptotically toward  $\hat{x}(t)$ . This is obvious since<br>can use either the full information from the process of the forcing  $V_1(t)$  will instantaneously can use either the full information from the process of the forcing  $V_1(t)$  will instantaneously force the current through delay coordinate embedding technique using single variable the piecewise linear resistance to a "d delay coordinate embedding technique using single variable the piecewise linear resistance to a "desired" value  $i_R(t)$ . The experimental time series [see Dressler and Nitsche (19)] The remaining subcircuit  $(R, L, C_2)$ , whi experimental time series [see Dressler and Nitsche (19)]. The remaining subcircuit  $(R, L, C_2)$ , which is an *RLC* stable cir-<br>procedure can also be extended to higher-period orbits. Any cuit, will then exhibit a voltage  $V$ procedure can also be extended to higher-period orbits. Any cuit, will then exhibit a voltage  $V_2(t)$  and a current *i* accessible variable (controllable) system parameter can be will asymptotically converge towards  $\hat{V$ accessible variable (controllable) system parameter can be vill asymptotically converge towards  $V_2(t)$  and  $\hat{t}_3(t)$ .<br>used for applying perturbation, and the control signals are The sampled input control method is very used for applying perturbation, and the control signals are The sampled input control method is very attractive as the very small. The method also has several limitations. Its appli-goal of the control can be specified usi very small. The method also has several limitations. Its application in multiattractor systems is problematic. It is sensitive put time-series of the system; access to system parameters is to noise, and the transients before achieving control might be not required. The control technique is immune to parameter very long in many cases. We have carried out an extensive variations, noise, scaling, and quantization. Instead of a constudy of application of the OGY technique to controlling chaos troller, we need a generator to synthesize the goal signal. Sigin Chua's circuit (12). Using an application-specific software nal sampling reduces the memory requirements for the generpackage (20), we were able to find some of the unstable periodic orbits embedded in the double scroll Chua's chaotic attractor and use them as control goals.

Figure 12 shows the time evolution of the voltages when attempting to stabilize unstable period-one orbit in Chua's circuit. Before control is achieved, the trajectories exhibit chaotic transients before entering the close neighborhood of the chosen orbit.

# **Sampled Input Waveform Method**

A very simple, robust, and effective method of chaos control in terms of stabilization of an unstable periodic orbit has been proposed (21). A sampled version of the output signal, corresponding to a chosen unstable periodic trajectory uncovered<br>from a measured time series, is applied to the chaotic system<br>causing the system to follow this desired orbit. In real sys-<br>tems, this sampled version of the unst be programmed into a programmable waveform generator a stable linear part and a scalar, static nonlinearity in the feedback<br>path. Forcing signal is applied to the input of the nonlinearity.



Chua's circuit using the OGY method. Time-waveform of voltage across the  $C_1$  capacitor and variations of the control signal are shown.



path. Forcing signal is applied to the input of the nonlinearity.



tractor (a) observed in the experimental system can be converted into circuits (22–24) to stabilization of chaotic behavior in lasers a long periodic orbit (b) stabilized during laboratory experiments. (25–27). The OPF method may be applied to any real chaotic

method—the occasional proportional feedback (OPF) this signal and on the delayed output from the external fre-<br>mothod—heg proved to be meet efficient. To evalue the equal energy generator. This logical signal drives the ti method—has proved to be most efficient. To explain the ac-<br>tion of the OPF method let us consider a return man as shown that triggers the sample-and-hold and then the analog gate. tion of the OPF method let us consider a return map as shown<br>in Fig. 15. For present consideration we take an approximate<br>one-dimensional map obtained for the RC-ladder chaos gener-<br>at the sampling instant, is then amplif trajectory through the Poincaré plane) one would obtain  $v_{n+1}$ . One of the major advantages of Hunt's controller over OGY<br>We would like to direct the trajectories toward the fixed point is that the control law depends o



when the control signal is chosen appropriately this displacement can be such that from a given coordinate the next iterate will fall exactly The variable level window comparator is implemented us-

ter such that the graph of the return map moves to a new position as marked on the diagram, thus forcing the next iteration to fall at  $v_{n+1}^*$ ; after this is done the perturbation can be removed and activated again if necessary.

In mathematical terms we can compute the control signal using only one variable, for example  $\xi_1$ :

$$
p(\xi) = p_0 + c(\xi_1 - \xi_{F1})
$$
 (16)

This method has been successfully implemented in a continuous-time analog electronic circuit and used in a variety of **Figure 14.** Using the sampled input forcing the double scroll at- applications ranging from stabilization of chaos in laboratory system (also higher-dimensional ones) where the output can be measured electronically and the control signal can be apator. Figure 14 shows the chaotic attractor and two sample plied via a single electrical variable. The signal processing is analog and therefore is fast and efficient. Processing in this case means detecting the position of a one-dimensional projec-**CHAOS CONTROL BY OCCASIONAL** tion of a Poincaré section (map), which can be accomplished by the window comparator, taking the input waveform. The **PROPORTIONAL FEEDBACK** comparator gives a logical high when the input waveform is In real applications, a "one-dimensional" version of the OGY inside the window. A logical AND operation is performed on  $\frac{1}{2}$  real application is performed on  $\frac{1}{2}$  real applications, a "one-ortional" feedback. (O

P. This can be achieved by changing a chosen system parame-<br>the required control signal. The disadvantage of the OPF method is that there is no systematic method for finding the embedded unstable orbits (unlike OGY). The accessible goal trajectories must be determined by trial and error. The applicability of the control strategy is limited to systems in which the goal is suppression of chaos without more strict requirements.

### **IMPROVED ELECTRONIC CHAOS CONTROLLER**

Recently, in collaboration with colleagues from University College, Dublin, we have proposed an improved electronic chaos controller that uses Hunt's method without the need for an external synchronizing oscillator. Hunt's OPF controller used the peaks of one of the system variables to generate the 1*<sup>D</sup>* map. Hunt then used a window around a fixed level to set the region where control was applied. In order to find the peaks, Hunt's scheme used a synchronizing generator. In our modified controller (28,29), we simply take the derivative of the input signal and generate a pulse when it passes through Figure 15. Explanation of the action of the occasional proportional<br>feedback method using a graph of the first return map. Variation of<br>an accessible system parameter causes displacement of the graph—<br>when the control sign

onto the unstable fixed point. ing a window comparator around zero and a variable level

shift. Two comparators and three logic gates form the window of interconnections, and external signals applied to it. It is around zero. The synchronizing generator used in Hunt's con- believed that chaos control concepts in spatiotemporal systroller is replaced by an inverting differentiator and a compa- tems might give explanations for the functioning of the brain. rator. A rising edge in the comparator's output corresponds to In controlling spatiotemporal systems we should consider first a peak in the input waveform. We use the rising edge of the of all the goals we would like to achieve—they may be differcomparator's output to trigger a monostable flip-flop. The fall- ent in this case from the goals considered so far (stabilization ing edge of this monostable's pulse triggers another mono- of periodic orbits or anticontrol toward a desired chaotic stable, giving a delay. We use the monostable's output pulse waveform). In particular one can consider: to indicate that the input waveform peaked at a previous fixed time. If this pulse arrives when the output from the win-<br>dow comparator is high then a monostable is triggered. The influence on the spatial patterns might be needed for dow comparator is high then a monostable is triggered. The influence on the spatial patterns might be needed, for<br>output of this monostable triggers a sample-and-hold on its example in models of crystal growth memory patte output of this monostable triggers a sample-and-hold on its example, in models of crystal growth, memory patterns, <br>rising edge that samples the error voltage; on its falling edge, creation of waves with prescribed charact it triggers another monostable. This final monostable generates a pulse that opens the analog gate for a specific time (the  $\sigma$  Stebs)

4. Efficient switching between attractors; we should envis-<br>
controller In Fig. 18 we show oscillosome traces for the goal<br>
ge this kind of goal in the models of brain functions: controller. In Fig. 18 we show oscilloscope traces for the goal age this kind of goal in the models of brain functions:<br>trajectory and the control signal (bottom trace). It is interest-<br>change of concentration on various o trajectory and the control signal (bottom trace). It is interest-<br>ing to note the impulsive action of the controller<br>with attractor switchings. ing to note the impulsive action of the controller.

supplied chaotic signal can be considered a particular type of small spatial cluster in the multidimensional medium<br>control problem. The goal of the control scheme is to track is to be stabilized while all the surrounding control problem. The goal of the control scheme is to track is to be stabilized while all the control problem. The goal of the control scheme is to track to operate in a chaotic mode. the input signal might come from an identical copy of the considered system, the only difference being the initial condi-<br>tions. It is only very recently that such a control problem has they might be applied at the borders, at every cell, at specific tions. It is only very recently that such a control problem has they might be applied at the borders, at every cell, at specific<br>been recognized in control engineering. The linear coupling locations in space, and so on. Al been recognized in control engineering. The linear coupling locations in space, and so on. Also, connections be<br>technique and the linear feedback approach to controlling cells in the network might be varied in some cases. technique and the linear feedback approach to controlling chaos can be applied for obtaining any chosen goal regardless of whether it is chaotic, periodic, or constant in **Coupled Map Lattices**

large coupled and possibly very high-dimensional systems performance (34,35). (such as neural networks), spatiotemporal systems (governed An important application of controlling CML is to suppress by partial differential equations or time-delay equations), be- or skip very long transient chaotic (sometimes called ''supercause there exists a very rich repertoire of spatiotemporal be- transient'') waveforms (34). Such phenomena are often obhaviors depending on parameters of the system, architecture served in CML systems, and sometimes one cannot see the

- creation of waves with prescribed characteristics, and
- 
- ates a pulse that opens the analog gate for a specific time (the<br>control pulse width). The control pulse is then applied to the<br>interface circuit, which amplifies the control signal and con-<br>verts it into a perturbation of
	-
- 5. Removal of a specific type of behavior (e.g., spiral **CHAOS-TO-CHAOS CONTROL** waves; this is a medical application such as defibrilla-<br>tion).
- Synchronization of a given system solution with an externally  $\frac{6}{5}$ . Cluster stabilization; in this kind of approach only a synchronization of a given system solution with an externally  $\frac{1}{5}$  small spatial cluster

time. For a review of the chaos synchronization concepts and<br>applications we refer the reader to Ogorzalek (31).<br>The control of spatiotemporal chaos because of existence of<br>chaotic targets that are not solutions of the sys tion. Moreover, Hu and Qu have reported that a lower pin-**CONTROL OF SPATIOTEMPORAL CHAOTIC SYSTEMS** ning density shows better control performance than a higher one in numerical experiments (34). Further analysis is needed Chaos control becomes much more complicated in the case of of the relationship between the pinning density and control







 $\frac{1}{x}$ 

**Figure 17.** Circuit diagram for the implementation of Chua's circuit and the interface circuit.<br>The interface circuit is specific for the considered chaotic system. Controller circuitry, as shown<br>in Figure 16, is universa **Figure 17.** Circuit diagram for the implementation of Chua's circuit and the interface circuit. The interface circuit is specific for the considered chaotic system. Controller circuitry, as shown in Figure 16, is universal.



The effects of global spatial and temporal modulation on pat-<br>tern-forming systems have been widely studied. Global modu-<br>explained in terms of chaos control processes. lation means here that control signals are applied to every **Controlling Autowaves: Spatial Memory**<br>cell throughout the network. Examples of effects of this type<br>of stimulation/control include pattern instability under per Meñuzuri et al. (36) for a good list of references]. This global

Interesting observations have been made recently by Braiman et al. (37). Based on earlier observations that noise injec-<br>tion can remove chaos in low-dimensional systems, they pro-<br>posed to introduce uncorrelated differences between chaotic Creation of spiral waves in heart tis posed to introduce uncorrelated differences between chaotic Creation of spiral waves in heart tissue is now believed to be oscillators coupled in a large array. They identified two mechorder might be one of control mechanisms of pattern forma-

# **Turing Patterns: Defect Removal**

Perez-Meñuzuri et al. (36) studied creation of Turing patterns **Boundary and Defect-Induced Control**<br>in a Network of Chua's Circuits<br>arrays of discretely coupled dynamic systems. They discovered spontaneous creation of hexagonal or rhombic patterns An extensive simulation study has been carried out to diswhen systems parameters were adjusted in some specific way. cover the possibilities of controlling pattern formation in CNN

In many cases the observed patterns were not perfectly homogeneous (symmetrical). It turned out from several experiments that the defect can be removed by external side-wall stimulation—boundary control. These experiments demonstrate a potential principle for influencing crystal growth to obtain perfect structures. The control strategy applied in this case is a local one—only boundaries of the network are being excited (in contrast to global modulation).

# **Control of the Model Cortex**

Babloyantz et al. (38) considered applications of feedback control of the Pyragas type to include control mechanisms in a model cortex. They studied a model in which all cells have linear dynamics but the connections are nonlinear of the sigmoid type. A single stabilizable periodic orbit that corre-Figure 18. Oscilloscope traces of period-four solution stabilized in Sponds to bulk oscillations of the network has been found.<br>Chua's circuit and controlling signal produced by the improved behavior of the shapes of the s lowing theory for attentiveness: it results from momentary steady state for millions or more of iterations in numerical (short time scale) control of chaotic activity observed in the experiments. However, how to determine the desired (target) cerebral cortex. Since the number of **Spatial and Temporal Modulation of Extended Systems** characteristic state. Attentiveness, concentration, and recog-

of stimulation/control include pattern instability under peri- A particular type of pattern formation and self-organization<br>odic spatial forcing spatial disorder induced in an autowaye in arrays of chaotic systems is autow odic spatial forcing, spatial disorder induced in an autowave in arrays of chaotic systems is autowaves (39). Development medium (Belousov–Zhabotinsky reaction), continuous varia- of autowaves in an array of chaotic oscillators can be con-<br>tion of the wavelength of a nattern or transitions between trolled in several ways. First, adjustment of tion of the wavelength of a pattern, or transitions between trolled in several ways. First, adjustment of coupling between structures with incommensurate wavelengths [see Perez- the oscillators gives a global control mecha structures with incommensurate wavelengths [see Perez- the oscillators gives a global control mechanism for dynamic<br>Meñuzuri et al. (36) for a good list of references] This global phenomena. Second, when the network is ope control method remains purely empirical. towave regime, one can observe the memory effect (39): The position of external stimulation controls the form of the ob-Introducing Disorder to Tame Chaos served spatial pattern. Finally, noise injection can destroy or

anisms by which disorder can stabilize chaos. The first re- including often-fatal ventricular fibrillation. Avoiding situa-<br>quires small disorder and relies on disturbance of the system tions leading to spiral and scroll w quires small disorder and relies on disturbance of the system tions leading to spiral and scroll waves and eventually<br>"position" in a very high-dimensional parameter space, re- quenching such developing waves are of paramo "position" in a very high-dimensional parameter space, re- quenching such developing waves are of paramount impor-<br>sulting in change of the observed attractor. The second mech- tance in cardiology. Biktashev and Holden (40 sulting in change of the observed attractor. The second mech- tance in cardiology. Biktashev and Holden (40) proposed a<br>anism requires large perturbations: removing some of the os- feedback version of the resonant drift ph anism requires large perturbations; removing some of the os- feedback version of the resonant drift phenomenon (i.e., di-<br>cillators in the array from their initial chaotic regime can rected motion of the autowave vortex by cillators in the array from their initial chaotic regime can rected motion of the autowave vortex by applying an external possibly trigger the whole array into orderly behavior. The signal) to remove the unwanted phenomena. Simulation stud-<br>experiments of Braiman and others suggest that spatial dis-<br>ies confirm that amplitudes of signals need experiments of Braiman and others suggest that spatial dis- ies confirm that amplitudes of signals needed for defibrillation<br>order might be one of control mechanisms of pattern forma- using the proposed method are substant tion and self-organization.  $\qquad \qquad$  of conventional single-shock techniques used currently in medical practice.

(cellular neural network) arrays composed of chaotic Chua's the ones that offer the best performance for achieving control? circuits. The open-loop control strategy has been applied at What devices can be used to apply the control signals? Can the edge cells only. Thus by the number of cells excited the we make off-line computations? At what speed do we need to formation of wavefronts and their shape can easily be modi- compute and apply the control signals? What is the lowest fied. Furthermore, it has been found out that the introduction acceptable precision of computation? Can we achieve control of defects in the network could serve as a means of inducing in real time? spiral wave formation with the "tips" positioned at some pre-<br>A slow system like a bouncing magneto-elastic ribbon (with

# **Chaotic Neural Networks** or a laser for control.

Aihara (41,42) has proposed a neural network model com-<br>
In electronic implementations, one must look at several<br>
posed of simple mathematical neurons, which are described chosely linked areas: sensors (for measurements o

ation or blocking of epileptic seizures), through solid-state<br>
physics, lasers, aircraft wing vibrations and even weather<br>
control, just to name a few attempts made so far. Looking at<br>
the possible applications alone it be main ones are (45): 3. Finding unstable periodic orbits using experimental data

- nals) 5. Application of the control signal to the system; this step
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- acceptable levels of control signals

In some applications, like the biomedical ones, we would pos- of systems, achieving stabilization of even long-period orbits. sibly need implantable devices. In looking for an implementa- There are several problems that arise during the attempt ters that could be used for the control task? How do we choose with the A/D conversion (possibly rounding, truncation, finite

scribed locations. The eigenfrequencies below 1 Hz) is certainly not as demanding as a telecommunications channel (possibly running at GHz)

# **ELECTRONIC CHAOS CONTROLLERS Implementation Problems for the OGY Method**

The widespread interest in chaos control is due to its ex-<br>tremely interesting and important possible applications.<br>These applications range from biomedical ones (e.g., defibril-<br> $\frac{1}{2}$  operations (45):

- 
- 
- (measured time series) and fixing the goal of control
- speed of the phenomenon (frequency spectrum of the sig- 4. Finding parameters and variables necessary for control
- amplitudes of the signals<br>
 oxistence of communing poisons, their spectrum and amplitudes in order to determine the moment at which to apply the • existence of corrupting noises, their spectrum and ampli-<br>tudes • existence of corrupting noises, their spectrum and ampli-<br>control signal (i.e., the moment when the actual trajec-• accessibility of the signals to measurement<br>
• accessibility of the control (tuning) parameters<br>
• accessibility of the control (tuning) parameters<br>
• accessibility of the control (tuning) parameters<br>
• accessibility of

In computer experiments, it has been confirmed that all these In most cases, electronic equipment will play a crucial role. steps of OGY can be carried out successfully in a great variety

tion of a particular chaos controller, we must first look at to build an experimental setup. Though variables and paramthese system-induced limitations. How can we measure and eters can be calculated off-line, one must consider that the process signals from the system? Are there any sensors avail- signals measured from the system are usually corrupted beable? Are there any accessible system variables and parame- cause of noise and several nonlinear operations associated

values and the introduction of additional errors by computer may introduce time delays that can be detrimental to the algorithms and linearization used for the control calculation functioning of the OGY method (45). Although all calculations may result in a general failure of the method. Additionally, may be done off-line, two steps are of paramount importance: there are time delays in the feedback loop (e.g., waiting for the reaction of the computer, interrupts generated when • detection of the moment when the trajectory passes the sending and receiving data.) chosen Poincaré section

proper functioning of the OGY algorithm in the case of the Lozi system. To have some safety margin and robustness in **CONCLUSIONS** the algorithm, the acceptable A/D accuracy cannot be lower than 12 bits and probably it would be best to apply 16-bit The control problems existing in the domain of chaotic sysconversion. This kind of accuracy is nowadays easily available tems are neither fully identified nor solved completely. Beusing general purpose A/D converters even at speeds in the cause of the extreme richness of these phenomena, especially MHz range. Implementing the algorithms, one must consider in higher-order systems, every month new papers appear dethe cost of implementation—with growing precision and scribing new problems and proposing new solutions. Among speed requirements, the cost grows exponentially. This issue the many unanswered questions these seem to be the most might be a great limitation when it comes to integrated cir- interesting: How can the methods already developed be used cuit (IC) implementations. in real applications? What are the limitations of these tech-

possible source of problems in the control procedure is errors possibilities of implementation? Are these methods useful in introduced by algorithms for finding periodic orbits (goals of biology or medicine? Can we use the ''butterfly effect'' to tame the control). Using experimental data one can only find ap- and influence large-scale systems? proximations to unstable periodic orbits (48,49). New application areas have opened up thanks to these new

racy  $\epsilon$  and the length of the measured time series. Further, variables analyzed. Also, the stopping criterion  $(\Vert x_{m+k} - x_m \Vert)$  $\epsilon$ ) in the case of discretely sampled continuous-time systems is not precise enough. This means that one can never be sure est mysteries—the workings of the human brain. of how many orbits have been found or whether all orbits of There is one more control problem associated in a way with a given period have been recovered. As this step is typically chaos control, although not directly. Sensitive dependence on carried out off-line, it does not significantly affect the whole initial conditions, the key property of chaotic systems, offers control procedure. It has been found in experiments that yet another fantastic control possibility called "targeting" when the tolerances chosen for detection of unstable orbits [Kostelich et al. (55), Shinbrot et al. (56)]. A desired point in were too large, the actual trajectory stabilized during control the phase space is reached by piecing together in a controlled showed greater variations and the control signal had to be way fragments of chaotic trajectories. This method has alapplied at every iteration to compensate for inaccuracies. ready been applied successfully for directing satellites to de-Clearly, making the tolerance large can cause failure of sired positions using infinitesimal amounts of fuel [see Farcontrol.  $q$  and  $q$  a

word-length, overflow correction, etc.). Use of corrupted signal **Effects of Time Delays.** Several elements in the control loop

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- Effects of Calculation Precision. To test the effects of the pre-<br>cision of calculations in (45) the case of calculating control<br>cision of calculations in (45) the case of calculating control

parameters to stabilize a fixed point in the Lozi map [see (45)] When these two steps are carried out by a computer with a A/D conversion accuracy and the resulting calculations of lim-<br>at a particle answer to the question

niques in terms of convergence, initial conditions, and so on? **Approximate Procedures for Finding Periodic Orbits.** Another What are the limitations in terms of system complexity and

In control applications we used the procedure introduced developments in various aspects of controlling chaos. These by Lathrop and Kostelich for recovering unstable periodic or- include neural signal processing (50,51), biology and medicine bits from an experimental time series. The results obtained [Nicolis (52), Garfinkel et al. (53), Schiff et al. (54)], and many using this procedure strongly depend on the choice of accu- others. We can expect in the near future a breakthrough in the treatment of cardiac dysfunction thanks to the new generthey depend on the choice of norm and the number of state ation of defibrillators and pacemakers functioning on the chaos-control principle. There is great hope also that chaos control mechanisms will give us insight into one of the great-

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**CHARACTERIZATION OF AMPLITUDE NOISE.** See FREQUENCY STANDARDS, CHARACTERIZATION.

**CHARACTERIZATION OF FREQUENCY STABIL-ITY.** See FREQUENCY STANDARDS, CHARACTERIZATION.

**CHARACTERIZATION OF PHASE NOISE.** See FRE-

QUENCY STANDARDS, CHARACTERIZATION.

**CHARGE FUNDAMENTAL.** See ELECTRONS.