## **314 SYSTEMS ARCHITECTURE**

# **SYSTEMS ARCHITECTURE**

Rapid changes in information technology, uncertainty regarding future requirements, and increasing complexity of systems have led to the use of systems architectures as a key step in the systems engineering process. While hardware and software components of a system can change over time, the

ful upgrading of systems through the use of components from tems engineers and has produced many of the complex sysmany manufacturers whose products conform to the architec- tems in use today. It is effective when the requirements are ture. The use of systems architectures has been very effective well-defined and remain essentially constant during the sysin telecommunication systems, in software development, and tem development period. However, this well-focused approach in computers. It is now being extended to large-scale informa- cannot handle change well; its strength lies in its efficiency tion systems. in designing a system that meets a set of fixed requirements.

uating systems and architectures: the structured analysis and gineering is emerging better able to deal with uncertainty in the object oriented approaches. Both require multiple models requirements and in technology, espec the object oriented approaches. Both require multiple models executable models. The latter are appropriate for analyzing which both requirements and technology will change. This ap-<br>the behavior of the architecture and for evaluating perfor-<br>proach is based on object oriented constr the behavior of the architecture and for evaluating perfor-

creating complex, unprecedented systems  $(1,2)$ . This de-<br>scription fits the computer-based systems that are being bility in the design as it evolves over time. scription fits the computer-based systems that are being created or planned today, whether in industry, government, or academia. The requirements of the marketplace are ill-**DEFINITION OF ARCHITECTURES** defined and rapidly changing with evolving technology making possible the offering of new services at a global level. At the same time, there is increasing uncertainty as to the In defining an architecture, especially of an information sys-<br>way in which they will be used what components will be  $\mu$  tem, the following items need to be de way in which they will be used, what components will be tem, the following items need to be described. First, there are<br>incorporated, and the interconnections that will be made. incorporated, and the interconnections that will be made. processes that need to take place in order that the system<br>Conorgium as a system architecture as part of the systems accomplish its intended functions; the individu

Generating a system architecture as part of the systems accomplish its intended functions; the individual processes or hemaging a system architecture are as a deliberate approach to These processes or activities or operat

nents, needs to have a good understanding of the inter-rela-<br>tionships among the components. While there are many tools<br>the contrary given a set of goals, experience, and expertise tionships among the components. While there are many tools the contrary, given a set of goals, experience, and expertise, and expertise, and techniques to aid the architect and there is a well-defined humans invent operati architecture development process, architecting requires cre- (1) that the development of an architecture is both an art and ativity and vision because of the unprecedented nature of the a science. The development of the conceptual model that repsystems being developed and the ill-defined requirements. For resents an operational concept falls clearly on the art side. A detailed discussions on the need for systems architecting, see good operational concept is based

been designed to support a traditional system development stract operational concept that lends itself to many possible model. A set of requirements is defined; several options are implementations, while an operational concept such as the considered and, through a process of elimination, a final de- ''client-server'' one is much more limiting. As the architecture sign emerges that is well defined. This approach, based on development process unfolds, it becomes necessary to elabo-

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underlying architecture remains invariant. This allows grace- structured analysis and design, has served the needs of sys-

Two basic paradigms are available for designing and eval- An alternative approach with roots in software systems ento represent them and both lead, through different routes, to long development time and expected long life cycle during mance prior to system implementation. formulated in general terms and the requirements are more<br>Systems architecting has been defined as the process of abstract and, therefore, subject to interpretation. The key ad-Systems architecting has been defined as the process of abstract and, therefore, subject to interpretation. The key ad-<br>eating complex unprecedented systems (1.2) This de-<br>vantage of the object oriented approach is that it

humans invent operational concepts. It has often been stated good operational concept is based on a simple idea of how the Refs. 1–7. **Example, ''centralized deci-** over-riding goal is to be met. For example, "centralized deci-Many of the methodologies for systems engineering have sion making and distributed execution'' represents a very ab-



**Figure 1.** The three phase process of architecture development.

clear definition and understanding of the operational concept tured development (10), the structured analysis approach of is central to the development of compatible functional and DeMarco (11), structured systems analysis (12), and the many

Analogous to the close relationship between the opera-<br>tional concept and the functional architecture is the relation-<br>tion and analysis. This approach can be characterized as a tional concept and the functional architecture is the relation-<br>ship between the technical architecture and the physical one.<br>process-oriented one (12) in that it considers as the starting

and evaluate the performance characteristics, an executable The associated data model describes the relationships be-<br>model is needed. An executable model is a dynamic model; it ween these same data elements. The condition can be used to analyze the properties of the architecture and<br>it can also be used to carry out simulations. Both methodolo-<br>it for the rules to be evaluated, they require data that must<br>gies whether structured analysis has gies, whether structured analysis based or object oriented be available at that particular activity with which the rule is<br>hased become rigorous when an executable model is derived associated; the output of the rule also c based, become rigorous when an executable model is derived and the condition is imposed that all information contained control the execution of the process. Furthermore, given that in that model must be traced back to one or more of the static the architecture is for a dynamic sys in that model must be traced back to one or more of the static diagrams. This dynamic model of the architecture is called system need to be defined and the transitions between states the operational-X architecture where the X stands for the exe- identified to describe the dynamic behavior. State transition

as consisting of three phases: the *analysis* phase in which the properly, a system dictionary, in which all data elements, ac-<br>static representations of the functional and physical architec-<br>initias and flows are defined.

tured analysis and design technique (SADT) that originated across models to make the various views consistent and co-

rate on the operational concept and make it more specific. The in the 1950s (8) and encompasses structured design (9), strucphysical architectures.<br>Analogous to the close relationship between the opera-<br>software packages for computer-aided requirements genera-A rechargable architecture and the physical one.<br>
A recharcal architecture and the physical one.<br>
A recharcal corditions or activities that the system must per-<br>
A recharcal architecture is a minimal set of rules governin cutable property. diagrams are but one way of representing this information. The architecture development process can be characterized Underlying these four models is a data dictionary or, more as consisting of three phases: the *analysis* phase in which the properly a system dictionary in which al static representations of the functional and physical architectivities, and flows are defined. The construct that emerges<br>tures are obtained using the operational concept to drive the from this description is that a set of

**STRUCTURED ANALYSIS APPROACH** At this time, the architect must use a suite of tools and, cognizant of the inter-relationships among the four models The structured analysis approach has its roots in the struc- and the features of the tools chosen to depict them, work



Figure 2. Structure of the functional architecture. The functional decomposed into the A1, A2, A3, . . . page, respectively.<br>
architecture contains an activity model a data model and a rule Associated with IDEF0 is a data architecture contains an activity model, a data model, and a rule

must obtain a single, integrated system dictionary from the individual dictionaries produced by the various tools that gen-<br> **Data Model** erate the different views.

tion that is supported by the information system. These de-

A method in wide use for the representation of an activity<br>
model is IDEF0 which has systems engineering roots; for its<br>
model is IDEF0 which has systems engineering roots; for its<br>
history, see (8). The National Institute



Figure 3. Box and arrow semantics in IDEF0. inator for the categories.

are used to identify the data or objects represented by the arcs. There are detailed rules for handling the branching and the joining of the arcs. A key feature of IDEF0 is that it supports hierarchical decomposition. At the highest level, the A-0 level, there is a single activity that contains the root verb of the functional decomposition. This is called the context diagram and also includes a statement of the purpose of the model and the point of view taken. The next level down, the A0 level, contains the first level decomposition of the system function and the interrelationships between these functions. Each one of the activity boxes on the A0 page can be further

model. The three models must be in concordance with each other and the definitions and descriptions of the activities, listing and have a common data dictionary.  $\qquad \qquad$  description of the inputs, controls, and outputs, and, if entered, a set of activation rules of the form "preconditions  $\rightarrow$ postconditions.'' These are the rules that indicate the condiherent, that is, to achieve model concordance. The architect tions under which the associated function can be carried out.

What a functional architecture does not contain is the The purpose of a data model is to analyze the data structures<br>ecification of the physical resources that will be used to im-<br>and their relationships independently of t specification of the physical resources that will be used to im-<br>network their relationships independently of the processing that<br>he planent the functions or the structure of the burnan organiza-<br>takes place, already depic plement the functions or the structure of the human organiza-<br>takes place, already depicted in the activity model. There are<br>two main approaches with associated tools for data modeling: scriptions are contained in the physical architecture. IDEF1x and entity-relationship (E-R) diagrams. Both approaches are used widely. The National Institute of Stan-Activity Model **Activity Model** dards and Technology has published Draft Federal Informa-<br>tion Processing Standard #184 in which IDEF1x is specified.

represents an activity, and a directed arc that represents the<br>conveyance of data or objects related to the activity. A distin-<br>guishing characteristic of IDEF0 is that the sides of the activity. A distin-<br>guishing charac

Relationships between entities are depicted in the form of lines that connect entities; a verb or verb phrase is placed beside the relationship line. The connection relationship is directed—it establishes a parent–child association—and has cardinality. Special symbols are used at the ends of the lines to indicate the cardinality. The relationships can be classified into types such as identifying or non-identifying, specific and nonspecific, and categorization relationships. The latter, for example, is a generalization/specialization relationship in which an attribute of the generic entity is used as the discrim-

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The fourth type of model that is needed is one that character-<br>izes the dynamic behavior of the architecture. This is not an<br>executable model, but one that shows the transition of the<br>executable models.<br>System state as a take.

There is a wide variety of tools for depicting the dynamics, **The Executable Model** with some tools being more formal than others: state transi-<br>tion diagrams, state charts, event traces, key threads, and<br>so on. Each one serves a particular purpose and has unique<br>advantages. For example, a state transitio other—as a result of the occurrence of a set of events—when system from the four static representations. starting from a particular initial state or condition. The states The solution to this problem using the structured analysis<br>are represented by nodes (e.g., a box) while the transitions models can be described as follows. are represented by nodes (e.g., a box) while the transitions models can be described as follows. One starts with the activ-<br>are shown as directed arcs. The event that causes the transition model Each IDEFO activity is conv are shown as directed arcs. The event that causes the transi-<br>tion is shown as an arc annotation, while the name of the transition: each IDEF0 arrow connecting two hoves is retion is shown as an arc annotation, while the name of the transition; each IDEF0 arrow connecting two boxes is re-<br>state is inscribed in the node symbol. If an action is associated placed by an arc-place-arc construct, and with the change of state, then this is shown on the connecting IDEF0 arc becomes the color set associated with the place. All<br>arc, next to the event. Often, the conditions that must be sat-<br>these derived names of color set arc, next to the event. Often, the conditions that must be sat-<br>isfied in order for a transition to occur are shown on the arcs.<br>declaration node of the petri net. From this point on, a subisfied in order for a transition to occur are shown on the arcs. declaration node of the petri net. From this point on, a sub-<br>This is an alternative approach for documenting the rule stantial modeling effort is required t This is an alternative approach for documenting the rule stantial modeling effort is required to make the colored petri<br>model.

Underlying all these four models is the system dictionary. segments.<br>Since the individual models contain overlapping information. The exit becomes necessary to integrate the dictionaries developed formation; its ability to execute tests some of the logic of the for each one of them. Such a dictionary must contain descrip- model. Given the colored petri net model, a number of analytitions of all the functions or activities including what inputs cal tools from petri net theory can be used to evaluate the they require and what outputs they produce. These functions structure of the model, for example, to determine the presence appear in the activity model (IDEF0), the rule model (as ac- of deadlocks, or obtain its occurrence graph. The occurrence tions), and the state transition diagrams. The rules, in turn, graph represents a generalization of the state transition diaare associated with activities; they specify the conditions that gram model. By obtaining the occurrence graph of the petri must hold for the activity to take place. For the conditions to net model, which depicts the sequence of states that can be be evaluated, the corresponding data must be available at the reached from an initial marking (state) with feasible firing specific activity—there must be an input or control in the sequences, one has obtained a representation of a set of state IDEF0 diagram that makes that data available to the corre- transition diagrams. This can be thought as a first step in the sponding activity. Of course, the system dictionary contains validation of the model at the behavioral level. Of course, the definitions of all the data elements as well as the data flows model can be executed to check its logical consistency, that is, that appear in the activity model. the the check whether the functions are executed in the appro-

**Rule Model** The process of developing a consistent and comprehensive In a rule oriented model, knowledge about the behavior of the<br>account dictionary provides the best opportunity for ensuring concor-<br>architecture is represented by a set of assertions that describe<br>and root and was develop implication is that the four models cannot be developed in **Dynamics Model** Sequence. Rather, the development of all four should be sequence. Rather, the development of all four should be

resentation of a sequence of transitions from one state to an- lem, however, is to derive a dynamic representation of the

placed by an arc-place-arc construct, and the label of the net model a dynamic representation of the system. The information contained in the data model is used to specify the color System Dictionary and Concordance of Models sets and their respective domains, while the rules in the rule<br>model result in arc inscriptions, guard functions, and code

The executable model becomes the integrator of all the in-

priate sequence and that the data needed by each function **Performance Evaluation**

way to represent the physical systems, existing ones as well the executable as planned ones that will be used to implement the architec-static models. as planned ones that will be used to implement the architec- static models.<br>ture. They range from wiring diagrams of systems to block Measures of performance (MOP) are obtained either anature. They range from wiring diagrams of systems to block Measures of performance (MOP) are obtained either ana-<br>diagram representations to node models to organization lytically or by executing the model in simulation mode diagram representations to node models to organization lytically or by executing the model in simulation mode. For<br>charts, While there is not much difficulty in describing in a example, if deterministic or stochastic time charts. While there is not much difficulty in describing in a example, if deterministic or stochastic time delays are associ-<br>precise manner physical subsystems using the terminology ated with the various activities, it is precise manner physical subsystems using the terminology and notation of the particular domain (communication sys-<br>tems, commuters, displays, data bases), a problem arises on on the questions to be answered, realistic scenarios of inputs tems, computers, displays, data bases), a problem arises on on the questions to be answered, realistic scenarios of inputs<br>how to depict the human organization that is an integral part aread to be defined that are consiste how to depict the human organization that is an integral part need to be defined that are consistent with the operational<br>of the information system. The humans in the organization concept. This phase allows for functional of the information system. The humans in the organization concept. This phase allows for functional and performance re-<br>can not be thought simply as users: they are active partici-quirements to be validated, if the results can not be thought simply as users; they are active partici- quirements to be validated, if the results obtained from the<br>nants in the workings of the information system and their simulations show that the measures of perf pants in the workings of the information system and their simulations show that the measures of performance are<br>organizational structure that includes task allocations, an-<br>within the required range. If not, the systems ma organizational structure that includes task allocations, au-<br>thority responsibility reporting requirements and so on modified to address the issues that account for the encounthority, responsibility, reporting requirements, and so on, modified to add<br>must be taken into account and be a part of the physical tered problems. must be taken into account and be a part of the physical tered problems.<br>model description. This is an issue of current research, since However, the structured analysis approach is not very model description. This is an issue of current research, since However, the structured analysis approach is not very<br>traditional organizational models do not address explicitly the flexible; it cannot handle major changes traditional organizational models do not address explicitly the need to include the human organization as part of the physi- ing the development and implementation process. An alternacal system description. The same tools, has began to tive approach, that uses many of the same tools, has began to

## **Synthesis**

Once the physical architecture is available, then the execut- **OBJECT ORIENTED APPROACH** able model of the architecture shown in Fig. 1 can be obtained. The process is described in Fig. 4 as the synthesis<br>
phase. The required inter-relationship between the functional<br>
phasis on system integration rather than o



assigning resources to functions and using the dynamics model to specify behavior. terms, this is defining the boundary and the interactions that

are appropriately provided. Performance measures cannot be<br>obtained until the physical architecture is introduced; it pro-<br>vides the information needed to compute performance mea-<br>sures the inclusion of the physical archit **Physical Architecture Physical Architecture** formed. Furthermore, the process provides a documented set To complete the analysis phase of the procedure, the physical of models that collectively contain all the necessary informa-<br>architecture needs to be developed. There is no standardized tion. Note that any changes made dur architecture needs to be developed. There is no standardized tion. Note that any changes made during the construction of

be used in an exploratory manner.

mance evaluation can take place. tween objects occur only at the boundary through the clearly stated relationships with the other objects. The selection of objects is domain specific.

> A class is a template, description, or pattern for a group of very similar objects, namely, objects that have similar attributes, common behavior, common semantics, and common relationship to other objects. In that sense, an object is an instance of a class. For example, "air traffic controller" is an object class; the specific individual that controls air traffic during a particular shift at an Air Traffic Control center is an object—an instantiation of the abstraction ''air traffic controller.'' The concept of object class is particularly relevant in the design of information systems, where it is possible to have hardware, software, or humans perform some tasks. At the higher levels of abstraction, it is not necessary to specify whether some tasks will be performed by humans or by software running on a particular platform.

**Figure 4.** The synthesis phase. The executable model is obtained by Encapsulation is the process of separating the external as-<br>assigning resources to functions and using the dynamics model to pects of an object from the

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cross the boundary—the black-box paradigm. This is a very tions or transformations of the class that can be applied to natural concept in information system design; it allows the the class or by it. separation of the internal processes from the interactions The lines connecting the object classes represent relation-

itive meaning. Modularity, according to Booch (19) is the how one class accesses the attributes or invokes the operaproperty of a system that has been decomposed into a set of tions of another. cohesive and loosely coupled modules. Consider, for example, the corporate staff, the line organization, and the marketing **The Functional View** organization of a company. Each module consists of objects and their interactions; the assumption here is that the objects The *functional view* consists of a set of data flow diagrams within a module have a higher level of interaction than there that are analogous to the activity models in structured analy-

one at the top and the most specific one at the bottom. An ordering is induced by a relation and the ordering can be where these operations reside or how they are implemented.<br>strict or partial. In the object oriented paradigm, two types of The functions or operations or transform strict or partial. In the object oriented paradigm, two types of The functions or operations or transformations, as they are ordering relations are recognized: aggregation and inheri- often called, are depicted by ovals wi ordering relations are recognized: aggregation and inheritance. Aggregation refers to the ability to create objects com- formation inscribed in them, preferably as a verb phrase. The posed of other objects, each *part* of the aggregate object. The directed arcs connecting transformations represent data concept of aggregation provides the means of incorporating flows; the arc inscriptions define what flows between the functional decompositions from structured analysis in the ob- transformations. Flows can converge (join) and diverge

acteristics (attributes, behaviors, semantics, and relation- or a buffer. Stores are connected by data flows to transforma-<br>ships) from one or more other objects (20) In single inheri- tions with an arc from a store to a t ships) from one or more other objects (20). In single inheri- tions with an arc from a store to a transformation denoting tance, an object inherits characteristics from only one other that the data in the store is accessib tance, an object inherits characteristics from only one other that the data in the store is accessible to the transformation, object: in multiple inheritance, from more than one object. In- while an arc from a transformati object; in multiple inheritance, from more than one object. Inheritance is a way of representing generalization and special- operation (write, update, delete) on the data contained by the ization. The navigator in an air crew inherits all the attri- store. Entities that are external to the system, but with which butes of the air crew member object class, but has additional the system interacts, are called terminators or actors. The attributes that specialize the object class. The pilot and the arcs connecting the actors to the transformations in the data copilot are different *siblings* of the air crew object class. flow diagram represent the interfaces of the system with the

(21) requires three views of the system: the object view, the posed hierarchically, in functional view, and the dynamic view. The object view is repfunctional view, and the dynamic view. The object view is rep- gram was multileveled.<br>resented by the object model that describes the structure of While data flow diagrams have many strengths such as resented by the object model that describes the structure of the system—it is a static description of the objects and it simplicity of representation, ease of use, hierarchical decom-<br>shows the various object classes and their hierarchical rela-<br>position, and the use of stores and a shows the various object classes and their hierarchical relationships. The functional view is represented in terms of data weaknesses. The most important one is the inability to show flow diagrams, an alternative to IDEF0, that depict the de- the flow of control. For this reason, enhancements exist that pendencies between input data and computed values in the include the flow of control, but at the cost of reducing the system. The dynamic view is represented in terms of state clarity and simplicity of the approach. transition diagrams. While these three views are adequate for object oriented software system design, they are not sufficient **The Dynamics View**<br>to represent an architecture and answer user's questions. As<br>in the structured analysis approach an executable model is The dynamics view in the structured analysis approach, an executable model is The dynamics view in OMT is similar to the one in structured<br>needed to bring them all together and to provide a means for analysis—state transition diagrams are u needed to bring them all together and to provide a means for performance evaluation. events change the state of the system. The rules that govern

third part contains the class operations. These are the func- the executable model, they indicate behavior.

with other objects, either directly or through communication ships. These relationships have cardinality (one to one, one to systems. The many, etc.). In addition to the generalization and inheritance Modularity is another key concept that has a direct, intu- relationships, the lines also represent associations: they show

is across the modules.<br>In the context of object oriented design, hierarchy refers to nique, depicts the functional relationships of the values com-In the context of object oriented design, hierarchy refers to nique, depicts the functional relationships of the values com-<br>In a ranking or ordering of abstractions, with the more general puted by the system; it specifies the ranking or ordering of abstractions, with the more general puted by the system; it specifies the meaning of the one at the most specific one at the bottom. An operations defined in the object model without indicating ject oriented approach.<br>Inheritance is the means by which an object acquires char-<br>Inheritance is the means by which an object acquires char-<br>sion of data stores which represent data at rest—a data base Inheritance is the means by which an object acquires char-<br>
ison of data stores which represent data at rest—a data base<br>
leristics (attributes, behaviors, semantics, and relation- or a buffer. Stores are connected by data The object modeling technique (OMT) of Rumbaugh et al. external world. Clearly, data flow diagrams can be decom-<br>() requires three views of the system: the object view, the posed hierarchically, in the same manner that the

the operations of the system are not shown as an independent **The Object View The Object View The Object View** A final construct that describes the trajectories of the sys-

The object view presents the static structure of the object tem using events and objects is the event trace. In this diaclasses and their relationships. The object view is a diagram gram, each object in the object view is depicted as a vertical that is similar to the data model, but in place of the data line and each event as a directed line from one object to anentities there are object classes. An object class is depicted by other. The sequencing of the events is depicted from top to a box divided into three parts: the top part contains the name bottom, with the initiating event as the topmost one. The of the class; the second part contains the attributes (they are event traces characterize behaviors of the architecture; if the data values held by all the objects in the class); and the given, they provide behavioral requirements; if obtained from

The three views, when enhanced by the rule model embedded<br>implemented prior to the actual design. If this is a new do-<br>in the state transition diagrams, provide sufficient informa-<br>in the ready not exist prior libraries p of the pages/object subnets are activated in accordance with the rules and, again, the marking of the net denotes the state **BIBLIOGRAPHY** of the system.

Once the colored petri net is obtained, the evaluation 1. E. Rechtin, *Architecting Information Systems,* Englewood Cliffs, phase is identical to that of structured analysis. The same NJ: Prentice-Hall, 1991. analytical tools (invariants, deadlocks, occurrence graphs) 2. E. Rechtin and M. Maier, *The Art of Systems Architecting,* Boca and the same simulations can be run to assess the perfor- Raton, FL: CRC Press, 1996. mance of the architecture. 3. E. Rechtin, The art of systems architecting, *IEEE Spectrum.,* **29**

More recently, the Unified Modeling Language (UML) has 5. D. N. Chorafas, *Systems Architecture and Systems Design,* New been put forward as a standard modeling language for object- York: McGraw-Hill, 1989. oriented software systems engineering (22). The language in- 6. A. P. Sage, *Systems Engineering,* New York: Wiley, 1992. corporates many of the best practices of industry. What is T. A. H. Levis, Lecture notes on architecting information systems, particularly relevant to its future extension beyond software Rep. GMU/C3I-165-R, Fairfax, VA: C systems-to-systems engineering is the inclusion of a large  $\overrightarrow{Univ}$ . number of diagrams or views of the architecture. It includes 8. D. A. Marca and C. L. McGowan, *Structured Analysis and Design* use cases which describe the interaction of the user with the *Technique,* New York: McGraw-Hill, 1987. system, class diagrams that correspond to the object view in 9. E. Yourdon and L. Constantine, *Structured Design,* New York: OMT, interaction diagrams which describe the behavior of a Yourdon Press, 1975. use case, package diagrams which are class diagrams that 10. P. Ward and S. Mellor, *Structured Development of Real-time Sys*depict the dependencies between groups of classes, and state *tems,* New York: Yourdon Press, 1986. transition diagrams. The proposed standardization may pro- 11. T. DeMarco, *Structured Analysis and Systems Specification,* Envide the necessary impetus for developing system architec- glewood Cliffs, NJ: Prentice-Hall, 1979. tures using object-oriented methods. 12. C. Gane and T. Sarson, *Structured Systems Analysis: Tools and*

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**The Executable Model The Executable Model one requires that a library of object classes be defined and** 

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